GENERAL ASSEMBLY ANNEX Pt 14.3
21 November 2017, Montevideo (URU)

SUMMARY TOKYO 2020 QUALIFICATION SYSTEMS - EQUESTRIAN

Comments have been received from the following NFs on the 1st proposal have been compiled in the proposed Qualification system per discipline. A summary of the NFs views received can be found below. Discipline specific comments are addressed in the respective discipline’s qualification document.

<table>
<thead>
<tr>
<th>Jumping</th>
<th>Dressage</th>
<th>Eventing</th>
</tr>
</thead>
<tbody>
<tr>
<td>AUT</td>
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<td>CAN</td>
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<td>NED</td>
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<td>POL</td>
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<td>RSA</td>
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<td>SUI</td>
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<td>USA</td>
<td>USA</td>
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<tr>
<td>Group IV</td>
<td>Group IV</td>
<td>Group V</td>
</tr>
<tr>
<td>Group V</td>
<td>Group V</td>
<td>Group V</td>
</tr>
</tbody>
</table>

GENERAL COMMENTS – Olympic Disciplines (Jumping, Dressage & Eventing)

DEADLINES:

- **MERs (proposed 1 Jan 2019- 18 May 2020)** too early for NFs (i.e. CAN, POL) – propose to line up with previous Games - 4-3 weeks before Sports Entry deadline. (Group V)
  
  *Note: for Tokyo, the Sports Entry Deadline has not been confirmed by OCOG or IOC but is assumed to be on approx. on 6 July 2020.*

- **Rankings (proposed 1 Jan 2019-31 Dec 2019)** – end February 2020 proposed to avoid end of year issues and allow “new-comers”). Or later to ensure quality of participation.(SUI)
  
  Request to publish the point system and list of events for the Ranking early (than mentioned date of 15 Dec 2018) as it starts on 1 Jan 2019. (USA)
  
  Ranking system should be approved as part of the qualification system for OG 2020

P ALTERNATE ATHLETE / SUBSTITUTION:

- Request to clarify if substitutions can be made for any reason not only Medical/Veterinary. (strategic/tactical tool). (ITA, SUI, USA)

- Request to confirm if P Athletes rights: accommodation in groom’s village, access rights to Olympic village.(USA)
Jumping

Following an evaluation of all comments received from National Federations on the first draft of the proposed qualification system for the 2020 Olympic Games, the Jumping Committee has approved a number of modifications to the qualification system; the modifications are indicated in red. The comments received, and the Jumping Committee’s position on each, are summarised according to topic at the end of this document.

Updated 24 October 2017

A. EVENTS (2)

Mixed Events (2)
- Individual Competition
- Team Competition

B. ATHLETES QUOTA

1. Total Quota for Jumping:

<table>
<thead>
<tr>
<th>Qualification Places</th>
<th>Host Country Places</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Men/Women</td>
<td>72</td>
<td>3</td>
</tr>
<tr>
<td>Total</td>
<td>72</td>
<td>3</td>
</tr>
</tbody>
</table>

2. Maximum Number of Athletes per NOC:

<table>
<thead>
<tr>
<th>Quota per NOC</th>
<th>Event Specific Quota</th>
</tr>
</thead>
<tbody>
<tr>
<td>Men/Women</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>Maximum 1 Team with 3 per team</td>
</tr>
</tbody>
</table>

3. Type of Allocation of Quota Places:
The quota place is allocated to the NOC.

C. ATHLETE ELIGIBILITY

All Athletes must comply with the provisions of the Olympic Charter currently in force, including but not limited to, Rule 41 (Nationality of Competitors). Only those Athletes who have complied with the Olympic Charter may participate in the Olympic Games.

Age Requirements:
All Athletes participating in the Tokyo 2020 Olympic Games must be born on or before 31 December 2002 (18 years of age).

All Horses participating in the Tokyo 2020 Olympic Games must be born on or before 31 December 2011 (nine years of age).

Additional IF Requirements:
To be eligible to participate in the 2020 Olympic Games Jumping Competitions, all Athletes/Horses must achieve, as a combination, the Minimum Eligibility Requirements (MER) at selected Events which take place...
from 1 January 2019 until 18 May 2020 included. Athlete/Horse combinations may fulfill the Minimum Eligibility Requirements according to any one of the provisions listed under paragraphs 1 – 5 below.

1. Team and Individual Competitions at Continental Jumping Championships and Games

   1.1 Athlete/Horse combinations that have completed the first or second round of the team Jumping Competition at the 2019 FEI European Jumping Championships or Pan-American Games or other FEI 2019 Continental Jumping Championships for Seniors with a Score of not more than eight (8) penalties.

   1.2 Athlete/Horse combinations that have completed the Individual Jumping Final Competition at the 2019 FEI European Jumping Championship or Pan-American Games or other 2019 FEI Continental Jumping Championships for Seniors.

2. FEI World Cup™ Jumping Competitions

   2.1 Athlete/Horse combinations that have completed the first round of two FEI World Cup™ Competitions at selected outdoor CSI1*-W, CSI2*-W, CSI3*-W and/or selected CSI03*-W outdoor Events with a Score of not more than of zero (0) penalties.

   2.2 Athlete/Horse combinations that have completed the first round of an FEI World Cup™ Competition at a selected outdoor CSI4* Event or at a selected CSIO4* outdoor Event with a Score of not more than a total Score of four (4) penalties.

   2.3 Athlete/Horse combinations that have completed the first round of an FEI World Cup™ Competition at a selected outdoor CSI5*-W Event or at an outdoor CSIO5*-W with a Score of not more than eight (8) penalties.

3. Grand Prix Competitions at CSIs and CSIOs

   3.1 Athlete/Horse combinations that have completed the first round of two Grand Prix Competitions at selected CSI3* outdoor Events and/or at selected CSIO3* outdoor Events with a Score of zero (0) penalties.

   3.2 Athlete/Horse combinations that have completed the first round of a Grand Prix Competition at a CSI4* outdoor Event or at a selected CSIO4* outdoor Event with a Score of not more than four (4) penalties.

   3.3 Athlete/Horse combinations that have completed the first round of a Grand Prix Competition at a CSI5* outdoor Event or at a CSIO5* Event with a Score of not more than eight (8) penalties.

4. Nations Cup Competitions at CSIOs

   4.1 Athlete/Horse combinations that have completed the first or second round of a Nations Cup Competition at a selected CSIO3* or CSIO4* outdoor Event with a Score of not more than four (4) penalties in the first round or zero penalties in the second round.

   4.2 Athlete/Horse combinations that have completed the first or second round of a Nations Cup Competition at a CSIO5* outdoor Event with a Score of not more than eight (8) penalties.

   4.3 NFs unable to send complete teams to any of the above mentioned CSIOs will be allowed to enter individuals who will be allowed to compete hors-concours in the Nations Cup Competition.

5. Special Qualifying FEI Approved Competitions for fulfilling the Minimum Eligibility Requirements

   5.1 If an NF finds it impossible for its Athlete/Horse combinations to reach the Minimum Eligibility Requirements as above, the FEI will upon request of the NF, send a Foreign Assessing Delegate at the expense of the NF to assess the level of performance, at a FEI approved special qualifying Competition conducted in accordance with the protocol established in FEI Jumping Rules, effective as of 1 January 2019, Annex VIII, paragraph 8. This Competition will consist of one round with the dimensions as laid down on a course plan provided by the FEI. Athletes/Horse combinations scoring eight (8) penalties or less in this round will be considered to be eligible. The FEI Foreign Delegate will advise the NF and the FEI on the granting of eligibility (Minimum Eligibility Requirements). If an
Athlete/Horse combination has more than eight (8) penalties but shows a very good performance otherwise over the course, the Foreign Assessing Delegate may let the combination repeat a similar course. But in no case may an Athlete/Horse combination become eligible if they scored more than eight (8) penalties in the second round. The NFs of the eligible Athlete/Horse combinations will be informed by the FEI immediately.

6. Technical Specifications

6.1 Selected Nations Cup, Grand Prix and FEI World Cup™ Competitions must meet the following specifications: consist of at least 12 obstacles which may vary in height between 1.40 m and 1.60 m. The spread obstacles must have spreads of between 1.50 m to 2.00 m (2.20m for the triple bar). At least two straight obstacles must be provided with a minimum height of 1.60 m.

6.2 At Nations Cup and Grand Prix Competitions at selected CSIOs, at FEI World Cup™ Competitions and at CSI3*/4*/5* Grand Prix Competitions, the Foreign Judge is responsible for ensuring that the course is built to the required dimensions and will confirm to the FEI that the Minimum Eligibility Requirements have been achieved.

7. List of Selected Events

7.1 The list of selected 2019 MER Events (as defined in the Appendix) will be published in December 2018 and the 2020 MER Events will be published in December 2019. No addition of Events will be accepted after publication of the respective calendars of selected Events for 2019 and 2020. Replacement in case of cancellation of Event for force majeure reasons will be reviewed on a case to case basis.

D. QUALIFICATION PATHWAY

FEI Olympic Groups as referred to through the qualification procedure are based on the following seven (7) geographical regions:

A - North Western Europe;
B - South Western Europe;
C - Central & Eastern Europe; Central Asia;
D - North America;
E - Central & South America;
F - Africa & Middle East;
G - South East Asia, Oceania

The composition of each FEI Olympic Group is available on https://inside.fei.org

QUALIFICATION PLACES

The qualification Events are listed in hierarchical order of qualification.
### Team Qualification

20 NOCs will qualify for a team quota place with a team consisting of three (3) Athletes/Horses, as follows:

<table>
<thead>
<tr>
<th>Number of Team Quota Places</th>
<th>Qualification Event</th>
<th>NOC</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 (max. 3 Athletes)</td>
<td>The Host Country (JPN) - <em>Please refer to section Host Country Places for further information.</em></td>
<td>1. JPN</td>
</tr>
<tr>
<td>6 (max. 18 Athletes)</td>
<td>The six (6) best ranked teams from the 2018 FEI World Equestrian Games™ (WEG), excluding the Host Country team.</td>
<td>2. 3. 4. 5. 6. 7.</td>
</tr>
<tr>
<td>3 (max. 9 Athletes)</td>
<td>The three (3) best ranked teams from Groups A and/or B at the 2019 European Jumping Championship, excluding the teams qualified above.</td>
<td>8. 9. 10.</td>
</tr>
<tr>
<td>2 (max. 6 Athletes)</td>
<td>The two (2) best ranked teams from Group C at the 2019 Group C FEI Designated Olympic Qualification Event, excluding the teams qualified above.</td>
<td>11. 12.</td>
</tr>
<tr>
<td>3 (max. 9 Athletes)</td>
<td>The three (3) best ranked teams from Groups D and/or E at the 2019 Pan-American Games, excluding the teams qualified above.</td>
<td>13. 14. 15.</td>
</tr>
<tr>
<td>2 (max. 6 Athletes)</td>
<td>The two (2) best ranked teams from Group F at the 2019 Group F FEI Designated Olympic Qualification Event, excluding the teams qualified above.</td>
<td>16. 17.</td>
</tr>
<tr>
<td>2 (max. 6 Athletes)</td>
<td>The two (2) best ranked teams from Group G at the 2019 Group G FEI Designated Olympic Qualification Event, excluding the teams qualified above.</td>
<td>18. 19.</td>
</tr>
<tr>
<td>1 (max. 3 Athletes)</td>
<td>The best ranked team from the 2019 FEI Nations Cup Final™, excluding the teams qualified above, providing the team concerned was among the eight (8) teams taking part in the Final Competition.</td>
<td>20.</td>
</tr>
</tbody>
</table>

**TOTAL: 20 Teams / 60 Athletes**

Reallocation of Team Quota Place(s):
- **Reallocation based on FEI World Equestrian Games™ 2018 placings**
- **Composite Team(s)**

See paragraph F. Reallocation of Unused Qualification Places below for further explanation of reallocation process.

*The total number of teams participating in the team competition, including Composite Teams, may not exceed 20.*

**Individual Qualification:**

Only NOCs that have not accepted a team quota are eligible to obtain individual quota places.
The point system, to be used for establishing the FEI Olympic Ranking – Jumping, will be published by 15 December 2018 and distributed to NOCs/NFs.

FEI Olympic Ranking - Jumping

The FEI Olympic Ranking - Jumping is limited to the best 15 results per Athlete/Horse combination in Ranking Competitions within the period from 1 January 2019 to 31 December 2019 (inclusive).

15 individual quota places of one (1) Athlete and one (1) Horse will be reserved for NOCs with a maximum of one (1) Athlete with one (1) Horse per NOC (unless specified otherwise), as follows:

<table>
<thead>
<tr>
<th>Number of Individual Quota Places</th>
<th>Qualification Events</th>
<th>NOC</th>
</tr>
</thead>
</table>
| 14                               | FEI Olympic Ranking – Jumping (allocation through FEI Olympic Groups)               | A:  
|                                  |   A: North Western Europe                                                            | 1.  |
|                                  |   B: South Western Europe                                                           | 2.  |
|                                  |   C: Central & Eastern Europe; Central Asia                                          | 1.  |
|                                  |   D: North America                                                                   | 2.  |
|                                  |   E: Central & South America                                                        | 1.  |
|                                  |   F: Africa & Middle East                                                            | 2.  |
|                                  |   G: South East Asia, Oceania                                                       | 1.  |
|                                  | * Unless one (1) or two (2) individual quota places per from that FEI Olympic Group, unless one (or both) of the quota places has already been allocated to a NOC/NOCs from that FEI Olympic Group due to their withdrawal of their qualified team as per the process set out in paragraph F. Reallocation of Unused Qualification Places. |
|                                  | D & E:                                                                               | 2.  |
|                                  | The four (4) best ranked Athletes from Olympic Groups D and/or E, maximum one (1) per NOC, from the overall individual classification at the 2019 Pan-American Games, will qualify one (1) individual quota place for their NOC: |
|                                  | D: North America                                                                     | 1.  |
|                                  | E: Central & South America                                                           | 2.  |
|                                  | 1.                                                                                   |     |
| TOTAL: 15 Athletes               |                                                                                      |     |

**HOST COUNTRY PLACES**

The Host Country is guaranteed one (1) team with three (3) Athletes. Each Athlete and Horse must obtain the Minimum Eligibility Requirements to compete as listed in Section C: Athlete Eligibility. In case the Host Country is unable to field a team, it may send one (1) eligible individual Athlete instead.
E. CONFIRMATION PROCESS FOR QUOTA PLACES

Following each qualification Event, the FEI will publish the results on its website (https://inside.fei.org).

