

**FEI**  
**Fédération Equestre Internationale**



**FEI Regulations**  
**for Equestrian Events at the Olympic Games**

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# FEI Regulations for Equestrian Events Tokyo (JPN) 2020 Olympic Games

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## **THE FEI CODE OF CONDUCT FOR THE WELFARE OF THE HORSE**

The FEI requires all those involved in international equestrian sport to adhere to the FEI Code of Conduct and to acknowledge and accept that at all times the welfare of the Horse must be paramount. Welfare of the Horse must never be subordinated to competitive or commercial influences. The following points must be particularly adhered to:

### **1. General Welfare:**

#### a) Good Horse management

Stabling and feeding must be compatible with the best Horse management practices. Clean and good quality forage, feed and water must always be available.

#### b) Training methods

Horses must only undergo training that matches their physical capabilities and level of maturity for their respective disciplines. They must not be subjected to methods which are abusive or cause fear.

#### c) Farriery and tack

Foot care and shoeing must be of a high standard. Tack must be designed and fitted to avoid the risk of pain or injury.

#### d) Transport

During transportation, Horses must be fully protected against injuries and other health risks. Vehicles must be safe, well ventilated, maintained to a high standard, disinfected regularly and driven by competent personnel. Competent handlers must always be available to manage the Horses.

#### e) Transit

All journeys must be planned carefully, and Horses allowed regular rest periods with access to food and water in line with current FEI guidelines.

### **2. Fitness to compete:**

#### a) Fitness and competence

Participation in Competition must be restricted to fit Horses and Athletes of proven competence. Horses must be allowed suitable rest period between training and Competitions; additionally rest periods should be allowed following travelling.

#### b) Health status

No Horse deemed unfit to compete may compete or continue to compete; veterinary advice must be sought whenever there is any doubt.

#### c) Doping and Medication

Any action or intent of doping and illicit use of medication constitutes a serious welfare issue and will not be tolerated. After any veterinary treatment, sufficient time must be allowed for full recovery before Competition.

#### d) Surgical procedures

Any surgical procedures that threaten a competing Horse's welfare or the safety of other Horses and/or Athletes must not be allowed.

#### e) Pregnant/recently foaled mares.

Mares must not compete after their fourth month of pregnancy or with foal at foot.

#### f) Misuse of aids

Abuse of a Horse using natural riding aids or artificial aids (e.g. whips, spurs, etc.) will not be tolerated.

## FEI Code of Conduct for the Welfare of the Horse

### **3. Events must not prejudice Horse welfare:**

#### a) Competition areas

Horses must be trained and compete on suitable and safe surfaces. All obstacles and Competition conditions must be designed with the safety of the Horse in mind.

#### b) Ground surfaces

All ground surfaces on which Horses walk, train or compete must be designed and maintained to reduce factors that could lead to injuries.

#### c) Extreme weather

Competitions must not take place in extreme weather conditions that may compromise welfare or safety of the Horse. Provision must be made for cooling conditions and equipment for Horses after competing.

#### d) Stabling at Events

Stables must be safe, hygienic, comfortable, well ventilated and of sufficient size for the type and disposition of the Horse. Washing-down areas and water must always be available.

### **4. Humane treatment of Horses:**

#### a) Veterinary treatment

Veterinary expertise must always be available at an Event. If a Horse is injured or exhausted during a Competition, the Athlete must stop competing and a veterinary evaluation must be performed.

#### b) Referral centres

Wherever necessary, the Horse should be collected by ambulance and transported to the nearest relevant treatment centre for further assessment and therapy. Injured Horses must be given full supportive treatment before being transported.

#### c) Competition injuries

The incidence of injuries sustained in Competition should be monitored. Ground surface conditions, frequency of Competitions and any other risk factors should be examined carefully to indicate ways to minimise injuries.

#### d) Euthanasia

If injuries are sufficiently severe a Horse may need to be euthanised by a veterinarian as soon as possible on humane grounds, with the sole aim of minimising suffering.

#### e) Retirement

Horses must be treated sympathetically and humanely when they retire from Competition.

### **5. Education:**

The FEI urges all those involved in equestrian sport to attain the highest possible levels of education in areas of expertise relevant to the care and management of the Competition Horse.

This Code of Conduct for the Welfare of the Horse may be modified from time to time and the views of all are welcomed. Particular attention will be paid to new research findings and the FEI encourages further funding and support for welfare studies.

## CHAPTER I GENERAL

### Article 600 – INTRODUCTION

This document summarises and highlights some key provisions of the FEI Qualification Systems for Jumping, Dressage and Eventing, as approved by the IOC. In case of incompatibility, conflict or divergence between the summarised key provisions of the FEI Qualification Systems in this document and a provision of the relevant FEI Qualification System, the relevant FEI Qualification System as approved by the IOC shall prevail. In case of incompatibility, conflict or divergence between the summarised key provisions of the FEI Olympic Competition Format in this document and a provision of the ORIS document, the ORIS document as approved by the IOC shall prevail, unless otherwise specifically agreed by the IOC.

This booklet sets out the rules and qualification procedures for the Equestrian Events at the Olympic Games. It must be read in conjunction with the Olympic Charter applicable at the time of the Olympic Games, FEI Statutes, FEI General Regulations (GRs), FEI Jumping Rules, Dressage Rules and Eventing Rules, and FEI Veterinary Regulations (VRs), FEI Anti-Doping Rules for Human Athletes, the World Anti-Doping Code and the International Olympic Committee Anti-Doping Rules applicable to the Games of the XXXII Olympiad, Tokyo 2020 (collectively the "Human Anti-Doping Rules") and the FEI Equine Anti-Doping and Controlled Medication Regulations (EADCMR), all of which remain in force except where otherwise specified.

A separate document setting out the FEI Olympic Venue and Operational requirements is also applicable to the organisation of the Equestrian Competition at the Olympic Games.

A Tribunal procedure resembling arbitration is provided for in the FEI Statutes and in the FEI General Regulations. In accordance with this procedure, the Court of Arbitration for Sport (CAS) in Lausanne, Switzerland has the exclusive jurisdiction to be the final forum to resolve all disputes concerning these Olympic Games Equestrian Events.

In accordance with Rule 46 & Bye-law 1 of [the Olympic Charter](#) (in force as of 26 June 2019) each International Federation is responsible for the technical control and direction of its sport at the Olympic Games. Accordingly the FEI is responsible for all elements of the Competitions, including the schedule, field of play, training sites and all equipment must comply with FEI Rules (including but not only results standards, technical specification, rules of technical disqualification and rules of judging and timing, exercise technical jurisdiction over the Competition and training venues, selection of judges and other technical Officials, preparations of facilities and for the technical control of the Competitions).

Given the Covid-19 related measures and requirements applicable prior to and at the Tokyo 2020 Olympic Games (including but not limited to the IOC's Playbooks, Rules & Regulations, the Organising Committee of the Olympic Games 2020's requirements, and the Japanese Health Authorities' requirements), not every eventuality can be provided for in these Olympic Regulations; therefore the FEI, acting through the FEI Secretary General, shall be entitled to take any appropriate emergency decision or measure in order to ensure the running and completion of any of the Competitions. For the avoidance of any doubt, any such decision or measure can include, but is not limited to, appointing reserve Official(s), replacing Official(s), changing the composition of Ground Juries and/or Veterinary Panels (including reducing the minimum number of Ground Jury members or any other requirements), approving any appropriate and necessary deviation(s) to the FEI Statutes, FEI General Regulations, Internal Regulations of the FEI and Sport Rules.

In these Rules, the use of the masculine form shall be interpreted also to include the feminine form.

Any terms with a capitalised letter are defined in the FEI Rules and Regulations referenced above.

### Article 601 – COMPETITIONS

1. The following six (6) medal Competitions are included in the 2020 Olympic programme:

## Chapter I - General

- (i) Eventing, Team Competition
- (ii) Eventing, Individual Competition
- (iii) Dressage, Team Competition
- (iv) Dressage, Individual Competition
- (v) Jumping, Team Competition
- (vi) Jumping, Individual Competition

**2.** In addition to the above medal Competitions the following will be organised:

## Chapter I - General

- (i) Dressage: one qualification Competition (FEI Grand Prix) will be held before the Team Final (FEI Grand Prix Special) and Individual Final (FEI Grand Prix Freestyle)
- (ii) Jumping: two Qualification Competitions will be held, one before the Individual Final and one before Final Team Jumping Competitions.

See **Annex H** for Competition schedule.

### **Article 602 – COMPETITION SCHEDULE**

The general Competition schedule for the Equestrian Events is agreed between the FEI, the Organising Committee of the Olympic Games (OCOG) and the IOC (International Olympic Committee). The competition [schedule](#) is subject to change.

For each sport, an explanatory brochure containing the general programme and arrangements giving all details of facilities, installations and Health Regulations and International movement of Horses requirements if applicable, shall be distributed by the OCOG to the IOC, to the relevant IFs (FEI) and to all National Olympic Committees (NOCs), no later than one year before the opening of the Olympic Games; see also the Olympic Charter - Rule 49, Bye-Law 1.

### **Article 603 – CLASSIFICATION, MEDALS & PRIZES**

#### **1. Medal Competitions**

Only one (1) set of medals will be awarded for each equestrian medal Competition. Medals will be awarded according to the IOC Protocol Guide, section 5.3 Victory Ceremonies.

##### **1.1. Individual Athletes**

Medals and diplomas are awarded to the individual Athletes placed first (1<sup>st</sup>), second (2<sup>nd</sup>) and third (3<sup>rd</sup>).

Diplomas are awarded to the individual Athletes placed fourth (4<sup>th</sup>), fifth (5<sup>th</sup>), sixth (6<sup>th</sup>), seventh (7<sup>th</sup>) and eighth (8<sup>th</sup>).

##### **1.2. Teams**

Medals are awarded to all members of teams) placed first (1<sup>st</sup>), second (2<sup>nd</sup>) and third (3<sup>rd</sup>) (including the Ap Alternate Athlete if he has taken part in any of the official Competitions or tests).

Diplomas are awarded to each team member placed fourth (4<sup>th</sup>), fifth (5<sup>th</sup>), sixth (6<sup>th</sup>), seventh (7<sup>th</sup>) and eighth (8<sup>th</sup>), (including the Ap Alternate Athlete if he has taken part in any of the official Competitions or tests).

An Ap Alternate Athlete shall be considered to have taken part if he has been included on the start list of an official Competition or Test.

##### **1.3. Horses**

Rosettes (ribbons) and stable plaques provided by the OCOG will be awarded to the Horses of all Athletes who have received a medal or a diploma.



## **Article 604 – QUOTA**

**Total quota:** Two hundred (200) Athletes will be eligible and allowed to participate at the 2020 Olympic Games, and two hundred and fifty (250) Horses will be allowed to enter the Olympic stables as follows:

### **1. Jumping: Seventy Five (75) Athletes and Seventy Five (75) Horses (& max. 20 Ap Alternate Athletes)**

A maximum number of three (3) Athletes per nation are allowed to enter the Equestrian Events at the Olympic Games.

Each team is entitled to one (1) Ap Alternate Athlete and one (1) Reserve Horse.

A maximum of twenty (20) Reserve Horses for Jumping will be authorised to be entered and stabled in Olympic stables for substitution reasons as outlined in the Qualification Procedure.

### **2. Dressage: Sixty (60) Athletes and Sixty (60) Horses (& max. 15 Ap Alternate Athletes)**

A maximum number of three (3) Athletes per nation are allowed to enter the Equestrian Events at the Olympic Games.

Each team is entitled to one (1) Ap Alternate Athlete and one (1) Reserve Horse

A maximum of fifteen (15) Reserve Horses for Dressage will be authorised to be entered and stabled in Olympic stables for substitution reasons as outlined in the Qualification Procedure.

### **3. Eventing: Sixty Five (65) Athletes and Sixty Five (65) Horses (& max. 15 Ap Alternate Athletes).**

A maximum number of three (3) Athletes per nation are allowed to enter the Olympic Competitions.

Each team is entitled to one (1) Ap Alternate Athlete and one (1) Reserve Horse.

A maximum of fifteen (15) Reserve Horses for Eventing will be authorised to be entered and stabled in Olympic stables for substitution reasons as outlined in the Qualification Procedure.

## **Article 605 - Ap ALTERNATE ATHLETES, RESERVE HORSES, GROOMS & OWNERS FOR RESERVE HORSES**

NOCs will be responsible for the costs of Ap Alternate Athletes, Reserve Horses, and grooms attending the Olympic Games.

Stabling for Horses and accommodation for grooms of Reserve Horses will be available at the venue.

### **1. Ap Alternate Athletes**

Ap Alternate Athletes are non-competing Athletes, and are not included within the Athlete's quota as described in **Athletes Quota** above. More detailed information about entitlements and quotas can be found in the '*Accreditation at the Olympic Games – Users Guide*'. "Ap" Alternate Athletes can only become competing Athletes as per the conditions outlined in the '*IOC/Tokyo2020 Late Athlete Replacement policy*'. (see also Article 609 "Pre-Competition Change and Substitutions" below)

## Chapter I - General

Only the NOCs that have qualified a team are entitled to Ap athlete as described in the qualification system.

### **2. Eligibility**

Ap Alternate Athletes and Reserve Horses must comply with the same eligibility criteria and age requirements as all competing Athletes and horses as described for the relevant disciplines.

### **3. Grooms and Owners of Reserve Horses**

Each NOCs may request accreditation for a maximum of:

- 1 Groom for each Reserve Horse
- 2 owners for each Reserve horse.

## **Article 606 – ELIGIBILITY OF ATHLETES AND HORSES**

### **1. Athletes**

**1.1.** To be eligible for participation in the Equestrian Events at the Olympic Games, all Athletes must comply with all current FEI Regulations, the provisions of the Olympic Charter currently in force, including but not limited to, Rule 40 (*Participation in the Olympic Games*) Rule 41 (*Nationality of Competitors*) and Rule 43 (*World Anti-Doping Code and the Olympic Movement Code on the Prevention of Manipulation of Competitions*). Only those Athletes who comply with the FEI Regulations and the Olympic Charter may participate in the Olympic Games Tokyo 2020 (see Annex A).

**1.2.** Age requirements: Athletes participating in the Tokyo 2020 Olympic Games:

- For Eventing and Jumping, Athletes must be born on or before 31 December 2003 (eighteen (18) years of age in the year of the Olympic Games).
- For Dressage, Athletes must be born on or before 31 December 2005 (sixteen (16) years of age in the year of the Olympic Games).

**1.3.** Olympic Title Holders. No past Olympic title holder (team or individual) will be automatically eligible for the 2020 Olympic Games.

### **1.4. Athletes Nationality change**

Any Athlete changing his sport nationality during the Olympic Games Tokyo 2020 qualification period; **1 January 2019 to 21 June 2021**) for Jumping, Dressage and Eventing will be removed from the Olympic Individual rankings.

In addition, an Athlete will only be allowed to earn an individual quota place for a given nation provided he satisfies the Olympic Charter's eligibility requirement on nationality (Rule 41 Bye-Law 2) for this specific nation at the time such quota is earned.

### **2. Horses**

#### **2.1. Age**

Horses participating in the Tokyo 2020 Olympic Games:

- For Jumping, Horses must be born on or before 31 December 2012 (nine (9) years of age), Horses of any origin (the age being counted from 1 January of the year of birth).
- For Dressage and Eventing, Horses must be born on or before 31 December 2013 (eight (8) years of age).

#### **2.2. Ownership**

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**2.2.1.** Horses entered for the Equestrian Events at the Olympic Games must have been registered with FEI as property of owners of the same nationality as the Athlete, by **15 January 2021**.

**2.2.2.** Horses, with multinational ownership, must be registered with FEI by **15 January 2021** (as per above paragraph), under the name of the nation for which the Horse will compete during the Olympic Games.

**2.2.3.** National Olympic Committees (NOCs) in close consultation with National Federations (NFs) are responsible to ensure that Horses which do not meet ownership requirements as laid down by FEI, are not entered for the Equestrian Events at the Olympic Games.

### **2.3. Registration of Athletes and Horses**

All Athletes and Horses must be registered with the FEI in 2021 before they can be permitted to take part in the Equestrian Events at the Olympic Games. For registration of Athletes and Horses with the FEI see GRs.

### **2.4. Passports**

Any Horse entered for the Equestrian Events at the Olympic Games must have a valid FEI Passport or an FEI approved national passport with a valid FEI Recognition card as a means of identification and to establish ownership. These documents must be duly completed at the time of the Competitions.

### **2.5. Name of Horse**

No commercial prefix or suffix will be included with the name of Horse on any Olympic participation/entry list, start list or results. The FEI reserves the right to amend any Horse's name accordingly.

## **Article 607 –DEADLINES FOR QUALIFICATION OF NATIONS, MINIMUM ELIGIBILITY REQUIREMENTS AND CERTIFICATES OF CAPABILITY AND TOKYO 2020 ENTRY DEADLINES**

### **1. FEI Qualification of Nations / confirmation process for quota places**

The deadline for NOCs to gain qualification to take part in the Olympic Games is **31 December 2019**. Only results until **31 December 2019** midnight in the country where the Competition is taking place will be considered for this qualification.

NOCs must submit a NOC Certificate of Capability to the FEI by 31 December 2019 as per FEI template. This is a confirmation that at least three (3) Athlete/Horse combinations from that NOC have achieved the minimum eligibility requirements during the period FEI World Equestrian Games 2018 – 31 December 2019.

**By 10 January 2020** FEI will inform the respective NOCs/NFs in writing of their allocated team quota place for the Tokyo 2020 Olympic Games. Each NOC/NF must confirm or decline the allocated team quota place to the FEI in writing by **3 February 2020**.

After the NOCs/NFs confirmation of team participation, the FEI will inform the respective NOC/NFs in writing of their allocated individual quota places and each NOC with Individual must confirm the use of quota places by **17 February 2020**.

### **2. FEI Minimum Eligibility Criteria for participation at the Olympic Games**

**2.1.** The deadline for Athletes and Horses to achieve the FEI minimum eligibility requirements and, where applicable, a Confirmation Result is **21 June 2021** midnight in the country where the qualification event takes place.

## Chapter I - General

NOCs/NFs must submit FEI Certificates of Capability to FEI, comprising a maximum of three times the number of Athletes and Horses for all three disciplines allowed to enter on the final entries as follows:

- (i) **Eventing:** maximum nine (9) Athletes and nine (9) Horses; (plus three (3) Ap Alternate Athletes and 3 Reserve Horse combinations);
- (ii) **Dressage:** maximum nine (9) Athletes and nine (9) Horses (plus three (3) Ap Alternate Athletes and 3 Reserve Horse combinations);
- (iii) **Jumping:** maximum nine (9) Athletes and nine (9) Horses (plus three (3) Ap Alternate Athletes and 3 Reserve Horse combinations).

NOC qualified with Individual(s) only can enter no more than three (3) times the number of qualified individual(s) on the Certificate of Capability.

This list will be composed of Athletes and Horses from which teams or individuals will eventually be chosen.

### 2.2. FEI Certificates of Capability/Minimum Eligibility Requirements

NFs qualified to take part at the Olympic Games must send a FEI Certificate of Capability for all Athletes and Horses nominated, on a form provided by the FEI (see **Annex C**), to FEI no later than **21 June 2021** midnight (Swiss time).

Athletes and Horses for which FEI Certificates of Capability have not been received on time will not be accepted or allowed to participate.

The FEI Certificate of Capability must include a record of the results obtained in Competitions satisfying the requirements as laid down in the Rules of the appropriate discipline confirming that the Athletes and the Horses have the necessary experience and ability to participate at the required standard. Each Horse nominated on the FEI Certificate of Capability must be included with a passport number, registration number for Athletes and Horses, and for Horses, breeding information, birth date, colour, and sex.

The FEI will inform the respective NOCs/NFs not to accept the entry of Horse/Athlete combinations that have not reached the required minimum eligibility standard.

Due to the postponement of the Tokyo 2020 Olympic Games to 2021, those Athlete/Horse combinations that achieved the Minimum Eligibility Requirements between 1 January 2019 and 31 December 2019 (inclusive), are required to achieve a further "Confirmation Result". See Articles 626.3 and 626.4 (*Eventing*), Articles 629.3 and 629.4 (*Dressage*) and Articles 632.8 and 632.9 (*Jumping*) for more details. Details of the Confirmation Result, where relevant, must be included in the FEI Certificate of Capability.

## 3. Tokyo2020 Entry Deadlines

### Accreditation Deadline

**3.1** NOCs will be required to nominate to Tokyo 2020 the long list of all Athletes who could possibly be entered for the Olympic Games by 23 April 2021.

### 3.2 Official Final entries: 5 July 2021 (Tokyo Sport Entry deadline)

The deadline for the Tokyo 2020 Organising Committee to receive Final Entries is: **5 July 2021 23:59 Tokyo time.**

By this date, NOCs must provide their final entries in the Sports Entry system directly to OCOG with a copy to the FEI. These entries will include the Athletes and Horses having reached the minimum eligibility standards from countries having achieved qualification according to the procedure established by the FEI and approved by the IOC.

