

PROPOSALS FOR RULES CHANGES OF GENERAL REGULATIONS 2024

Introduction

In the present document you will find 2 sections as follows:

- A. Rules Proposals received from NFs/MOU Stakeholders by 1 March 2024. In this section you will find each of the Rules Proposals received from NFs/MOU Stakeholders with the relevant feedback from the FEI; and
- B. Rules Proposals put forward by the FEI

Please note that pending the approval of the proposed changes to the General Regulations by the FEI General Assembly, certain articles in the Sport Rules will be updated accordingly.

We would appreciate any comments you may have on these proposed rule changes by 21 August 2024. Thank you for sending your comments to rules@fei.org, copying me at mikael.rentsch@fei.org.

Yours sincerely,

Mikael Rentsch,

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Legal Director



A. Rules Proposals received from NFs/MOU Stakeholders by 1 March 2024

Rules Proposal Submitted By

ITA NF

Article No.-Article Name

100.4

Explanation for Proposed Change

We feel that it is important for NFs to have the opportunity to offer proposals also for series such as the FEI Nations Cup. The Nations Cups are a qualification for the Olympic Games and there should be the opportunity for NFs to review the draft documents and offer proposals in a clear and transparent manner.

This already happens for the Olympic and Paralympic Rules.

We feel that the wording in point 4 is outdated and needs to be updated to represent the current situation.

Proposed Wording

Sport Rules, including Series, Olympic Regulations for Equestrian Events at Olympic Games and Paralympic Regulations for Equestrian Events at Paralympic Games are published under the authority of the General Assembly.

In alternative:

Sport Rules are published under the authority of the General Assembly whereas Sport Rules for Series, Olympic Regulations for Equestrian Events at Olympic Games, and Paralympic Regulations for Equestrian Events at Paralympic Games are published under the authority of the Bureau following a period for review and evaluation by National Federations to be included in the FEI Rules Revision Policy.

FEI Feedback

The FEI does not support such proposal. This matter has already been raised and discussed in the past years and the FEI's position has not change that Rules for Series shall be under the authority of the Board. Having such Rules for Series under the authority of the FEI General Assembly would be way too heavy (as then the full consultation periods and statutory deadlines would apply). In addition, the FEI did consult with all relevant NFs and Stakeholders prior to launching the Longines League of Nations.

FFI Pro	posed Wo	ordina (if	applicable)



Rules Proposal Submitted By

EEF and GER NF

Article No.-Article Name

Art 142.1. (x) Abuse of Horses and Annex A - Definitions

Explanation for Proposed Change. Specify the Criteria of the Periodical Rules Revision Policy

Urgent Repair - Horse Welfare

Proposed Wording

(x). To use of any device or equipment which causes excessive pain to the horse or influence the natural movement of the horse with artificial methods, like attaching elastic band to the horse's legs.

FEI Feedback

The FEI does not support such proposal as it is mainly linked to recent incidents. Any changes to the definition should be part of broader discussions further to the Equine Ethics and Wellbeing Commission's report and related actions plan. Therefore, it is proposed not to make the above-mentioned change but the FEI will be reviewing any matter linked to Horse abuse, the FEI Code of Conduct for the Welfare of the Horse, and other welfare related items as part of the EEWC action plan.

However, the provisions pertaining to reporting a Horse Abuse (art. 142.2) should be amended in order to reflect what is being done in practice.

FEI Proposed Wording (if applicable)

2. Any person witnessing an Abuse must report it in the form of a Protest (Article 161) without delay. If an Abuse is witnessed during or in direct connection with an Event, it should be reported as a Protest (Article 161) to an Official. If the Abuse is witnessed at any other time it should be reported as a Protest (Article 161) to the Secretary General to the FEI at the dedicated email address (welfare@fei.org and/or any other email address as communicated by the FEI) and/or through the FEI reporting mechanism/tools. who, fEollowing a review of the Protestreport, the FEI shall take a Decision as to whether or not to open disciplinary proceedings (either through the Administrative Disciplinary Procedure or by referring the matter to the FEI Tribunal).