The team and Individual quota places will be confirmed separately as detailed in paragraph H. Qualification Timeline.

By 10 January 2020, the FEI will inform the respective NOCs/NFs in writing of their allocated team quota places. Each NOC must confirm or decline the allocated team quota place by 3 February 2020.

After the NOCs confirmation of team participation, the FEI will inform the respective NOCs/NFs in writing of their allocated individual quota places and each NOC with Individuals must confirm the use of quota places, as detailed in paragraph H. Qualification Timeline.

F. REALLOCATION OF UNUSED QUOTA PLACES

REALLOCATION OF UNUSED QUALIFICATION PLACES

Unused team quota places excluding host country

See "Team Qualification" above.

If a NOC does not confirm the NOC Certificate of Capability by 31 December 2019 or informs the FEI by 3 February 2020, that it declines its team quota place, the team quota place(s) will be considered to be withdrawn and will be reallocated as follows:

- firstly:
  (i) if the quota place to be reallocated was earned at the 2018 FEI World Equestrian Games, the quota place will be awarded to the NOC of the next best ranked team at the 2018 World Equestrian Games, excluding any teams already qualified;
  (ii) if the quota place to be reallocated was earned at an FEI Olympic Group Qualification Event (as defined in the Appendix) the quota place will be reallocated to the NOC of the best ranked team on the relevant FEI Olympic Group Team Reserve List (as defined in the Appendix), excluding any teams already qualified;
  (iii) if the quota place to be reallocated was earned at the 2019 FEI Nations Cup™ Jumping Final, the quota place will be reallocated to the NOC of the next best ranked team in the overall classification of the 2019 FEI Nations Cup™ Jumping Final (Final and Challenge Competitions), excluding any teams already qualified.

If it proves impossible to reallocate a quota place through the reallocation processes under (i) or (ii), the quota place will be reallocated to the NOC of the next best ranked team at the 2018 FEI World Equestrian Games, excluding NOCs already qualified with a team;

- then and in case the quota of 20 teams cannot be reached by the reallocation process above, to the Composite Team(s), provided that these NOC(s) had the minimum three eligible combinations as of 31 December 2019.

- If a NOC has declined a team quota place by 3 February 2020 or a NOC has not confirmed the NOC Certificate of Capability by 31 December 2019, then the NOC will be allocated one (1) individual quota place from within the respective FEI Olympic Group in the Individual Qualification.

- A maximum of two (2) Individual quota places per FEI Olympic Group can be allocated to NOCs that were informed of their team quota place by the FEI on 10 January 2020.
- If more than two (2) NOCs from the same FEI Olympic Group withdraw prior to 3 February 2020, then priority for the Individual quota places will be given in chronological order of withdrawal, i.e. the NOC that has withdrawn earliest shall have priority. If the NOCs concerned have each withdrawn at the same time, the NOC with the highest ranking athlete on the FEI Olympic Ranking – Jumping (as defined in the Appendix) shall have priority. In the event of a tie, the FEI will invite the NOCs/NFs concerned to hold a draw. If a NOC does not have any athlete on the FEI Olympic Ranking - Jumping, then that NOC shall not be entitled to an Individual quota place.

- For the purpose of this process, the term “withdrawal” shall mean either:
  (i) A written notification by a NOC to the FEI that the NOC declines its team quota place. In such circumstances, the date of withdrawal shall be the date the written notification is received by the FEI.
  (ii) Failure by a NOC to confirm their NOC Certificate of Capability (as defined in the Appendix) by 31 December 2019 deadline. In such circumstances, the date of withdrawal shall be deemed to be 31 December 2019.

- A NOC that withdraws its team quota place after 3 February 2020 will not be entitled to be allocated an Individual quota place in accordance with the process above.

- If the full quota of 20 teams has not been reached following the reallocation of team quota places as detailed above, the unused athlete quota places (three (3) per team) will be reallocated to the NOCs as individual quota places. These places will be allocated to the NOC of the next best ranked athletes from the overall FEI Olympic Ranking – Jumping, excluding NOCs that have already accepted a team quota place, and provided that the NOC has at least one (1) Athlete/Horse combination that fulfils the Minimum Eligibility Requirements by 18 May 2020.

- The total number of teams participating in the team competition, including Composite Teams, may not exceed 20.

Unused individual quota places

If an allocated individual quota place is not confirmed by the NOC or is declined by the NOC by the confirmation deadline, 16 March 2020, the quota place will be reallocated to NOCs as follows, provided that the NOC has at least one (1) Athlete/Horse combination that fulfils the Minimum Eligibility Requirements by 18 May 2020:

1. If the unused individual quota place was earned through the FEI Olympic Ranking - Jumping for any of the seven (7) FEI Olympic Groups, the quota place will be reallocated to the NOC of the next best ranked Athlete on the FEI Olympic Ranking - Jumping from the relevant FEI Olympic Group, excluding NOCs already qualified.

2. If it is not possible to reallocate the quota place to an NOC from the same Olympic Group, it will be reallocated to the NOC of the next best ranked Athlete on the overall FEI Olympic Ranking - Jumping, excluding NOCs already qualified.

3. If the unused individual quota place was earned through the overall FEI Olympic Ranking - Jumping, the quota place will be reallocated to the NOC of the next best ranked Athlete on the overall FEI Olympic Ranking - Jumping, excluding NOCs already qualified.

4. If the maximum quota of 75 Athletes has not been reached following the reallocation of individual quota places as detailed above, any unused individual quota places will be reallocated to the NOC(s) of the next best ranked Athlete(s) on the overall FEI Olympic Ranking - Jumping, from a NOC that has already earned an Individual quota place (maximum of two (2) Individual quota places per NOC). Under no circumstances may the total number of Athletes exceed 75.

REALLOCATION OF UNUSED HOST COUNTRY PLACES

Commented [FEI3]: Change made further to a request from the IOC
In case the Host Country is unable to field a team or decides to send one (1) eligible Athlete instead of a team, the unused Athletes quota places will be reallocated to the NOCs of the best ranked Athletes, not already qualified, on the overall FEI Olympic Ranking – Jumping.

G. GENERAL PRINCIPLES REGARDING THE USE OF P ALTERNATE ATHLETES

P alternate Athletes are non-competing Athletes, and are not included within the Athlete’s quota as described in Paragraph B. Athletes Quota. More detailed information about entitlements and quotas can be found in the ‘Accreditation at the Olympic Games – Users Guide’. P alternate Athletes can only become competing Athletes as per the conditions outlined in the ‘IOC/Tokyo 2020 Late Athlete Replacement policy’.

Eligibility:

P alternate Athletes must comply with the same eligibility rules as competing Athletes as described in above paragraph C. Athlete Eligibility.

Quota:

Each team which has obtained a qualification is entitled to one (1) P alternate Athlete and one (1) P alternate Horse for a total of 20 P alternate Athletes and 20 P alternate Horses for Jumping.

H. QUALIFICATION TIMELINE

<table>
<thead>
<tr>
<th>Date</th>
<th>Milestone</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 - 23 September 2018</td>
<td>FEI World Equestrian Games (Tryon, USA)</td>
</tr>
<tr>
<td>26 July - 11 August 2019</td>
<td>Pan-American Games (Lima, PER)</td>
</tr>
<tr>
<td>19 - 25 August 2019</td>
<td>FEI European Jumping Championship (Rotterdam, NED)</td>
</tr>
<tr>
<td>TBC 2019</td>
<td>Designated FEI Olympic Qualification Event - Group C</td>
</tr>
<tr>
<td>TBC 2019</td>
<td>Designated FEI Olympic Qualification Event - Group F</td>
</tr>
<tr>
<td>TBC 2019</td>
<td>Designated FEI Olympic Qualification Event - Group G</td>
</tr>
<tr>
<td>TBC 2019</td>
<td>FEI Nations Cup™ Final</td>
</tr>
<tr>
<td>15 December 2018</td>
<td>FEI to publish ranking rules and list of 2019 MER Events</td>
</tr>
<tr>
<td>WEG 2018 - 31 Dec. 2019</td>
<td>Period for NOCs to achieve a team quota place (NOC Certificate of Capability)</td>
</tr>
<tr>
<td>15 December 2019</td>
<td>FEI to publish list of 2020 MER Events</td>
</tr>
<tr>
<td>1 January - 31 December 2019</td>
<td>Ranking period: FEI Olympic Ranking - Jumping</td>
</tr>
<tr>
<td>1 January 2019 – 18 May 2020</td>
<td>Period for Athletes and Horses to achieve the FEI minimum eligibility requirements</td>
</tr>
<tr>
<td>By 10 January 2020</td>
<td>FEI to inform NOCs/NFs of their team quota place allocation</td>
</tr>
<tr>
<td>3 February 2020</td>
<td>NOC to confirm allocated team quota place to FEI.</td>
</tr>
</tbody>
</table>
If a NOC withdraws their team quota place by this date or had not confirmed their NOC Certificate of Capability as of 31 December 2019, the NOC in question will be eligible for an **Individual** quota place (subject to the process set out in “Team Qualification”).

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>17 February 2020</td>
<td>FEI to inform NOCs/NFs of:</td>
</tr>
<tr>
<td></td>
<td>- reallocation of team quota places based on either (i) FEI World Equestrian Games™ placings, or (ii) FEI Olympic Group Reserve List placing, or (iii) FEI Nations Cup™ Jumping Final (as per the process in paragraph F. Reallocation of Unused Qualification Places)</td>
</tr>
<tr>
<td></td>
<td>- allocation of Composite Team(s) quota place(s)</td>
</tr>
<tr>
<td></td>
<td>- reallocation of one (1) Individual place to each NOC that has withdrawn their Team quota place (subject to the process set out in “Team Qualification”)</td>
</tr>
<tr>
<td></td>
<td>- allocation of <strong>Individual</strong> quota places.</td>
</tr>
</tbody>
</table>

Determination of Individual quota places and Composite Teams based on FEI Olympic Ranking - Jumping

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>16 March 2020</td>
<td>NOCs to confirm use of allocated Individual quota places and Composite Team(s) quota places to FEI</td>
</tr>
<tr>
<td>18 May 2020</td>
<td>Deadline to achieve the minimum eligibility requirements. FEI Nominated Entries – FEI to receive FEI Certificates of Capability (as defined in the Appendix) (Athlete and Horse combination)</td>
</tr>
<tr>
<td>19 May 2020</td>
<td>FEI to confirm approval of FEI Certificates of Capability to NFs</td>
</tr>
<tr>
<td>6 July 2020*</td>
<td>Tokyo 2020 Sport Entries – after this date there will be no further reallocation of unused quota places to NOCs</td>
</tr>
<tr>
<td>24 July 2020</td>
<td>Opening Ceremony Tokyo Olympic Games</td>
</tr>
</tbody>
</table>

* Date to be confirmed by Tokyo 2020 and IOC
APPENDIX - DEFINITIONS

Composite Team: A three (3) member team from an NOC. The Composite Team will be selected according to the following process:

- the respective rankings of each NOC’s top three (3) different athletes in the FEI Olympic Ranking – Jumping shall be added together to give an aggregate ranking;
- the NOC with the best aggregate ranking shall be awarded the redistributed quota place;
- in the event of a tie (i.e. where the aggregate rankings are the same), the redistributed quota place shall be awarded to the NOC with the highest ranked athlete on the FEI Olympic Ranking - Jumping. In the event of a further tie, the redistributed quota place shall be awarded to the NOC with the second highest ranked athlete on the FEI Olympic Ranking - Jumping, and so on.

If the tie cannot be broken following this process, the FEI will invite the NOCs/NFs concerned to hold a draw.

FEI Olympic Group: means the following groups:
A - North Western Europe;
B - South Western Europe;
C - Central & Eastern Europe; Central Asia;
D - North America;
E - Central & South America;
F - Africa & Middle East;
G - South East Asia, Oceania

The composition of each FEI Olympic Group is available on www.fei.org.

FEI Certificate of Capability: A certificate that must be provided by the National Federation to the FEI for each Athlete and Horse that are nominated to participate in the Olympic Games. This certificate confirms the Minimum Eligibility Requirements as laid down in the FEI Regulations for Equestrian Events at the Olympic Games. It confirms that the Athletes and the Horses have the necessary experience and ability to participate at the required standard.

FEI Olympic Group Qualification Events: Those events at which teams from specific FEI Olympic Groups can participate in order to earn a team quota place, namely:
- for FEI Olympic Groups A and B: the 2019 European Jumping Championships;
- for FEI Olympic Group C: the 2019 Group C FEI Designated Olympic Qualification Event;
- for FEI Olympic Groups D and E: the 2019 Pan-American Games;
- for FEI Olympic Group F: the 2019 Group F FEI Designated Olympic Qualification Event;

FEI Olympic Group Team Reserve List: Means the next two (2) best ranked teams at a FEI Olympic Group Qualifying Event that did not earn a quota place at that FEI Olympic Group Qualifying Event or through another qualification pathway for the 2020 Olympic Games.