## Chapter I - General

All qualifications must have been previously confirmed by the FEI as per above.

The number of Athletes and Horses entered on the Official Entries by "Sports Entry Form" are the following:

- (i) **Eventing:** maximum three (3) Athletes and three (3) Horses. (plus one (1) Ap Alternate Athlete and/or Reserve Horse).
- (ii) **Dressage:** maximum three (3) Athletes and three (3) Horses (plus one (1) Ap Alternate Athlete and/or Reserve horse).
- (iii) **Jumping:** maximum three (3) Athletes and three (3) Horses (plus one (1) Ap Alternate Athlete and/or Reserve Horse).

### **Article 608 – REALLOCATION OF QUOTA PLACES**

See respective discipline Qualification Systems as approved by IOC and set out below in Articles 625 (*Eventing*), 628 (*Dressage*) and 631 (*Jumping*)

### **Article 609 – PRE-COMPETITION CHANGES and SUBSTITUTIONS (Please refer to the IOC/Tokyo2020 Late Athlete Replacement Policy (LAR))<sup>1</sup>**

#### **1. Pre-Competition Changes:**

1.1 A "Pre-Competition Change" is defined as a change of an Athlete/Horse combination that is made and completed during the period between 21 June 2021 and:

- for Dressage: two (2) hours before the scheduled starting time of the Grand Prix test (the "Dressage Pre-Competition Change Deadline");
- for Eventing: two (2) hours before the scheduled starting time of the Dressage test (the "Eventing Pre-Competition Change Deadline");
- for the Jumping Individual Competition: two (2) hours before the starting time of the Individual Qualifier (the "Individual Jumping Pre-Competition Change Deadline").

For the Jumping Team Competition, a Pre-Competition Change is allowed during the period between the end of the Individual Final and the Team Qualifier provided it is completed no later than two hours prior to the start of the Team Qualifier (the "Team Jumping Pre-Competition Change Deadline").

1.2 A Pre-Competition Change can only be made with another Athlete and/or Horse that has obtained the minimum eligibility standard for participation according to the relevant Rules and that was duly entered on the FEI list of nominated entries of **21 June 2021**.

1.3 NOCs will be required to nominate to Tokyo 2020 the long list of all Athletes who could possibly be entered for the Olympic Games by the Accreditation Deadline on **23 April 2021**. Any Pre-Competition Change made according to these Rules must be made with an Athlete entered on this list.

#### **2. Substitutions:**

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<sup>1</sup> In case of incompatibility, conflict or divergence between the provisions of these FEI Olympic Regulations and the provisions of the IOC - Tokyo 2020 Late Athlete Replacement Policy (LAR), the IOC. Tokyo 2020 Late Athlete Replacement Policy (LAR) as approved by the IOC shall prevail unless otherwise specifically agreed by the IOC.

## Chapter I - General

- 2.1.** A "Substitution" is defined as any change of a team Athlete/Horse combination that is made after the relevant Pre-Competition Change Deadline pursuant to the process set out in article 609.2.3 below. Substitution of non-team Athletes is not permitted. An Athlete/Horse may only be substituted with the Ap Alternate Athlete/Reserve Horse.
- 2.2.** Unless specified otherwise in these Regulations, in all circumstances, substitutions will only be allowed in the event of an accident or illness of an Athlete and/or Horse, i.e. for medical/veterinary reasons, and upon the presentation of the appropriate medical/veterinary certificate of the team doctor/team veterinarian whose decision shall be final. If a team does not have a team doctor, the medical certificate must be signed by a doctor present on site/ in the Olympic Village and appointed by the team/NOC, whose decision shall be final and not subject to further approval. If a team does not have a team veterinarian, the veterinary certificate must be signed by a veterinarian present on site and appointed by the team/NOC, whose decision shall be final and not subject to further approval. All substitutions must be validated by OCOG Competition Management, the FEI and IOC according to the Late Replacement Policy.
- 2.3** For each discipline, each team may substitute a maximum of one (1) Athlete/Horse combination during the Event.

**Jumping:** A Substitution may only be made provided it is completed during the period between the end of the Jumping Team Qualifier and up to two (2) hours before the start of the Jumping Team Final, according to the rules under the Jumping section below.

**Dressage:** A Substitution may only be made provided it is completed during the period between the end of the Grand Prix up to two (2) hours before the start of the Grand Prix Special, according to the rules under the Dressage section below.

**Eventing:** A Substitution may only be made provided it is completed during one of the following periods:

- after the Dressage Test up to two (2) hours before the start of the Cross Country Test; or
- after the Cross Country Test up to two (2) hours before the start of the Team Jumping Test.

Such a Substitution will incur the penalties set out in article 624.6 below.

### **3. Ap Alternate Athletes**

Should an Athlete be substituted, his Olympic accreditation and entitlements will be transferred to the Ap Alternate Athlete and the replaced Athlete will lose his privileges.

## **Article 610 – FOREIGN TECHNICAL DELEGATES AND FOREIGN VETERINARY DELEGATE**

### **1. Foreign Technical Delegates (Olympic Charter Rule 46 Bye- law 1.5)**

With the approval of the IOC, three (3) Foreign Technical Delegates shall be appointed by the FEI in consultation with the relevant Technical Committee:

- (i) A Technical Delegate for Jumping, selected from the FEI list of Level 4 Course Designers;
- (ii) A Technical Delegate for Eventing, selected from the FEI list of International Technical Delegates;
- (iii) A Foreign Veterinary Delegate (FVD); selected from the FEI list of Official Veterinarians

## Chapter I - General

(iv) A FEI Dressage Delegate will be appointed by the FEI; he will have the same accreditation status as the President and the Members of the Ground Jury.

**1.1.** The obligations and responsibilities of the Technical Delegates are laid down in the IOC Olympic Charter, in the FEI General Regulations and in the respective Rules of the Equestrian Olympic disciplines.

**1.2.** The Eventing, Jumping Technical Delegates and Veterinary Delegate are required to visit the Competition venue before the Games. Timing and number of visits established in agreement between FEI and OCOG:

**1.2.1.** Review the technical requirements including results and scoring for the relevant discipline as well as checking all administrative and logistical arrangements for the technical officials, groom and Horses.

**1.2.2.** Approve the sites for the Competitions including footing, training and schooling areas.

**1.2.3.** Review and validate all technical elements of the pre-Olympic test Events.

**1.3.** The Technical Delegates and the FEI Dressage Delegate are required, before the start of their respective Competitions to:

**1.3.1.** Check the general layout of the Competition venue.

**1.3.2.** Ensure that the courses and arenas are thoroughly tested.

**1.3.3.** Ensure that results and sign-off procedures are organised together with the OCOG and Officials involved:

(i) For Eventing, the Technical Delegate needs to ensure, in cooperation with the FEI Course Designer, that the footing of the Cross-Country course is of the required standard, and the design of the obstacles are according to FEI Rules & Regulations;

(ii) For Jumping, the Technical Delegate needs to ensure, in cooperation with the FEI appointed Footing Specialist, that the footing of the arena is of required standard. He may request that arena surface is tested and Horses and obstacles are made available to test the condition of the arena. The Technical Delegate is also responsible for ensuring that the design of the obstacles created by the Course Designer are according to FEI Rules & Regulations.

**1.3.4.** Be present before the start of their respective Events, at least five (5) days before the start of the relevant Competition.

The OCOG will pay for travel expenses for the Technical Delegates in accordance with the travel policy applicable to the Olympic Games. The FEI will cover the travel and accommodation expenses for one (1) pre-visit of the FEI Dressage Delegate.

In exceptional cases only, additional visits by Technical Delegates will be covered by FEI with the approval of the FEI Secretary General.

## **2. Foreign Veterinary Delegate (FVD)**

A FEI Foreign Veterinary Delegate (FEI Foreign Veterinary Delegate shall be appointed by the FEI with the agreement of the Veterinary Committee), to assist and advise the OCOG on the preparations for the Games and approve the veterinary services and the equine Anti-Doping and Controlled Medication infrastructure. The FEI Foreign Veterinary Delegate will visit the equestrian venue at least once prior to the Games to liaise with the Veterinary Services Manager (VSM) appointed by the OCOG with the agreement of the FEI. The FEI Foreign Veterinary Delegate must be at the site of the Games at least five (5) days before the start of the first Competition.

## Chapter I - General

The FEI Foreign Veterinary Delegate will liaise, throughout the preparative phase, with the President of the Veterinary Commission and FEI Management and will communicate through the FEI and the VSM with the veterinary authorities of the host country.

### **Article 611 – FEI OFFICIALS**

The FEI will appoint the following Officials in accordance with the relevant FEI Rules and Regulations. These Officials will be considered International Technical Officials (ITOs) according to IOC definition and numbers are subject to the approval of the IOC.

The expenses for accommodation, transport and uniforms for technical Officials (Judges and International Technical Officials) shall be paid by OCOG according to agreement with OCOG.-The technical Officials must be present at the site at least three (3) days prior to the first (1<sup>st</sup>) event in their sport and at least one (1) day after the last event of their discipline. (Olympic Charter Rule 46 Bye-Law 1.4) or according to specific agreement with FEI/OCOG depending on needs.

- (i) Judges / additional FEI Delegates:
  - o Eventing: three (3) Ground Jury (President and two (2) members) and one (1) Assistant Technical Delegate.
  - o Dressage: seven (7) Ground Jury (President and six (6) members), one (1) FEI Delegate, and three (3) Supervisory Panel Judges.
  - o Jumping: five (5) Ground Jury (President & three (3) members and one (1) Limb sensitivity Judge).
- (ii) Three (3) FEI Tribunal members: one (1) Chair and two (2) members.
- (iii) Seven (7) Veterinary:
  - o Veterinary Commission: one (1) President and two (2) Assistant Members.
  - o Two (2) Testing Veterinarians and two (2) Examining Veterinarians (Limb Sensitivity).
- (iv) Maximum four (4) Stewards:
  - o One (1) overall steward and maximum three (3) Chief Stewards (one (1) per discipline) – from level 3 list (the overall Chief Steward cannot act as Chief Steward for more than one (1) discipline)
- (v) One (1) Footing specialist for the Jumping and Dressage arena ground preparations. Pre-visits to be organised in cooperation with OCOG.
- (vi) Ten (10) ITOs; International exchange program – stewards and other important functions

### **Article 612 - COURSE DESIGNERS**

The Jumping Course Designer is appointed by the FEI.

The Eventing Course Designer will be appointed by the OCOG from a short list of not less than three (3) names drawn up by the Eventing Committees from the FEI Eventing Level 3 Course Designers list.

Uniforms for the Jumping and Eventing Course Designers are to be provided by OCOG in line with those provided for International Technical Officials.

### **Article 613 – SECRETARY GENERAL & FEI MANAGEMENT**



## Chapter I - General

The FEI Secretary General or his representative(s) will facilitate and ensure co-operation between the Technical Delegates and the OCOG Competition Management. FEI support will be provided to the OCOG for all matters that will require FEI involvement.

### **Article 614– PUBLIC RELATIONS & RESULTS**

1. The FEI Secretary General or his representative(s), in cooperation with the OCOG, will be responsible for relations with the news media (press, radio, TV). In addition, FEI will be responsible for providing information concerning entries (qualification of teams, Athletes and Horses), Judges, Technical Delegates, Course Designers, etc. according to ORIS (Olympic Results and Information systems) requirements. FEI will also attend and when necessary, initiate press conferences in consultation with the OCOG, Technical Delegate(s), and the President(s) of the Ground Jury and/or the Chairs of the Discipline committees.
2. The OCOG must ensure that a flash interview zone (broadcast) and mixed zone (written press) where media can talk to the Athletes is included in the venue for Equestrian Sports. The OCOG is also responsible for ensuring that the Athletes come through these zones.
3. Arrangements must be made by the OCOG to ensure that the results are published immediately after each Competition and distributed to media, team officials, FEI Officials and other relevant client groups according to ORIS procedures (Olympic Result & Information Services).

### **Article 615 –MEDICATION CONTROL OF PROHIBITED SUBSTANCES FOR ATHLETES**

The World Anti-Doping Code and IOC Anti-doping Rules Tokyo 2020 Olympic Summer Games will apply to all Athletes participating in the Olympic Games.

### **Article 616 – EQUINE ANTI DOPING, AND CONTROLLED MEDICATION MEASURES FOR HORSES & LIMB SENSITIVITY TESTING**

Medication and Doping Control for Horses will be carried out as set out in the FEI Equine Anti-Doping and Controlled Medication Regulations (EADCMRs) and the FEI Veterinary Regulations.

1. **Selection of Horses for Sampling (see also Veterinary Regulations Chapter 6)**
  - 1.1. The FEI, as set forth in the EADCMR's, will decide on the number of Horses to be tested. In addition the FEI Testing Veterinarian(s) (and technicians) will operate in close liaison with the President of the Ground Jury of the respective discipline and the Veterinary Commission. The Testing Veterinarian(s) will, wherever possible, respect any specific request for Horses to be sampled made by the President of the Ground Jury. The President of the Ground Jury will, however, fully consider the proposals of the Testing Veterinarian(s) before deciding on the strategy for sampling at the Event:
    - 1.2. Three (3) possible methods for selection of Horses can be distinguished:
      - 1.2.1. Obligatory sampling (i.e. medal winners).
      - 1.2.2. Random sampling, (i.e. selection process agreed by the President of the Ground Jury, Veterinary Commission/Delegate and/or Testing Official).
      - 1.2.3. Targeted sampling (i.e. if a specific reason necessitates testing of a Horse).

Horses may be selected for sampling at any time during the FEI On-site Jurisdiction period. Horses may be selected for sampling one or more times during the FEI On-site Jurisdiction period.

## Chapter I - General

**1.3.** For obligatory testing in the Olympic Games, sufficient Horses must normally be sampled to ensure that the following samples are available for analysis:

**1.3.1.** in all Final Competitions for Individuals, the first three (3) placed Horses;

**1.3.2.** in Final Jumping and Dressage Competitions for teams, one (1) Horse from among all the Horses in each of the first three (3) placed teams;

**1.3.3.** in Eventing, one (1) Horse from among all the Horses in each of the first three (3) placed teams

### **2. Pre-Arrival and Elective Testing**

FEI may offer a **Pre-Arrival and Elective Testing** service for Horses competing at the Olympic Games. Full details will be published in advance of the event if this service is to be provided.

### **3. Procedures resulting from positive finding for prohibited substances**

**3.1.** All procedures resulting from a positive finding for a Prohibited Substance in a Horse shall be conducted in accordance with the Equine Anti-Doping and Controlled Medication Regulations and all related documents, including, but not limited to, the VRs and the FEI Standard for Laboratories.

**3.2.** The PR of a Horse whose A-analysis was positive is not allowed to leave the venue of the Olympic Games until given permission to do so by the FEI Secretary General, on sanction of elimination from the Olympic Games.

**3.3.** If the PR contests the validity of the test results, FEI Legal Director, IOC Medical and Scientific Director, and FEI Veterinary Director, must be informed immediately by confidential letter. All copies of the original and subsequent analytical documents must be submitted to the FEI

### **4. Limb Sensitivity Testing**

Limb Sensitivity Testing will be carried out in accordance with the FEI Rules and Regulations.

## **Article 617 – RESPONSIBILITY AND LIABILITY**

Neither OCOG nor the FEI accepts any responsibility for any accident or illness that may befall any Horse, Athlete, groom or any other person. The same applies in case of damage to cars, saddlery, equipment, utensils and all other objects (including damage resulting from theft, loss, fire).

NFs/NOCs are responsible to ensure that each participating Horse is insured for a sufficient amount to cover any eventuality that can occur during or in relation to the Olympic Games including without limitations, problems during any OCOG organised transportation between port of arrival and the Equestrian venue.

Neither OCOG nor the FEI will be responsible for any damage caused by Athletes, their Horses, their employees/grooms or their representatives.

To the greatest extent permitted by law, both OCOG and the FEI decline any liability for property or pecuniary damage which may happen to Athletes, grooms and Horse owners, visitors, through slight negligence of OCOG and/or the FEI, or their delegates. OCOG and the FEI also decline any liability for theft, fire or other incidents. By participating to the Events each Athlete declares that he has taken notice of the rules and exclusion of the liability and that the Athlete explicitly agrees therewith.

## **Article 618 – PROTESTS/APPEALS**

**1.** The procedure regarding the lodging of Protests and Appeals, is laid down in the FEI General Regulations.

## Chapter I - General

2. Protest to the Ground Jury must be accompanied by a deposit to the FEI of the equivalent of one hundred and fifty Swiss Francs (CHF 150).
3. Appeals to the FEI Tribunal must be accompanied by a deposit to the FEI of the equivalent of five hundred Swiss Francs (CHF 500).
4. No deposit is required for protests concerning Abuse of Horse.
5. Appeals to the Court of Arbitration for Sport (CAS) must be made in accordance with Rule 61 of the Olympic Charter and the CAS Arbitration Rules for the Olympic Games.

### **Article 619 – FEI ON-SITE JURISDICTION PERIOD**

The FEI On-site Jurisdiction Period corresponds to the enforcement of the FEI Rules and Regulations at the Olympic Games.

The "FEI On-site Jurisdiction Period" will start on the date of arrival of the Horses in the Olympic Stables (indicatively three (3) days before each discipline's First Horse Inspection) until the day of the last Competition of the relevant discipline.

For each discipline the start of the FEI on-site Jurisdiction Period will be determined by the arrival schedule as follows:

- (i) Dressage: **16 July 2021** (to the end of the last Dressage Competition);
- (ii) Eventing: **21 July 2021** (to the end of the Eventing Competition);
- (iii) Jumping: **27 July 2021** (to the end of the last Jumping

Competition). The above dates are based on the anticipated travel dates of the Horses.

### **Article 620 – OWNERS OF HORSES**

#### **1. Accreditation**

Each NOC may request accreditation for a maximum of two owners for each Horse allowed in to the Olympic Stables during the Olympic Games. One (1) of those two (2) owners name must be entered in the passport. Access will include the stables in accordance with Veterinary Regulations, training areas, Athletes' and Olympic Family seating.

*Note: Further to the Accreditation Process Update issued by the IOC and Tokyo 2020 in May 2021, this provision will not apply at the Tokyo 2020 Olympic Games. The accreditations previously made available for owners will be issued to horse support personnel (administered by the NOCs) for essential and operational needs only.*

#### **2. Registered owners**

The National Federation (NF) of a Horse belonging to a partnership or a syndicate of owners must inform FEI by the date of the OCOG/IOC accreditation date (entry by number) for NOCs: **23 April 2021**, of the name of one (1) owner to ensure that they are entered in the Olympic Accreditation system.

### **Article 621 - ACCESS TO STABLES**

The only accredited personnel to be authorised to enter the stable area are:

- Aa (Athlete)
- Ao (Primary Official including Grooms, Vet)
- Ao (Additional Official)
- Ap (Alternate Athlete)
- Team Veterinarians

## Chapter I - General

- Trainers
- Chef de Mission
- Chefs d'Equipe
- Animal Health Professionals registered with the Veterinary Commission
- Official farrier, team farriers
- NOC (Horse Owner) (Not more than two owners per Horse) *Not applicable for horse owners; access granted to horse support personnel administered by NOC instead (see note to Article 620 above).*  
Reserve Horse groom
- Reserve Horse owner *Not applicable for horse owners; access granted to horse support personnel administered by NOC instead (see note to Article 620 above).*
  
- Technical Delegates
- Members of the Ground Juries and Appeal Committee
- Members of the FEI Veterinary Committee
- Stewards
- Veterinary Commission/Delegate
- Treating Veterinarians;
- EADCMP Officials;
- FEI Medical Officer
- FEI accredited staff
  
- OCOG work force or contractors related to stable operations
- Approved Horse transport agents
- Observers agreed with the OCOG and FEI (e.g. next Olympic VSMs, key Events)
- Other personnel\* may be authorised in accordance with the VRs  
\*Note: the Veterinary Department may authorise persons with special reasons to have access to restricted areas including FEI Stables in accordance with the VRs Article 1009.3. Being a horse owner, parent, spouse or NOC-member etc. is not a special reason as limiting access is to ensure biosecurity, integrity and a calm environment for the horses are key objectives at all FEI Events and particularly important at the Olympic Games.

## **Article 622 – INFORMATION TO SPECTATORS, VIDEO BOARDS, CCTV**

### **1. Information to spectators**

Daily Start lists must be available to spectators free of charge in either paper format or electronically on arrival to the venue.

### **2. Video Boards and repeater boards**

For all disciplines an electronic scoreboard must be provided by OCOG within the Main Arena.

- Repeater boards, for Dressage and Eventing Dressage must be provided to show scores. (The placement of such boards should be for spectators and in the line for cameras to capture the reaction of the Athlete and team members at the presentation of the final score.)