Rules Proposal Submitted By

SWE

Article No.-Article Name

GR 2024 Art 142.1-142.2 - Abuse of Horse

DR 2024 Art 424.5.6.1-424.5.6.4 - Bleeding

DR 2024 Art 438 - Abuse of Horse

JR 2024 Art 241.3.30-241.3.31 – Eliminations

JR 2024 Art 242.3-242.3.1 – Disqualifications

JR 2024 Art 243.1-243-2.3 - Abuse of Horse

ER 2024 Art 526.1-526.4 – Abuse of Horse; Definition, Warnings and Penalties, Use of the Whip, Blood on Horses



Explanation for Proposed Change

Correction of inconsistencies, manifest errors, contradictions, etc

There are obvious differences in the Rules regarding Abuse of Horse, Blood on the Horse and penalties between the Olympic disciplines Dressage, Jumping and Eventing, as well as in the General Regulations. The above-mentioned Rules are dealing with the same issue, but in different ways.

The handling of blood on the flank is also different between the disciplines. In Jumping there is a specific protocol to be used in case of blood on the flank, which is found in the Steward's Manual (Sep 2022), ANNEX XVI. This protocol is not used across the three disciplines.

Proposed Wording

Proposal, to oversee the regulations regarding Abuse of Horse with the goal to harmonise these regulations.

FEI Feedback

As mentioned above, the FEI will review any matter linked to Horse abuse, the FEI Code of Conduct for the Welfare of the Horse, and other welfare related items as part of the EEWC action plan, but it is premature to make changes at this stage.

However, as explained above, the provisions pertaining to reporting a Horse Abuse (art. 142.2) should be amended in order to reflect what is being done in practice.

FEI Proposed Wording (if applicable)

As per changes proposed to Article 142.2 above.

Rules Proposal Submitted By

INTERNATIONAL JUMPING RIDERS CLUB (IJRC)

Article No.-Article Name

FEI General Regulations Article 161.2 - Protests

Explanation for Proposed Change

The IJRC believes that a revision of this point is necessary because of this case: « Correction of inconsistencies, manifest errors, contradictions, etc. »

At the events where it is not implemented the Appeal Jury, the rider has the right to address the protest to the FEI Tribunal or Legal Department, as the IJRC doesn't believe is fair to send the protest to the same people or panel that judged the subject of the protest.

Moreover, the decision of the Ground Jury arising from the field of play, should not be final and binding for the followings:

- (i) where the Decision is based on a factual observation of performance during a Competition or the awarding of marks for performance;
- (v) the time taken for the round



Proposed Wording

Decisions not subject to Protest

161.2 There is no Protest against:

- (a) Decisions of the Ground Jury arising from the field of play, which are final and binding, such as, but not limited to:
 - (i) where the Decision is based on a factual observation of performance during a Competition or the awarding of marks for performance;
 - (ii) whether an obstacle was knocked down; whether a Horse was disobedient; whether a Horse refused at an obstacle or knocked it down while jumping;
 - (iii) whether an Athlete or Horse has fallen;
 - (iv) whether a Horse circled in a combination or refused or ran out;
 - (v) the time taken for the round;
 - (vi) whether an obstacle was jumped with in the time; and/or
- (vi) whether, the particular track followed by an Athlete caused the Athlete to incur a penality under the applicable Sport Rules
 - (b) The Elimination or Disqualification of a Horse for veterinary reasons, including non- acceptance of a Horse at a Horse Inspection unless otherwise specified;
 - (c) The Elimination or Disqualification of an Athlete for medical reasons/fitness to compete;
 - (d) The imposition of a Warning without additional sanctions or of a Yellow Warning Card, an Eventing Recorded Warning

FEI Feedback

The FEI does not support such proposal. Field of play matters should not be subject to protest as otherwise any Ground Jury's decisions would be appealed or subject to a protest. As confirmed by the FEI Tribunal and the Court of Arbitration for Sport (for both FEI cases and in many other sports), field of play decisions cannot be challenged, unless there is evidence of arbitrariness, bad faith or corruption for example. There is a need for clarity and consistency and it would not be manageable to have field of play decisions challenged at every FEI Events.

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Rules Proposal Submitted By

JPN NF

Article No.-Article Name

APPENDIX I – FEI SAFEGUARDING POLICY AGAINST HARASSMENT AND ABUSE ARTICLE 10 –Duty to inform

Explanation for Proposed Change

We consider it relevant to improve the content of article 10 of APPENDIX I (FEI SAFEGUARDING POLICY AGAINST HARASSMENT AND ABUSE) of the FEI General Regulations, becoming clearer about the actions following the decisions.