FEI Olympic Ranking – Jumping: The official rankings based on results achieved by an Athlete and Horse as a combination at the Olympic Ranking Events during the period 01 January 2019 – 31 December 2019. The relevant ranking rules will be published by the FEI by 15 December 2018.
MER Events: Those events at which Athletes and Horses can earn the minimum eligibility requirements.

NOC Certificate of Capability: NOC confirmation that at least three (3) athlete/horse combinations from that NOC have achieved the minimum eligibility requirements during the period FEI World Equestrian Games 2018 – 31 December 2019. Such confirmation must be provided to the FEI by 31 December 2019.

Olympic Ranking Events: Those events at which points for the FEI Olympic Rankings – Jumping can be earned.
COMMENTS RECEIVED FROM NFs by 18 September 2017

Jumping Athlete eligibility - Special Qualifying Competitions

NF NED:
First of all we believe that every NF’s riders should have the possibility to qualify through the selected qualifying competitions. And with the welfare of the horses, riders and sport in mind we believe that a “Special Qualifying Competition” should only be used in highly exceptional cases. Next to that we believe that if a “Special Qualifying Competition” is needed, the competition needs to be from a high standard, so that we can ensure quality and increase the risk of accidents because at a Championship there should be only the real top sport.

Jumping Committee:
The Committee agrees that “special qualifying competitions” should be the exception rather than the rule; such competitions are strictly controlled by the FEI Jumping Department.

Olympic Groups

Group V:
Group V has read the proposals presented by the FEI and we agree with much of what has been presented, but we strongly feel there needs to be harmonisation between the proposals in order to simplify the qualifying procedures.
We believe our Continental Games are a good opportunity to qualify teams and we learned from the past edition of the Games that is was a good tool to qualify our individuals as well. NFs in Group V feel that excellence needs to come from combining both groups D & E and getting the best riders from our region to represent us in the Olympic Games.
The introduction of a limit in relation to individuals and the increase in the number of teams participating in Tokyo 2020 come to assure the principle of universality and that is a good asset for our sport.
We would like to express that Group VI supports the positions expressed by Group V with the exception of combining Group D & E in Dressage. Group VI supports D & E to be separate.

Jumping Committee:
If the NFs in Group D and E support using the Pan-Am Games and combining Groups D and E for the qualification of individuals as well as the teams the Committee does not object.

Jumping Team Qualification

Group IV:
Group IV strongly objects to the allocation of team places in the proposed Jumping Criteria. Three team slots is simply a return to the same number that the Americas were granted for numerous cycles prior to 2012 RIO. Given the increase of available team slots from 16 to 20 in the new proposals, the majority of Group IV believes that at least one of the additional Team slots should be allocated to the Americas. There has been significant growth in our region in Jumping in the past two decades. Far more nations have invested heavily in development and far more teams have emerged as internationally competitive. Brazil, Canada, Mexico, Venezuela, Columbia, Argentina and USA all could crack the top 16 on any given day.
The next tier of development in Chile, Guatemala, Uruguay and even Bermuda and elsewhere are not far behind and need something to aspire to. Holding the Americas to three Team slots does not pay respect or give encouragement to a large part of the world that is working hard at development and succeeding. They should be recognized and rewarded. Having the extra four team slots available to distribute gives exactly that opportunity.

We do not presume to suggest where the slot to the Americas should come from. That is for the Discipline committee to consider. Reducing the new 6th team slot from WEG or recapturing one slot of the additional two given to Oceania are both viable options.

Finally, we are aware that Group V has made a detailed submission on the balance of the criteria. We have not had a chance to convene a meeting of Group IV to consider all of these positions due to our extreme circumstances [hurricanes]. Having said that, we are generally in support of what has been presented.

**Group V:**

For the 3 disciplines, both Groups D & E should be combined for the purpose of qualifying the teams to be represented in Tokyo. Eventing and Jumping are already in line with this, but we suggest harmonising the three disciplines by including Dressage.

We strongly disagree with the distribution of the quota for teams. We feel we have been given back the slot which was taken away for the past edition of the Games in Rio 2016 and we feel America should have one more team slot to come from the Pan-American Games. The 6th team spot allocated to WEG was never a request put forward, having the top 5 teams directly to qualify makes a lot of sense and fulfils the needs of the top nations to gain a place at the Olympic Games. Having said this, we feel that extra team should be allocated to the Americas.

**NF CAN:**

Equestrian Canada supports the proposal to allow the top six placed nations at WEG. This supports the ideal of excellence. We also support a return to the 2008 and 2012 standard of the top three teams at the Pan American Games to gain Olympic qualification...AT A MINIMUM. Given the creation of four additional team spots in the proposed 2020 Jumping Criteria we believe that at least one of those additional spots should also be allocated to Groups D + E combined out of the Pan American Games. There has been a great amount of development in our region over the past 16 years...even relative to other regions...and we believe this should be recognized and respected through an additional team spot in the allocation. So, where to find the extra spot?

In 2008 and 2012 the Host Nation spot was granted in ADDITION to the allocation regularly given to the Host Nation’s Olympic Group. For the 2016 Rio Games however the Host Nation spot was instead counted as one of the Region’s three allocated spots, effectively reducing the “competitive” teams’ slots to two. Very unfair to our region but we ultimately accepted this as the way things were to be done going forward.

In the proposal for 2020, however, Oceania is proposed to increase their Team slots from their traditional one to two spots AND they are also being given the Host Team slot IN ADDITION to their regional allocation. This is grossly unfair to the Americas. It is inappropriate to change the treatment of the team slot every quadrennial. Either Oceania should have their traditional allocation of one team slot PLUS the Host Team slot OR they should have an increased allocation of two team slots but one of them should be reserved for the host country which is in their region. It is unfair to have it both ways.

Equestrian Canada would request therefore that the FEI either continue following their 2016 policy regarding home continent qualification or reduce the total allocation to Oceania thus freeing up an additional qualification opportunity for reallocation.
NF ITA:
For teams qualifying in the European Championships, we propose to increase the number of the slots for Western Europe from 3 to 4, and consequently decreasing the slots from Eastern Europe from 2 to 1.

NF SUI:
We think that there should be an additional Team Quota Place dedicated to the European Championships in 2019. There is now everywhere one Team Quota Place more than for 2016 (WEG 6 instead of 5 / Grp. C 2 instead of 1 / Grp. D/E 3 instead of 2 / Grp. F 2 instead of 1). Only Grp. G keeps 2 and Europe (Grp. A/B) keeps 3.)

Jumping Committee:
Proposal to allocate an additional team quota place to Groups D and E:
The Committee did not support increasing the number of team quota places for Groups D/E for the following reasons:
- an increase to the quota places from the Pan-Am Games cannot be justified without increasing the quota places from the CH-EU-S in view of the number of competitive teams in Groups A and B as compared to the number in Groups D and E;
- the allocation of six quota places from the WEG is in alignment with the ideal of excellence, i.e. selecting the best teams from the highest level qualification event; six slots are not considered too many given the number of teams at the WEG (27 in 2010, 33 in 2014);
- maintaining the two quota places for Group G in addition to the home team was deemed justifiable as this would provide an opportunity for a new team to qualify;
- in view of the development of the sport in the Americas, it was likely that at least one if not two Group D/E teams will earn their qualification by placing among the top six at the WEG; a review of the past two WEG showed that two teams from Groups D/E placed among the top five at the WEG 2010 and 2014; with six quota places from WEG and three from the Pan-Am Games there is a high probability that at least five teams from the Americas will qualify for the 2020 Olympics. The Nations Cup Final will also provide an opportunity for teams from any region to earn a qualification place.

Proposal to allocate an additional team quota place from the CH-EU-S for Groups A and B:
As per the decision relating to quota places for Groups D and E, the Committee felt that with six quota places from WEG, three from the CH-EU-S and one from the Nations Cup Final there were sufficient possibilities for Group A/B teams to qualify.

Reallocation of Jumping Team places:

Group V:
We feel reallocation of teams for the 3 disciplines should revert first to the next best team within the same group/s, as a guarantee that the region has a chance to be represented. Therefore we disagree with the proposal in Jumping of reverting to the next best team from WEG and for Dressage and Eventing to revert to the composite teams in the first instance. Each group should be guaranteed that the first step of the reallocation will indeed remain within the countries of its region.

We do not share the reduction in the deadline for having athletes certified. We feel that those extra weeks provided our participants with more opportunity to access the Games. We understand on the other hand that this might represent a more efficient procedure for the reallocation of quotas at an earlier stage.

NF CZE:
Quota places should stay in the same group in which they were won. According to the Olympic idea of competing across continents and connecting the nations from the whole world, we highly recommend to remain the allocation of quota places in the group, where the change has happened. Regardless of whether it concerns individual or team competition, the quota place should be won by the nation from the same group, not to be reallocated based on the WEG ranking.

The team qualification should be assessed separately from the individual. Our proposal is based on a difference between the individual and team competitions. Both formats ask different sport performances. Our recommendation is to strictly divide the team and individual qualification and not to reallocate the quota places won in the individual qualification ranking to the athletes from teams who declined their team quota place.

We are convinced that application of our suggestion should be in favour of the Olympic competition format and we are prepared to discuss it during the General Assembly.

**NF USA:**

Use of Nations Cup Final / Nations Cup Rankings for Quota Place allocation:

Under the reallocation process for Jumping Team Quota places the U.S. propose the following:

- If the NOC that achieved its Quota Place through the Nations Cup Final declines this place it should go to the next highest placed Team at the 2019 Longines FEI Nations Cup Final providing they finished in the top 8: If all Nations that finished in the Top 8 have already achieved a Team Quota place it would revert to WEG 2018 as currently written.

This would be instead of the place automatically reverting to the next Team from WEG 2018. It is considered that a Top 8 at the Nations Cup Final is a significant achievement.

**Jumping Committee:**

**Reallocation of quota places to group:**

The Committee agreed with the proposal to reallocate unused quota places within the group, but from a reserve list of two NFs; if the quota place cannot be filled from the group it will revert to the WEG. The relevant modification has been made to the qualification system.

**Reallocation of quota places from the Nations Cup Final:**

The Committee agreed that a quota place earned through the Nations Cup Final should be reallocated to the next highest placed team at the Final and furthermore felt that the original allocation from the Nations Cup Final should be for the highest placed team on the overall classification at the Final (Final and Challenge competitions), not just for teams in the top 8. The relevant modification has been made to the qualification system.

**Jumping Individual Qualification**

**Group V:**

For the 3 disciplines, we feel Groups D & E should be combined for the purpose of allocating the individual slots for the Americas, directly qualifying them through the Pan-Am Games 2019. For meeting the technical requirements it is clear these NFs will need to certify their combinations at the levels established in order to be able to participate at the 2020 Olympic Games.

This worked well for the event in Toronto 2015 going forward onto Rio 2016. We have discussed this with the different stakeholders involved and we would support the qualification of individual riders from our Continental Event and not the Ranking. Many countries from our region do not have the same opportunities for achieving ranking points. The way the allocation of individuals worked for the last edition of the Games seemed to satisfy everyone. For the
In regards to this suggestion, there would need to be a provision in the reallocation of the quota, as depending on how far this reallocation process may go down, our individual slots would have to be announced immediately after the Pan-American Games are over and specify these individual slots would no longer be open for reallocation further down the process.

We do not share the reduction in the deadline for having athletes certified. We feel that those extra weeks provided our participants with more opportunity to access the Games. We understand on the other hand that this might represent a more efficient procedure for the reallocation of quotas at an earlier stage.

**NF SUI:**

> Individual Quota Places: in Eventing an NOC can get two Individual Quota Places – in Dressage and Jumping only one. Why is there not the same solution?

**Jumping Committee:**

> Individual quota places for Groups D and E:
> If the NFs in Group D and E are in agreement with the Group V proposal above the Committee does not object to allowing the combined qualification of individuals from Groups D and E through the Pan-American Games and has included the relevant modification to the rules.
>
> Individual quota places per NOC in Eventing vs Dressage and Jumping:
> Eventing needs to have two quota places per NOC to ensure that they will be able to fill their individual quota for the Olympics; the situation is not the same for Dressage and Jumping.

**Olympic Ranking - Jumping**

**NF SUI:**

> On the one hand we think it is good that the Individual Ranking finishes quite early (end of 2019), but on the other hand it leaves absolutely no chance for a “Newcomer” in the first months of 2020.

**NF USA:**

> FEI Olympic Ranking List: The revised period (finishing 31st December 2019) for the FEI Olympic Ranking List is not supported unless further justification can be provided. The U.S. has the following feedback:
> • Publishing the Rules for the FEI Olympic Ranking Lists on 15th December 2018 is far too late if the List goes live on 1st January 2019. It is not acceptable to publish Rules that could have an impact on where athletes seek to compete after the Entry period for those competitions has opened. There is no reason why these Rules cannot be published by 1st October 2018.
> • As the FEI Olympic Ranking Lists are athlete/horse lists it seems very odd to finish the List so far out from the Games. While it is acknowledged that any Quota place goes to the NOC not the athlete it does seem to fly in the face of ensuring the best athlete / horse combinations get to the Games. It will also disadvantage Nations that traditionally struggle to find competitions and target their resources at competition early in the year of the Games.

**Jumping Committee:**

> Timeframe for Olympic Ranking List:
> The Committee agreed that the rules for Olympic Ranking should be published earlier; these could be published as soon as they were approved by the IOC.
The Committee did not support the proposal to extend the deadline for the Olympic Ranking list, as the later the list was closed, the harder it would be to substitute. While on the one hand closing the list on 31 December 2019 instead of a date tbc in 2020 would decrease opportunity, on the other hand the deadline was the same for all regions; if the deadline were extended into 2020 it would allow some regions, e.g. those with a temperate climate, to hold many more events where points could be earned than other regions, which was deemed unfair.