### **3. Cross Country Course**

The provision of closed circuit television (CCTV feed) covering the Cross Country test in the Athlete enclosure of the start or finish area and at the control centre for the use of the Ground Jury and Control Officials is mandatory for this level of Competition.

## **Article 623 - VIOLATION OF THE HUMAN ANTI-DOPING RULES AND/OR THE EADCMR AND RELATED DISQUALIFICATIONS**

Chapter I - General

**1. In-Competition Period**

## Chapter I - General

For the purposes of human anti-doping, the "In-Competition Period" shall be as set out in the International Olympic Committee Anti-Doping Rules applicable to the Games of the XXXI Olympiad, Tokyo 2020 (the "IOC Anti-Doping Rules").

For the purposes of the EADCMRs, the "In-Competition Period" shall correspond to the "FEI On-Site Jurisdiction Period" as set out in Article 619 of these Regulations.

### **2. Teams**

If a member of a team is found to have committed a violation of the Human Anti-Doping Rules and/or the EADCMR during the Olympic Games, the results of the Athlete/Person Responsible will be disqualified in all Competitions and the entire team disqualified, unless exceptional circumstances apply.

### **3. Individuals**

#### *(i)* Human Anti-Doping Rule Violation

If an Athlete commits a violation of the Human Anti-Doping Rules during or in connection with the Olympic Games, the IOC Anti-Doping Rules shall apply.

#### *(ii)* EADCMR Violation

An EADCMR Rule violation occurring during or in connection with the Olympic Games shall lead to Disqualification of all of the Person Responsible's individual results obtained in the Olympic Games, with any and all Horses with which the Person Responsible competed, unless specified otherwise in the EADCMRs.

### **4. Reclassification and Redistribution of Medals**

In all disciplines, if an Athlete/Horse is disqualified due to a violation of the Human Anti-Doping Rules and/or the EADCMR, the results shall be reclassified and, if applicable, the medals will be re-awarded.

The following procedure applies in the event that two (2) or more Athletes/Horses are tied:

*(i)* Eventing: A tie will be decided in accordance with Paragraph 7.2 of Article 624 (Rules for the Eventing Competition).

*(ii)* Dressage

A tie will be decided in accordance with Paragraph 5.1 of Article 627 (Rules for the Dressage Competition).

*(iii)* Jumping

- Individual: A tie will be decided in accordance with Paragraph 6.6 of Article 630 (Rules for the Jumping Competitions).
- Team: A tie will be decided in accordance with Paragraph 8.5 of Article 630 (Rules for the Jumping Competitions).

## CHAPTER II – EVENTING

### Article 624 – RULES FOR THE EVENTING COMPETITION

#### 1. General

The Olympic Team and Individual Eventing Competitions are conducted in accordance with the FEI Eventing Rules, with the addition of the specific regulations listed below.

The Competitions will be conducted according to a special Olympic Format.

#### 2. Eventing Olympic Competition Format

An NF/NOC having obtained eligibility and qualification according to Olympic Games qualification procedure may enter a team composed of three (3) Athletes/Horse combinations with all three (3) results to count for the team classification.

The Team and Individual Competition will be run concurrently on separate consecutive days in the following order: the Dressage test, the Cross Country test and the First Jumping Competition to determine the Team classification.

Individual final Jumping test will take place after the Team Jumping Competition on the same day. The twenty five (25) best Athletes/Horses having completed all 3 tests, including those who tie for twenty fifth (25<sup>th</sup>) place on the conclusion of the Team Competition (maximum three (3) best scoring Athletes per team classification per nation) will qualify to participate.

The Athlete rides the same Horse throughout all three (3) tests for the Individual classification. For the Team classification, substitutions of Horses will be allowed according to the procedures set below.

Results will be carried over from all tests, for all Athletes and Horses to determine the final results.

#### 3. Technical Specifications of the Olympic Eventing Competition

**3.1.** Dressage Test: 2020 Olympic Games 5\* B test (short).

**3.2.** Cross Country Test: Distance: approx. 4500- metres; time: 8 minutes; speed: 570 metres/minute; maximum number of efforts: 38.

**3.3.** Team Jumping Test: Distance: max 600 metres; speed: 375 metres/minute; number of obstacles: 11-12 (maximum 16 efforts); height: 125 centimetres.

**3.4.** Individual Jumping Test: Distance: 360-500 metres; speed: 375 metres/minute; maximum number of obstacles: 9 (maximum 12 efforts); height: 130 centimetres.

#### 4. Scoring

**4.1. For the team classification**, the following penalties will be allocated for the non-start/non-completion for a test for any reason:

Dressage = 100

Cross Country = 200

Jumping = 100

**4.2. Substitution for the team competition:**

A combination may be substituted by a reserve combination for Medical/Veterinarian reasons in any of the three tests after the start of the competition.

Substitution will incur a penalty for the team of 20 points.

One (1) substitution will be allowed per team.

## Chapter II - Eventing

No substitution is permitted where the combination has been eliminated for Dangerous Riding, Abuse of Horse or where the combination has been disqualified.

### 5. Draw and starting order

#### 5.1 Approval of Method of Draw

The method of draw must be approved by the Technical Delegate / Ground Jury. He may also approve rearrangement of the order or number of blocks of teams or individual Athletes where the number of Athletes makes it necessary.

#### 5.2 First & Second Horse Inspection, Dressage and Cross-Country Tests

A draw will take place prior to the First Horse Inspection to determine the nations order for the First Horse and Second Horse Inspection.

All nations participating whether with teams or individuals, will be included in this draw, Nations will present themselves in the order determined by the draw above and by Horse number within their nation. The order of the nations will be used to determine the running order of team and individual Athletes in the Dressage and Cross-Country tests.

Horse Inspections will be carried out in accordance with Veterinary Regulations.

Both Horse Inspections must be open to public in accordance with the FEI Eventing Rules.

This draw will take place, in the presence of the Ground Jury, Technical Delegate and Chefs d'Equipe.

If team has a Reserve Horse, all 4 Horses must be presented at the 1<sup>st</sup> Horse Inspection (4th Horse with a Horse number and "Ap" rider (not listed))

#### 5.3 Declaration of Starters/Starting order Dressage, Cross Country & Jumping Tests

**5.3.1** To determine the starting order of the members within the teams and the starting order for Individual Athletes, a Chefs d'Equipe briefing will take place after the First Horse Inspection at a time fixed by the OC and not later than 18:00 hrs, in the presence of the Ground Jury, Technical Delegate and Chefs d'Equipe, according to the following procedure:

(i) The starting order within each team will be declared by its Chef d'Equipe. The team positions will be filled first.

**5.3.2.** The starting order for the Individual Athletes will then be determined as follows:

(i) Individual Athletes will be positioned in any of the remaining unallocated starting places by their Chef d'Equipe, at each turn in the sequence according to the draw of nations; a Chef d'Equipe may place one Horse in one of the blocks B and D.

**5.3.3.** The total starting places will be divided into five (5) blocks (A to E) and the Athletes allocated to each block as follows:

<b>Block A</b>	first Athletes in each team
<b>Block B</b>	50% of individual Athletes
<b>Block C</b>	second Athlete in each team
<b>Block D</b>	50% of individual Athletes
<b>Block E</b>	third Athlete in each team

#### 5.4. Dressage & Cross Country - Starting Order

The drawn starting order will be used for the Dressage and Cross-Country Tests.

#### 5.5. Jumping Test – Starting Order

**5.5.1.** For the Team Jumping Test, the starting order will be:



## Chapter II - Eventing

- (i) First to start will be the individual Athletes in reverse order of merit; these are the Athletes not being considered for the team classification (those nations with one (1) or two (2) Athletes from the same nation at the beginning of the Competition).
- (ii) Then the teams in the reverse order of merit after the Cross Country and order determined after the Second Horse inspection. Within each team the Athletes will also start in reverse order of merit (the third (3<sup>th</sup>) Athlete from each nation will start first, then the number twos (2) from each nation etc. such that at the end the last Athlete to jump will be the highest placed Athlete from the highest placed team.

**5.5.2.** For the Individual Jumping Test, the starting order will be determined according to the reverse order of merit after the Team Jumping Test. The twenty fifth (25<sup>th</sup>) placed Athlete will, therefore, start first (1<sup>st</sup>). In the event of equality of penalties among Athletes on the order of merit after the Team Jumping Test, the starting order will be determined as follows:

- (i) The Athlete with the best Cross Country score including faults at obstacles and time and other penalties incurred during Cross Country will be placed higher on the order of merit;
- (ii) If there is still equality, the order of start will be decided in favour of the Athlete whose Cross Country time is the closest to the optimum time;
- (iii) If there is still equality, the combinations who are tied will start in the same order as they did in the Team Jumping Test.

### **6. Pre-Competition Change and Substitution – specific procedure for the Eventing Team Competition**

- A Pre-Competition Change (see Art 609.1) applies up to two hours before the first start of Dressage test without any penalty. If a Horse is not accepted at the 1<sup>st</sup> Horse Inspection, a Pre-Competition Change can be made without any penalty).
- Horse Inspections, starting and result lists must include the Reserve Horse/"Ap" rider
- Ap Alternate Athlete/Reserve Horse take the slot of the withdrawn (WD) combination = competition number for the XC and Team Jumping
- Where an Athlete/Horse combination is substituted, neither that Athlete/Horse combination nor the replacement combination will be eligible for the Individual Competition.

#### **Substitution activation:**

An Athlete/Horse combination can be substituted if eliminated (EL), withdrawn (WD), retired (RT) but not if eliminated for Abuse of Horse or otherwise Disqualified.

#### **Dressage:**

An Athlete/Horse combination that does not complete the Dressage test will incur 100 penalties for the team classification. That Athlete/Horse combination can continue in the remaining tests for the purpose of the team classification unless it has been eliminated for a lameness, fall of Horse, Abuse of Horse or otherwise Disqualified.

#### **Cross Country:**

An Athlete/Horse combination that does not complete the Cross Country test will incur 200 penalties for the team classification and the following applies:

- (i) If the Athlete/Horse combination is eliminated on Cross Country for a technical reason e.g. three (3) refusals, error of course, run out, missing a flag, rider fall, the combination is eligible to compete in the Team Jumping Test.

## Chapter II - Eventing

- (ii) If a substitution is applied, the conditions as set out in Article 609.2 shall apply to such substitution. Substitution will incur a penalty for the team of 20 points.
- (iii) If the Athlete/Horse combination is eliminated for lameness, fall of Horse, the combination is not eligible to compete in the Team Jumping Test but may be substituted without the requirement to submit a medical/veterinary certificate. The Substitution will incur a penalty for the team of 20 points.
- (iv) If the Athlete/Horse combination is eliminated for Dangerous Riding or if it is otherwise Disqualified the combination is not eligible to compete in the Team Jumping Test and no substitution is permitted.

### - 2<sup>nd</sup> Horse Inspection:

All Horses participating in the Team Jumping test (see above) must pass the 2<sup>nd</sup> Horse Inspection. If a Horse is not accepted at the 2<sup>nd</sup> Horse Inspection, it can be substituted with the Reserve Horse if:

- o the team has not already made a Substitution;
- o the Reserve Horse was presented at the 1<sup>st</sup> Horse Inspection and passed the 2<sup>nd</sup> Horse Inspection.

### **Note for Numbering of horses and establishment of starting orders:**

- Horse Inspections, starting and result lists (if substitution is activated) must include the Reserve Horse/"Ap" rider
- Numbering of Horses for Eventing Reserve Horses on Olympic starting lists. Horse number will be different to the competition number.
- Ap Alternate Athlete/Reserve Horse take the slot of the withdrawn (WD) combination = competition number for the XC and Team Jumping.
- The starting position of the Reserve combination in the Team Jumping test will be the same position as the combination eliminated on Cross Country.

## **7. Individual Final Classification**

**7.1.** The winning individual is the Athlete with the lowest total of penalty points, after adding together, for each Athlete, the penalty points incurred in all tests.

**7.2.** In the event of equality between two or more Athletes, the classification is determined as follows:

- (i) The best cross-country score including faults at obstacles and time penalties and other penalties incurred during the Cross Country test.
- (ii) If there is still equality, the classification is decided in favour of the Athlete whose cross-country time was closest to the optimum time.
- (iii) If there is still equality, the Athlete with the best Individual Final Jumping score (time & obstacles).
- (iv) If there is still equality, the Athlete with the best Individual Qualifier (Team Competition) Jumping score (time & obstacles).
- (v) If there is still equality, the Athlete with the best time (fastest) in the Individual Final Jumping Test.
- (vi) If there is still equality, the classification will be decided in favour of the Athlete with the best Overall Impression mark in the Dressage Test.
- (vii) If there is still equality the tie will remain in the Final Classification, by order of NOC codes.

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### 8. Team Final Classification

The winning team is that with the lowest total number of penalty points, after adding together the final scores of the three highest placed Athletes in the team.

In the event of equality between any two or more teams, the classification will be decided by

1. the best combined highest three (3) placings,
  - 1.1 Priority will be given to the team with three (3) same Athletes/Horse completing 3 tests (as below) without substitution
  - 1.2 then the team with two (2) Athletes/Horse completing 3 tests (as below) without substitution
  - 1.3 then the team with only one (1) Athlete/Horse completing the 3 tests (as below) without substitution
2. In the event of equality between two or more teams with the same number of substitutions, the classification will be decided by the best combined highest placings of the combinations having completed all three tests.

E.g. a team with Athletes ranked individually second (2nd), eight (8th) and twelfth (12th) team total twenty two (22) will be higher placed than a team with Athletes ranked first (1st), seventh (7th) and fifteenth (15th), team total twenty three (23). Should these figures be equal, a tie shall be declared.
3. If the tie remains, the classification will be decided by the best combined cross-country score (including faults at obstacles, time penalties and other penalties incurred) of the combinations within each team having completed all three tests.
4. If the tie remains, the classification will be decided by the best combined Team Jumping test score (including faults at obstacles, time penalties) of the combinations within each team having completed all three tests.
5. If the tie remains, the classification will be decided by the best combined Dressage score of the combinations within each team having completed all three tests.
6. If there is still equality the tie will remain in the Final Classification, by order of NOC codes.

### 9. Disqualification or elimination – Eventing

Disqualification or elimination from one of the tests entails elimination/disqualification from the final Individual classification.

## **Article 625 – QUALIFICATION PROCEDURE – EVENTING (2020 Procedure Approved by IOC)<sup>2</sup>,**

### 1. Athletes Quota:

A Maximum of sixty-five (65) Athletes and sixty-five (65) Horses and fifteen (15) Reserve Horses (one (1) per qualified team)

Maximum 1 Team per nation and 3 Athletes per team.

All quota places are allocated to the NOC.

### 2. FEI Olympic Groups are based on the following seven (7) geographical regions:

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<sup>2</sup>In case of incompatibility, conflict or divergence between the summarised key provisions of the FEI Qualification Systems in this document and a provision of the relevant FEI Qualification System, the relevant FEI Qualification System as approved by the IOC shall prevail.

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- A - North Western Europe
- B - South Western Europe
- C - Central & Eastern Europe; Central Asia
- D - North America
- E - Central & South America
- F - Africa & Middle East
- G - South East Asia, Oceania

**Note:** Group distribution of NFs – see Annex H

### 3. Team Qualification - Eventing

Fifteen (15) NOCs will obtain qualification; one (1) as a host country place, thirteen (13) team quota places will be allocated through team championships and one through the FEI Eventing Nations Cup Classification as per the table below. The qualifications Events are listed in hierarchical order of qualification.

A team consists of three (3) Athletes/Horses.

Qualification Events		Team quota place
1.	The Host Nation	JPN (1) 3 Athletes/Horses
2.	The six (6) best ranked teams from the 2018 World Equestrian Games (WEG) excluding the Host Country team.	(6) Max 18 Athletes/Horses
3.	The two (2) best ranked teams from the 2019 European Championship, from FEI Olympic Groups A and/or B excluding teams qualified as above.	(2) Max 6 Athletes/Horses
4.	The (1) best ranked team from Group C 2019 Designated Olympic Qualification Event, excluding teams qualified above	(1) 3 Athletes/Horses
5.	The two (2) best ranked team from FEI Olympic Groups D or E at the 2019 Pan American Games, or at an FEI approved event excluding the teams qualified above.	(2) Max 6 Athletes/Horses
6.	The two (2) best ranked team from the Olympic Groups F and/or G Championship at a 2019 Group F/G FEI Designated Olympic Qualification Event excluding teams qualified above.	(2) Max 6 Athletes/Horses
7.	The highest ranked team according to the final classification of the FEI Eventing Nations Cup Series 2019, excluding teams qualified as above	(1) 3 Athletes/Horses
<b>Total: fifteen (15) teams / forty five (45) Athletes</b>		
	<b>Reallocation of Team Quota Place(s):</b> Composite Team(s) as defined in Annex C	(+)

The total number of teams participating in the team competition, including Composite Teams, may not exceed 15.

### 4. Individual Qualification - Eventing

Only NOCs which have not accepted a team quota are eligible to qualify for individual quota places.

Each Athlete can qualify maximum for one (1) individual quota place for his NOC.

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For Eventing, a nation can qualify for a maximum of two (2) individual places.

**FEI Olympic Athletes Ranking – Eventing** (as defined in Annex C) is limited to the highest four (4) results per Athlete/Horse combination in these events, within the period from **1 January 2019 to 31 December 2019**.

The Rankings are based on Athlete/Horse combinations achieving FEI Qualifying Results in CCI4\* L&S and CCI5\*-L level Events.

	<b>Qualification event</b>	<b>Individual quota NOC</b>
<b>1.</b>	<b>FEI Olympic Athletes Ranking – Eventing (allocation through FEI Olympic Groups)</b>  The two (2) best ranked Athlete in the FEI Olympic Athletes Ranking – Eventing from each of the seven (7) below-mentioned groups will qualify one (1) individual quota place for their NOC: A - North Western Europe; B - South Western Europe C - Central & Eastern Europe; Central Asia D - North America E - Central & South America F - Africa & Middle East G - South East Asia, Oceania  * Unless one (1) or two (2) individual quota places from that FEI Olympic Group, has already been allocated to an NOC/NOCs from that FEI Olympic Group due to their withdrawal of a qualified team as per the process set out in paragraph below on Reallocation of Unused Qualification Places.	(14)
<b>2.</b>	<b>FEI Olympic Athletes Ranking – Eventing (allocation through overall ranking)</b>  Six (6) quota places will be allocated in descending order of the NOCs of the best ranked Athletes in the FEI Olympic Riders Ranking – Eventing (up to a maximum of two quota places per NOC).	(6)
<b>Total: twenty (20) Athletes</b>		

### 5. Host Country places

The Host Country is guaranteed one (1) team with a maximum of three (3) Athletes. Each Athlete and Horse must fulfil the minimum age requirements and the minimum eligibility requirements to compete as listed in Athletes eligibility below.

In case the Host Country is unable to field a team, it may send one (1) or two (2) eligible individual Athletes instead.

### 6. Reallocation of Unused Quota places:

#### 6.1. Unused Team quota places (excluding Host Country places)

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If an NOC does not confirm the NOC Certificate of Capability (as defined in the Annex C by 31 December 2019 or informs the FEI, by 3 February 2020, that it declines its team quota place, the team quota place(s) will be considered to be withdrawn and will be reallocated as follows:

- The team quota place(s) will be redistributed to the Composite Team(s), provided that the NOC(s) had the minimum three eligible combinations as of 31 December 2019.
- If an NOC has declined a team quota place by 3 February 2020 or an NOC has not confirmed the NOC Certificate of Capability by 31 December 2019, then the NOC will be allocated one (1) individual quota place from within the respective FEI Olympic Group in the Individual Qualification.
- A maximum of two (2) Individual quota places per FEI Olympic Group can be allocated to NOCs who were informed of their team quota place by the FEI on 10 January 2020.
- If more than two (2) NOCs from the same FEI Olympic Group withdraw prior to 3 February 2020, then priority for the Individual quota places will be given in chronological order of withdrawal, i.e. the NOC that has withdrawn earliest shall have priority. If the NOCs concerned have each withdrawn at the same time, the NOC with the highest ranking athlete on the FEI Olympic Ranking – Eventing shall have priority. In the event of a tie, the FEI will invite the NOCs/NFs concerned to a draw. If an NOC does not have any athlete on the FEI Olympic Ranking - Eventing, then that NOC shall not be entitled to an Individual quota place.
  - o For the purpose of this process, the term “withdrawal” shall mean either:
    - (i) A written notification by an NOC to the FEI that the NOC declines its team quota place. In such circumstances, the date of withdrawal shall be the date the written notification is received by the FEI
    - or
    - (ii) Failure by an NOC to confirm their NOC Certificate of Capability by 31 December 2019 deadline. In such circumstances, the date of withdrawal shall be deemed to be 31 December 2019.
- An NOC that withdraws its team quota place after 3 February 2020 will not be entitled to be allocated an Individual quota place in accordance with the process above.
- If the full quota of 15 teams has not been reached following the reallocation of team quota places as detailed above, the unused Athlete quota places (three (3) per team) will be reallocated to the NOCs as individual quota places. These places will be allocated to the NOC of the next highest ranked Athletes from the overall FEI Olympic Ranking – Eventing, excluding NOCs that have already accepted a team quota place, and provided that the NOC has at least one (1) Athlete/Horse combination that fulfils the Minimum Eligibility Requirements by the MER Deadline.
- **Composite Teams** (defined below and in Annex C): A three (3) member team from an NOC. The Composite Team will be selected according to the following process:
  - o the respective rankings of each NOC’s top three (3) different Athletes in the FEI Olympic Ranking – Eventing shall be added together to give an aggregate ranking;
  - o the NOC with the best aggregate ranking placings shall be awarded the redistributed quota place;
  - o in the event of a tie (i.e. where the aggregate rankings are the same), the redistributed quota place shall be awarded to the NOC with the highest ranked Athlete on the FEI Olympic Ranking - Eventing. In the event of a further tie, the redistributed quota place shall be awarded to the NOC with the second highest ranked Athlete on the FEI Olympic Ranking - Eventing, and so on. If the tie cannot

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be broken following this process, the FEI will invite the NOCs/NFs concerned to a draw.