Proposed Wording

ARTICLE 10 - Duty to inform.

National Federations shall promptly inform the FEI of any allegations (where possible) and/or sanction(s) imposed on any person under their jurisdiction relating to any conduct falling within the scope of Article 2 of this Policy.

The FEI shall promptly inform the National Federations of any allegations (where possible) and/or sanction(s) imposed on any person under their jurisdiction relating to any conduct falling within the scope of Article 2 of this Policy.

ARTICLE 11 - IMPLEMENTATION OF DECISIONS

Automatic Binding Effect of Decisions on the FEI and the National Federations

A Decision made by the FEI or the National Federations under their jurisdiction relating to any conduct falling within the scope of Article 2 of this Policy shall automatically be binding beyond the parties to the proceeding upon the FEI and the National Federations.

FEI Feedback

The FEI Statutes already specify in Article 41 that all penalties and decisions shall be accepted by all National Federations and enforced by the FEI and all National Federations concerned. Therefore, there is no need to add such provision in the FEI Safeguarding Policy.

FEI Proposed V	Nording ((if applica	able)
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Rules Proposal Submitted By

ITA NF

Article No.-Article Name

APPENDIX K

Calendar Application Procedure and consequences of cancellations of Jumping Events

Explanation for Proposed Change

3. New/recently introduced rule that has proven to be problematic in its implementation: adverse economic impact

We understand the rationale of requesting a calendar deposit (as suggested by the Calendar WG and then approved by the 2022 FEI GA) in order to have to the benefit of top athletes and NFs that may rely on a stable planning of their sport activity through secured calendar dates and we support such measure for this very purpose; we do not agree though that such deposit be applied to long-term events, i.e. over +2 yrs in advance.

In fact the amounts being requested too much time in advance would have had a strong "anti-cyclical" economic impact on the sport; it should be noted furthermore that athletes/NFs do not plan 2 years ahead, because as we know, horse owners, horses, Chefs d'Equipe and situations may change.

Issues:

- 1. NFs has always laid a Long Term calendar in order to let their "classics" (or any events that are held since years on the same date) be shown and this eased in a certain way the calendar making itself and the application planning of all other events, as the OCs who wanted to apply for events of any lower category could know in advance the presence of the top events and could position their own events accordingly in a strategic way.
- 2. When dealing with long term calendar, due to force majeure occurrences (e.g. clashing of bigger Sport events on the calendar, or in the city or specific availability of the venue or similar) an OC could need to temporarily add the same event on 2 dates, defining at a later stage the definition of its date, always in agreement with the NF and the FEI. There can be infact the necessity of applying for 2 dates in some cases for one major event, as the exact date is subject to situational factors and external decisions.
- 3. The calendar application deposit forces OCs to have an expenditure in advance and in case an OC is the organiser of many CSI4*/5*, the expenditure may be huge. If not only the next year's events are invoiced but also for all CSI4*/5* events that are present on the long term calendar the money to pay to FEI could be not sustainable for an OC, even though such amounts can be credited against the organizing dues after the events takes place.
- 4. What about the different value of the money paid so much time in advance considering the currency fluctuations that the CHF may be subjected to and all other banking implications?
- 5. Kindly note that all operations (invoices/payments) will be burdensome to the NFs who will have to ask the money to the OCs too much in advance and then open the administrative positions in order to manage each year and each OC (it complicates much the administration, especially considering there might be changes in the name/ownership/fiscal status of an OC during the years).
- 6. If the purpose of the deposit is to have a more reliable calendar (principle that of course all stakeholders do agree upon as it is the main tool for all parties to guarantee a fair level field of play) perhaps the panorama could turn to the opposite, as some OCs may end to opt for applying for their CSI5* and CSI4* dates right before the deadline of 1st May /1st October respectively and thus problems of



- clashes could easily arise when OCs would apply for their dates and the long term calendar itself would lose its validity.
- 7. if the deposit's invoice is sent upon receipt of the calendar application, and the event is cancelled for some reasons more than 12 months before it takes place, not following force majeure reasons according to the FEI but according to major reasons to the OC itself (e.g. loss of a main sponsorship or unavailability of the venue for example) the OC would loose a huge amount of money and this would not be fair neither to the OCs nor to the Sport itself.
- 8. the Calendar Application Deposit invoice is issued and must be paid within 30 days. what happens if an NF adds an event, the Calendar Application Deposit invoice is issued, but following to discussions within the NF the relevant OC decide to retrieve the show application within that 30 day period? it's 2 (or more) years ahead and NF may need to make changes: **there should be such a "window" for the NF during which NFs can retrieve the application**, as there can be also errors or misunderstanding on part of the NF staff too (we all makes mistake and also not all people are acquainted with the system functions: there can be mistyping errors or an internal misunderstanding within the NF regarding the order to add the event) and this would put much responsibility on the NF user. We suggest that a certain window period could be granted for events added +2 and over years in advance.