## P Alternative Athletes / Substitutions

<table>
<thead>
<tr>
<th>NF ITA:</th>
<th>In the memo it is repeatedly stated that reserve Athletes/combinations may be used for medical/veterinary reasons only. This is in contrast to what was said during the FEI Sports Forum in 2016 where we were told that a substitution could be made for ANY reason, also for strategic motives.</th>
</tr>
</thead>
<tbody>
<tr>
<td>NF GER:</td>
<td>In the memo it is repeatedly stated that reserve Athletes/combinations may be used for medical/veterinary reasons only. This is contrary to what was said during the FEI Sports Forum in 2016 where we were told a substitution could be made for ANY reason. In Dressage: &quot;A reserve horse could be used (as a strategic tool) for the GPS only.&quot;</td>
</tr>
<tr>
<td>NF SUI:</td>
<td>Reserve athletes/combinations: During the discussion of the FEI with the NFs we think it was never mentioned that they should only be used for medical/veterinary reasons. Therefore we kindly ask you to have this discussed with the IOC on an open basis.</td>
</tr>
<tr>
<td>NF USA:</td>
<td>Substitution Procedures (Veterinary / Medical): In order to allow National Federations to write robust and legally defensible Selection Procedures for the Olympic and Paralympic Games in a timely manner it is essential that the Substitution language and methodology around Substitution is discussed at the 2018 Sports Forum and put to the 2018 General Assembly for approval. This cannot be left until 2019. Please can there be early clarification that P Alternate athletes can live in the Athletes’ Village and P Alternate Grooms can live in the Groom’s Village and also that their access rights and costs will be the same as Athletes and Grooms.</td>
</tr>
</tbody>
</table>

## Jumping Committee: Alternate Athletes/Horses Substitution Procedures:

It was indeed announced at the Sports Forum that the FEI would ask for the IOC’s approval to allow substitutions for tactical reasons but the FEI did not have the IOC’s decision at the time. The IOC’s position is that substitutions can only be made for medical/veterinary reasons. However, the IOC has agreed that substitutions can be reversed, that is, an athlete/horse that has been substituted for medical/veterinary reasons could be substituted back in if the medical/veterinary condition improved in the meantime. The Jumping Committee agreed that a clear protocol needed to be established in this regard, i.e. that the team doctor/veterinarian had complete authority to determine if an athlete or horse was fit to compete and his/her decision was final and could not be questioned. This will be addressed by FEI HQ in due course.

### Rights of alternate athlete/horse:

...
Alternate athletes will not be allowed in the Olympic village as this would in effect increase the quota of athletes. Alternate athletes who are substituted take over the accreditation of the athlete who has been replaced; if the substitution is subsequently reversed the accreditation is changed back again. Alternate grooms can stay in the groom’s village.
Dressage

(Comments received from NFs and stakeholders included – see end of document)

A. EVENTS (2)

<table>
<thead>
<tr>
<th>Mixed Events (2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual Competition</td>
</tr>
<tr>
<td>Team Competition</td>
</tr>
</tbody>
</table>

B. ATHLETES QUOTA

1. Total Quota for Dressage:

<table>
<thead>
<tr>
<th>Qualification Places</th>
<th>Host Country Places</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Men/Women</td>
<td>57</td>
<td></td>
</tr>
<tr>
<td>Host Country Places</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>57</td>
<td>60</td>
</tr>
</tbody>
</table>

2. Maximum Number of Athletes per NOC:

<table>
<thead>
<tr>
<th>Quota per NOC</th>
<th>Event Specific Quota</th>
</tr>
</thead>
<tbody>
<tr>
<td>Men/Women</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>Maximum 1 Team</td>
</tr>
<tr>
<td></td>
<td>with 3 athletes per team</td>
</tr>
</tbody>
</table>

3. Type of Allocation of Quota Places:

The quota place is allocated to the NOC.

C. ATHLETE ELIGIBILITY

All athletes must comply with the provisions of the Olympic Charter currently in force and only those athletes who have complied with the Olympic Charter may participate in the Olympic Games. This includes Rule 41 of the Olympic Charter (Nationality of Competitors).

Age Requirements:

All athletes participating in the Tokyo 2020 Olympic Games must be born on or before 31 December 2004 (16 years of age).

All horses participating in the Tokyo 2020 Olympic Games must be born on or before 31 December 2012 (eight years of age).

Additional IF Requirements:
To be eligible to participate in the 2020 Olympic Games Dressage Competitions, all Athletes/Horses must achieve, as a combination, the Minimum Eligibility Requirements (MER) at selected Events which take place from 1 January 2019 until 18 May 2020 included. Athlete/Horse combinations may fulfill the minimum eligibility requirements below.

A minimum 66% must be attributed twice to the athlete/horse combination by both a 5* star judge and as an average from all judges in the competition, and the score must be achieved in a Grand Prix test at two different CDI3*/CDI4*/CDI5*/CDI-W/CDIO events. The two 5* judges must be of a nationality other than of the athlete. Scores achieved in Preliminary or Consolation Grand Prix classes judged by three Judges do not count towards the minimum eligibility requirement.

Athletes and horses must obtain the minimum eligibility requirement at events which take place from 1 January 2019 until 18 May 2020 included.

The list of Olympic Ranking Events and MER Events (as defined in the Appendix) for achieving Olympic ranking points / the minimum eligibility requirements will be published on the FEI website: [www.fei.org](http://www.fei.org). The list of Olympic Ranking Events and 2019 MER Events will be published on 15 December 2018 and the 2020 MER Events will be published on 15 December 2019. No additions of Events will be accepted after the publication of the selected Events calendar. Replacement in case of cancellation of Event for force majeure reasons will be reviewed on a case to case basis.

## D. QUALIFICATION PATHWAY

FEI Olympic Groups as referred to through the qualification procedure are based on the following seven (7) geographical regions:

- A - North Western Europe;
- B - South Western Europe;
- C - Central & Eastern Europe; Central Asia;
- D - North America;
- E - Central & South America;
- F - Africa & Middle East;
- G - South East Asia, Oceania


## QUALIFICATION PLACES

The qualification events are listed in hierarchical order of qualification.

### Team Qualification

15 NOCs will obtain “direct” qualification: fourteen (14) team quota places will be allocated through team championships or at an FEI Designated Olympic Qualification Event and one (1) as a Host Country place, as per the table below. A team consists of three (3) athletes/horses.

<table>
<thead>
<tr>
<th>Number of Team Quota Places</th>
<th>Qualification Events</th>
<th>NOC</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 (max. 3 athletes)</td>
<td>The Host Country (JPN) - Please refer to section Host Country Places for further information.</td>
<td>1. JPN</td>
</tr>
<tr>
<td><strong>5</strong> (max. 45) athletes</td>
<td>The <strong>five (5) six (6)</strong> best ranked teams from the 2018 <a href="https://www.fei.org">FEI</a> World Equestrian Games (WEG) excluding the Host Country team.</td>
<td></td>
</tr>
<tr>
<td>--------------------------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------</td>
<td></td>
</tr>
<tr>
<td><strong>3</strong> (max. 9 athletes)</td>
<td>The three (3) best ranked teams from the 2019 European Dressage Championship from FEI Olympic Groups A and/or B excluding teams qualified above.</td>
<td></td>
</tr>
<tr>
<td><strong>1</strong> (max. 3 athletes)</td>
<td>The (1) best ranked team from an FEI Designated Olympic Qualification Event, from FEI Olympic Group C excluding teams qualified as above.</td>
<td></td>
</tr>
<tr>
<td><strong>4</strong> (max. 3-6 athletes)</td>
<td>The (4) <strong>two</strong> best ranked teams; from Group D and/or Group E at the 2019 Pan-American Games, excluding the teams qualified above.</td>
<td></td>
</tr>
<tr>
<td><strong>1</strong> (max. 3 athletes)</td>
<td>The (1) best ranked team from Group E of the 2019 Pan-American Games, excluding the teams qualified above.</td>
<td></td>
</tr>
<tr>
<td><strong>1</strong> (max. 3 athletes)</td>
<td>The (1) best ranked team from Group F from the 2018 <strong>FEI</strong> World Equestrian Games or if this quota is not filled at the FEI World Equestrian Games 2018 the quota will be allocated at a 2019 Group F FEI Designated Olympic Qualification Event, excluding the teams qualified above.</td>
<td></td>
</tr>
<tr>
<td><strong>1</strong> (max. 3 athletes)</td>
<td>The (1) best ranked team from Group G from the 2018 <strong>FEI</strong> World Equestrian Games or if this quota is not filled at the FEI World Equestrian Games 2018 the quota will be allocated at a 2019 Group G FEI Designated Olympic Qualification Event, excluding the teams qualified above.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The <strong>best ranked team at the 2019 FEI Nations Cup™ Ranking</strong>, excluding the teams qualified above.</td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL: 15 Teams / maximum 45 athletes**

**Reallocation Of Team Quota Place(s):**
- **Composite Team(s)** *(as defined in the Appendix)*

The total number of teams participating in the team competition, including the Composite team(s), may not exceed 15.

**Dressage Committee**: to line up with the other disciplines, the Dressage committee proposes:

1. To combine Group D and Group E in line with Jumping and Eventing)
2. Nations Cup: the DC agrees that the Nations Cup should not be used as a qualifier for the Teams as the present format does not give all NOCs equal chances to qualify. The DC proposed to replace this with a qualification from WEG 2018.
3. Composite Team: the DC believes this would take away universality as the FEI Olympic Rankings – Dressage do not offer a fair opportunity for Athletes. Therefore, the DC disagrees and the composite team allocation should only be used as fill-up solution should the team quota not be reached.
Individual Qualification

Only NOCs that have not accepted a team quota place are eligible to obtain individual quota places.

The FEI Olympic Rankings - Dressage:
The FEI Olympic Ranking – Dressage [as defined in the Appendix] is limited to the best four (4) results per athlete/horse combination in these events with a maximum of one result per event, within the period from 1 January 2019 to 31 December 2019 included. The point system, including the list of events, to be used for establishing the FEI Olympic Ranking – Dressage, will be published by 15 December 2018 and distributed to NOCs/NFs.

Dressage Committee: proposes to add the sentence “with a maximum of one result per event” in agreement with feedback received and to ensure fairness.

<table>
<thead>
<tr>
<th>Number of Individual Quota Places</th>
<th>Qualification Events</th>
<th>NOC</th>
</tr>
</thead>
<tbody>
<tr>
<td>14</td>
<td>FEI Olympic Ranking – Dressage (allocation through FEI Olympic Groups)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The two (2) best ranked athletes, maximum one (1) per NOC, in the FEI Olympic Ranking – Dressage from each of the seven (7) below-mentioned groups will qualify one (1) individual quota place each for their NOC:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A – North Western Europe</td>
<td></td>
</tr>
<tr>
<td></td>
<td>B – South Western Europe</td>
<td></td>
</tr>
<tr>
<td></td>
<td>C – Central &amp; Eastern Europe; Central Asia</td>
<td></td>
</tr>
<tr>
<td></td>
<td>D – North America</td>
<td></td>
</tr>
<tr>
<td></td>
<td>E – Central and South America</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F – Africa &amp; Middle East</td>
<td></td>
</tr>
<tr>
<td></td>
<td>G – South East Asia, Oceania</td>
<td></td>
</tr>
<tr>
<td></td>
<td>* Unless one (1) or two (2) individual quota places per from that FEI Olympic Group unless one (or both) of the quota places has already been allocated to a NOC/NOCs from that FEI Olympic Group due to their withdrawal of their qualified team as per the process set out in paragraph F, Reallocation of Unused Qualification Places.</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>FEI Olympic Ranking – Dressage (allocation through overall ranking)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>One (1) quota place will be allocated to the NOC of the best ranked athlete in the FEI Olympic Rankings – Dressage excluding NOCs qualified above.</td>
<td></td>
</tr>
</tbody>
</table>

Commented [FEI1]: This will be published as soon as the IOC has approved the qualification system.

Commented [FEI2]: No change to the process, just reworded to make the explanation clearer.
TOTAL: 15 athletes

HOST COUNTRY PLACES

The Host Country is guaranteed one (1) team with a maximum of three (3) athletes. Each athlete and horse must obtain the minimum eligibility requirements to compete as listed in paragraph C. Athlete Eligibility. In case the Host Country is unable to field a team, it may send one (1) eligible individual athlete instead.

E. CONFIRMATION PROCESS FOR QUOTA PLACES

Following each qualification event, the FEI will publish the results on its website (https://inside.fei.org). The team and Individual quota places will be confirmed separately as detailed in paragraph H. Qualification Timeline.

By 10 January 2020, the FEI will inform the respective NOCs/NFs in writing of their allocated team quota places. Each NOC must confirm or decline the allocated team quota place by 3 February 2020.

After the NOCs confirmation of team participation, the FEI will inform the respective NOCs/NFs in writing of their allocated individual quota places and each NOC with Individuals must confirm the use of quota places, as detailed in paragraph H. Qualification Timeline.

F. REALLOCATION OF UNUSED QUOTA PLACES

Reallocation of unused Qualification Places

Unused team quota places (excluding Host Country places)

If a NOC does not confirm the NOC Certificate of Capability (as defined in the Appendix) by 31 December 2019 or informs the FEI, by 3 February 2020, that it declines its team quota place, the team quota place(s) will be considered to be withdrawn and will be reallocated as follows:

- The team quota place(s) will be redistributed to the Composite Team(s), provided that the NOC(s) had the minimum three eligible combinations as of 31 December 2019.