### 6.2. Unused Individual quota places

If an allocated individual quota place is not confirmed by the NOC or is declined by the NOC by the confirmation deadline, 16 March 2020, the quota place will be reallocated as follows:

- (i) To the NOC of the next highest ranked Athlete in the FEI Olympic Ranking – Eventing to the relevant Group according to the qualification procedure.
- (ii) Any unused or unallocated individual quota place to be reallocated through the overall FEI Olympic Rankings - Eventing.

### 7. Reallocation of unused Host Country places

In case the Host Country is unable to field a team or decides to send one (1) or two (2) eligible Athletes instead of a team, the unused Athletes quota places will be reallocated to the NOCs of the highest ranked Athletes, not already qualified in the FEI Olympic Athletes Ranking – Eventing, respecting the maximum number of Athletes per NOC.

### 8. Qualification Timeline - Eventing

Date	Milestone
10 - 23 September 2018	FEI World Equestrian Games (Tryon, USA)
28 Aug-2 September 2019	FEI European Championships (Luhmühlen GER) – FEI Olympic Groups A,B
23-26 May 2019	Designated FEI Olympic Qualification Event (Baborowko, POL)– FEI Olympic Group C
26 July- 11 Aug 2019	Pan American Games (Lima, PER) FEI Olympic Groups D & E
23-26 May 2019	FEI Designated Olympic Qualification (Saumur, FRA) - FEI Olympic Groups F & G
End of 2019 FEI Nations Cup™ Eventing Season	FEI Nations Cup Series Final Classification
15 December 2018	FEI to publish List of Olympic Ranking Events (and ranking rules) and List of 2019 MER Events
WEG 2018 - 31 Dec. 2019	Period for NOCs to obtain a team quota place (NOC Certificate of Capability)
15 December 2019	FEI to publish List of 2020 MER Events
1 January - 31 December 2019	Ranking period: FEI Olympic Ranking – Eventing
1 January 2019 – 21 June 2021 ("MER Deadline")	Period for Athletes and Horses to obtain the FEI minimum eligibility requirements and, where applicable, the Confirmation Result.
By 10 January 2020	FEI to inform NOCs/NFs of their team quota place allocation
3 February 2020	NOC to confirm allocated team quota place to FEI. If an NOC withdraws their team quota place by this date or had not confirmed their NOC Certificate of Capability as of 31 December 2019, the NOC in question will be eligible for an Individual quota place (subject to the process set out in "Team Qualification").
17 February 2020	FEI to inform NOCs/NFs of: <ul style="list-style-type: none"> <li>• allocation of Composite Team(s) quota place(s)</li> </ul>

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	<ul style="list-style-type: none"> <li>• reallocation of one (1) Individual place to each NOC that has withdrawn their Team quota place (subject to the process set out in "Team Qualification")</li> <li>• allocation of Individual quota places.</li> </ul> <p>Determination of Individual quota places and Composite Teams based on FEI Olympic Ranking - Eventing</p>
16 March 2020	NOCs to confirm use of allocated Individual quota places and Composite Team(s) quota places to FEI
December 2020	FEI to publish list of 2021 MER Events
21 June 2021 "MER Deadline"	Deadline to achieve the minimum eligibility requirements and, where applicable, the Confirmation Result. FEI Nominated Entries – FEI to receive FEI Certificates of Capability (as defined in the Annex C) (Athlete and Horse combination)
one (1) day after MER Deadline	FEI to confirm approval of FEI Certificates of Capability to NFs
5 July 2021	Tokyo 2020 Sport Entries Deadline
23 July 2021	Opening Ceremony of the Olympic Games Tokyo 2020

### Article 626 – ATHLETES & HORSES MINIMUM ELIGIBILITY CRITERIA- EVENTING

#### 1. Minimum Eligibility Requirements – Eventing

All Athletes/Horses who take part in the Tokyo 2020 Olympic Games Eventing Competitions, and subject to the provisions of paragraphs 2 and 3 below, must achieve the following minimum eligibility requirements (MERs) as a combination by obtaining "FEI qualifying results" in the following level of Competition which take place from **1 January 2019 until (and including) 21 June 2021**):

- (i) One (1) qualifying result at a CCI5\*-L; or
- (ii) One (1) qualifying result in a CCI4\*-L AND 1 qualifying result in a CCI4\*-S.

An FEI qualifying result is achieved by completing the above Competitions within the minimum parameters of an all-round performance, with:

- (i) Dressage test: Not less than fifty-five percent (55%). Dressage good marks (no more than forty-five (45) penalty points);
- (ii) Cross Country test: clear (0) round or 11 penalty points on Cross Country Obstacles, not more than 75 seconds over the optimum Cross Country phase time. At CCI-L 5\*, the maximum time by which the optimum time may be exceeded is 100 seconds;
- (iii) Jumping Test: Not more than sixteen (16) jumping penalties.

**Minimum participation:** To be eligible as Olympic qualification Events, team Competitions outlined under Art 625.3 must include at least three (3) NOCs i.e. with teams presented at the 1st Horse Inspection. At least three (3) Athletes of the team must complete the Olympic Qualification event for the team to be considered qualified.

#### 2. List of Selected Events

The list of Olympic Ranking Events (as defined in Annex C) counting for achieving Olympic ranking points / minimum eligibility requirements for 2019 and 2020 will be published on the FEI website: ([www.fei.org](http://www.fei.org)).

This list of 2019 Events (Ranking and MER) will be published 15 December 2018, and the 2020 MER Events\* will be published in December 2019 on the FEI website: ([www.fei.org](http://www.fei.org)). No additions



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of Events will be accepted after the publication of the selected Events calendar. Replacement in case of cancellation of an Event for force majeure reasons will be reviewed on a case to case basis.

The list of 2021 MER Events will be published in December 2020.

\* Taking into account the impact of Covid-19 on the FEI Calendar in 2020, a MER (or partial MER) can be achieved at any FEI Event at the relevant level (as per paragraphs 3 - 4 below) in 2020 (i.e. between 1 January 2020 and 31 December 2020 (inclusive)). All CCI4\*, 5\* events counting for eligibility and qualification of nations will be on established and experienced events organising yearly events with a proven organisational record at the 4 star level.

The FEI reserves the right to appoint the main Officials (President of the Ground Jury, Technical Delegate and Veterinary Delegate) for CCI4\*-L&S, CCI5\* Events counting for qualification and eligibility.

**Participation restriction:** No Athlete/Horse combination may participate at an event to obtain an Olympic MER within the period of 4 weeks after having started the Cross country test of a Long format (CCI-L) event and/or 2 weeks (10 days) after a short format (CCI-S) event.

### 3. **Additional Provisions related to the Postponement of the Tokyo 2020 Olympic Games to 2021**

- 3.1 An Athlete/Horse combination that has achieved the full MER only during the period 1 January 2019 to 31 December 2019 (inclusive) must achieve an additional confirming result (the "**Confirmation Result**") according to the conditions set out in paragraph 4 below during the period **1 January 2020 – 21 June 2021**.
- 3.2 An Athlete/Horse combination that has achieved the full MER during the period 1 January 2020 – 21 June 2021 does not need to achieve a Confirmation Result.
- 3.3 An Athlete/Horse combination that has achieved a partial MER during the period **1 January 2019 to 31 December 2019** must complete their MER by the MER Deadline (21 June 2021). Such Athlete/Horse combination does not need to achieve a Confirmation Result.
- 3.4 An Athlete/Horse combination that has not achieved any MER (either full or partial) during the period 1 January 2019 to 31 December 2019 must achieve their MER by the MER Deadline (21 June 2021). Such Athlete/Horse combination does not need to achieve a Confirmation Result.

### 4. **Confirmation Result Criteria**

- 4.1 Athlete/Horse combinations must achieve the Confirmation Result at a CCI4\*-S event or higher:

An FEI Confirmation Result is achieved by completing the above Competitions within the minimum parameters of an all-round performance, with:

- **Dressage test:** minimum 55% percent;
- **Cross Country test:** clear (0) round at Cross Country Obstacles (activating a maximum of one frangible device will maintain the Confirmation Result on Cross Country), not more than 75 seconds over the optimum Cross Country phase time. At CCI-5\*L, the maximum time by which the optimum time may be exceeded is 100 seconds;
- **Jumping test:** not more than 16 jumping penalties.

## **CHAPTER III – DRESSAGE**

### **Article 627 – RULES FOR THE DRESSAGE COMPETITIONS**

#### **1. General**

The Team Dressage Competition and the Individual Dressage Competition are conducted in accordance with the FEI Dressage Rules with the addition to specific regulations listed below. The tests are those of the FEI Grand Prix, the FEI Grand Prix Special and the FEI Grand Prix level Freestyle test respectively in force at the time of the Olympic Games.

#### **Music**

NOCs must provide the completed Music Submission Form (attached as Annex I to these Olympic Regulations) for each of their Athlete's FEI Grand Prix Special to music and FEI Grand Prix Freestyle test when entering their Athletes via the Tokyo 2020 Sports Entries system.

#### **2. Participation in Dressage Competitions**

##### **2.1. Teams**

An NF/NOC having obtained eligibility and qualification according to Olympic Games eligibility and qualification procedure may enter a team composed of three (3) Athlete/Horse combinations with all three (3) results from the Grand Prix Special to music to count for the team classification.

##### **2.2. Individuals instead of Teams**

NFs/NOCs having obtained eligibility and qualification according to Olympic Games eligibility and qualification procedure to enter one (1) individual may enter such individual with one Horse each.

##### **2.3. Reserve Athletes/Horses**

One (1) Ap Alternate Athlete/ Reserve Horse will be permitted per directly qualified NF/NOC Team. See Article 609 above.

#### **3. Competitions**

##### **3.1. FEI Grand Prix - Team and Individual Qualifier**

The FEI Grand Prix test, in which all Athletes must participate, is the qualifying competition for the individual competition (FEI Grand Prix Freestyle) and the team competition (FEI Grand Prix Special to music).

Athletes compete in six (6) groups, with three (3) groups competing on the first day and the remaining three (3) groups on the second day. The composition of the groups are based on the FEI World Ranking List position of the Athlete/Horse combination on the date of definite entries (see Dressage Rules Article 456.1)

Two from each group, plus the next best six (6) ranked Athletes (overall best results) qualify for the FEI Grand Prix Freestyle. If a qualified Athlete/Horse drops out, the vacant spot is filled by the next best ranked Athlete.

In case of a tie, the highest and lowest total scores for each Athlete will be added and divided by two, with the highest score deciding on the tie. If the tie cannot be broken, the second highest and second lowest total scores for each Athlete will be added and divided by two with the highest score deciding on the tie. If the tie is still not broken, the third highest and third lowest total scores for each Athlete will be added and divided by two, with the highest score deciding on the tie. If the tie cannot be broken, it will be decided by a draw.

All Teams receive a qualification ranking after the FEI Grand Prix by adding up the three (3) results per team. The top eight (8) ranked Teams (including those tied for the 8th place), qualify for the Team competition, FEI Grand Prix Special to music.

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### 3.2. FEI Grand Prix Special to music (Team Final)

The FEI Grand Prix Special is the Team competition. The FEI Grand Prix Special to music is limited to and compulsory for the best eight (8) placed teams of the FEI Grand Prix, including those tied for 8<sup>th</sup> place. No individual Athletes are allowed.

If one Team drops out before the start of the competition, it is replaced by the next ranked Team up to two (2) hours before the start of the FEI Grand Prix Special. In the case where more than eight (8) teams qualify for the FEI Grand Prix Special due to a tie, if a team should drop out before the start of the competition, and eight (8) teams remain qualified, no additional teams will move up and participate.

The Athlete must deliver his Grand Prix Special music to the OC but it will not be judged in the Grand Prix Special competition.

A rest day to be scheduled, preferably between Competitions two (2) and three (3).

**Substitutions:** During the period between the Team Qualifier (Grand Prix) and up to two hours before the start of the Team Final (Grand Prix Special to music), the Chef d'Equipe may substitute an Athlete/Horse combination with the Ap Alternate Athlete/Reserve Horse. The provisions of Article 609.2 apply to all substitutions.

In all cases of substitution, the Ap Alternate Athlete/Reserve Horse combination will fill the space of the substituted combination but will not be entitled to compete in the FEI Grand Prix Freestyle (Individual Final).

### 3.3. FEI Grand Prix Freestyle test - Individual Final Competition

The FEI Grand Prix Freestyle test is the Individual Final Competition which is open to 18 combinations qualified from the FEI Grand Prix, composed of the top two (2) combinations from each of the 6 groups and the combinations with the six (6) next highest scores (overall best of the rest).

In case a qualified Athlete/Horse combination drops out of the FEI Grand Prix Freestyle, the vacant spot is filled by the next highest placed Athlete/Horse combination (i.e. number 7) of the FEI Grand Prix to fill the number of combinations allowed up to two hour before the start of the FEI Grand Prix Freestyle.

## 4. Draw and starting order

### 4.1 FEI Grand Prix (Team and Individual Qualifier)

- 4.1.1 The order of starting in the FEI Grand Prix Competition which includes both teams and individual Athletes will be done according to the process set out below.
- 4.1.2 The allocation of the starting order of the FEI Grand Prix Competition will be established after the Horse Inspection, in the presence of the President of the Ground Jury, Technical Delegate and Chefs d'Equipe.
- 4.1.3 Athletes compete in six (6) groups, with each group assigned a letter from A – F, with three (3) groups competing on the first day and the remaining three (3) groups on the second day.. The following principles apply: (i) a maximum of two (2) Athletes per NF may start per day; and (ii) there shall be no more than one (1) Athlete per NF per group.
- 4.1.4 The Athlete/Horse combinations' starting order for the FEI Grand Prix Competition is based on the FEI World Ranking List position of the Athlete/Horse combinations in the FEI World Ranking List in effect on the date of definite entries. The Athlete/Horse combinations shall be positioned in the six (6) groups, as per Table 1 below with the highest ranked Athlete in position #1, the second highest ranked Athlete in position #2, and so on until all Athlete/Horse combinations are placed.
- 4.1.5 In case of equality in FEI Dressage World Ranking points, the Athlete/Horse combination with the greatest number of higher placings in the highest level competitions will be considered as ranked higher than the other Athlete/Horse combination(s), and so on until any ties are broken. If there is still a tie, then a draw shall be done.

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- 4.1.6 During the above process of positioning the Athletes, if either or both of the criteria referenced in art. 4.1.3 (i) and (ii) cannot be fulfilled, the Athlete's position shall be moved to the next available position that fulfils those criteria. The next Athlete in the list will be placed in the first available starting position fulfilling the criteria for 4.1.3 (i) and (ii).
- 4.1.7 If the position remaining for the last Athlete/Horse combination to be placed in a group does not fulfil the criteria of art 4.1.3 (i) and (ii), this Athlete/Horse combination will be moved to the nearest starting position that fulfils those criteria, provided that the criteria of 4.1.3(i) and (ii) must be met for both the last Athlete/Horse combination and the Athlete/Horse combination with whom the last Athlete/Horse combination swaps position.

**Table 1:**

Start number	Day 1			Day 2		
	Group A	Group B	Group C	Group D	Group E	Group F
1	#60	#58	#56	#59	#57	#55
2	#54	#52	#50	#53	#51	#49
3	#48	#46	#44	#47	#45	#43
4	#42	#40	#38	#41	#39	#37
5	#36	#34	#32	#35	#33	#31
6	#30	#28	#26	#29	#27	#25
7	#24	#22	#20	#23	#21	#19
8	#18	#16	#14	#17	#15	#13
9	#12	#10	#8	#11	#9	#7
10	#6	#4	#2	#5	#3	#1

*# Starting positions according to Athlete/Horse combination position in the FEI World Dressage Rankings*

- 4.1.8 Starting order of the Grand Prix Competition: the starting order in each group starts according to the numbered rows 1 to 10 in Table 1.
- 4.1.9 Should a Horse lose a shoe during final warm-up preventing the combination to start at the given time, the athlete/horse combination will be given a slot at the start of the next group or at the next best time (upon decision of the TD) and the results of this athlete/horse combination will count in their original group. The new start time must be on the same day.

### **4.2 FEI Grand Prix Special to music (Team Final)**

- 4.2.1 The starting order for the FEI Grand Prix Special to music is determined in three (3) groups of eight (8) Athlete/Horse combinations each. One Athlete per NF will start in each group. The Group distribution will be decided by the Chef d'Equipe who must declare which Athlete/Horse combination starts in the first group, in the second group and in the last group.
- 4.2.2 The Chef d'Equipe must deliver a sealed envelope containing the order of go of the Athletes within their team to the Technical Delegate, no later than 30 minutes after the end of the FEI Grand Prix Competition,
- 4.2.3 In the first and second group, team Athletes will start in reverse order of the FEI Grand Prix team overall results. After the first and second group have competed, an intermediate team standing will be established and there will be a break (of between 45 - 60 minutes (to be determined)) before the start of the third group. In the third group,

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team Athletes will start in reverse order of the intermediate FEI Grand Prix Special team standing (based on results of team Athletes after the second group).

### 4.3 FEI Grand Prix Freestyle (Individual Final)

The starting order for the Grand Prix Freestyle Test is determined by a draw within three (3) groups of six (6), with the group of Athletes placed 13-18 highest in the Grand Prix starting first and finishing with the group of Athletes placed 1-6 highest.

## 5 Classification of the Competitions

### 5.1 Teams

5.1.1. Only the Grand Prix Special to music Competition counts towards the Team classification.

5.1.2. The winning team is the one (1) having the highest total points of their three (3) Athletes after their results of the Grand Prix Special to music. The second placed team is the one (1) with the next highest total points and so on. The final result for each team should be given in points. The total score for the classification is obtained by adding the total points on each of the Judges' sheets, eventually corrected for changes by the JSP.

5.1.3. **Ties:** in the case of equality of points the winning team is the one (1) whose lowest classified team Athlete in the Grand Prix Special to music has the best result in the Grand Prix Special to music. If still tied the same rule will be applied to the second lowest classified Athlete in the Grand Prix Special to music and so on.

### 5.2 Individuals

5.2.1. Only the FEI Grand Prix Freestyle Competition counts towards the final Individual Classification.

5.2.2. The winning individual Athlete is the one (1) with the highest final percentage in the Grand Prix Freestyle Competition. The second placed individual Athlete is the one (1) with the next highest total percentage in the Grand Prix Freestyle, and so on. Percentage scores are given with numbers to three (3) places after the decimal point.

5.2.3. For publication of results refer to Article 435 of the Dressage Rules.

5.2.4. **Ties:** If two (2) Athletes have the same final score, the higher artistic marks in the Grand Prix Freestyle shall decide. If still tied the higher marks for harmony shall decide. If still tied the higher marks for choreography shall decide.

## 6 Horse Inspection

Horse Inspections will be carried out in accordance with Veterinary Regulations (VRs). Nations will present themselves in alphabetical order according to the official NOC code, and by Horse numbers of each nation.

6.1 Dressage: All Horses qualified for the FEI Grand Prix Freestyle (Individual Final) must present and pass the second Horse Inspection, as per the FEI Veterinary Regulations.

6.2 In addition, Horses from the next six highest ranked combinations from the FEI Grand Prix Competition will be presented as reserve Horses. In case of a tie, Article 627.4.1.3.1 shall apply.

6.3 In case a qualified Athlete/Horse combination withdraws or retires before the second Horse Inspection or is not accepted at the second Horse Inspection, the next best ranked Athlete/Horse combination from the FEI Grand Prix Competition, not already qualified, will enter the list of qualified horses for the FEI Grand Prix Freestyle Competition (in last position), provided the Horse from that combination has passed the 2<sup>nd</sup> second Horse Inspection.

## Article 628 – QUALIFICATION PROCEDURE - DRESSAGE (2020 Procedure approved by IOC)<sup>3</sup>

### 1. Athletes Quota:

A Maximum of sixty (60) Athletes and sixty (60) Horses and fifteen (15) Reserve Horses (one (1) per qualified team)

Maximum 1 Team per nation and 3 Athletes per team.