For the reasons above, we suggest:

1.that the invoicing of the Calendar application Deposit instead of "upon receipt of the calendar application" be rather according to more feasible deadlines both for FNs and OCs, i.e. after the relevant deadline for the confirmation of the events:

For CSI5*: after the 1st May prior to the year in which the event takes place For CSI4*: after the 1st October of the year in which the event takes place

- 2. the provisions for CSI4*/CSI5* events cancellation apply to the approved events in the **approved calendar only** (i.e. to the events present in the current year's calendar or in following year's officially approved calendar, not to long-term events' dates in general).
- 3. to allow on the operational side to NFs <u>a "window" during which NFs can retrieve</u> the application.

Proposed Wording

APPENDIX K – Calendar Application Procedure and consequences of cancellations of Jumping Events

All references to 5* and 4* Jumping Events in this Appendix include:

o CSI;

o CSIO; and

o FEI World Cup Events.

- 1.1. Calendar Application Fees and Calendar Application Deposit
- A. The Calendar Application Fees as specified in FEI Financial Charges apply.
- **B.** Each Calendar Application for a 4* and 5* Jumping Event taking place on or after 1 January 2024 is subject to the payment of a deposit (a "Calendar Application Deposit") in the amount specified in the table below.

Upon receipt of the Calendar Application, The FEI will issue an invoice to the NF for the applicable Calendar Application Deposit, for events:

CSI5*: after the 1st May prior to the year in which the event takes place CSI4*: after the 1st October of the year in which the event takes place and such invoice to be paid within 30 days.

The Calendar Application Deposit paid in relation to an Event will be credited against the related Organising Dues payable to the FEI after that Event has taken place.

5*: CHF 10'000



4*: CHF 5'000

If the Event scheduled in the current year's calendar or scheduled in the following year's approved calendar is cancelled (other than by reason of a Force Majeure Event), the FEI will retain the Calendar Application Deposit and it will not be credited against Organising Dues of future Events of the Organiser (or Related Organiser). For more details about Cancellations see section 1.4 below.

1.2. Late Date Application Fee and Date Changes to the Approved Calendar

Any Late Date Application Fee and Date Changes to the Calendar Fee as specified in the FEI Financial Charges shall apply. Calendar Application Deposits are also payable for Late Date Applications and the process set out in paragraph 1.1 above shall apply, it being understood and agreed that the FEI Secretary General may take appropriate action including the removal of the Event if the applicable Calendar Application Deposit has not been paid.

1.3. Calendar Applications for 5* Jumping Events

Applications for all CSI5* and CSIO5* Events must be received by the FEI Secretary General by 1 May prior to the year in which the Event takes place1.

Dates must be subsequently confirmed by the National Federations/OCs by 1 June of the year before

the Event takes place. The FEI Secretary General shall then approve the Calendar for all 5* Jumping

Events for the following year in principle by 31 July. If 31 July falls on a non-business day in Lausanne,

Switzerland, the Calendar shall be approved on the following business day.

For all other Jumping Events, the FEI Secretary General shall receive Calendar Applications by 1

October prior to the year in which the Event will take place.

1.4. Cancellations of 5*and 4* Jumping Events

The below Cancellation Fees apply for 5* and 4* Jumping Events only scheduled in the current year's calendar. These Cancellation Fees do not apply to cancellations due to *Force Majeure Event*. It shall be for the FEI Secretary General to decide in their sole discretion if the stated reason for the cancellation of an Event qualifies as a Force Majeure Event. Any other cancellation of other Jumping Events or for Events in any categories in the other FEI Disciplines may be dealt with according to other provisions of the FEI Rules and Regulations.