- If a NOC has declined a team quota place by 3 February 2020 or a NOC has not confirmed the NOC Certificate of Capability by 31 December 2019, then the NOC will be allocated one (1) individual quota place from within the respective FEI Olympic Group in the Individual Qualification.

- A maximum of two (2) Individual quota places per FEI Olympic Group can be allocated to NOCs who were informed of their team quota place by the FEI on 10 January 2020.

- If more than two (2) NOCs from the same FEI Olympic Group withdraw prior to 3 February 2020, then priority for the Individual quota places will be given in chronological order of withdrawal, i.e. the NOC that has withdrawn earliest shall have priority. If the NOCs concerned have each withdrawn at the same time, the NOC with the highest ranking athlete on the FEI Olympic Ranking – Dressage shall have priority. In the event of a tie, the FEI will invite the NOCs/NFs to hold to a draw. If a NOC does not have any athlete on the FEI Olympic Ranking - Dressage, then that NOC shall not be entitled to an Individual quota place.

For the purpose of this process, the term “withdrawal” shall mean either:

Commented [FEI3]: Change made further to a request from the IOC.
A written notification by a NOC to the FEI that the NOC declines its team quota place. In such circumstances, the date of withdrawal shall be the date the written notification is received by the FEI.

or

Failure by a NOC to confirm their NOC Certificate of Capability by 31 December 2019 deadline. In such circumstances, the date of withdrawal shall be deemed to be 31 December 2019.

- A NOC that withdraws its team quota place after 3 February 2020 will not be entitled to be allocated an Individual quota place in accordance with the process above.

Unused individual quota places

If an allocated individual quota place is not confirmed by the NOC or is declined by the NOC by the confirmation deadline, 16 March 2020, the quota place will be reallocated as follows:

1. To the NOC of the next best ranked athlete in the FEI Olympic Ranking – Dressage to the relevant Group according to the qualification procedure.

2. Any unused or unallocated individual quota place to be reallocated through the FEI Olympic Ranking – Dressage.

3. If the maximum quota of 60 Athletes has not been reached following the reallocation of individual quota places as detailed above, any unused individual quota places will be reallocated to the NOC(s) of the next best ranked Athlete(s) on the overall FEI Olympic Ranking – Dressage, from a NOC that has already earned an Individual quota place (maximum of two Individual quota places per NOC). Under no circumstances may the total number of Athletes exceed 60.

REALLOCATION OF UNUSED HOST COUNTRY PLACES

In case the Host Country is unable to field a team or decides to send one (1) eligible Athlete instead of a team, the unused Athletes quota places will be reallocated to the NOCs of the best ranked Athletes, not already qualified in the FEI Olympic Ranking – Dressage.

G. GENERAL PRINCIPLES REGARDING THE USE OF P ALTERNATE ATHLETES

P alternate athletes are non-competing athletes, and are not included within the athlete’s quota as described in Paragraph B. Athletes Quota. More detailed information about entitlements and quotas can be found in the ‘Accreditation at the Olympic Games – Users Guide’. P alternate athletes can only become competing athletes as per the conditions outlined in the ‘IOC/Tokyo 2020 Late Athlete Replacement policy’.

Eligibility:

P alternate athletes and horses must comply with the same eligibility rules as competing athletes as described above paragraph C. Athlete Eligibility.

Quota:

Each team which has obtained qualification is entitled to one (1) P alternate athlete and one (1) P alternate horse for a total of 15 P alternate athletes and 15 P alternate horses for Dressage.
### H. QUALIFICATION TIMELINE

<table>
<thead>
<tr>
<th>Date</th>
<th>Milestone</th>
</tr>
</thead>
<tbody>
<tr>
<td>11 – 23 September 2018</td>
<td>FEI World Equestrian Games (Tryon, USA)</td>
</tr>
<tr>
<td>19 – 25 August 2019</td>
<td>European Championships (Rotterdam, NED) – FEI Groups A, B</td>
</tr>
<tr>
<td>TBD</td>
<td>FEI Designated Qualification Event - FEI Group C</td>
</tr>
<tr>
<td>26 July – 11 August 2019</td>
<td>Pan-American Games (Lima, PER) - FEI Groups D &amp; E</td>
</tr>
<tr>
<td>TBD</td>
<td>FEI Designated Qualification Event - FEI Group F</td>
</tr>
<tr>
<td>TBD</td>
<td>FEI Designated Qualification Event - FEI Group G</td>
</tr>
<tr>
<td>End of 2019 FEI Nations Cup™ Dressage Season</td>
<td>FEI Nations Cup™ Dressage 2019 Ranking</td>
</tr>
<tr>
<td>15 December 2018</td>
<td>FEI to publish List of Olympic Ranking Events and ranking rules and 2019 MER Events</td>
</tr>
<tr>
<td>WEG 2018 - 31 Dec. 2019</td>
<td>Period for NOCs to achieve a team quota place (NOC Certificate of Capability)</td>
</tr>
<tr>
<td>15 December 2019</td>
<td>FEI to publish List of 2020 MER Events</td>
</tr>
<tr>
<td>1 January - 31 December 2019</td>
<td>Ranking period: FEI Olympic Ranking – Dressage</td>
</tr>
<tr>
<td>1 January 2019 – 18 May 2020</td>
<td>Period for Athletes and Horses to achieve the FEI minimum eligibility requirements</td>
</tr>
<tr>
<td>By 10 January 2020</td>
<td>FEI to inform NOCs/NFs of their team quota place allocation</td>
</tr>
<tr>
<td>3 February 2020</td>
<td>NOC to confirm allocated team quota place to FEI. If a NOC withdraws their team quota place by this date or had not confirmed their NOC Certificate of Capability as of 31 December 2019, the NOC in question will be eligible for an Individual quota place (subject to the process set out in “Team Qualification”).</td>
</tr>
<tr>
<td>17 February 2020</td>
<td>FEI to inform NOCs/NFs of:</td>
</tr>
<tr>
<td></td>
<td>• allocation of Composite Team(s) quota place(s)</td>
</tr>
<tr>
<td></td>
<td>• reallocation of one (1) Individual place to each NOC that has withdrawn their Team quota place (subject to the process set out in “Team Qualification”)</td>
</tr>
<tr>
<td></td>
<td>• allocation of Individual quota places.</td>
</tr>
<tr>
<td></td>
<td>Determination of Individual quota places and Composite Teams based on FEI Olympic Ranking - Dressage</td>
</tr>
<tr>
<td>16 March 2020</td>
<td>NOCs to confirm use of allocated Individual quota places and Composite Team(s) quota places to FEI</td>
</tr>
<tr>
<td>18 May 2020</td>
<td>Deadline to achieve the minimum eligibility requirements. FEI Nominated Entries – FEI to receive FEI Certificates of Capability (as defined in the Appendix) (Athlete and Horse combination)</td>
</tr>
<tr>
<td>19 May 2020</td>
<td>FEI to confirm approval of FEI Certificates of Capability to NFs</td>
</tr>
<tr>
<td>6 July 2020*</td>
<td>Tokyo 2020 Sport Entries – after this date there will be no further reallocation of unused quota places to NOCs.</td>
</tr>
</tbody>
</table>

*Original Version: ENGLISH/August October 2017
### Opening Ceremony Tokyo Olympic Games

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>24 July 2020</td>
<td>Opening Ceremony Tokyo Olympic Games</td>
</tr>
</tbody>
</table>

* Date to be confirmed by Tokyo 2020 and the IOC
APPENDIX - DEFINITIONS

Composite Team: A three (3) member team from an NOC. The Composite Team will be selected according to the following process:

- the respective rankings of each NOC’s top three (3) different athletes in the FEI Olympic Ranking – Dressage shall be added together to give an aggregate ranking;
- the NOC with the best aggregate ranking shall be awarded the redistributed quota place;
- in the event of a tie (i.e. where the aggregate rankings are the same), the redistributed quota place shall be awarded to the NOC with the highest ranked athlete on the FEI Olympic Ranking - Dressage. In the event of a further tie, the redistributed quota place shall be awarded to the NOC with the second highest ranked athlete on the FEI Olympic Ranking - Dressage, and so on. If the tie cannot be broken following this process, the FEI will invite the NOCs/NFs concerned to hold a draw.

FEI Olympic Group: means the following groups:
A - North Western Europe;
B - South Western Europe;
C - Central & Eastern Europe; Central Asia;
D - North America;
E - Central & South America;
F - Africa & Middle East;
G - South East Asia, Oceania

The composition of each FEI Olympic Group is available on www.fei.org

FEI Certificate of Capability: A certificate that must be provided by the National Federation to the FEI for each Athlete and Horse that are nominated to participate in the Olympic Games. This certificate confirms the minimum eligibility requirements as laid down in the FEI Regulations for Equestrian Events at the Olympic Games. It confirms that the Athletes and the Horses have the necessary experience and ability to participate at the required standard.

FEI Olympic Ranking – Dressage: The official rankings based on results achieved by an Athlete and Horse as a combination at the Olympic Ranking Events during the period 01 January 2019 – 31 December 2019. The List of the Olympic Ranking Events and the relevant ranking rules will be published by the FEI 15 December 2018.

MER Events: Those events at which Athletes and Horses can earn the minimum eligibility requirements.

NOC Certificate of Capability: NOC confirmation that at least three (3) athlete/horse combinations from that NOC have achieved the minimum eligibility requirements during the period starting with the FEI World Equestrian Games 2018 – 31 December 2019. Such confirmation must be provided to the FEI by 31 December 2019.
Olympic Ranking Events: Those events at which points for the FEI Olympic Rankings – Dressage can be earned.
**Athlete Eligibility**

**AIDEO**
We estimate an increase of CDIs in the last week of the Olympic Ranking. Based on which criteria will the FEI select if the CI is on the calendar within the deadline?

**NFGER**
Please explain what the criteria are for those events which can be used for achieving the MER? How are they selected and allocated?

**Dressage Committee:** to be defined

**Team Qualification**

**NF AUT**
Regarding the best ranked team of the 2019 Nations Cup Ranking, we think it is very risky to include them in the Olympic Quota system as the rules do not really exist. In any case the FEI Nations Cup Rules must guarantee that all teams have an equal chance to participate at the CDIOs. Currently the participation is restricted by the OCs. We propose the European Championships 2019 as qualifying event for Group C.

**John McEwen**
We don’t know exactly what the format of the Nations Cup in Eventing and Dressage will be (final ranking or final competition). So this needs probably be reworded in following sense (wording to be reviewed) "best placed team not yet qualified for the Olympic Games of the Final or Final Ranking (in case there is no Final competition but only a Final ranking) of the FEI Nations Cup Series (Eventing/Dressage)."

**NF BLR+UKR**
We propose the following formula as a key mechanism of distributing Olympic licenses among the national teams for QS OG 2020: \( 1 + 7 + 7 = 15 \)
In this formula, the first license stands for the host country. Seven stands for seven regional groups and the last seven licenses are to be distributed as follows: five licenses are to be given at WEG and another two by the Olympic Ranking in Dressage. Thus according to the formula stated above all 7 regional groups (A,B,C,D,E,F,G) will have the same possibilities obtaining Team places for the OG – that is 1 Team place for each Regional group.
The current draft proposed by FEI presupposes that Group A and B will be allocated 3 Team places at the European Championship 2019 in Rotterdam, which is not fair towards other Regional Groups (C,D,E,F,G). Therefore, we suggest reducing the number of Team places at the European Championship for Groups A and B from 3 to 2 Team places. That one reduced place should be added to Olympic Ranking in Dressage for 2 best ranked teams.

After some discussions we came to the conclusion that the possibility of qualifying a Team for OG2020 through the 2019 Nations Cup Ranking is not acceptable, taking into consideration the fact that there is a limited number of the Nation Cup Events which are most often organized on the territory of the Groups A and B and are not open for the participation of all the willing National Federations. What is more, the ranking points depend on the Star level (CDI3*, CDI4*, CDI5*), which depends on the organization level. That is why our opinion is that the qualification through the Nations Cup Ranking is not fair towards all the countries and as a result shouldn’t be used for the Team qualification. Instead the 2 team places could be allocated through the Olympic Ranking in Dressage and give a possibility to get composite teams.

**So to sum it up we will have:**
7 team places for each Regional Group.
5 best ranked teams from 2018 WEG
2 Composite Teams from the Olympic Ranking in Dressage

**NF USA**
The concept of using the *Nations Cup* as a Qualifier is supported. However, the following needs to be addressed.

- The current Dressage series is not attracting good support and the Rules need attention. The FEI should propose an alternative allocation of the Quota Place intended for the Nations Cup Ranking should the series fail to become global or well supported. For example, perhaps a Quota place should not go to an NF that has only competed in one Nation Cup (which could happen if all the NFs higher on the Ranking have qualified through other avenues).
- The date at which the "best ranked team" on the Dressage Ranking will be calculated should be added to the Procedures.

**NF POL**
Number of Team Quota Places: 1. Place - The best ranked team at the 2019 FEI Nations Cup™ Ranking excluding the teams qualified above.
The rules for a FEI Nation Cup™ in Dressage do not exist and we think it is very risky to include them in an Olympic Quota System. In any case the FEI Nations Cup™ rules must guarantee that all teams have an equal chance to participate at the CDIOs. Currently the participation is restricted by the OCs.
We propose the *European Championship 2019* as Qualifying Event for the Olympic Group C instead of FEI 2019 Nations Cup.
We propose to reduce at the 2019 European Championships 1 place ( why 3 for A, B when we have 2 for C, D, E, F and G) and create two places for the "composite teams" giving the possibility of qualifying through the Olympic Ranking for 2 teams not one. This is in our opinion better proposal, because the opportunity of the development of our dressage sport in others regions.