All quota places are allocated to the NOC.

### 2. FEI Olympic Groups as referred to are based on the following seven (7) geographical regions

A - North Western Europe;

B - South Western Europe;

C - Central & Eastern Europe; Central Asia;

D - North America;

E - Central & South America;

F - Africa & Middle East;

G - South East Asia, Oceania.

**Note:** Group distribution of NFs – see Annex H

### 3. Teams Qualification - Dressage

Fifteen (15) NOCs will obtain direct qualification; fourteen (14) team quota places will be allocated through team championships or at an FEI Designated Olympic Qualification Event and one (1) as a Host Country place, as per the table below. The qualifications Events are listed in hierarchical order of qualification.

A team consists of three (3) Athletes/Horses.

	QUALIFICATION EVENTS	TEAM PLACE
1.	The Host Country (JPN)	JPN (1) <i>3 Athletes/Horses</i>
2.	The six (6) highest placed teams from the 2018 World Equestrian Games (WEG) excluding the Host Country team.	(6) <i>18 Athletes/Horses</i>
3.	The three (3) highest placed teams from the 2019 European Championship from FEI Olympic Groups A and/or B, excluding teams qualified above.	(3) <i>9 Athletes/Horses</i>
4	The (1) highest placed team from an FEI Designated Olympic Qualification Event from FEI Olympic Group C excluding teams qualified above	(1) <i>3 Athletes/Horses</i>
5.	The two (2) highest placed teams from FEI Olympic Groups D and/or E of the 2019 Pan-American Games, excluding teams qualified above.	(2) <i>6 Athletes/Horses</i>
6.	The (1) highest placed team from Group F from the 2018 FEI World Equestrian Games or if this quota is not filled at the FEI World	(1) <i>3 Athletes/Horses</i>

<sup>3</sup> In case of incompatibility, conflict or divergence between the summarised key provisions of the FEI Qualification Systems in this document and a provision of the relevant FEI Qualification System, the relevant FEI Qualification System as approved by the IOC shall prevail.

## Chapter III - Dressage

	Equestrian Games 2018 the quota will be allocated at a 2019 Group F FEI Designated Olympic Qualification Event, excluding teams qualified above	
7.	The (1) highest placed team from Group G from the 2018 FEI World Equestrian Games or if this quota is not filled at the FEI World Equestrian Games 2018 the quota will be allocated at a 2019 Group G FEI Designated Olympic Qualification Event, excluding teams qualified above	(1) 3 Athletes/Horses
<b>Total: 15 Teams / maximum forty-five (45) Athletes</b>		
	<b>Reallocation of Team Quota Place(s):</b> Composite" qualified Teams	(+)

The total number of teams participating in the team competition, including Composite Teams, may not exceed 15.

### 4. Individual Qualification - Dressage

Only NOCs which have not accepted a team quota place are eligible to obtain individual quota places.

Each Athlete can qualify a maximum of one (1) quota place for his NOC.

**FEI Olympic Ranking – Dressage:** The official rankings based on results achieved by an Athlete and Horse as a combination at the Olympic Ranking Events during the period 01 January 2019 – 31 December 2019 included.

	QUALIFICATION	INDIVIDUAL QUOTA
1.	<p><b>FEI Olympic Ranking – Dressage (allocation through FEI Olympic Groups)</b></p> <p>The two (2)* highest ranked Athletes, maximum one (1) per NOC, in the FEI Olympic Ranking – Dressage from each of the five (5) below-mentioned groups will qualify one (1) individual quota place each for their NOC:</p> <p>A – North Western Europe            B – South Western Europe            C – Central &amp; Eastern Europe; Central Asia            F – Africa &amp; Middle East            G – South East Asia, Oceania</p> <p>The four (4)* best ranked athletes, maximum one (1) per NOC, in the FEI Olympic Ranking from the Olympic Groups below - mentioned will qualify one (1) individual quota place each for their NOC:</p> <p>D &amp; E - North America, Central and South America</p> <p>* Unless one (1) or two (2) individual quota places from that FEI Olympic Group has already been allocated to an NOC/NOCs from that FEI Olympic Group due to their withdrawal of a qualified team as per the process set out in paragraph below:            Reallocation of Unused Qualification Places.</p>	(14)

<b>2.</b>	<b>FEI Olympic Ranking – Dressage (allocation through overall ranking)</b>  One (1) quota place will be allocated to the NOC of the highest ranked Athlete in the FEI Olympic Rankings – Dressage excluding NOCs qualified above	(1)
<b>TOTAL: fifteen (15) Athletes</b>		

## 5. Host Country Places

The Host Country is guaranteed one (1) team with a maximum of three (3) Athletes. Each Athlete and Horse must obtain the Minimum Eligibility Requirements to compete as listed in Athletes eligibility below.

In case the Host Country is unable to field a team, it may send one (1) eligible individual Athlete instead.

## 6. Reallocation of Unused Quota Positions

### 6.1. Unused Team quota places (excluding Host Country places)

If an NOC does not confirm the NOC Certificate of Capability (as defined in Annex C by 31 December 2019 or informs the FEI, by 3 February 2020, that it declines its team quota place, the team quota place(s) will be considered to be withdrawn and will be reallocated as follows:

- The team quota place(s) will be redistributed to the Composite Team(s) (as defined in the Annex C), provided that the NOC(s) had the minimum three eligible combinations as of 31 December 2019.
- If an NOC has declined a team quota place by 3 February 2020 or an NOC has not confirmed the NOC Certificate of Capability by 31 December 2019, then the NOC will be allocated one (1) individual quota place from within the respective FEI Olympic Group in the Individual Qualification.
- A maximum of two (2) individual quota places per FEI Olympic Group can be allocated to NOCs who were informed of their team quota place by the FEI on 10 January 2020.
- If more than two (2) NOCs from the same FEI Olympic Group withdraw prior to 3 February 2020, then priority for the individual quota places will be given in chronological order of withdrawal, i.e. the NOC that has withdrawn earliest shall have priority. If the NOCs concerned have each withdrawn at the same time, the NOC with the highest ranking Athlete on the FEI Olympic Ranking – Dressage shall have priority. In the event of a tie, the FEI will invite the NOCs/NFs to a draw. If an NOC does not have any Athlete on the FEI Olympic Ranking - Dressage, then that NOC shall not be entitled to an individual quota place.
  - o For the purpose of this process, the term “withdrawal” shall mean either:
    - (i) A written notification by an NOC to the FEI that the NOC declines its team quota place. In such circumstances, the date of withdrawal shall be the date the written notification is received by the FEI.



## Chapter III - Dressage

or

- (ii) Failure by an NOC to confirm their NOC Certificate of Capability (as defined in Annex C) by 31 December 2019 deadline. In such circumstances, the date of withdrawal shall be deemed to be 31 December 2019.
- A NOC that withdraws its team quota place after 3 February 2020 will not be entitled to be allocated an individual quota place in accordance with the process above.
- If the full quota of 15 teams has not been reached following the reallocation of team quota places as detailed above, the unused Athlete quota places (three (3) per team) will be reallocated to the NOCs as individual quota places. These places will be allocated to the NOC of the next highest ranked Athletes from the overall FEI Olympic Ranking – Dressage, excluding NOCs that have already accepted a team quota place, and provided that the NOC has at least one (1) Athlete/Horse combination that fulfils the Minimum Eligibility Requirements by the MER Deadline.

**Composite Team (see also Annex C):** A three (3) member team from an NOC. The Composite Team will be selected according to the following process:

- the respective rankings of each NOC's top three (3) different Athletes in the FEI Olympic Ranking – Dressage shall be added together to give an aggregate ranking;
- the NOC with the best aggregate ranking shall be awarded the redistributed quota place;
- in the event of a tie (i.e. where the aggregate rankings are the same), the redistributed quota place shall be awarded to the NOC with the highest ranked Athlete on the FEI Olympic Ranking - Dressage. In the event of a further tie, the redistributed quota place shall be awarded to the NOC with the second highest ranked Athlete on the FEI Olympic Ranking - Dressage, and so on. If the tie cannot be broken following this process, the FEI will invite the NOCs/NFs concerned to a draw.

### 6.2. Unused individual quota places

If an allocated individual quota place is not confirmed by the NOC or is declined by the NOC by the confirmation deadline, 16 March 2020, the quota place will be reallocated as follows:

- (i) To the NOC of the next highest ranked Athlete in the FEI Olympic Ranking – Dressage to the relevant Group according to the qualification procedure.
- (ii) Any unused or unallocated individual quota place to be reallocated through the FEI Olympic Ranking - Dressage.
- (iii) If the maximum quota of 60 Athletes has not been reached following the reallocation of individual quota places as detailed above, any unused individual quota places will be reallocated to the NOC(s) of the next highest ranked Athlete(s) on the overall FEI Olympic Ranking - Dressage, from an NOC that has already earned an individual quota place (maximum of two (2) individual quota places per NOC). Under no circumstances may the total number of Athletes exceed 60.

### 7. Reallocation of unused Host Country places

In case the Host Country is unable to field a team or decides to send one (1) eligible Athlete instead of a team, the unused Athletes quota places will be reallocated to the NOCs of the highest ranked Athletes, not already qualified in the FEI Olympic Ranking – Dressage.

### 8. Qualification Timelines – Dressage

## Chapter III - Dressage

Date	Milestone
11 – 23 September 2018	FEI World Equestrian Games (Tryon, USA)
19 – 25 August 2019	FEI European Championships (Rotterdam, NED) – FEI Olympic Groups A,B
6 – 9 June 2019	FEI Designated Qualification Event (Moscow, RUS) - FEI Olympic Group C
26 July – 11 August 2019	Pan-American Games (Lima, PER) - FEI Olympic Groups D & E
TBD	FEI Designated Qualification Event - FEI Olympic Group F
Not Applicable	FEI Designated Qualification Event - FEI Olympic Group G
End of 2019 FEI Nations Cup™ Dressage Season	FEI Nations Cup™ Dressage 2019 Ranking

15 December 2018	FEI to publish List of Olympic Ranking Events and ranking rules & 2019 MER Events
WEG 2018 - 31 Dec. 2019	Period for NOCs to achieve a <b>team</b> quota place (NOC Certificate of Capability)
15 December 2019	FEI to publish List of 2020 MER Events
1 January - 31 December 2019	Ranking period: FEI Olympic Ranking – Dressage
1 January 2019 – 21 June 2020 ("MER Deadline")	Period for Athletes and Horses to achieve the FEI minimum eligibility requirements and, where applicable, the Confirmation Result

By 10 January 2020	FEI to inform NOCs/NFs of their <b>team</b> quota place allocation
3 February 2020	NOC to confirm allocated <b>team</b> quota place to FEI. If an NOC withdraws their team quota place by this date or had not confirmed their NOC Certificate of Capability as of 31 December 2019, the NOC in question will be eligible for an <b>individual</b> quota place (subject to the process set out in "Team Qualification").
17 February 2020	FEI to inform NOCs/NFs of: <ul style="list-style-type: none"> <li>• allocation of Composite Team(s) quota place(s)</li> <li>• reallocation of one (1) individual place to each NOC that has withdrawn their team quota place (subject to the process set out in "Team Qualification")</li> <li>• allocation of <b>individual</b> quota places.</li> </ul> Determination of individual quota places and Composite Teams based on FEI Olympic Ranking - Dressage
16 March 2020	NOCs to confirm use of allocated individual quota places and Composite Team(s) quota places to FEI
December 2020	FEI to publish list of 2020 MER Events
21 June 2021 "MER Deadline"	Deadline to achieve the minimum eligibility requirements, and where applicable, the Confirmation Result. FEI Nominated Entries – FEI to receive FEI Certificates of Capability(as defined in Annex C) (Athlete and Horse combination)
one (1) day after MER Deadline	FEI to confirm approval of FEI Certificates of Capability to NFs
5 July 2021	Tokyo 2020 Sport Entries Deadline
23 July 2021	Opening Ceremony of the Olympic Games Tokyo 2020

### **Article 629 – ATHLETES & HORSES MINIMUM ELIGIBILITY CRITERIA – DRESSAGE**

## Chapter III - Dressage

### 1. Minimum Eligibility Requirements - Dressage

To be eligible to participate in the Olympic Games Tokyo 2020 Dressage Competitions, and subject to the provisions of paragraphs 2, 3 and 4 below, all Athletes/Horses must achieve, as a combination, the Minimum Eligibility Requirements (**MER**) at selected Events which take place from 1 January 2019 until (and including) 21 June 2021 (the "**MER Deadline**"). Athlete/Horse combination must fulfil the minimum eligibility requirements as described below.

A minimum 66% must be attributed twice to the Athlete/Horse combination by both a 5\* star judge and as an average from all judges in the competition, and the score must be achieved in a Grand Prix test at two different CDI3\*/CDI4\*/CDI5\*/CDI-W/CDIO events. The two 5\* judges must be of a nationality other than of the Athlete. Scores achieved in Preliminary or Consolation Grand Prix classes judged by three Judges do not count towards the minimum eligibility requirement.

Athletes and Horses must obtain the MER at events which take place from 1 January 2019 until the MER Deadline of 21 June 2021 included.

### 2. List of Selected Events

**The list of Olympic Ranking Events and MER Events** (as defined in Annex C) for achieving Olympic ranking points / the minimum eligibility requirements will be published on the FEI website: [www.fei.org](http://www.fei.org). The list of Olympic Ranking Events and 2019 MER Events will be published on 15 December 2018 and the 2020 MER Events\* will be published on 15 December 2019 at the latest. No additions of Events will be accepted after the publication of the selected Events calendar. Replacement in case of cancellation of Event for force majeure reasons will be reviewed on a case to case basis.

The 2021 MER Events will be published in December 2020.

\* Taking into account the impact of Covid-19 on the FEI Calendar in 2020, a MER (or partial MER) can be achieved at any FEI Event at the relevant level (as per paragraph 1 above) in 2020 (i.e. between 1 January 2020 and 31 December 2020 (inclusive)).

### 3. Additional Provisions related to the Postponement of the Tokyo 2020 Olympic Games to 2021

- 3.1 An Athlete/Horse combination that has achieved the MER during the period 1 January 2019 to 31 December 2019 (inclusive) must achieve an additional confirming result (the "**Confirmation Result**") according to the conditions set out in paragraph 4 below during the period **1 January 2020 – 21 June 2021**.
- 3.2 An Athlete/Horse combination that has achieved the MER during the period 1 January 2020 – 21 June 2021 does not need to achieve a Confirmation Result.
- 3.3 An Athlete/Horse combination that has achieved a partial MER during the period **1 January 2019 to 31 December 2019** must complete their MER by the MER Deadline (21 June 2021). Such Athlete/Horse combination does not need to achieve a Confirmation Result.
- 3.4 An Athlete/Horse combination that has not achieved any MER (either full or partial) during the period 1 January 2019 to 31 December 2019 must achieve their MER by the MER Deadline (21 June 2021). Such Athlete/Horse combination does not need to achieve a Confirmation Result.

### 4. Confirmation Result Criteria

- 4.1 Athlete/Horse combinations must achieve the Confirmation Result at one event according to the following criteria:

## Chapter III - Dressage

A minimum of 66% must be attributed twice to the Athlete/Horse combination by both a 5\* star judge and as an average from all judges in the competition, and the score must be achieved in a Grand Prix test at any CDI3\*/CDI4\*/CDI5\*/CDI-W/CDIO event.

The 5\* judge must be of a nationality other than of the Athlete.

Scores achieved in Preliminary or Consolation Grand Prix classes judged by three Judges do not count towards the Confirmation Result.

## **CHAPTER IV – JUMPING**

### **Article 630 – RULES FOR THE JUMPING COMPETITIONS**

#### **1. General**

The Jumping Competitions are conducted in accordance with the FEI Jumping Rules, with the addition to specific regulations listed below.

Wherever these Regulations refer to “combined time” to separate teams that are equal on penalties, the team with the lowest combined time shall be ranked ahead of the other team(s).

Wherever these Regulations refer to “Athletes who have completed the Competition” this shall exclude any Athlete who is eliminated from the Competition after his/her round; eliminated Athletes are not given a Score and as such cannot be considered as having “completed” the Competition. For the avoidance of doubt, Elimination may be retroactive, in accordance with JRs Art. 241.1.

#### **2. Jumping Training Session**

The OCOG, in agreement with the Jumping Technical Delegate must organise a training session for the Athletes of the Jumping Competitions in the main Competition arena under the following conditions:

- (i) It must take place before the Individual Qualifier, in the same conditions as the competition (e.g. evening session under floodlights etc.)
- (ii) Except for the water jump, which must be included, none of the obstacle material, water jumps or ditches to be used for the Olympic Competitions may be used.
- (iii) A course consisting of maximum 10 obstacles, including a combination; maximum height 1.50 metres height.
- (iv) Each Athlete is permitted a maximum of 90 seconds per Horse. One (1) Athlete at any time allowed in the arena.
- (v) Dress is informal; boots, breeches, shirt and protective headgear must be worn.
- (vi) The training session is open to maximum three Athletes (plus the Ap Alternate Athletes) and maximum four Horses per team. Horses that have not passed the First Horse Inspection, or the re-inspection, if applicable, are not eligible to take part in the training session.
- (vii) The public may not be charged to enter and prizes of any kind may not be offered.
- (viii) A draw will take place thirty (30) minutes after the First Horse Inspection of the training session to determine the starting order of the nations in the training session. The starting order will be determined in sequence of Horse ID numbers of the Athletes within the teams. The draw will be conducted by the President of the Ground Jury (manually controlled computerised).
- (ix) Start lists will be produced however no results will be issued.

#### **3. Official Jumping Competitions**

**3.1.** The official programme of Olympic Games will comprise two Individual Competitions and two Team Competitions, taking place on different days in the following order:

- (i) The Individual Qualifier (qualifying Competition for the Individual Final);
- (ii) The Individual Final, with a possible jump-off in case of Athletes with equality of Penalties for first place;
- (iii) The Team Qualifier (qualifying Competition for the Team Final);
- (iv) The Team Final, with a possible jump-off in case of teams with equality of Penalties for first place.

## Chapter IV - Jumping

At least one rest day must be scheduled between the Individual Final and the Team Qualifier.

### **3.2 Obstacles in the Official Jumping Competitions**

**3.2.1** The design and construction of obstacles with regard to safety and technical suitability must be approved by the Technical Delegate and Course Designer. Should a dispute arise relating to these obstacles, the Technical Delegate has the final decision. The minimum diameter of poles used in all Official Competitions must be at least nine centimetres.

The water jump must be used a minimum of two times and a maximum of three times in the official Competitions of the Olympic Games, unless in the opinion of the Course Designer and the Technical Delegate it is unsafe to include it due to lighting conditions. The Course Designer will, at his discretion, decide in which Competitions the water jump will be used. The water jump, when used, must have a spread between 3.80 m and 4.00 m (including the take-off element). An official camera shall be installed at the water jump.

Notwithstanding the provisions of Article 200.5 of the FEI Jumping Rules, and only in so far as it relates to the use of the official camera at the water jump, the water jump judge, may in his sole discretion review the video footage from the official camera, if available. The decision of the water jump judge shall be final.

### **4. Pre-Competition Change, Declaration and Substitution of Starters (Individuals & Teams)**

#### **4.1 Pre-Competition Change – Individual Competition**

Chefs d'Equipe can make Pre-Competition Changes of Athlete/Horse combinations until up to 2 hours before the starting time of the Individual Qualifier.

#### **4.2 Declaration of Starters – Individuals**

The declaration of starters for the Individual Qualifier will take place after the conclusion of the training session and must be provided on the day before the start of the Individual Qualifier. At a time fixed by the OC and not later than 18:00 hrs, the Chefs d'Equipe will designate in writing the names of Athletes and Horses (maximum three Athletes/Horse combinations per nation) that will take part in the Individual Qualifier.

The declaration of starters for the Individual Final Competition must be made no later than one (1) hour after the end of the Individual Qualifier. Replacement of Athlete/Horse combinations that withdraw from the Individual Final Competition may be made, in order to complete the quota of 30 Athletes, up until two hours prior to the start of the Individual Final Competition.

#### **4.3 Substitution of Individuals**

No substitution of Athlete/Horse combinations in the Individual Qualifier or the Individual Final is permitted.

#### **4.4 Pre-Competition Change – Team Competition**

Chefs d'Equipe may make Pre-Competition Changes of Athlete/Horse combinations during the period between the end of the Individual Final up to 2 hours before the starting time of the Team Qualifier.

#### **4.5 Declaration of Starters – Team Competition**

The declaration of starters for the Team Qualifier will take place after the re-inspection for the Second Horse Inspection. At a time fixed by the OC and not later than two hours after the conclusion of the re-inspection for the Second Horse Inspection, the Chefs d'Equipe of nations qualified with a team will designate in writing the three Athletes and Horses that will take part in the Team Qualifier.