(all amounts below are in CHF)

1st CANCELLATION:

As of 1 January 2024: OC's first cancellation during a Calendar Year

(TABLE)

Any Cancellation Fee stated above shall be paid within 30 days of receipt of an invoice from the FEI. If the amount is not paid within the 30 day deadline, the next Jumping Show of the OC (or Related OC) (after the 30 day deadline) in the Calendar will be removed (and the Calendar Application Deposit related to the cancelled Event and the removed Show will be lost). Any OC that is fined under this mechanism shall not be entitled to make a Late Date Application for the current year either directly or via a Related OC.

The above penalty mechanism will not apply in case of cancellation due to a Force Majeure Event.

2nd CANCELLATION:

As of 1 January 2024: OC's (or Related OC's) second cancellation within a 365 day period after the 1st Cancellation.

(TABLE)



Any Cancellation Fee stated above shall be paid within 30 days upon receipt of an invoice from the FEI. If the amount is not paid within the 30 day deadline, the next Jumping Show of the OC (or Related OC) (after the 30 day deadline) in the Calendar will be removed (and the Calendar Application Deposit related to the cancelled Event and the removed Show will be lost). Any OC that is fined under this mechanism shall not be entitled to make a Late Date Application for the current year either directly or via a Related OC.

The above penalty mechanism will not apply in case of cancellation due to a Force Majeure Event.

3rd CANCELLATION:

As of 1 January 2024: OC's (or Related OC's) third cancellation in a 365 days period after the 2nd Cancellation.

OC's (or Related OC's) Shows in all FEI Disciplines in the Calendar for the next 365 day period shall be removed from the FEI Calendar (and the Calendar Application Deposit related to the cancelled Event and the removed Show(s) will be lost). Any OC that is fined under this mechanism shall not be entitled to include any Event in any FEI Discipline in the FEI Calendar during that 365 day period either directly or via a Related OC.

The above penalty mechanism will not applied in case of cancellation due to a Force Majeure Event.

FEI Feedback

The FEI does not support such proposal. The Appendix K has been introduced recently, is working well and the FEI is not aware of any challenges or issues from other NFs or stakeholders.

FEI Proposed Wording (if applicable)

N/A

Rules Proposal Submitted By

ITA NF

Article No.-Article Name

NEW ARTICLE - TO BE DETERMINED UNDER WHICH ARTICLE

Explanation for Proposed Change

In many FEI Championships (mainly in the non Olympic disciplines), it is not usual to give a accreditation to the declared NF representative (President, Secretary General, Sports Director, Chef de Mission).

The rules should provide for this – accreditation for at least 1 NF representative.

Proposed Wording

At all FEI Championships an NF representative (President, Secretary General or other NF representative (Board Member/Sports Director/Chef de Mission)) of a participating individual or team must be provided with accreditation and car pass.



FEI Feedback

The FEI does not support such proposal and is unaware of any issues in practice regarding accreditations at FEI Championships.

FEI Proposed Wording (if applicable)



B. Rules Proposals put forward by the FEI

Article No.-Article Name

Article 106- Application for and Allocation of FEI-Named Events

Explanation for Proposed Change

To remove the restrictions that a NF cannot be allocated more than one World or Continental Championships for Seniors in the Olympic Disciplines (respectively more than two consecutive FEI World or Continental Championship in the same Discipline). This is to introduce more flexibility into the allocation process.

In addition, it is proposed to allow the Board the possibility to allocate a Championship to an Organiser more than one edition (e.g. to allocate two consecutive editions of a Championship to the same Organiser).

Proposed Wording

- 1. Allocations shall be decided by the Board on the advice of the FEI and the relevant Technical Committee in accordance with this Article. The Board shall be entitled to allocate FEI Named Events to the same Organiser on a multi-edition/year basis.

 (...)
- 4. No NFs shall be allocated more than one (1) FEI World or FEI Continental Championship for Seniors in the Olympic Disciplines in any one year, unless decided otherwise by the Board in the interests of equestrian sport.
- 5. No NFs shall be allocated two (2) consecutive FEI World or FEI Continental Championships in the same Discipline for the same Category of Athletes, unless there are no other suitable applications.

Article No.-Article Name

Articles 127 (Allocation of Prizes) and 128 (Distribution of Prizes)

Explanation for Proposed Change

Amend to provide that prize money must be paid by bank transfer and that it cannot be paid in cash or that payment in cash may only be made if specific FEI approval is sought and granted in the event schedule. A certain threshold will be set under which prior approval from the FEI is not needed i.e. for small amounts of money prizes.