**Dressage Committee:** Nations Cup - the DC agrees that the Nations Cup should not be used as a qualifier for the Teams as the present format does not give all NOCs equal chances to qualify. The DC proposed to replace this with a qualification from WEG 2018.

**GROUP IV**
The other point that the majority of Group IV wish to make is that the allocation of Team slots in Eventing and Dressage should be on a harmonized basis...consistent with historical practice. In 2008 and again in 2012 there were two Team slots allocated to Groups D + E combined to be determined at the Pan American Games. Eventing has continued this in their proposed criteria. For some reason Dressage has deviated from this pattern and suggests one Team slot for Group D and one for Group E. We object to this. This would in essence work against harmonization, it would not honour past precedent, and it would all but guarantee a slot for one South American Nation which is contrary to the principles of excellence and fair sport. We ask that the criteria be harmonized on this point between Eventing and Dressage with the Eventing model to be followed.

**GROUP V**
For the three disciplines, both Groups D & E should be combined for the purpose of qualifying the teams to be represented in Tokyo. Eventing and Jumping are already in line with this, but we suggest harmonising the three disciplines by including also Dressage.

We feel reallocation of teams for the three disciplines should revert first to the next best team within the same group/s, as a guarantee that the region has a chance to be represented.
Therefore we disagree with the proposal in Jumping of reverting to the next best team from WEG and for Dressage and eventing to revert to the composite teams in the first instance.
Each group should be guaranteed that the first step of the reallocation will indeed remain within the countries of its region.

**Group VI**

We would like to express, Group VI supports these positions expressed above with the exception of combining Group D & E in Dressage. **Group VI supports D & E to be separate.**

**NF CAN**

As currently written, Equestrian Canada cannot support this proposal. The same qualification process eliminated Canada from sending a team in 2016 to Rio. Canada is internationally a top 7-10 team in any given year and was prevented from competing as a team in Rio, however, countries that have never cracked the top 10 internationally were able to compete in Rio as a team. Having to choose between Canada and the US every cycle is not fair to either nation...or to the spirit of the Games. We understand the desire for more flags to be represented at the Olympic Games, but that should not be at the expense of the quality of the field.

In reviewing both the 2008 and 2012 qualification systems it is clear that Groups D + E were treated on a combined basis.

- The 2 best teams from the Olympic Group D and E of the 2007 (2) Pan American Games, excluding teams qualified above
- The 2 best ranked teams from FEI Olympic Groups D & E of the 2011 Pan-American Championship, excluding the teams qualified above

The proposed 2020 Eventing qualification system allows for the top two teams at PAG to qualify:

The two (2) best ranked teams from FEI Olympic Groups D and/or E at the 2019 Pan American Games, excluding teams qualified as above.

Therefore, at minimum Dressage should be afforded the same courtesy as Eventing and go back to the 2008/2012 qualification system which allowed the top two teams from PAG to qualify.

**Dressage Committee:**

- Group D and Group E combined: the DC agrees to support the request to combine group D and Group E (in line with Jumping and Eventing)
- Nations Cup: the DC agrees that the Nations Cup should not be used as a qualifier for the Teams as the present format does not give all NOCs equal chances to qualify. The DC proposed to replace this with a qualification from WEG 2018.
- Composite Team: the DC believes this would take away universality, the DC disagrees and the composite team allocation should only be used as fill-up solution should the team quota not be reached.

**Individual Qualification**

**AIDEO**

Based on the experience of the 2016 Rio qualification, we recommend to limit the list to the best 4 GP results per Athlete/Horse combination or use the same rules as for the Dressage World Ranking list.

**NF AUT**

the FEI Olympic ranking: limited to the best four (4) results in the Grand Prix per Athlete/horse combination in these events (maximum one result per event)

**NF BLR+UKR**

Rankings-Dressage Rules and the list of the Qualifying Events. FEI would like to work on the Rules of the FEI Olympic Rankings-Dressage and approve by December 15, 2018 and 2019 accordingly the list of the Qualifying Events for the obtaining points for the Olympic Ranking.
and the minimum eligibility requirement of 66%. In our opinion Olympic Ranking Rules have to be an integral part of Olympic Qualifying System as well as a principal of forming a list of qualifying Events and all mentioned have to be approved at the GA 2017. So we could propose to form the Rules and the list of Qualifying Events for obtaining the points to Olympic Ranking as follows:

- it is necessary to point that all Shows of level CDI3* and higher that have been entered to the FEI calendar by the NFs by October 1, 2018 automatically will be considered as Qualifying Events and the list of Qualifying Events will not be expanded;
- the FEI Olympic Ranking Rules should be established before the GA2017 and become the part of the Qualification System for the OG 2020 which will be approved at the GA 2017 as the National Federations need to have enough time to plan the calendar and the participation of their athletes.

**NF POL**
The FEI Olympic Ranking – Dressage is limited to the best (4) four results in the Grand Prix per athlete/horse combination in these events (maximum on result per event)

**NF SUI**
Individual Quota Places: in Eventing an NOC can get two Individual Quota Places – in Dressage and Jumping only one. Why is there not the same solution?

**GROUP V**
For the 3 disciplines, we feel Groups D & E should be combined for the purpose of allocating the individual slots for the Americas, directly qualifying them through the PANAMERICAN GAMES 2019.

For meeting the technical requirements, it is clear these NFs will need to certify their combinations at the levels established in order to be able to participate at the 2020 Olympic Games.

This worked well for the event in Toronto 2015 going forward onto Rio 2016. We have discussed this with the different stakeholders involved and we would support the qualification of individual riders to come from our Continental Event and not the Ranking. As you know many countries from our region do not have the same opportunities for achieving ranking points. The way the allocation of individuals worked for the last edition of the games, seemed to satisfy everyone. For the purpose of harmonising the 3 disciplines for our region we believe this is a better approach.

In regards to this suggestion, there would need to be a provision in the reallocation of the quota, as depending on how far this reallocation process may go down, our individual slots would have to be announced immediately after the Pan-American Games are over and specify these individual slots would no longer be open for reallocation further down the process.

**Dressage Committee:**
- Group D and Group E combined: the DC agrees to support the request to combine group D and Group E (in line with Jumping and Eventing)
- Olympic rankings: the Dressage Committee agrees with the proposal to allow a maximum of one result per event as suggested above.

**P Alternate Athletes / Substitution**

**NF USA**

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Original Version: ENGLISH/August October 2017
### Alternate Athletes

Please can there be early clarification that P Alternate athletes can live in the Athletes’ Village and P Alternate Grooms can live in the Groom’s Village and also that their access rights and costs will be the same as Athletes and Grooms.

### Reserve athletes/combinations

Reserve athletes/combinations: During the discussion of the FEI with the NFs we think it was never mentioned that they should only be used for medical/veterinary reasons. Therefore we kindly ask you to have this discussed with the IOC on an open basis.

### Substitution Procedures

Substitution Procedures (Veterinary / Medical). In order to allow National Federations to write robust and legally defendable Selection Procedures for the Olympic and Paralympic Games in a timely manner it is essential that the Substitution language and methodology around Substitution is discussed at the 2018 Sports Forum and put to the 2018 General Assembly for approval. This cannot be left until 2019.

### Qualification Timeline/deadlines

- **NF AUT**: Qualification period from 1 January 2019 to 27 January 2020 to avoid last minute events during Christmas period.
- **AIDEO**: We propose to change the qualification period to 28 January 2019 to 27 January 2020. We agree on the argument that the last week will still be used for more CDIs than usual, but at the least this week is not between Christmas and New Year’s Eve.
- **NF USA**: FEI Olympic Ranking List. The revised period (finishing 31st December 2019) for the FEI Olympic Ranking List is not supported unless further justification can be provided. The U.S. has the following feedback:
  - Publishing the Rules for the FEI Olympic Ranking Lists on 15th December 2018 is far too late if the List goes live on 1st January 2019. It is not acceptable to publish Rules that could have an impact on where athletes seek to compete after the Entry period for those competitions has opened. There is no reason why these Rules cannot be published by 1st October 2018.
  - As the FEI Olympic Ranking Lists are athlete / horse lists it seems very odd to finish the List so far out from the Games. While it is acknowledged that any Quota place goes to the NOC not the athlete it does seem to fly in the face of ensuring the best athlete / horse combinations get to the Games. It will also disadvantage those Nations that traditionally struggle to find competitions and target their resources at competition early in the year of the Games.
- **NF POL**: The qualification period should be from 1st January 2019 to end of the February 2020 (before Rio was to 6 March). Not as proposed, until the 31st December 2019 to avoid the "last minute" events will not be during Christmas.
On the one hand we think it is good that the Individual Ranking finishes quite early (end of 2019), but on the other hand it leaves absolutely no chance for a "Newcomer" in the first months of 2020.

**GROUP V**

We do not share the reduction in the deadline for having athletes certified. We feel that those extra weeks provided our participants with more opportunity to access the games. We do understand on the other hand that this might represent a more efficient procedure for the reallocation of quotas at an earlier stage.

**Dressage Committee:** deadlines to be lined up with the three Olympic disciplines

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**General Comments:**

**NF CZE**

Quota places should stay in the same Group in which it was won. We highly recommend to remain the allocation of quota places in the Group where the change has happened. Regardless of whether it concerns individual or team competition, the quota place should be won by the nation from the same group, not be reallocated based on FEI WEG Ranking.

The team qualification should be assessed separately from the individual. Both format ask for different sport performances. Our recommendation is to strictly divide the team and individual qualification and not to reallocate the quota places won in the individual qualification ranking to the athletes from the teams who declined their team quota place.

**Dressage Committee:** this is supported by the Dressage Committee but has to be considered across all 3 disciplines.
Eventing
Including comments received from NFs and stakeholders (see end of document).

A. EVENTS (2)

Mixed Events (2)
Individual Competition
Team Competition

B. ATHLETES QUOTA

1. Total Quota for Eventing:

<table>
<thead>
<tr>
<th>Qualification Places</th>
<th>Host Country Places</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Men/Women</td>
<td>62</td>
<td>65</td>
</tr>
<tr>
<td>Total</td>
<td>62</td>
<td>3</td>
</tr>
</tbody>
</table>

2. Maximum Number of Athletes per NOC:

<table>
<thead>
<tr>
<th>Quota per NOC</th>
<th>Event Specific Quota</th>
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</thead>
<tbody>
<tr>
<td>Men/Women</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Maximum 1 Team</td>
</tr>
<tr>
<td></td>
<td>with 3 athletes per team</td>
</tr>
</tbody>
</table>

3. Type of Allocation of Quota Places:

The quota place is allocated to the NOC.

C. ATHLETE ELIGIBILITY

All athletes must comply with the provisions of the Olympic Charter currently in force, including but not limited to, Rule 41 (Nationality of Competitors). Only those athletes who have complied with the Olympic Charter may participate in the Olympic Games.

Eventing – Age Requirements:

All athletes participating in the Tokyo 2020 Olympic Games must be born on or before 31 December 2002 (18 years of age).

All horses participating in the Tokyo 2020 Olympic Games must be born on or before 31 December 2012 (eight years of age).

Additional IF Requirements:

Eventing:

All Horses/Athletes who take part in the 2020 Olympic Games Eventing Competitions must achieve the following minimum eligibility requirements (MER) as a combination by obtaining "FEI qualifying results" in the following level of Competition which take place from 1 January 2019 until 18 May 2020 included:

- 1 qualifying result at a CCI 5*: Long 5* (2019), or 5* Major (2019). or
An FEI qualifying result is achieved by completing the above Competitions within the minimum parameters of an all-round performance, with:

- **Dressage test**: minimum 55% percent;
- **Cross Country test**: clear (0) round or 11 penalty points on Cross Country Obstacles, not more than 75 seconds over the optimum Cross Country phase time. At “Classics (name TBC)” Events & CCI 5*, the maximum time by which the optimum time may be exceeded is 100 seconds;
- **Jumping test**: not more than 16 jumping penalties.

1. **Minimum participation**: To be eligible as Olympic qualification Events, team Competitions outlined in 2, 3, 4 and 5 under section D must include at least three (3) NOCs i.e. with teams presented at the 1st Horse Inspection. At least three (3) Athletes of the team must complete the Olympic Qualification event for the team to be considered qualified.

2. **List of Olympic Ranking Events and MER Events** (as defined in the Appendix) counting for achieving Olympic ranking points / minimum eligibility requirements for 2019 and 2020 will be published on the FEI website: [www.fei.org](http://www.fei.org). This list of 2019 Events (Ranking and MER) will be published in December 2018 and the 2020 MER Events will be published in December 2019. No additions of Events will be accepted after the publication of the selected Events calendar. Replacement in case of cancellation of an Event for force majeure reasons will be reviewed on a case to case basis.

3. All 4, 5 and “Classics (name TBC)” star events counting for eligibility and qualification of nations will be on established and experienced events organising yearly events with a proven organisational record at the 4 star level.

4. The FEI reserves the right to appoint the main Officials (President of the Ground Jury, the Technical Delegate and the Veterinary Delegate) for 4, 5 star and “Classics (name TBC)” Events counting for qualification and eligibility.

5. **Participation restriction**: No Athlete may participate at an event to obtain an Olympic MER within the period of 4 weeks after having started the cross country test of a Long format (CCI) event and/or 2 weeks (10 days) after a short format (CIC) event.