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The declaration of starters in the Team Final will take place following the Team Qualifier. At a time fixed by the OC and not later than two hours after the conclusion of the Team Qualifier, the Chefs d'Equipe will designate in writing the three Athletes and Horses that will take part in the Team Final.

### **4.6 Substitution of Team Members**

One Substitution of an Athlete/Horse combination per team is permitted.

Such Substitution may only occur during the period between the end of the Team Qualifier and up to two (2) hours before the start of the Team Final. The provisions of Article 609.2 apply to all substitutions.

The Athlete/Horse combination to be substituted will be replaced by the Ap Alternate Athlete/Reserve Horse combination, provided the Reserve Horse has passed the Second Horse Inspection or the re-inspection for the Second Horse Inspection.

Substitutions are not permitted for a jump-off.

## **5. First Competition Day: Individual Qualifier (Jumping –Qualifier for the Individual Final)**

### **5.1 Conduct, Table and Speed**

The Individual Qualifier is conducted over one round under Table A not against the clock, without a jump-off, at a speed of 400 metres per minute (Article 238.1.1 of Jumping Rules). At the discretion of the Technical Delegate, depending on the size of the arena, the speed may be reduced to 375 metres per minute. Athletes with equality of penalties will be placed equal.

This Competition counts as a qualification for the Individual Final.

### **5.2 Obstacles, Length of the Course**

Twelve (12) to fourteen (14) obstacles, with a maximum of seventeen (17) efforts, including at least one (1) double and one (1) treble but not more than two (2) doubles and one (1) treble. The obstacles may vary from 1.40 metres to maximum 1.65 metres in height, with a spread in proportion not exceeding two metres (2.20 metres for the triple bar).

A maximum of two spread obstacles with a width of one metre or less may be used. There must be two (2) vertical obstacles of 1.60 metres. Sloping walls do not count as compulsory vertical obstacles.

Length: 500 metres minimum, 650 metres maximum

### **5.3 Participation**

The Individual Qualifier is open to 75 Athlete/Horse combinations. A maximum of three (3) Athletes/Horses per nation, selected from among those entered, may start in this Competition.

### **5.4 Starting Order**

Athletes will be divided into three groups based on the FEI Nations Cup Ranking and on the FEI Athlete/Horse Combination Ranking established over a period of 24 months from 1 July 2019 to 30 June 2021. All Athletes from the same nation will start in the same group.

The highest ranked nation on the FEI Nations Cup Ranking will be placed in the group that will start last, the second ranked nation will be placed in the group that will start second, and the third ranked nation will be placed in the group that will start first; the fourth ranked nation will be placed in the group that starts last and so on until all nations have been placed in groups.

If a nation represented by a team is not listed on the FEI Nations Cup Ranking, it will be placed in the group that starts first.

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In case of nations tied on points on the FEI Nations Cup Ranking, a manual draw will be carried out in the presence of the Ground Jury, Foreign Technical Delegate and the Chefs d'Equipe to determine in which group they will be placed.

The individual Athletes will be inserted into the groups in the same way: the highest ranked Athlete/Horse combination on the FEI Athlete/Horse Combination Ranking will be placed in the group that starts last, the second ranked combination will be placed in the group that starts second, the third ranked combination will be placed in the group that starts first, etc.

In case of individual Athletes tied on points on the FEI Athlete/Horse Combination Ranking, a manual draw will be carried out in the presence of the Ground Jury, Foreign Technical Delegate and the Chefs d'Equipe to determine in which group they will be placed.

Once the nations and individuals have been placed into the three groups, the starting order within the groups will be determined by a slow computerised draw, in the presence of the Ground Jury, Foreign Technical Delegate and Chefs d'Equipe, after the Training Session at a time fixed by the President of the Ground Jury in agreement with the OCOG.

First a draw for the individual starting places takes place, followed by a draw to decide the starting position of each nation represented by a team. The starting order of team members within each Team is established by the Chefs d'Equipe after the draw.

### **5.5 Individual Placing in the Individual Qualifier**

Athletes will be placed according to their Penalties in the Individual Qualifier; Athletes with equality of Penalties are placed equal.

In case of Athletes tied on Penalties for the last qualification place for the Individual Final, they will be separated by the time of their round. If after taking into consideration each Athlete's time there are Athletes tied on Penalties and time they will be placed equal.

## **6. Second Competition Day: Individual Final**

### **6.1 Conduct, Table and Speed**

The Individual Final is conducted under Table A, according to Jumping Rules Art. 238.2.2, one round against the clock with a jump-off against the clock in case of a tie on Penalties for first place, at a speed of 400 metres per minute. At the discretion of the Technical Delegate, depending on the size of the arena, the speed may be reduced to 375 metres per minute.

If there are no more than two Athletes tied on Penalties for first place and there are Athletes tied on Penalties and time for third place, there will be also be a jump-off for third place; this jump-off will take place before the jump-off for first place. If there are no Athletes tied on Penalties for first place but there are Athletes tied on Penalties and time for second place or third place there will be a jump-off to decide the respective medal placing.

### **6.2 Obstacles, Length of the Course**

Twelve (12) to fifteen (15) obstacles, with a maximum of nineteen (19) efforts, including at least one (1) double and one (1) treble but not more than two doubles and one treble. The height of obstacles may vary between 1.40 metres minimum and 1.65 metres maximum, with a spread in proportion to the height, not exceeding 2.00 metres (2.20 metres for the triple bar). A maximum of two (2) spread obstacles with a width of 1.00 metre or less may be used. There must be at least two vertical obstacles of minimum 1.60 metres. Sloping walls do not count as compulsory vertical obstacles.

Length: 500 metres minimum, 700 metres maximum.

### **6.3 Participation**

The Individual Final is open to the 30 best placed Athletes (this number to be increased in case of any Athletes tied on Penalties and time for 30<sup>th</sup> place) from the Individual



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Qualifier. If for any reason one or more of Athletes qualified for the Individual Final are unable to start, he/they will be replaced by the next best placed Athlete(s) from the Individual Qualifier.

All Athletes start on zero (0) Penalties in the Individual Final.

### **6.4 Starting Order**

The starting order in the Individual Final will be established according to the reverse order of classification in the Individual Qualifier according to Penalties and time. In case of a tie of Penalties and time Athletes will retain the same starting order as in the Individual Qualifier.

### **6.5 Jump-off**

The jump-off(s) will be conducted against the clock at a speed of 400 m per minute over a minimum of six obstacles, which may be increased in height and/or spread. At the discretion of the Technical Delegate, depending on the size of the arena, the speed may be reduced to 375 m per minute.

The starting order for the Athletes taking part in the jump-off for first place will be established according to each Athlete's time in the Individual Final; the Athlete with the slowest time will start first and the Athlete with the fastest time will start last. Should there be a jump-off for Athletes tied on Penalties and time for second or third place, the starting order will be according to the starting order in the initial round of the Individual Final.

### **6.6 Individual Final Placing**

Athletes will be placed according to their Penalties and time in the jump-off. The Athlete with the best Score in the jump-off for first place will be placed first and declared the Olympic Champion.

In case of Athletes with equality of Penalties and time in the jump-off, the Athletes concerned will be placed equal.

Athletes not taking part in the jump-off will be placed according to their Penalties and time in the Individual Final; Athletes with equality of Penalties and time will be placed equal.

## **7. Third Competition Day: Team Qualifier (Jumping – Qualifier for the Team Final)**

### **7.1 Conduct, Table, Speed**

The Team Qualifier is conducted under Table A not against the clock according to JRs Art. 238.1.1 over one round at a speed of 400 m per minute, without a jump-off in the event of equality of Penalties for first place. At the discretion of the Technical Delegate, depending on the size of the arena, the speed may be reduced to 375 m per minute.

Athletes who do not start or who withdraw or who are eliminated or who retire from the Competition will not be given a Score. Refer to Art. 630.7.5 for details relating to classification of teams in case of failure to start, withdrawal, elimination or retirement of a team Athlete. In case of disqualification of any of the Athletes and/or Horses taking part in the Competition, the entire team in question is disqualified and may take no further part in the Competition.

### **7.2 Obstacles, Length of the Course**

Twelve (12) to 14 obstacles, with maximum 17 efforts, including at least one (1) double and one (1) treble but not more than two (2) doubles and one (1) treble. The height of obstacles may vary between 1.40 metres minimum and 1.65 metres maximum, with a spread in proportion to the height, not exceeding 2.00 metres (2.20 metres for the triple bar). A maximum of two (2) spread obstacles with a width of 1.00 metre or less may be used. There must be at least two vertical obstacles of minimum 1.60 metres and at least

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six other obstacles of at least 1.40 metres in height. Sloping walls do not count as compulsory vertical obstacles.

Length: 500 metres minimum, 650 metres maximum.

### **7.3 Participation**

The Team Qualifier is open to 20 teams (three Athletes per team).

All teams start with zero (0) penalties in the Team Qualifier.

### **7.4 Starting order**

Teams will be divided into two groups of ten (Group I and Group II) based on the FEI Nations Cup Ranking established over a 24 month period from 1 July 2019 to 30 June 2021 as per the FEI Nations Cup Ranking Rules published on the FEI Website. The ten teams with the most points will be placed in Group II and the ten teams with the least points will be placed in Group I. Teams that tie on points for 10<sup>th</sup> place remain in Group II. If a nation is not listed on the FEI Nations Cup Ranking it will be placed in Group I. In case of an odd number of teams, Group II will have one more team than Group I. The starting order of teams within the respective groups will be established according to a draw in the presence of the Ground Jury, the Foreign Technical Delegate and the Chefs d'Equipe. The starting order of team members within each team is established by the Chefs d'Equipe after the draw, at a time fixed by the OC. The first Athlete in each team will start first, with the first Athletes of the Group I teams starting before the first Athletes of the Group II teams. When all of the teams' first Athletes have participated, the second Athlete in each team will start, with the second Athletes of the Group I teams starting before the second Athletes of the Group II teams. When all of the teams' second Athletes have participated, the third Athlete in each team will start, with the third Athletes of the Group I teams starting before the third Athletes of the Group II teams.

### **7.5 Team Placing in the Team Qualifier**

Teams receive their placing in the Team Qualifier by adding the Penalties incurred by the three (3) Athletes in each team. Athletes who do not start or who withdraw or who are eliminated or who retire from the Team Qualifier will not be given a Score; teams with one (1) Athlete who has not started or who has withdrawn, retired or been eliminated from the Team Qualifier will be placed according to the combined Penalties incurred by the two (2) Athletes who completed the Team Qualifier. Teams in which all three (3) Athletes completed the Team Qualifier shall be placed before teams with only two (2) Athletes who completed the Team Qualifier. Teams with two (2) Athletes who have not started and/or who have withdrawn and/or retired and/or been eliminated from the Team Qualifier will be eliminated. For the avoidance of doubt Elimination may also be retroactive, in accordance with FEI Jumping Rules Art. 241.1; any Athlete who has been eliminated from the Competition after his/her round cannot be considered as having completed the Competition.

In case of equality of Penalties for the last qualification place for the Team Final among teams in which all three (3) Athletes completed the Team Qualifier, they will be separated by the combined times of their three (3) Athletes. In case of equality of Penalties for the last qualification place for the Team Final among teams with only two (2) Athletes who completed the Team Qualifier, they will be separated by the combined times of their two (2) Athletes.

If after taking into consideration the combined times of each team's three (3), respectively two (2), Athletes there are teams tied on Penalties and time for the last qualification place in the Team Qualifier, those teams will be placed equal and allowed to participate in the Team Final.

The same principle will apply for the purpose of establishing the start order for the Team Final; in case of equality of Penalties among teams in which all three (3) Athletes completed the Team Qualifier, or in case of equality of Penalties among teams with only two (2) Athletes who completed the Team Qualifier, they will be separated by the

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combined times of their three (3) Athletes, respectively of their two (2) Athletes who completed the Team Qualifier (refer also to Art. 630.8.4). If after taking into consideration the combined times of each team's three (3), respectively two (2), Athletes there are teams tied on Penalties and time, the teams concerned will retain the same starting order as in the Team Qualifier. For clarification, the time taken to complete the round is not taken into consideration for the classification of teams in the Team Qualifier; all teams are placed according to the combined Penalties of their three (3), respectively two (2) Athletes who completed the Team Qualifier. Teams in which all three (3) Athletes completed the Competition will be placed before teams with only two (2) Athletes who completed the Competition.

### **8. Fourth Competition Day: Team Final**

#### **8.1 Conduct, Table, Speed**

The Team Final is conducted under Table A not against the clock according to JRs Art. 238.1.1 over one round with a jump-off against the clock in the event of equality of Penalties for first place, at a speed of 400 m per minute. At the discretion of the Technical Delegate, depending on the size of the arena, the speed may be reduced to 375 m per minute. If there are teams tied on Penalties and time for second or third place, a jump-off will be held to determine the respective medal placing. In case of a jump-off against the clock for third place and a jump-off for first place, the jump-off for third place will be held before the jump-off for first place.

Athletes who do not start or who withdraw or who are eliminated or who retire from the Competition will not be given a Score. Refer to Art. 630.8.5 for details relating to classification of teams in case of failure to start, withdrawal, elimination or retirement of a team Athlete. In case of disqualification of any of the Athletes and/or Horses taking part in the Competition, respectively in the jump-off, the entire team in question is disqualified and may take no further part in the Competition, respectively in the jump-off.

#### **8.2 Obstacles, Length of the Course**

Twelve (12) to 14 obstacles, with a maximum of 18 efforts, including at least one (1) double and one (1) treble but not more than two (2) doubles and one (1) treble. The height of obstacles may vary between 1.40 metres minimum and 1.65 metres maximum, with a spread in proportion to the height, not exceeding 2.00 metres (2.20 metres for the triple bar). A maximum of two (2) spread obstacles with a width of 1.00 metre or less may be used. There must be at least two vertical obstacles of minimum 1.60 metres and at least six (6) other obstacles of at least 1.40 metres in height. Sloping walls do not count as compulsory vertical obstacles.

Length: 500 metres minimum, 700 metres maximum.

#### **8.3 Participation**

The Team Final is open only to the ten (10) best placed teams in the Team Qualifier (three Athletes per team) plus any teams tied on Penalties and time for the 10<sup>th</sup> place. Refer to Art. 630.7.5 for details on classification of teams in the Team Qualifier.

Teams that withdraw before the start of the Team Final will not be replaced.

All teams taking part in the Team Final start with zero Penalties.

#### **8.4 Starting Order**

The starting order in the Team Final is according to the reverse order of classification in the Team Qualifier. Refer to Art. 630.7.5 for details on classification of teams in the Team Qualifier. In case of equality of Penalties among teams in which all three (3) Athletes completed the Competition, they will be separated by the combined times of their three (3) Athletes. In case of equality of Penalties among teams with only two (2) Athletes who completed the Competition, they will be separated by the combined times of their two (2) Athletes. If after taking into consideration the combined times of each team's three (3), respectively two (2), Athletes there are teams with equality of Penalties and time, teams

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retain the same starting order as in the Team Qualifier. The starting order of team members within each team is established by the Chefs d'Equipe at a time fixed by the OC.

After the first two (2) Athletes of all teams have taken part, there must be a twenty (20) minute break in the Competition programme. An intermediate classification of the teams will be established and used to determine the starting order of each team's third Athlete. The intermediate classification will be established according to the combined Penalties incurred by the two (2) Athletes in each team in the initial round. Athletes who do not start or who withdraw or who are eliminated or who retire from the initial round will not be given a Score; teams with one Athlete who has not started or who has withdrawn, retired or been eliminated from the initial round will be placed according to the Penalties incurred by the Athlete who completed the round. Teams in which both Athletes completed the initial round shall be placed before teams with only one (1) Athlete who completed the round. Teams in which both of the first two (2) Athletes have not started and/or who have withdrawn and/or retired and/or been eliminated from the initial round shall be eliminated. The starting order for the third Athletes will be according to the reverse order of this intermediate classification of the teams, so that the leading team's third Athlete starts last, the second best team's third Athlete starts next to last, and so on. In case of a tie on penalties among teams with the same number of Athletes who completed the initial round without being eliminated or retiring, the initial starting order applies.

### **8.5 Team Placing in the Team Final**

Teams are placed according to the combined Penalties incurred by the three (3) Athletes in each team in the initial round of the Team Final. Athletes who do not start or who withdraw or who are eliminated or who retire from the initial round will not be given a Score; teams with one Athlete who has not started or who has withdrawn, retired or been eliminated from the initial round will be placed according to the combined Penalties of the two (2) Athletes who completed the round. Teams in which all three (3) Athletes completed the initial round shall be placed before teams with only two (2) Athletes who completed the round. Teams with two (2) Athletes who have not started and/or who have withdrawn and/or retired and/or been eliminated from the initial round shall be eliminated. For the avoidance of doubt Elimination may also be retroactive, in accordance with FEI Jumping Rules Art. 241.1; any Athlete who has been eliminated from the Competition after his/her round cannot be considered as having completed the Competition.

In the event of teams tied on Penalties for first place in the Team Final, there will be a jump-off against the clock. In the event of teams tied on Penalties for any other placing, the teams are placed according to the combined Penalties and times of their three (3) Athletes who completed the initial round of the Team Final, respectively the combined times of their two (2) Athletes if the tie is among teams with only two Athletes who completed the round.

If there are no more than two (2) teams tied on Penalties for first place and there are teams tied on Penalties and time for third place, there will also be a jump-off against the clock for third place; this jump-off will take place before the jump-off for first place. If there are no teams tied on Penalties for first place but there are teams tied on Penalties and time for second or third place, there will be a jump-off against the clock to decide the respective medal placing.

### **8.6 Jump-off**

#### **8.6.1 Participation**

All three (3) Athletes of teams tied on Penalties for first place, or of teams tied on Penalties and time for second or third place, if applicable, shall take part in the respective jump-off. The rotation order of each team's three (3) Athletes in the jump-off shall be the same rotation order as in the initial round. Substitutions are not allowed in the jump-off.

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### 8.6.2 Obstacles, Speed

The jump-off(s) will be conducted against the clock at a speed of 400 m per minute. At the discretion of the Technical Delegate, depending on the size of the arena, the speed may be reduced to 375 m per minute.

The course of the jump-off consists of at least six (6) obstacles, including a combination.

The height of the obstacles must vary between 1.40 metres minimum and 1.65 metres maximum, with a proportionate spread between 1.50 metres minimum and 2.00 metres maximum (2.20 metres for the triple bar). A maximum of two (2) spread obstacles with a width of 1.00 metre or less may be used.

If the teams have completed the Team Final without penalties, the obstacles may be raised and/or increased in spread.

If the teams have completed the Team Final with penalties, the Ground Jury may decide whether to raise the obstacles and/or increase them in spread.

### 8.6.3 Starting Order

The starting order of teams in the jump-off for first place and for the jump-off for second or third place, if applicable, will be determined based on the combined times of each team's three (3) Athletes in the initial round of the Team Final, the team with the slowest combined time starting first. In case of teams with equality of combined time the starting order in the jump-off will be the same as in the initial round.

### 8.6.4 Team Placing in the Jump-off

Teams in the jump-off are placed according to the combined penalties of their three (3) Athletes in the jump-off. Athletes who do not start or who withdraw or who are eliminated or who retire from the jump-off will not be given a Score; teams with one Athlete who has not started or who has withdrawn, retired or been eliminated from the jump-off will be placed according to the combined Penalties of the two (2) Athletes who completed the jump-off. Teams in which all three (3) Athletes completed the jump-off shall be placed before teams with only two (2) Athletes who completed the jump-off. Teams with two (2) Athletes who have not started and/or who have withdrawn and/or retired and/or been eliminated from the jump-off shall be eliminated and placed last in the jump-off. For the avoidance of doubt Elimination may also be retroactive, in accordance with FEI Jumping Rules Art. 241.1; any Athlete who has been eliminated from the Competition after his/her round cannot be considered as having completed the Competition. In case of equality of Penalties among teams with three (3) Athletes who completed the jump-off, the teams will be separated by the combined times of their three (3) Athletes in the jump-off. In case of equality of Penalties in the jump-off among teams with only two (2) Athletes who completed the jump-off, the teams will be separated by the combined times of their two (2) Athletes in the jump-off.

The team that has obtained the best Score in the jump-off for first place, according to the scoring method set out above, will be placed first and declared Olympic Champion.

If after the jump-off there is equality of Penalties and time for any placing, the teams concerned will be placed equal.

## 9. Horse Inspections

Except as specified below Horse Inspections will be carried out in accordance with Veterinary Regulations. Nations will present themselves in alphabetical order according to the official NOC code, and by Horse number within each team.

The First Horse Inspection will take place the day before the training session. The re-inspection will take place the day after the First Horse Inspection, prior to the training session.

All Horses eligible to take part in the 2020 Olympic Games, including reserve Horses, must be presented at the First Horse Inspection. Horses that have not passed the First Horse Inspection,

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or the re-inspection, if applicable, are not eligible to take part in the training session or in the Individual Competitions.