Proposed Wording

ARTICLE 127 - ALLOCATION OF PRIZES

- 1. In all Competitions the prizes must be distributed according to the provisions of this Article.
- 2. The value of the first prize for individuals or teams, either in cash or in kind easily convertible into cash, may never exceed one third (1/3) of the total value of the prize money and prizes convertible into cash, offered for the whole Competition unless otherwise specified in the Sport Rules for the relevant Discipline.



- 3. The minimum number of prizes offered for each Competition must be allocated on the basis of one (1) prize for every commenced four Athletes, with a minimum of five (5) prizes.
- 4. Prizes, as set forth above, must be offered for all qualifying Competitions for a general classification at that Event, or for a general classification after a Series of Events.
- 5. A single prize in cash, or in kind, may be allocated for a general classification at the conclusion of a Series of qualifying Competitions, or at the end of the Event for the accumulation of points, or at the conclusion of a Series of qualifying Events, provided always that prizes have been offered for each of the preliminary or qualifying Competitions or Events, according to this Article.
- 6. Prize money shall be paid by bank transfer only and shall not be paid in cash, subject to Article 127.7.
- 7. Prize money may be paid in cash only if (i) specific approval for payment of prize money in cash is sought from and granted by the FEI in the approved Schedule for the Event, or (ii) the total value of the prize money does not exceed CHF 10,000 (in which case payment of prize money in cash is permitted and approval need not be sought from the FEI).

Article 128 - Distribution of Prizes

Subject to Article 127:

- 1. The total amount of prize money shown for each Competition in the schedule must be distributed.
- 2. Prize money must be distributed to the Chefs d'Equipe or to the winning Owners, lessees or Athletes within ten (10) days after the last Competition of the Event provided they have met all their financial and other obligations to the OC.
- 3. Prize money for a Competition may not be distributed while any Protests relating to that Competition are under consideration during an Event.

Article No.-Article Name

Art. 135 - Advertising on Athletes and Horses and Promotion

Explanation for Proposed Change

References to Driving include Para Driving and references to Dressage include Para Dressage. In addition, to add the Paralympics under art. 135.2 "At all Events, except Regional and Olympic Games under the patronage of the IOC, Athletes may wear clothing and use equipment...."

Proposed Wording

(...)

References to Driving include Para Driving and references to Dressage include Para Dressage.

At all Events, except Regional and Olympic Games under the patronage of the IOC, <u>and the Paralympic Games</u>, Athletes may wear clothing and use equipment (including, but not limited to, riding equipment and vaulting back pads) and vehicles which identify the manufacturer, the Athlete's sponsor(s), the Athlete's team sponsor(s), the NF's sponsor(s), the Athlete's nation, and/or the Athletes themselves, but only under the specific conditions set forth below: (...)



Article No.-Article Name

Art. 163 - Disciplinary Proceedings

Explanation for Proposed Change

To clarify the current provisions on the imposition of a Provisional Suspension_to confirm that FEI HQ is entitled to impose a Provisional Suspension during the investigations, without the need to formally open a Disciplinary Case (as the FEI needs to gather evidence, contact witnesses, etc, prior to be able to file a Claim).

Proposed Wording

(...)

163.11 Notwithstanding the foregoing, in urgent cases the FEI may impose an immediate Provisional Suspension via the Minor Offence Notice of Charge, or via a Notification Letter in Claim proceedings and/or via a Notice of open investigation. The Provisional Suspension will remain in effect until such time as FEI Headquarters or the FEI Tribunal (as the case may be) issues its Decision or unless and until the Provisional Suspension is lifted in accordance with Article 163.12 below.

Article No.-Article Name

Art. 164 - Sanctions

Explanation for Proposed Change

Add a sanction for misconduct that specifically enables the FEI to disqualify ranking points and forfeit/recover prize point in cases involving fraudulent conduct that affect competition results.

To expand the list of offences so that we can "catch" all wrongdoings. The table of sanctions with the various ranges has been updated and sanctions slightly increased for Horse Abuse, especially taking into account the Social Licence to Operate and EEWC action plan. In addition, for the "Low End" category the sanctions were increased up to 3 months so that it matches the sanctions available under the Administrative Disciplinary Proceedings.

Proposed Wording

ARTICLE 164 - SANCTIONS Types of Sanctions

. . .