**Eventing Committee**: further to the comments received it is proposed to add “Cross Country” to the above. Reword the sentence as follows: “No Athlete/Horse combination may participate at a CCI event to obtain an Olympic MER within a 4 week period having started the cross country test of a Long Format (CCI) event and/or 2 weeks (10 days) after the start of a short format event.”
FEI Olympic Groups as referred to through the qualification procedure are based on the following seven (7) geographical regions:
A - North Western Europe;
B - South Western Europe;
C - Central & Eastern Europe; Central Asia;
D - North America;
E - Central & South America;
F - Africa & Middle East;
G - South East Asia, Oceania

The composition of each FEI Olympic Group is available on https://inside.fei.org

**QUALIFICATION PLACES**

The qualification events are listed in hierarchical order of qualification.

**Team Qualification**

15 NOCs will obtain team qualification; one (1) as a host country place, 13 team quota places will be allocated through team championships and one (1) through the FEI Nations Cup™ Final, as per the table below.

A team consists of three (3) athletes/horses.

<table>
<thead>
<tr>
<th>Number of Team Quota Places</th>
<th>Qualification Events</th>
<th>NOC</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 (max. 3 athletes)</td>
<td>The Host Country (JPN) - Please refer to section Host Country Places for further information.</td>
<td>1. JPN</td>
</tr>
<tr>
<td>25 (max. 24-18 athletes)</td>
<td>The seven (7)-six (6) best ranked teams from the 2018 FEI World Equestrian Games (WEG) excluding the Host Country team.</td>
<td></td>
</tr>
<tr>
<td>2 (max. 6 athletes)</td>
<td>The two (2) best ranked teams from the 2019 FEI European Eventing Championship, from FEI Olympic Groups A and/or B excluding teams qualified as above.</td>
<td></td>
</tr>
<tr>
<td>1 (max. 3 athletes)</td>
<td>The (1) best ranked team from a Group C 2019 FEI Designated Olympic Qualification Event, excluding teams qualified as above.</td>
<td></td>
</tr>
<tr>
<td>2 (max. 6 athletes)</td>
<td>The two (2) best ranked teams from FEI Olympic Groups D and/or E at the 2019 Pan American Games, excluding teams qualified as above.</td>
<td></td>
</tr>
<tr>
<td>12 (max. 20 athletes)</td>
<td>The two (2) best ranked teams from the Olympic Groups F and/or G at a 2019 Group F and G FEI Designated Olympic Qualification Event excluding teams qualified as above.</td>
<td></td>
</tr>
<tr>
<td>1 (max. 3 athletes)</td>
<td>The best ranked team from the FEI Eventing Nations Cup Final 2019 excluding teams qualified as above.</td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL:** 15 teams / maximum 45 athletes

Reallocation Of Team Quota Place(s):
Composite Team(s) (as defined in the Appendix)

The total number of teams participating in the team competition, including Composite Teams, may not exceed 15.

Eventing Committee: to line up with the other disciplines, the Eventing committee proposes:

1. to reduce the number of places from the WEG 2018 to 6 and allocated the additional place to Group F & G. This would allow all regions same opportunities.
2. To change the working for the Nations Cup Final place to: “best placed team not yet qualified for the Olympic Games of the Final or Final Ranking of the FEI Nations Cup Series.

Individual Qualification:

Only NOCs that have not accepted a team quota place are eligible to obtain individual quota places.

Each athlete can qualify a maximum of one (1) individual quota place for his NOC.

For Eventing, a nation can qualify for a maximum of two (2) individual places.

The FEI Olympic Ranking – Eventing

The FEI Olympic Ranking – Eventing (as defined in the Appendix) is limited to the best four (4) results per Athlete/Horse combination in these events, within the period from 1 January – 31 December 2019. The point system, including the list of events, to be used for establishing the FEI Olympic Ranking - Eventing will be published by 15 December 2018 at the latest and distributed to NOCs/NFs.

<table>
<thead>
<tr>
<th>Number of Individual Quota Places</th>
<th>Qualification Events</th>
<th>NOC</th>
</tr>
</thead>
<tbody>
<tr>
<td>14</td>
<td>FEI Olympic Ranking – Eventing (allocation through FEI Olympic Groups)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The two (2)* best ranked athletes in the FEI Olympic Ranking – Eventing from each of the seven (7) below-mentioned groups will qualify one (1) individual quota place each for their NOC:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A - North Western Europe;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>B - South Western Europe</td>
<td></td>
</tr>
<tr>
<td></td>
<td>C - Central &amp; Eastern Europe; Central Asia</td>
<td></td>
</tr>
<tr>
<td></td>
<td>D - North America</td>
<td></td>
</tr>
<tr>
<td></td>
<td>E - Central &amp; South America</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F - Africa &amp; Middle East</td>
<td></td>
</tr>
<tr>
<td></td>
<td>G - South East Asia, Oceania</td>
<td></td>
</tr>
<tr>
<td></td>
<td>* Unless one (1) or two (2) individual quota places per from that FEI Olympic Group, unless one (or both) of the quota places has already been allocated to a NOC/NOCs from that FEI Olympic Group due to their withdrawal of a qualified team as per the process set out in paragraph F. Reallocation of Unused Qualification Places.</td>
<td></td>
</tr>
</tbody>
</table>
6

<table>
<thead>
<tr>
<th></th>
<th>FEI Olympic Ranking – Eventing (allocation through overall ranking)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Six (6) individual quota places will be allocated in descending order of the best ranked Athletes in the FEI Olympic Ranking – Eventing (up to a maximum of two quota places per NOC).</td>
</tr>
</tbody>
</table>

**TOTAL: 20 Athletes**

---

**HOST COUNTRY PLACES**

The Host Country is guaranteed one (1) team with a maximum of three (3) Athletes. Each Athlete and Horse must obtain the minimum eligibility requirements to compete as listed in paragraph **C. Athlete Eligibility**. In case the Host Country is unable to field a team, it may send one (1) or two (2) eligible individual Athletes instead.

---

**E. CONFIRMATION PROCESS FOR QUOTA PLACES**

Following each qualification event, the FEI will publish the results on its website (https://inside.fei.org). The team and Individual quota places will be confirmed separately as detailed in paragraph **H. Qualification Timeline**.

By 10 January 2020, the FEI will inform the respective NOCs/NFs in writing of their allocated team quota places. Each NOC must confirm or decline the allocated team quota place by 3 February 2020.

After the NOCs confirmation of team participation, the FEI will inform the respective NOCs/NFs in writing of their allocated individual quota places and each NOC with Individuals must confirm the use of quota places, as detailed in paragraph **H. Qualification Timeline**.

---

**F. REALLOCATION OF UNUSED QUOTA PLACES**

### Reallocation of unused Qualification Places

Unused team quota places (excluding Host Country places)

- If a NOC does not confirm the NOC Certificate of Capability (as defined in the Appendix) by 31 December 2019 or informs the FEI, by 3 February 2020, that it declines its team quota place, the team quota place(s) will be considered to be withdrawn and will be reallocated as follows:
  - The team quota place(s) will be redistributed to the Composite Team(s), provided that the NOC(s) had the minimum three eligible combinations as of 31 December 2019.
  - If a NOC has declined a team quota place by 3 February 2020 or a NOC has not confirmed the NOC Certificate of Capability by 31 December 2019, then the NOC will be allocated one (1) individual quota place from within the respective FEI Olympic Group in the Individual Qualification.
  - A maximum of two (2) individual quota places per FEI Olympic Group can be allocated to NOCs who were informed of their team quota place by the FEI on 10 January 2020.
- If more than two (2) NOCs from the same FEI Olympic Group withdraw prior to 3 February 2020, then
priority for the Individual quota places will be given in chronological order of withdrawal, i.e. the NOC
that has withdrawn earliest shall have priority. If the NOCs concerned have each withdrawn at the
same time, the NOC with the highest ranking athlete on the FEI Olympic Ranking – Eventing shall
have priority. In the event of a tie, the FEI will invite the NOCs/NFs concerned to hold a draw. If a NOC
does not have any athlete on the FEI Olympic Ranking - Eventing, then that NOC shall not be entitled
to an Individual quota place.

  o For the purpose of this process, the term “withdrawal” shall mean either:
    (i) A written notification by a NOC to the FEI that the NOC declines its team quota place. In
        such circumstances, the date of withdrawal shall be the date the written notification is
        received by the FEI.
    or
    (ii) Failure by a NOC to confirm their NOC Certificate of Capability by 31 December 2019
deadline. In such circumstances, the date of withdrawal shall be deemed to be 31
December 2019.

- A NOC that withdraws its team quota place after 3 February 2020 will not be entitled to be allocated
an Individual quota place in accordance with the process above.

Unused individual quota places
If an allocated individual quota place is not confirmed by the NOC or is declined by the NOC by the confirmation
deadline, 16 March 2020, the quota place will be reallocated as follows:
- To the NOC of the next best ranked athlete in the FEI Olympic Ranking – Eventing to the relevant
  Group according to the qualification procedure.
- Any unused or unallocated individual quota place to be reallocated through the overall FEI Olympic
  Rankings - Eventing.

REALLOCATION OF UNUSED HOST COUNTRY PLACES
In case the Host Country is unable to field a team or decides to send one (1) or two (2) eligible Athletes instead
of a team, the unused Athletes quota places will be reallocated to the NOCs of the best ranked Athletes, not
already qualified in the FEI Olympic Ranking – Eventing.

G. GENERAL PRINCIPLES REGARDING THE USE OF P ALTERNATE ATHLETES

P ALTERNATE ATHLETES
P alternate Athletes are non-competing Athletes, and are not included within the Athlete’s quota as described
in Paragraph B. Athletes Quota. More detailed information about entitlements and quotas can be found in the
“Accreditation at the Olympic Games – Users Guide”. P alternate Athletes can only become competing Athletes
as per the conditions outlined in the “IOC/Rio 2016 Late Athlete Replacement policy.”

Eligibility:
P alternate Athletes and horses must comply with the same eligibility rules as competing Athletes as described
in above paragraph C. Athlete Eligibility.

Quota:
Each team is entitled to one (1) P alternate Athlete and one (1) P alternate Horse for a total of 15 P alternate Athletes and 15 P alternate horses for Eventing.

**H. QUALIFICATION TIMELINE**

<table>
<thead>
<tr>
<th>Date</th>
<th>Milestone</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 - 23 September 2018</td>
<td>FEI World Equestrian Games (Tryon, USA)</td>
</tr>
<tr>
<td>TBC Aug 2019</td>
<td>FEI European Championships (TBC) – FEI Olympic Groups A, B</td>
</tr>
<tr>
<td>TBC 2019</td>
<td>Designated FEI Olympic Qualification Event – FEI Olympic Group C</td>
</tr>
<tr>
<td>26 July - 11 Aug 2019</td>
<td>Pan American Games (Lima, PER) FEI Olympic Groups D &amp; E</td>
</tr>
<tr>
<td>TBC 2019</td>
<td>FEI Designated Olympic Qualification Event - FEI Olympic Groups F &amp; G</td>
</tr>
<tr>
<td>TBC 2019</td>
<td>FEI CCIO Nations Cup Final TBC</td>
</tr>
<tr>
<td>15 December 2018</td>
<td>FEI to publish List of Olympic Ranking Events (and ranking rules) and List of 2019 MER Events</td>
</tr>
<tr>
<td>WEG 2018 - 31 Dec. 2019</td>
<td>Period for NOCs to achieve a team quota place (NOC Certificate of Capability)</td>
</tr>
<tr>
<td>15 December 2019</td>
<td>FEI to publish List of 2020 MER Events</td>
</tr>
<tr>
<td>1 January - 31 December 2019</td>
<td>Ranking period: FEI Olympic Ranking – Eventing</td>
</tr>
<tr>
<td>1 January 2019 – 18 May 2020</td>
<td>Period for Athletes and Horses to achieve the FEI minimum eligibility requirements</td>
</tr>
</tbody>
</table>

By 10 January 2020 | FEI to inform NOCs/NFs of their team quota place allocation |
3 February 2020    | NOC to confirm allocated team quota place to FEI.  
                  | If a NOC withdraws their team quota place by this date or had not confirmed their NOC Certificate of Capability as of 31 December 2019, the NOC in question will be eligible for an Individual quota place (subject to the process set out in “Team Qualification”). |
17 February 2020   | FEI to inform NOCs/NFs of:  
                  | • allocation of Composite Team(s) quota place(s)  
                  | • reallocation of one (1) Individual place to each NOC that has withdrawn their Team quota place (subject to the process set out in “Team Qualification”)  
                  | • allocation of Individual quota places.  
                  | Determination of Individual quota places and Composite Teams based on FEI Olympic Ranking - Eventing |
16 March 2020      | NOCs to confirm use of allocated Individual quota places and Composite Team(s) quota places to FEI |
18 May 2020        | Deadline to achieve the minimum eligibility requirements. FEI Nominated Entries – FEI to receive FEI Certificates of Capability (as defined in the Appendix) (Athlete and Horse combination) |
19 May 2020        | FEI to confirm approval of FEI Certificates of Capability to NFs |
<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>6 July 2020*</td>
<td>Tokyo 2020 Sport Entries – after this date there will be no further reallocation of unused quota places to NOCs</td>
</tr>
<tr>
<td>24 July 2020</td>
<td>Opening Ceremony Tokyo Olympic Games</td>
</tr>
</tbody>
</table>

*Date to be confirmed by Tokyo 2020 and the IOC
APPENDIX - DEFINITIONS

Composite Team: A three (3) member team from an NOC. The Composite Team will be selected according to the following process:

- the respective rankings of each NOC’s top three (3) different athletes in the FEI Olympic Ranking – Eventing shall be added together to give an aggregate ranking;
- the NOC with the best aggregate ranking shall be awarded the redistributed quota place;
- in the event of a tie (i.e. where the aggregate rankings are the same), the redistributed quota place shall be awarded to the NOC with the highest ranked athlete on the FEI Olympic Ranking - Eventing. In the event of a further tie, the redistributed quota place shall be awarded to the NOC with the second highest ranked athlete on the FEI Olympic Ranking - Eventing, and so on.