Notwithstanding the provisions of the Veterinary Regulations, the Second Horse Inspection will take place the day prior to the Team Qualifier. To be eligible to take part in the Second Horse Inspection, Horses must have been presented at the First Horse Inspection, regardless of whether or not they passed the First Horse Inspection. Notwithstanding the provisions of the FEI Veterinary Regulations, re-inspection is permitted after the Second Horse Inspection and will take place on the day after the Second Horse Inspection prior to the Team Qualifier .

All Horses of nations represented by a team (including the Reserve Horse) must be presented at the Second Horse Inspection, providing they were presented at the First Horse Inspection. To be eligible to take part in the Team Competitions, Horses must have passed the Second Horse Inspection or the re-inspection for the Second Horse Inspection.

### **Article 631 – QUALIFICATION PROCEDURE – Jumping (2020 procedure approved by IOC)<sup>4</sup>**

#### **1. Athletes Quota:**

A Maximum of seventy-five (75) Athletes and seventy-five (75) Horses and twenty (20) Reserve Horses (one (1) per qualified team)

Maximum 1 Team per nation and 3 Athletes per team.

All quota places are allocated to the NOC.

#### **2. FEI Olympic Groups as referred to are based on the following seven (7) geographical regions**

A - North Western Europe;

B - South Western Europe;

C - Central & Eastern Europe; Central Asia;\*

D - North America;

E - Central & South America;

F - Africa & Middle East;

G - South East Asia, Oceania.

\*Solely for the purpose of the two (2) 2019 Group C FEI Olympic Group Qualification Events, FEI Olympic Group C shall be divided into the following two (2) groups: Group C1 and Group C2.

National Federations in Group C who choose to participate at the 2019 Group C1 FEI Olympic Group Qualification Event, to be held in Moscow (RUS), shall be referred to collectively as "FEI Olympic Group C1". National Federations in Group C who choose to participate at the 2019 Group C2 FEI Olympic Group Qualification Event to be held in Budapest (HUN) shall be referred to collectively as "FEI Olympic Group C2".

**Note:** Group distribution of NFs – see Annex H

#### **3. Team Qualification - Jumping**

Twenty (20) NOCs will be qualified to take part with a team of minimum three (3) Athletes/Horses as follows, the qualifications Events are listed in hierarchical order of qualification:

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<sup>4</sup>In case of incompatibility, conflict or divergence between the summarised key provisions of the FEI Qualification Systems in this document and a provision of the relevant FEI Qualification System, the relevant FEI Qualification System as approved by the IOC shall prevail

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QUALIFICATION EVENTS		TEAM QUOTA
1.	The Host Country	JPN (1) 3 Athletes/Horses
2.	The six (6) best ranked teams from the 2018 FEI World Equestrian Games™ (WEG), excluding the Host Country team.	(6) 18 Athletes/Horses
3.	The three (3) best ranked teams from Groups A and/or B at the 2019 European Jumping Championship, excluding the teams qualified above.	(3) 9 Athletes/Horses
4.	The <del>two (2)</del> best ranked team from Group C1 at the 2019 Group C1 FEI Designated Olympic Qualification Event, excluding the teams qualified above.	( <del>2</del> ) <del>6</del> 3 Athletes/Horses
5.	The best ranked team from FEI Olympic Group C2 at the 2019 Group C2 FEI Designated Olympic Qualification Event, excluding the teams qualified above.	(1) 3 Athletes/Horses
6.	The three (3) best ranked teams from Groups D and/or E at the 2019 Pan-American Games, excluding the teams qualified above.	(3) 9 Athletes/Horses
7.	The two (2) best ranked teams from Group F at the 2019 Group F FEI Designated Olympic Qualification Event, excluding the teams qualified above.	(2) 6 Athletes/Horses
8.	The two (2) best ranked teams from Group G at the 2019 Group G FEI Designated Olympic Qualification Event, excluding the teams qualified above.	(2) 6 Athletes/Horses
9.	The best ranked team from the 2019 FEI Nations Cup Final, excluding the teams qualified above.	(1) 3 Athletes/Horses
<b>TOTAL twenty (20) Teams / sixty (60) Athletes</b>		

### 4. Individual Qualification Jumping

Only NOCs that have not accepted a team quota place are eligible to obtain individual quota places. The point system, to be used for establishing the FEI Olympic Ranking – Jumping, will be published by 15 December 2018 and distributed to NOCs/NFs.

#### FEI Olympic Ranking - Jumping

The FEI Olympic Ranking - Jumping is limited to the best 15 results per Athlete/Horse combination in Ranking Competitions within the period from **1 January 2019 to 31 December 2019 (inclusive)**.

Fifteen (15) individual quota places of one (1) Athlete and one (1) Horse will be reserved for NOCs with a maximum of one (1) Athlete with one (1) Horse each per NOC (unless specified otherwise), as follows:

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QUALIFICATION EVENTS	INDIVIDUAL PLACES
<p><b>1. FEI Olympic Ranking – Jumping (allocation through FEI Olympic Groups)</b></p> <p>The two (2)* best ranked Athletes, maximum one (1) per NOC, in the FEI Olympic Ranking – Jumping from Olympic Groups A, B, C, F and G will qualify one (1) individual quota place for their NOC:</p> <p>A - North Western Europe            B - South Western Europe            C - Central &amp; Eastern Europe; Central Asia            F - Africa &amp; Middle East            G - South East Asia, Oceania</p> <p>* Unless one (1) or two (2) individual quota places from that FEI Olympic Group has already been allocated to a NOC/NOCs from that FEI Olympic Group due to their withdrawal of a qualified team as per the process set out in paragraph F. Reallocation of Unused Qualification Places.</p> <p>The four (4) best ranked Athletes from Olympic Groups D and/or E, maximum one (1) per NOC, from the overall individual classification at the 2019 Pan-American Games will qualify one (1) individual quota place for their NOC:</p> <p>D - North America            E - Central &amp; South America</p>	(14)
<p><b>2.</b> The NOC of the best ranked Athlete from the overall FEI Olympic Ranking – Jumping, excluding NOCs qualified above.</p>	(1)
<p><b>TOTAL Fifteen (15) Athletes</b></p>	

### 5. Host Country Places

The Host Country is guaranteed one (1) team with three (3) Athletes. Each Athlete and Horse must obtain the Minimum Eligibility Requirements to compete as listed below under **Athlete Eligibility**.

In case the Host Country is unable to field a team, it may send one (1) eligible individual Athlete instead.

### 6. Reallocation of Unused Quota places

#### 6.1. Unused team quota places excluding host country

If an NOC does not confirm the NOC Certificate of Capability (as defined in Annex C) by 31 December 2019 or informs the FEI, by 3 February 2020, that it declines its team quota place, the team quota place will be considered to be withdrawn and will be reallocated as follows:

- o firstly:
  - (i) if the quota place to be reallocated was earned at the 2018 FEI World Equestrian Games, the quota place will be awarded to the NOC of the next highest placed team at the 2018 World Equestrian Games, excluding any teams already qualified;
  - (ii) if the quota place to be reallocated was earned at an FEI Olympic Group Qualification Event (as defined in Annex C) the quota place will be reallocated to the NOC of the



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highest ranked team on the relevant FEI Olympic Group Team Reserve List (as defined in the Annex C) , excluding any teams already qualified;

- (iii) if the quota place to be reallocated was earned at the 2019 FEI Nations Cup™ Jumping Final, the quota place will be reallocated to the NOC of the next highest placed team in the overall classification of the 2019 FEI Nations Cup™ Jumping Final (Final and Challenge Competitions), excluding any teams already qualified.

If it proves impossible to reallocate a quota place through the reallocation processes under (ii) or (iii), the quota place will be reallocated to the NOC of the next highest placed team at the 2018 FEI World Equestrian Games, excluding NOCs already qualified with a team;

- o then, in case the quota of 20 teams cannot be reached by the reallocation process above, to the Composite Team(s), provided that these NOC(s) had the minimum three eligible combinations as of 31 December 2019.
  - If an NOC has declined a team quota place by 3 February 2020 or an NOC has not confirmed the NOC Certificate of Capability (as defined in Annex C) by 31 December 2019, then the NOC will be allocated one (1) individual quota place from within the respective FEI Olympic Group in the Individual Qualification.
  - A maximum of two (2) Individual quota places per FEI Olympic Group can be allocated to NOCs that were informed of their team quota place by the FEI on 10 January 2020.
  - If more than two (2) NOCs from the same FEI Olympic Group withdraw prior to 3 February 2020, then priority for the Individual quota places will be given in chronological order of withdrawal, i.e. the NOC that has withdrawn earliest shall have priority. If the NOCs concerned have each withdrawn at the same time, the NOC with the highest ranked Athlete on the FEI Olympic Ranking – Jumping (as defined in the Annex C) shall have priority. In the event of a tie, the FEI will invite the NOCs/NFs concerned to a draw. If an NOC does not have any Athlete on the FEI Olympic Ranking - Jumping, then that NOC shall not be entitled to an Individual quota place.
- o For the purpose of this process, the term “withdrawal” shall mean either:
  - (iii) A written notification by an NOC to the FEI that the NOC declines its team quota place. In such circumstances, the date of withdrawal shall be the date the written notification is received by the FEI.
    - or
  - (iv) Failure by an NOC to confirm their NOC Certificate of Capability (as defined in Annex C) by 31 December 2019 deadline. In such circumstances, the date of withdrawal shall be deemed to be 31 December 2019.
- A NOC that withdraws its team quota place after 3 February 2020 will not be entitled to be allocated an Individual quota place in accordance with the process above.
- If the full quota of 20 teams has not been reached following the reallocation of team quota places as detailed above, the unused Athlete quota places (three (3) per team) will be reallocated to the NOCs as individual quota places. These places will be allocated to the NOC of the next highest ranked Athletes from the overall FEI Olympic Ranking – Jumping, excluding NOCs that have already accepted a team quota place, and provided that the NOC has at least one (1) Athlete/Horse combination that fulfils the Minimum Eligibility Requirements by the MER Deadline.
- The total number of teams participating in the team competition, including Composite Teams (as defined in the Annex C), may not exceed 20.

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**Composite Team (see also Annex C):** A three (3) member team from an NOC. The Composite Team will be selected according to the following process:

- the respective rankings of each NOC's top three (3) different Athletes in the FEI Olympic Ranking - Jumping shall be added together to give an aggregate ranking;
- the NOC with the best aggregate ranking shall be awarded the redistributed quota place;
- in the event of a tie (i.e. where the aggregate rankings are the same), the redistributed quota place shall be awarded to the NOC with the highest ranked Athlete on the FEI Olympic Ranking - Jumping. In the event of a further tie, the redistributed quota place shall be awarded to the NOC with the second highest ranked Athlete on the FEI Olympic Ranking - Jumping, and so on. If the tie cannot be broken following this process, the FEI will invite the NOCs/NFs concerned to a draw.

### 6.2. Unused individual quota places

If an allocated individual quota place is not confirmed by the NOC or is declined by the NOC by the confirmation deadline, 16 March 2020, the quota place will be reallocated to NOCs as follows, provided that the NOC has at least one (1) Athlete/Horse combination that fulfils the Minimum Eligibility Requirements by the MER Deadline:

1. If the unused individual quota place was earned through the 2019 Pan-American Games, the quota place will be reallocated to the NOC of the next highest placed Athlete on the overall individual classification at the 2019 Pan Am Games, excluding NOCs already qualified.
2. If the unused individual quota place was earned through the FEI Olympic Ranking - Jumping for the FEI Olympic Groups A, B, C, F, G, the quota place will be reallocated to the NOC of the next highest ranked Athlete on the FEI Olympic Ranking - Jumping from the relevant FEI Olympic Group, excluding NOCs already qualified. If it is not possible to reallocate the quota place to an NOC from the same Olympic Group, it will be reallocated to the NOC of the next highest ranked Athlete on the overall FEI Olympic Ranking - Jumping, excluding NOCs already qualified.
3. If the unused individual quota place was earned through the overall FEI Olympic Ranking - Jumping, the quota place will be reallocated to the NOC of the next highest ranked Athlete on the overall FEI Olympic Ranking - Jumping, excluding NOCs already qualified.
4. If the maximum quota of 75 Athletes has not been reached following the reallocation of individual quota places as detailed above, any unused individual quota places will be reallocated to the NOC(s) of the next highest ranked Athlete(s) on the overall FEI Olympic Ranking - Jumping, from an NOC that has already earned an Individual quota place (maximum of two (2) Individual quota places per NOC). Under no circumstances may the total number of Athletes exceed 75.

### 7. Reallocation of Unused Host Country Places

In case the Host Country is unable to field a team or decides to send one (1) eligible Athlete instead of a team, the unused Athletes quota places will be reallocated to the NOCs of the highest ranked Athletes, not already qualified, on the overall FEI Olympic Ranking - Jumping.

### 8. Qualification Timelines - Jumping

Date	Milestone
10 - 23 September 2018	FEI World Equestrian Games (Tryon, USA)
26 July- 11 August 2019	Pan-American Games (Lima, PER)

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19 - 25 August 2019	FEI European Jumping Championship (Rotterdam, NED)
27 - 30 June 2019	Designated FEI Olympic Qualification Events - Group C1 (Moscow, RUS)
27 - 30 June 2019	Designated FEI Olympic Qualification Events - Group C2 (Budapest, HUN)
10 - 13 October 2019	Designated FEI Olympic Qualification Event (Rabat, MAR) - FEI Olympic Group F
12 - 13 August 2019	Designated FEI Olympic Qualification Event (Valkenswaard, NED) - FEI Olympic Group G
3 - 6 October 2019	FEI Nations Cup™ Final, Barcelona

15 December 2018	FEI to publish ranking rules and list of 2019 MER Events
WEG 2018 - 31 Dec. 2019	Period for NOCs to achieve a <b>team</b> quota place (NOC Certificate of Capability)
15 December 2019	FEI to publish list of 2020 MER Events
1 January - 31 December 2019	Ranking period: FEI Olympic Ranking - Jumping
1 January 2019 - 21 June 2021 ("MER Deadline")	Period for Athletes and Horses to achieve the FEI minimum eligibility requirements and, where applicable, the Confirmation Result

By 10 January 2020	FEI to inform NOCs/NFs of their <b>team</b> quota place allocation
3 February 2020	NOC to confirm allocated <b>team</b> quota place to FEI. If an NOC withdraws their team quota place by this date or had not confirmed their NOC Certificate of Capability as of 31 December 2019, the NOC in question will be eligible for an <b>individual</b> quota place (subject to the process set out in "Team Qualification").
17 February 2020	FEI to inform NOCs/NFs of: <ul style="list-style-type: none"> <li>• reallocation of team quota places based on either (i) FEI World Equestrian Games™ placings, or (ii) FEI Olympic Group Reserve List placing, or (iii) FEI Nations Cup™ Jumping Final (as per the process in paragraph F. Reallocation of Unused Qualification Places)</li> <li>• allocation of Composite Team(s) quota place(s)</li> <li>• reallocation of one (1) individual place to each NOC that has withdrawn their Team quota place (subject to the process set out in "Team Qualification")</li> <li>• allocation of <b>individual</b> quota places.</li> </ul> Determination of Individual quota places and Composite Teams based on FEI Olympic Ranking - Jumping
16 March 2020	NOCs to confirm use of allocated Individual quota places and Composite Team(s) quota places to FEI
December 2020	FEI to publish list of 2021 MER Events
21 June 2021	Deadline to achieve the minimum eligibility requirements and, where applicable, the Confirmation Result. FEI Nominated Entries – FEI to receive FEI Certificates of Capability (as defined in Annex C) (Athlete and Horse combination)
22 June 2021	FEI to confirm approval of FEI Certificates of Capability to NFs
5 July 2021	Tokyo 2020 Sports Entries Deadline

## **Article 632 – HORSE & ATHLETE MINIMUM ELIGIBILITY CRITERIA – JUMPING**

To be eligible to participate in the Olympic Games Tokyo 2020 Jumping Competitions, and subject to the provisions of paragraphs 8 and 9 below, all Athletes/Horses must achieve, as a combination, the Minimum Eligibility Requirements (MER) at selected Events which take place from 1 January 2019 until (and including) 21 June 2021 (the "**MER Deadline**"). Athlete/Horse combinations may fulfil the Minimum Eligibility Requirements according to any one of the provisions listed under paragraphs 1 – 5 below.

### **1. Team and Individual Competitions at Continental Jumping Championships and Games**

- 1.1 Athlete/Horse combinations that have completed the first or second round of the team Jumping Competition at the 2019 FEI European Jumping Championships or Pan-American Games or other FEI 2019 Continental Jumping Championships for Seniors with a Score of not more than eight (8) penalties.
- 1.2 Athlete/Horse combinations that have completed the Individual Jumping Final Competition at the 2019 FEI European Jumping Championship or Pan-American Games or other 2019 FEI Continental Jumping Championships for Seniors.

### **2. FEI World Cup™ Jumping Competitions**

- 2.1 Athlete/Horse combinations that have completed the first round of two FEI World Cup™ Competitions at selected outdoor CSI1\*-W, CSI2\*-W, CSI3\*-W and/or selected CSIO3\*-W outdoor Events with a Score of not more than of zero (0) penalties.
- 2.2 Athlete/Horse combinations that have completed the first round of an FEI World Cup™ Competition at a selected outdoor CSI4\*-W Event or at a selected CSIO4\*-W outdoor Event with a Score of not more than a total Score of four (4) penalties.
- 2.3 Athlete/Horse combinations that have completed the first round of an FEI World Cup™ Competition at a selected outdoor CSI5\*-W Event or at an outdoor CSIO5\*-W with a Score of not more than eight (8) penalties.

### **3. Grand Prix Competitions at CSIs and CSIOs**

- 3.1 Athlete/Horse combinations that have completed the first round of two Grand Prix Competitions at selected CSI3\* outdoor Events and/or at selected CSIO3\* outdoor Events with a Score of zero (0) penalties.
- 3.2 Athlete/Horse combinations that have completed the first round of a Grand Prix Competition at a CSI4\* outdoor Event or at a selected CSIO4\* outdoor Event with a Score of not more than four (4) penalties.
- 3.3 Athlete/Horse combinations that have completed the first round of a Grand Prix Competition at a CSI5\* outdoor Event or at a CSIO5\* Event with a Score of not more than eight (8) penalties.

### **4. Nations Cup Competitions at CSIOs**

- 4.1 Athlete/Horse combinations that have completed the first or second round of a Nations Cup Competition at a selected CSIO3\* or CSIO4\* outdoor Event with a Score of not more than four (4) penalties in the first round or zero penalties in the second round.

## Chapter IV - Jumping

- 4.2 Athlete/Horse combinations that have completed the first or second round of a Nations Cup Competition at a CSIO5\* outdoor Event with a Score of not more than eight (8) penalties.
- 4.3 NFs unable to send complete teams to any of the above mentioned CSIOs will be allowed to enter individuals who will be allowed to compete hors-concourse in the Nations Cup Competition.

### 5. FEI Approved Competitions for fulfilling the Minimum Eligibility Requirements

- 5.1 If an NF finds it impossible for its Athlete/Horse combinations to reach the Minimum Eligibility Requirements as above, the FEI will upon request of the NF, send a Foreign Assessing Delegate at the expense of the NF to assess the level of performance, at a FEI approved Competition conducted in accordance with the protocol established in the FEI Jumping Rules applicable at the time of the request, Annex VIII, paragraph 8. This Competition will consist of one round with the dimensions as laid down on a course plan provided by the FEI. Athletes/Horse combinations scoring eight (8) penalties or less in this round will be considered to be eligible. The FEI Foreign Delegate will advise the NF and the FEI on the granting of eligibility (Minimum Eligibility Requirements). If an Athlete/Horse combination has more than eight (8) penalties but shows a very good performance otherwise over the course, the Foreign Assessing Delegate may let the combination repeat a similar course. But in no case may an Athlete/Horse combination become eligible if they scored more than eight (8) penalties in the second round. The NFs of the eligible Athlete/Horse combinations will be informed by the FEI immediately.

### 6. Technical Specifications

- 6.1 Selected Nations Cup, Grand Prix and FEI World Cup™ Competitions must meet the following specifications: consist of at least 12 obstacles which may vary in height between 1.40 m and 1.60 m. The spread obstacles must have spreads of between 1.50 m to 2.00 m (2.20m for the triple bar). At least two straight obstacles must be provided with a minimum height of 1.60 m.
- 6.2 At Nations Cup and Grand Prix Competitions at selected CSIOs, at FEI World Cup™ Competitions and at CSIO3\*/4\*/5\* Grand Prix Competitions, the Foreign Judge is responsible for ensuring that the course is built to the required dimensions and will confirm to the FEI that the Minimum Eligibility Requirements have been achieved.

### 7. List of Selected Events

The list of selected 2019 MER Events will be published in December 2018, the 2020 MER Events\* will be published in December 2019 and the 2021 MER Events will be published in December 2020. No addition of Events will be accepted after publication of the respective calendars of selected Events for 2019, and 2021. Replacement in case of cancellation of Event for force majeure reasons will be reviewed on a case to case basis.