164.5 Disqualification

- (a) Disqualification is appropriate when (i) it is specified in the Statutes, GRs or Sport Rules, (ii) fraudulent conduct has affected the results of a Competition or Event, or (iii) if the circumstances otherwise require an immediate action. Disqualification from the Competition or Event may be retroactive.
- (b) Disqualification from a Competition means that the Athlete and/or Horse(s) concerned even should they change ownership are removed from the list of starters and the classification and includes <u>all resulting consequences including</u> forfeiture of <u>any prize money, ranking points, medals, or other prizes</u> won in that particular Competition.
- (c) Disqualification from an Event means that the Athlete and/or Horse(s) even should they change ownership may take no further part in that Event and it may include (in addition to what is mandated under paragraph 164.5(b) above) the forfeiture of any prize money won in previous Competitions at that Event where provided for in the Statutes, GRs



or Sport Rules, with all resulting consequences including forfeiture of any prize money, ranking points, medals, or other prizes won in previous Competitions at that Event.

(...)

Offences

164.11 In addition to breaches of specific provisions of the FEI Rules and Regulations, the following is a list of other offences that the FEI may sanction:

- (a) Incorrect Behaviour:
- (b) Abuse of Horse;
- (c) Acts defined as criminal by the national law and/or Swiss law ("Criminal Acts");
- (d) Fraud of any kind, including (without limitation) offering or accepting a bribe to perform or fail to perform an act, falsifying documents, procuring false testimony from witnesses, or committing any other fraudulent act to affect the conduct or outcome of disciplinary proceedings or the conduct or results of any Competition/Event;
- (e) Violence;
- (f) Failure to cooperate promptly, truthfully, completely, and in good faith with an investigation undertaken by, or on behalf of, the FEI, including failure to comply with any Demand; or otherwise obstructing, delaying, frustrating, or otherwise interfering with any such investigation;
- (g) Conduct that <u>is contrary to the integrity of equestrian sport or that risks bringing</u> the FEI and/or equestrian sport into disrepute <u>or that risks causing</u> the public opinion of the FEI and/or equestrian sport to be diminished;
- (h) Witness intimidation or retaliation:
 - (i) Any act that threatens or seeks to intimidate another person with the intent of discouraging the person from the good-faith reporting of information that relates to an alleged violation or non-compliance with the FEI Rules and Regulations to the FEI, any NF, hearing body, or any person/body conducting an investigation by or on behalf of the FEI.
 - (ii) Retaliation against a person who, in good faith, has provided evidence or information that relates to an alleged violation or non-compliance with the FEI Rules and Regulations to the FEI, any NF, hearing body, or any person/body conducting an investigation by or on behalf of the FEI.
- (i) Breach of the FEI Code of Ethics;
- (j) Breach of the FEI Code of Conduct on the Welfare of the Horse;
- (k) Breach of the FEI Code on the Manipulation of Competitions;
- (I) Breach of the FEI Officials Code of Conduct; or
- (m) Breach of the FEI Safeguarding Policy against Harassment and Abuse-:
- (n) Breach of the FEI Social Media Policy

Art. 164.13

(...)

Offence	Low-End	Mid-Range	Top-End	Max
Incorrect	Up to 23	Up to 6	Up to 1 years	2Up to 5 years
Behaviour	months			
	Up to CHF	CHF 1,500 -	CHF 3,000 -	CHF 10,000
	1,500	3,000	7,000	
Abuse of Horse	<u>Up to 36</u>	<u>6</u> 3 months - 2	2 3 – 5 10 years	Up to Life
	months	3 years		
	CHF 1000 -	CHF 2,000 -	CHF 5,000 -	CHF 15,000
	1,500	3,000	10,000	
Criminal Acts,	<u>Up to 13</u>	3 months – 2	2 – 5 years	Up to Life
Fraud, Violence	month <u>s</u>	years		
	CHF 1000 -	CHF 2,000 -	CHF 5,000 -	CHF 10,000
	1,500	3,000	7,500	
	<u>Up to 13</u>	Up to -6 months	Up to 9 months	1 year
	month <u>s</u>			