If the tie cannot be broken following this process, the FEI will invite the NOCs/NFs concerned to hold a draw.

FEI Olympic Group: means the following groups:
A - North Western Europe;
B - South Western Europe;
C - Central & Eastern Europe; Central Asia;
D - North America;
E - Central & South America;
F - Africa & Middle East;
G - South East Asia, Oceania

The composition of each FEI Olympic Group is available on www.fei.org

FEI Certificate of Capability: A certificate that must be provided by the National Federation to the FEI for each Athlete and Horse that are nominated to participate in the Olympic Games. This certificate confirms the minimum eligibility requirements as laid down in the FEI Regulations for Equestrian Events at the Olympic Games. It confirms that the Athletes and the Horses have the necessary experience and ability to participate at the required standard.

FEI Olympic Ranking – Eventing: The official rankings based on results achieved by an Athlete and Horse as a combination at the Olympic Ranking Events during the period 01 January 2019 – 31 December 2019. The List of the Olympic Ranking Events and the relevant ranking rules will be published by the FEI 15 December 2018.

MER Events: Those events at which Athletes and Horses can earn the minimum eligibility requirements.

NOC Certificate of Capability: NOC confirmation that at least three (3) athlete/horse combinations from that NOC have achieved the minimum eligibility requirements during the period FEI World Equestrian Games 2018 – 31 December 2019. Such confirmation must be provided to the FEI by 31 December 2019.

Olympic Ranking Events: Those events at which points for the FEI Olympic Rankings – Eventing can be earned.
### C. Athlete Eligibility

#### Eventing - Minimum Eligibility Requirement (MER)

<table>
<thead>
<tr>
<th>Country</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>GER NF &amp; NED NF</strong></td>
<td>In the new CIC5*, 20 penalties should not be allowed as the XC is only current 3* level. Instead 1 CCI4* (max 20 pens) and 1 CIC3* which means if there is a current 4* (future 5*) XC included in the competition 20 pens should count if there is a clear round on 3*-level (future 4*) added. There are no WEG during the qualifying period. <strong>Eventing Committee:</strong> It is proposed that the discussion of the creation of the new 5* short format be postponed until after 2020.</td>
</tr>
<tr>
<td><strong>GROUP V &amp; VI</strong></td>
<td>We would highly support to have the Certificate of Capability remain at the 64%. We understand that levels need to rise, but we feel already the reduction in the qualifying period will make this process very tough and adding this other criteria will affect the final outcome of the participation from the different groups worldwide. <strong>Note HQ:</strong> This is a misunderstanding between penalties and percentage. To clarify it the current 2017 Eventing Rules state: Dressage Test: not more than 67 penalty points (or 55%). The Olympic qualification procedure is in line with the 2017 rules.</td>
</tr>
<tr>
<td><strong>NZL NF</strong></td>
<td>ESNZ Eventing is concerned that the MER requirements for the 2020 Olympics have been put in place already, assuming that the new draft rules are accepted. We are sure that it is not the intention of the FEI to move forward without the full engagement with its stakeholders and their feedback. <strong>Eventing Committee:</strong> No change to the current MERs are proposed. The principle to relate the qualification to the level of Cross Country prevails.</td>
</tr>
</tbody>
</table>

#### Participation restriction

No Athlete may participate at an event to obtain an Olympic MER within the period of 4 weeks after having started in a Long format (CCI) event and/or 2 weeks (10 days) after a short format (CIC) event.

<table>
<thead>
<tr>
<th>Country</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AUS NF</strong></td>
<td>To be amended to &quot;No Athlete/Horse combination may participate at a CCI event to obtain an Olympic MER within a 4 week period having completed the Cross country phase of a Long format (CCI) event and/or (10 days) after a short format event.&quot;</td>
</tr>
<tr>
<td><strong>BEL NF</strong></td>
<td>Chasing points for ranking for individual Olympic (and indeed other) qualifications: We support the introduction of firm rules to prevent over-use of horses at the qualifying level (current 3* Long). A minimum period should be specified between ‘genuine’ attempts – 4 weeks seems reasonable. A carefully worded exception could be made for example if the rider retires after a single refusal in the first third (or quarter?) of the course. Also in the case of horse fall – no further attempts allowed; rider fall??</td>
</tr>
<tr>
<td><strong>CAN NF</strong></td>
<td>Equestrian Canada does not support and requests a further review of the participation restriction – There should be consideration of a combination starting a long format competition but withdrawing before starting Cross Country. Combinations that start but do not complete...</td>
</tr>
</tbody>
</table>
a competition must have the ability to participate at another competition to achieve an Olympic MER. Art 518.2 of the FEI Rules for Eventing should apply.

CHN NF
We understand the welfare issue that the FEI are addressing in this clause, but believe it is fairer to change “started” to “completed cross-country”, to allow those who have had problem early on course to divert to another competition.

GBR NF
We believe this should be revised to read the following:-
Participation restriction: No Athlete/Horse combination may participate at a CCI event to obtain an Olympic MER within a 4 week period having completed the Cross country phase of a Long Format (CCI) event and/or (10 days) after a short format event.

GER NF
There should be an exemption if a combination e. g. retires in the 1st third part on XC of a long format competition. Then the horse should be allowed to compete again earlier.

NED NF
There should be an exemption if a combination e.g. retires in the 1st third part on XC of a long format competition. Then the horse should be allowed to compete again earlier.

Eventing Committee: It is proposed to add having started “Cross Country”. It would not be possible to add a portion of Cross country as this would not be an objective or transparent criteria to be referred to in the qualification results.

Team Qualification

CZE NF
Accordingly to the Olympic idea of competing across continents and connecting the nations from the whole world, we highly recommend to remain the allocation of Quota Places in the Group, where the change has happened. Regardless of whether it concerns individual or team competition, the Quota Place should be won by the nation from the same group, not to be reallocated based on FEI World Equestrian Games ranking.

Next proposal is based on a difference between the individual and team competition. Both formats ask different sport performances. Our recommendation is to strictly divide the team and individual qualification and not to reallocate the Quota Places won in the individual qualification ranking to the athletes from teams who declined their team Quota Place.

Eventing Committee: For the past Olympic Games the composite teams have been a proven system to include the nations competing at the Olympic level if they missed the 2 other opportunities. It needs to be considered that for Eventing there are less competition possibilities taking into account that horses cannot compete as often as in other disciplines.

GBR NF
This should read “...The Eventing Nations Cup Final Overall Classification”.

Eventing Committee: see above for proposed change

GER NF & NED NF
The European Championship on strong current 3*-level should definitely qualify more (than 2) nations than the Pan Am Games on only 2*-level.
The Nations’ Cup Classification should count instead of the Nations’ Cup Final as this is what considers the strength of the nation throughout the whole season.
We would have liked to allow Composite Teams also through the individual qualification. This will improve the level of quality of the combinations and the level of safety.

**Eventing Committee**: see above for proposed change to Nations Cup Classification. The composite teams have been limited to line up with the other disciplines

**GROUP V & VI**

We feel reallocation of teams for the 3 disciplines should revert first to the next best team within the same group/s, as a guarantee that the region has a chance to be represented. Therefore we disagree with the proposal to revert to the composite teams in the first instance. Each group should guaranteed that the first step of the reallocaiton will indeed remain within the countries of its region.

**Eventing Committee**: should any nation have 3 Athletes in the rankings and as per the NOC Certificate of Capability clause by the end of 2019, it is highly probable that this nation will be eligible for a team place and it is felt that this is the fairest system for Eventing.

**ITA NF**

The European Championship on strong current 3*-level should definitely qualify more (than 2) nations than the Pan Am Games on only 2*-level. We also think that 3 nations should qualify through the European Championships, with 6 nations qualifying in the WEG (again this would be more in line with Jumping and Dressage). We think that this solution would ensure a better balance between the continents.

We think that the group D and E should be separated and one place should be assigned to Group D and one place for Group E, this would ensure fair universality for group E. This would be in line with Jumping and Dressage.

We would like to see Composite Teams also through the individual qualification. This will improve the level of quality of the combinations and the level of safety.

**Eventing Committee**: see above

**SUI NF**

We would appreciate if there would be allocated 3 Team Quota Places at the European Championships 2019 and one less at the Pan American Games.

**Eventing Committee**: difficult to reduce the number of places to ensure fairness to all regions.

**USA NF**

The concept of using the Nations Cup as a Qualifier is supported. However, the following needs to be addressed: There is not currently an Eventing “Final” rather a final leg in the series. If the current final event (Boekelo) becomes the Final then will there be any restriction on Entries / Qualification (as per Jumping)?

**Eventing Committee**: see above for proposed change

**Individual Qualification**

- Qualification Events Individual

**GROUP V & VI**

We feel groups D & E should be combined for the purpose of allocating the individual slots for the Americas, directly qualifying them through the Pan-American Games 2019. There would need to be a provision in the reallocation of the quota, as depending on how far this reallocation process may go down, our individual slots would have to be announced.
immediately after the Pan Ams and specify these individual slots would no longer be open for reallocation further down the process.

**Eventing Committee:** same comment as for the teams

<table>
<thead>
<tr>
<th>SUI NF</th>
<th>Individual Quota Places: in Eventing an NOC can get two Individual Quota Places – in Dressage and Jumping only one. Why is there not the same solution?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>Eventing Committee:</strong> there are less nations at the Olympic Level in Eventing, 2 per nation will ensure the quality of the participation</td>
</tr>
</tbody>
</table>

**Deadlines**

| CAN NF | Equestrian Canada does not support or agree with the stated May 18th deadline date for athletes and horses to achieve the FEI minimum eligibility requirements for the 2020 Olympic Games - this is 8 weeks prior to the Games - This restriction will effectively eliminate the opportunity for athletes to achieve the minimum eligibility requirements for the 2020 Olympic Games in Canada during 2020. The climatic restrictions in Canada simply do not permit an Olympic qualifying competition to be hosted prior to May 18th. For the 2012 Olympic Games – the Certificate of Capability deadline was June 17, 2012 – the Sport Entry deadline was July 9 (3 weeks after deadline for Certificate of Capability). The First Horse Inspection was July 27 (3 weeks after Sport Entry deadline) For the 2016 Olympic Games – the Certificate of Capability deadline was June 19, 2016 – the Sport Entry deadline was July 18 (4 weeks after deadline for Certificate of Capability) The First Horse Inspection was August 5 (3 weeks after Sport Entry deadline) Proposed 2020 Tokyo – the proposed Certificate of Capability deadline is May 18th / seven weeks prior to the anticipated Sport Entry deadline of July 6 – the Opening Date of the Games is July 24th Equestrian Canada therefore requests consideration that the timelines to achieve a Certificate of Capability reflects that used for the 2012 and 2016 Olympic Games and therefore set a deadline of not earlier than Monday June 15th which is three- weeks prior to anticipated Sport Entry deadline of July 6th |
| GROUP V & VI | We do not share the reduction in the deadline for having athletes certified. We felt that those extra weeks provided our participants with more opportunity to access the games. We do understand on the other hand that this might represent a more efficient procedure for the reallocation of quotas at an earlier stage. |
| POL NF | The qualification period should be from 1st January 2019 to end of the February 2020 (before Rio was to 6 March). Not as proposed, until the 31st December 2019 to avoid the "last minute" events will not be during Christmas. |
| RSA NF | Would like to reduce the number of teams that qualify from WEG to 6 (not 7) and add a team to Groups F & G |
| SUI NF | On the one hand we think it is good that the Individual Ranking finishes quite early (end of 2019), but on the other hand it leaves absolutely no chance for a "Newcomer" in the first months of 2020. |
USA NF
The revised period (finishing 31st December 2019) for the FEI Olympic Ranking List is not supported unless further justification can be provided. The U.S. has the following feedback:

- Publishing the Rules for the FEI Olympic Ranking Lists on 15th December 2018 is far too late if the List goes live on 1st January 2019. It is not acceptable to publish Rules that could have an impact on where athletes seek to compete after the Entry period for those competitions has opened. There is no reason why these Rules cannot be published by 1st October 2018.

- As the FEI Olympic Ranking Lists are athlete / horse lists it seems very odd to finish the List so far out from the Games. While it is acknowledged that any Quota place goes to the NOC not the athlete it does seem to fly in the face of ensuring the best athlete / horse combinations get to the Games. It will also disadvantage Nations that traditionally struggle to find competitions and target their resources at competition early in the year of the Games.

Eventing Committee: deadlines to be lined up with the 3 Olympic disciplines

P Alternate Athletes / Substitution

ITA NF
Use of reserve Athletes/Combinations:
In the memo it is repeatedly stated that reserve Athletes/combinations may be used for medical/veterinary reasons only. This is in contrast to what was said during the FEI Sports Forum in 2016 where we were told that a substitution could be made for ANY reason, also for strategic motives.

Eventing Committee: for Eventing, substitution for tactical/strategical reason is not proposed.

SUI NF
Reserve athletes/combinations: During the discussion of the FEI with the NFs we think it was never mentioned that they should only be used for medical/veterinary reasons. Therefore we kindly ask you to have this discussed with the IOC on an open basis.

Eventing Committee: see above

USA NF
Substitution: In order to allow National Federations to write robust and legally defendable Selection Procedures for the Olympic and Paralympic Games in a timely manner it is essential that the Substitution language and methodology around Substitution is discussed at the 2018 Sports Forum and put to the 2018 General Assembly for approval. This cannot be left until 2019.

USA NF
Please can there be early clarification that P Alternate athletes can live in the Athletes’ Village and P Alternate Grooms can live in the Groom’s Village and also that their access rights and costs will be the same as Athletes and Grooms

Eventing Committee: this is a general question