\*Taking into account the impact of Covid-19 on the FEI Calendar in 2020, a MER (or partial MER) can be achieved at any indoor or outdoor FEI Event at the relevant level (as per paragraphs 2 - 4 above) in 2020 (i.e. between 1 January 2020 and 31 December 2020 (inclusive)).

### 8. Additional Provisions related to the Postponement of the Tokyo 2020 Olympic Games to 2021

- 8.1 An Athlete/Horse combination that has achieved the full MER only during the period 1 January 2019 to 31 December 2019 (inclusive) must achieve an additional confirming result (the "**Confirmation Result**") according to the conditions set out in paragraph 9 below during the period **1 January 2020 – 21 June 2021**.

## Chapter IV - Jumping

- 8.2 An Athlete/Horse combination that has achieved the full MER during the period 1 January 2020 – 21 June 2021 does not need to achieve a Confirmation Result.
- 8.3 An Athlete/Horse combination that has achieved a partial MER during the period **1 January 2019 to 31 December 2019** must complete their MER by the MER Deadline (21 June 2021). Such Athlete/Horse combination does not need to achieve a Confirmation Result.
- 8.4 An Athlete/Horse combination that has not achieved any MER (either full or partial) during the period 1 January 2019 to 31 December 2019 must achieve their MER by the MER Deadline (21 June 2021). Such Athlete/Horse combination does not need to achieve a Confirmation Result.

### **9. Confirmation Result Criteria**

- 9.1 Athlete/Horse combinations may achieve the Confirmation Result according to any one of the provisions listed under paragraphs A - C below. The requirement to obtain a Confirmation Result only applies to those Athlete/Horse combinations that had achieved their full MER on or before 31 December 2019. The Confirmation Result must be achieved during the period **1 January 2020 – 21 June 2021** and can be achieved at any FEI Event at the relevant level during that period as per the requirements below.

#### **A. FEI World Cup™ Jumping Competitions**

- (i) Athlete/Horse combinations that have completed the first round of a FEI World Cup™ Competition at any CSI1\*-W, CSI2\*-W, CSI3\*-W (indoor or outdoor) or at any CSIO3\*-W outdoor Event with a Score of zero penalties.
- (ii) Athlete/Horse combinations that have completed the first round of an FEI World Cup™ Competition at any CSI4\*-W Event (indoor or outdoor) or at any CSIO4\*-W outdoor Event with a Score of not more than a total Score of eight (8) penalties.
- (iii) Athlete/Horse combinations that have completed the first round of an FEI World Cup™ Competition at any CSI5\*-W Event (indoor or outdoor) or at any CSIO5\*-W outdoor Event with a Score of not more than twelve (12) penalties.

#### **B. Grand Prix Competitions at CSIs and CSIOs**

- (i) Athlete/Horse combinations that have completed the first round of a Grand Prix Competition at any CSI3\* Event (indoor or outdoor) or at any CSIO3\* outdoor Event with a Score of zero penalties.
- (ii) Athlete/Horse combinations that have completed the first round of a Grand Prix Competition at any CSI4\* Event (indoor or outdoor) or at any CSIO4\* outdoor Event with a Score of not more than eight (8) penalties.
- (iii) Athlete/Horse combinations that have completed the first round of a Grand Prix Competition at any CSI5\* Event (indoor or outdoor) or at any CSIO5\* outdoor Event with a Score of not more than twelve (12) penalties.

#### **C. Nations Cup Competitions at CSIOs**

- (i) Athlete/Horse combinations that have completed the first or second round of a Nations Cup Competition at any CSIO3\* or CSIO4\* outdoor Event with a score of not more than eight (8) penalties in the first round or four (4) penalties in the second round.

## Chapter IV - Jumping

- (ii) Athlete/Horse combinations that have completed the first or second round of a Nations Cup Competition at any CSIO5\* outdoor Event with a Score of not more than twelve (12) penalties.

NFs unable to send complete teams to any of the above mentioned CSIOs will be allowed to enter individuals who will be allowed to compete hors-concours in the Nations Cup Competition.

## **ANNEX A – IOC OLYMPIC CHARTER – 26 June 2019 (EXTRACTS)**

### **Olympic Charter - Eligibility Code - Rule 40**

To be eligible for participation in the Olympic Games, a competitor, coach, trainer or other team official must comply with the Olympic Charter, including the conditions of eligibility established by the IOC, as well as with the rules of the International Federation ("IF") concerned as approved by the IOC, and competitor, coach, trainer or other team official must be entered by his NOC.

### **Bye-Law to Rule 40**

1. Each IF establishes its sport's own eligibility criteria in accordance with the Olympic Charter. Such criteria must be submitted to the IOC Executive Board for approval.
2. The application of the eligibility criteria lies with the IFs, their affiliated national federations and the NOCs in the fields of their respective responsibilities.
3. Competitors, team officials and other team personnel who participate in the Olympic Games may allow their person, name, picture or sports performances to be used for advertising purposes during the Olympic Games in accordance with the principles determined by the IOC Executive Board..
4. The entry or participation of a competitor in the Olympic Games shall not be conditional on any financial consideration.

### **Olympic Charter - Nationality of Athletes - Rule 41 Bye-Law 2**

A competitor who has represented one country in the Olympic Games, in continental or regional games or in world or regional championships recognized by the relevant IF, and who has changed his nationality or acquired a new nationality, may participate in the Olympic Games to represent his new country provided that at least three years have passed since the competitor last represented his former country. This period may be reduced or even cancelled with the agreement of the NOCs and the IF concerned, by the IOC Executive Board, which takes into account the circumstances of each case.

### **IOC Entries - Olympic Charter - Rule 44 - Bye-Law 4**

As a condition precedent to participation in the Olympic Games, every competitor shall comply with all provisions contained in the Olympic Charter and the rules of the IF governing his sport. The NOC which enters the competitor ensures that such competitor is fully aware of and complies with the Olympic Charter and the World Anti-Doping Code.



## **ANNEX B – IOC ADVERTISING**

The Guidelines regarding Advertising during the Olympic Games and the Authorised Identifications are published in a separate document available on [FEI website](#).

## **ANNEX C – DEFINITIONS QUALIFICATION PROCEDURES**

The below includes the definitions confirmed by the IOC for the Qualification System –Tokyo 2020 Olympic Games Equestrian.

**Composite Team:** A three (3) member team from an NOC. The Composite Team will be selected according to the following process:

- the respective rankings of each NOC's top three (3) different Athletes in the FEI Olympic Ranking (Eventing, Dressage and Jumping as applicable shall be added together to give an aggregate ranking;
- the NOC with the best aggregate ranking shall be awarded the redistributed quota place;
- in the event of a tie (i.e. where the aggregate rankings are the same), the redistributed quota place shall be awarded to the NOC with the highest ranked Athlete on the FEI Olympic Ranking, Eventing, Dressage and Jumping as applicable. In the event of a further tie, the redistributed quota place shall be awarded to the NOC with the second highest ranked Athlete on the FEI Olympic Ranking Eventing, Dressage and Jumping as applicable, and so on. If the tie cannot be broken following this process, the FEI will invite the NOCs/NFs concerned to a draw.

**FEI Certificate of Capability:** A certificate that must be provided by the National Federation to the FEI for each Athlete and Horse that are nominated to participate in the Olympic Games. This certificate confirms the minimum eligibility requirements and, where applicable, the Confirmation Result, as laid down in the FEI Regulations for Equestrian Events at the Olympic Games. It confirms that the Athletes and the Horses have the necessary experience and ability to participate at the required standard.

**FEI Olympic Ranking – Eventing Dressage and Jumping as applicable:** The official rankings based on results achieved by an Athlete and Horse as a combination at the Olympic Ranking Events during the period 01 January 2019 – 31 December 2019. The List of the Olympic Ranking Events and the relevant ranking rules will be published by the FEI 15 December 2018.

**MER Deadline:** 21 June 2021.

**MER Events:** Those events at which Athletes and Horses can earn the minimum eligibility requirements.

**NOC Certificate of Capability:** NOC confirmation that at least three (3) athlete/horse combinations from that NOC have achieved the minimum eligibility requirements during the period FEI World Equestrian Games 2018 – 31 December 2019. Such confirmation must be provided to the FEI by 31 December 2019.

**Olympic Ranking Events:** Those events at which points for the FEI Olympic Ranking – Eventing, Dressage and Jumping as applicable, can be earned.

## **ANNEX D – FEI CERTIFICATE OF CAPABILITY FOR THE 2020 OLYMPIC GAMES – NOC/NF Teams**

NOC confirmation that at least three (3) Athlete/Horse combinations from that NOC have achieved the minimum eligibility requirements (MER) during the period FEI World Equestrian Games 2018 – 31 December 2019. Such confirmation must be provided to the **FEI by 31 December 2019**.

<b>ATHLETES</b>	<b>HORSES</b>
1. Athlete registration number / Last and first name	Horse registration number / name
2. Athlete registration number / Last and first name	Horse registration number / name
3. Athlete registration number / Last and first name	Horse registration number / name

### **LIST OF EVENTS**

which have allowed for Athletes and Horses (for Jumping, Dressage & Eventing) as combination to achieve minimum eligibility standards for participation in the 2020 Olympic Games

Date:.....

Name of NF President: .....

Name of Secretary General:.....

(Names in capital letters)

Signature of the NF President:.....

Signature of the NF Secretary General: .....

Seal of the NF: .....

# ANNEX E – FEI CERTIFICATE OF CAPABILITY FOR THE 2020 OLYMPIC GAMES – ATHLETES & HORSES

To be returned to FEI accompanied by a Record of Results by **21 June 2021**  
The undersigned, acting as President / Secretary General of the National Federation of:

\_\_\_\_\_

hereby declares that the hereunder mentioned Athlete/Horse combinations have the required experience and qualifications to take part in the equestrian Events at the Olympic Games for which they have been nominated and that their performances entirely satisfy the minimum eligibility standards and, where applicable, the Confirmation Result, as laid down in the appropriate FEI Rules and Regulations.

Each nation may nominate maximum three (3) times the number of Athletes and Horses as are allowed to be entered according to the present Regulations.

<b>ATHLETES</b> - Last and first name - Athlete registration number
<b>HORSES</b> - Name of Horse, - Passport no, Horse registration number - Year of birth, colour, sex, breed, - Name of sire, name of dam - Names of two (2) owners
<b>LIST OF EVENTS</b> which have allowed for Athletes and Horses (for Jumping, Dressage & Eventing) as combination to achieve minimum eligibility standards and, where applicable the Confirmation Result, for participation in the 2020 Olympic Games

Date:.....

Name of NF President: .....

Name of Secretary General:.....

(Names in capital letters)

Signature of the NF President:.....

Signature of the NF Secretary General: .....

Seal of the NF: .....

*Note: Information on the Official FEI forms for FEI Certificate of Capability will be available on FEI Web-site and sent out to all NFs Qualified for the 2020 Olympic Games, after 16 March 2021.*

## ANNEX F - FEI List of HORSE BREEDS, COLOURS & SEX CODES

**Abbreviations: To be used for all OCOG entry system/forms** (under review)

### COLORS

BL	Black
BA	Bay
CH	Chestnut
GR	Grey

### SEX

G	Gelding
M	Mare
S	Stallion

### Other:

DB	Dark Bay
LB	Light Bay
DC	Dark Chestnut
LC	Light Chestnut
DG	Dark Grey
AP	Appaloosa
PB	Piebald/Skewbald
RO	Roan
ST	Strawberry
PA	Palomino
CR	Cream
DU	Dun

### BREED (STUD BOOK)

AAFE	Asociacion Argentina de Fomento Equino
ABPSL	Associação Brasileira dos Criadores do Cavalo Puro Sangue Lusitano
ACE	ACE Studbook - Australian Continental Equestrian Group Inc.
AECCAá	Asociación Española de Criadores de Caballos Anglo-árabes
AES	Anglo European Studbook
AHHA	American Holsteiner Horse Association
AHS	The American Hanoverian Society
ANAA	Stud-book Français du cheval Anglo-Arabe
ANCCE	Asociación Nacional De Criadores De Caballos de Pura Raza Española
AWHA	Australian Warmblood Horse Association Ltd
AWÖ	Arbeitsgemeinschaft für Warmblutzucht in Österreich
AWR	American Warmblood Registry & North American Sportpony Registry
BAD-WÜ	Pferdezuchtverband Baden-Württemberg e.V.
BAVAR	Landesverband Bayerischer Pferdezüchter e.V.
BE/SIES	Breeders Elite Studbook for Irish & European Sporthorses Limited
BH	Associação Brasileira de Criadores do Cavalo de Hipismo
BHHS	The British Hanoverian Horse Society
BRAND	Pferdezuchtverband Brandenburg-Anhalt
BSHA	Bulgarian Sport Horse Association
BWBS	Warmblood Breeders Studbook – UK
BWP	Belgisch Warmbloedpaard v.z.w.
CABSH	Croatian Association of Breeders of Sport Horses / Hrvatska Udruga Uzgajivaca Sportskih Konja
CCDM	Criadores de Caballos Deportivos Mexicacos
CDE	ANCADES (Asociación Nacional de Criadores del Caballo de Deporte Español)
CH	Zuchtverband CH-Sportpferde Swiss Warmblood

## Annex F – FEI list of Horse Breeds Colour & Sex Codes

ChS	Studbook Cheval Suisse
CSHA	Canadian Sport Horse Association
CWHBA	Canadian Warmblood Horse Breeders Association
CZEWB	Czech Warmblood Breeders Association SCHČT – Svaz chovatelů českéhoteplorevníka
DSP (BAD-WÜ)	Pferdezuchtverband Baden-Württemberg e.V. (from 2003)
DSP (BAVAR)	Landesverband Bayerischer Pferdezüchter e.V. (from 2003)
DSP (BRAND)	Pferdezuchtverband Brandenburg-Anhalt (from 2003)
DSP (SATHÜ)	Pferdezuchtverband Sachsen-Thüringen e.V (from 2005)
DSP (ZWEIB)	Pferdezuchtverband Rheinland-Pfalz-Saar e.V. (from 2003)
DWB	Danish Warmblood Society / Dansk Varmblod
EBHA	East Bulgarian Horse Association
ESHB	Estonian Sport Horse Breeders Society/Eesti Sporthoubuste Kasvatatjate Selte
FWB	The Finnish Horse Breeding Association/Suomen Hippos ry
GHH	Graenseegnens Holstener Hesteavlforening
HAA	The Holsteiner Association of Australia
HANN	Hannoveraner Verband e.V.
HESS	Verband Hessischer Pferdezüchter e.V.
HNSA	Hanoverian Horse Society of Australia
HOLST	Verband der Züchter des Holsteiner Pferdes e.V.
HSH	Hungarian Sport Horse Studbook / Magyar Sportlótenyésztők Országos Egyesülete
ISH	Irish Sport Horse Studbook
ISREG	International Sporthorse Registry Oldenburg Registry North America
ISSA	International Sporthorse Studbook Australia
KISB / GIDR	Kisber and Gidran Horse Breeders Association
KWPN	Koninklijk Warmbloed Paarden Stamboek Nederland / Royal Warmblood Studbook of the Netherlands
KWPN NA	KWPN of North America, Inc.
LHBA	Lithuanian Horse Breeders Association
LUSIT	Associação Portuguesa De Criadores do Cavalo Puro Sangue Lusitano
LWB	Latvian Horse Breeding Association / Latvian Warmblood Studbook
MECKL	Verband der Pferdezüchter Mecklenburg-Vorpommern e.V.
MIPAAF	Italian Sport Horse Studbook / Ministero delle Politiche Agricole, Alimentari e Forestali (before 2019)
MIPAAFT	Italian Sport Horse Studbook / Ministero d. Politiche Agricole, Alimentari, Forestali e Turismo (from 2019)
NDWB	Norwegian Danish Warmblood Society
NRPS	Nederlands Rijpaarden en Pony Stamboek
NWB	Norwegian Warmblood Association
NZHS	New Zealand Hanoverian Society
NZWB	New Zealand Warmblood Association
OLDBG	Verband der Züchter des Oldenburger Pferdes e.V.
OS	Springpferdezuchtverband Oldenburg-International e.V
PSH	Associação Portuguesa de Criadores de Racas Selectas / Portuguese Sport Horse Studbook
PZHK (M)	Studbook for Polish Malopolska Horses - Polish Horse Breeders Association
PZHK (SP)	Studbook for Polish Half Bred Horses - Polish Horse Breeders Association

## Annex F – FEI list of Horse Breeds Colour & Sex Codes

PZHK (WIELK)	Studbook for Polish WielkopolskaHorses - Polish Horse Breeders Association
RHEIN	Rheinisches Pferdestammbuch e.V.
SA	Pferdezuchtverband Sachsen Anhalt e.V.
SACHS	Pferdezuchtverband Sachsen e.V.
SATHU	Pferdezuchtverband Sachsen-Thüringen e.V
SAWHS	South African Warmblood Society
SBS	Stud-book sBs, le cheval de Sport Belge
SCSL	Stud-book du Cheval de Selle Luxembourgeois
SDWA	Scottish Dutch Warmblood Association
SF	Stud Book du Cheval Selle Français (ANSF)
SHBGB	Sport Horse Breeding of Great Britain
SLS	Studbook La Silla
SSH	Scottish Sport Horse
SSW	Studbook for Slovenian Warmblood Horses
SWB	Swedish Warmblood Association
TAF	Trakehner Avlsforbundet i Danmark
THU	Verband der Thüringen Pferdezüchter
TRAK	Verband der Züchter und Freunde des Ostpreussischen Warmblutpferdes Trakehner Abstammung e.V.
VNP	Verband Niederösterreichischer Pferdezüchter
WESTF	Westfälisches Pferdestammbuch e.V.
WSI	Warmblood Studbook of Ireland
ZANG	Stud-book Zangersheide
ZCHKS	The Slovak Warmblood Studbook
ZfDP	Zuchtverband für Deutsche Pferde e.v.
ZWEIB	Pferdezuchtverband Rheinland-Pfalz-Saar e.V.

**ANNEX G – FEI OLYMPIC QUALIFYING GROUPS**

<b>OLYMPIC QUALIFYING GROUPS</b>			
<b>NATIONAL FEDERATIONS</b>			
Olympic Group A (North-Western Europe)	Denmark Finland Germany	Great Britain Iceland Ireland	Netherlands Norway Sweden
Olympic Group B (South-western Europe)	Andorra Austria Belgium Bosnia and Herzegovina France	Italy Liechtenstein Luxembourg Malta Monaco	Portugal San Marino Spain Switzerland
Olympic Group C (Central & Eastern Europe, Central Asia)	Albania Armenia Azerbaijan Belarus Bulgaria Croatia Cyprus Czech Republic Estonia Georgia	Greece Hungary Israel Kazakhstan Kyrgyzstan Latvia Lithuania North Macedonia Republic of Moldova Poland	Romania Russian Federation Serbia Slovakia Slovenia Turkey Turkmenistan Ukraine Uzbekistan
Olympic Group D (North America)	Antigua and Barbuda Barbados Bermuda	Canada Cayman Islands Jamaica	Trinidad & Tobago USA Virgin Islands
Olympic Group E (South & Central America)	Argentina Bolivia Brazil Chile Colombia Costa Rica Cuba	Dominican Republic Ecuador El Salvador Guatemala Haiti Honduras Mexico	Nicaragua Panama Paraguay Peru Puerto Rico Venezuela Uruguay
Olympic Group F (Africa/Middle East)	Algeria Angola Bahrain Botswana Congo Egypt Eswatini Ethiopia Iraq Iran Jordan Kenya	Kuwait Lebanon Libya Madagascar Malawi Mauritius Morocco Namibia Oman Pakistan Palestine Qatar	Saudi Arabia Senegal South Africa Sudan Syrian Arab Republic  Tunisia United Arab Emirates Yemen Zambia Zimbabwe
Olympic Group G (South East Asia, Oceania)	Australia *Brunei Darussalam Cambodia China Chinese Taipei Democratic People's Republic of Korea Hong Kong	India Indonesia Japan Malaysia Mongolia	Myanmar New Zealand Philippines Republic of Korea Singapore Sri Lanka Thailand

- **Note:** The above list includes NFs affiliated to the FEI and will be able to participate providing the NF is in good standing with the FEI.

\*NFs suspended until further notice.



## Annex H – 2020 Olympic Competition Schedule

### **ANNEX H –OLYMPIC COMPETITION SCHEDULE**

**Note:** Schedule per session to be confirmed by the IOC, Tokyo 2020 & OBS and is subject to change.

Finishing times of competitions may be adapted. All Medal Ceremonies will take place within 10mins of clearance of arena.

The latest version of the timetable is available on the following webpage:

<https://inside.fei.org/fei/games/olympic/tokyo-2020>

**ANNEX I – DRESSAGE MUSIC SUBMISSION FORM**  
*(in process of being finalised by IOC)*