Failure to cooperate with an investigation	Up to CHF 1,500	CHF 1,500 - 3,000	CHF 3,000 - 7,000	CHF 10,000
Bringing the FEI and/or equestrian sport into disrepute	Up to 13 months	Up to 6 months	Up to 9 months	<u>1-2</u> years
	Up to CHF 1,500	CHF 1,500 – 3,000	CHF 3,000 - 7,000	CHF 10,000
Breach of the FEI Code on the Manipulation of Competitions	WarningUp to 3 months	3 months - 2 years	Up to 5 years	Up to Life
	CHF 1000 – 1,500	CHF 2,000 – 3,000	CHF 5,000 - 10,000	CHF 15,000
Breach of the FEI Safeguarding Policy against Harassment and Abuse	WarningUp to 3 months	3 months - 2 years	2 years to 10 years	<u>Up to Life</u>
	CHF 1000 - 1,500	CHF 2,000 - 3,000	CHF 5,000 - 10,000	CHF 15,000
Witness Intimidation or Retaliation	Up to 3 months	Up to 1 year	Up to 3 years	Up to 5 years
	<u>Up to CHF</u> 1,500	<u>CHF 1,500 – 3,000</u>	<u>CHF 3,000 – 7,000</u>	CHF 10,000
Breach of the FEI Social Media Policy	Up to 3 months	Up to 1 year	Up to 3 years	Up to 5 years
	<u>Up to CHF</u> <u>1,500</u>	<u>CHF 1,500 – 3,000</u>	<u>CHF 3,000 – 7,000</u>	CHF 10,000

Article No.-Article Name

Appendix A, FEI General Regulations

Explanation for Proposed Change

Amend definition of Incorrect Behaviour.

Amend definition of "Organiser" so it includes a de facto Organiser.

Proposed Wording

Incorrect Behaviour: Any form of unsportsmanlike or improper conduct by any Participant (including any Organiser) in connection with an Event or Competition or that otherwise (even if not in connection with an Event or Competition) may impact the reputation of the FEI or equestrian sport, including (without limitation) towards (a) Officials or any other party connected with the Event (other Athletes, journalist, volunteers, OC staff, FEI representatives, members of the public etc.) and/or (b) Doping Control Officers, Testing Veterinarian, Chaperones and/or any other person involved in the collection of a doping control sample. Examples of Incorrect Behaviour include, but are not limited to, (i) shouting aggressively or using offensive, abusive, or otherwise inappropriate language (e.g., swearing, derogatory language); (ii) physical violence, intimidation, or harassment (iii) making offensive, abusive, or otherwise inappropriate gestures; (iv) issuing a threat or acting in a threatening manner; (v) hostility, prejudice, or unlawful discrimination on grounds of race, colour, sex, sexual orientation, language, religion, national or social origin, disability, or other protected status; (vi) failure or refusal to comply with the reasonable instructions of an Official, the FEI, or the OC; (vii) disrupting the preparation of other Athletes for Competition or disrupting a prize giving ceremony or other official ceremony: (viii) damaging the property of another person; (ix) inciting or encouraging others to engage in Incorrect Behaviour; (x) engaging in conduct that endangers others persons, or Horses, or animals; or (xi) assisting, encouraging, aiding, abetting, conspiring, covering



up a rule violation (or attempted rule violation) by another person, (xii) failing to promptly report to the FEI any incident, fact, or matter that may indicate a potential violation of the FEI Rules and Regulations, including failure to report horse abuse, (xiii) acting in a dishonest or deceptive manner or in bad faith, or (xiv) acting in a manner contrary to the principles of fair play or good sportsmanship.

Organiser or Organising Committee (OC): Any organisation, group, society, body, or person which is (i) recognised by the applicable NF, or named in the approved Schedule, and held to be as responsible for the management of any Event, or (ii) de facto responsible for the management of the Event. For the purpose of interpreting these FEI General Regulations, a Related Organiser shall also be considered as an Organiser.

Article No.-Article Name

Appendix D - Art. 108 FEI Championships Table

Explanation for Proposed Change

To amend Appendix D regarding the FEI World Para Driving Championships to have them take place every uneven year.

Proposed Wording

PARA-EQUESTRIAN

PARALYMPIC GAMES: Olympic Year; WORLD CHAMPIONSHIPS: Each uneven year for Para-Equestrian Driving; WORLD EQUESTRIAN GAMES/FEI World Championships: Summer Olympic Year +2 for Para-Equestrian Dressage; CONTINENTAL CHAMPIONSHIPS: Twice every 4 years, or at least once between each Paralympic Games