

2024<u>2</u> VETERINARY REGULATIONS 14<sup>th</sup> Edition 2018, effective 1 January 2024<u>2 (Annex VI updated pursuant to Emergency</u> Board Resolution, 21 June 2022, such updates to take effect on 4 July 2022)

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### FOREWORD

I

This edition of the FEI Veterinary Regulations (VRs) is effective from 1 January 2024 $\underline{2}$  and supersedes all previous editions.

These VRs must be read in conjunction with the FEI Statutes, the Internal Regulations of the FEI, the FEI General Regulations, the Equine Anti-Doping and Controlled Medication Regulations (EADCMRs) and the relevant Sports Rules, which will refer to these Regulations. Consideration for the welfare of the Horse, as per the FEI Code of Conduct for the Welfare of the Horse (above), is the guiding principle throughout these VRs and must be applied at all times during Events.

If there is a conflict between the VRs, Discipline Rules and Guidance notes, the VRs will prevail.

The FEI Equine Prohibited Substances List (EPSL) lists all Prohibited Substances, categorising them as either Banned Substances or Controlled Medication Substances, and is incorporated in the EADCMRs. The EPSL is revised by the FEI List Group, which is a group of experts appointed as a separate Subcommittee of the FEI Veterinary Committee. The EPSL is subject to annual review and will be updated and published accordingly.

FEI Veterinary Guidance Notes may be issued by the FEI Veterinary Department after approval by the FEI Veterinary Committee. Such Guidance Notes, when issued, shall be explanatory in nature and shall not under any circumstances vary the terms of any rule or provision of these VRs. Should there ever be a conflict between any provision of these VRs and a Guidance Note, or any other information provided through any source, the terms of these VRs shall prevail.

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The English text shall be the authoritative version for legal purposes.

### FEI CODE OF CONDUCT FOR THE WELFARE OF THE HORSE

The FEI requires all those involved in international equestrian sport to adhere to the FEI Code of Conduct and to acknowledge and accept that at all times the welfare of the Horse must be paramount. Welfare of the horse must never be subordinated to competitive or commercial influences. The following points must be particularly adhered to:

### 1. General Welfare:

#### a) Good Horse management

Stabling and feeding must be compatible with the best Horse management practices. Clean and good quality forage, feed and water must always be available.

#### b) Training methods

Horses must only undergo training that matches their physical capabilities and level of maturity for their respective disciplines. They must not be subjected to methods which are abusive or cause fear.

#### c) Farriery and tack

Foot care and shoeing must be of a high standard. Tack must be designed and fitted to avoid the risk of pain or injury.

#### d) Transport

During transportation, Horses must be fully protected against injuries and other health risks. Vehicles must be safe, well ventilated, maintained to a high standard, disinfected regularly and driven by competent personnel. Competent handlers must always be available to manage the Horses.

#### e) Transit

All journeys must be planned carefully, and Horses allowed regular rest periods with access to food and water in line with current FEI guidelines.

#### 2. Fitness to compete:

#### a) Fitness and competence

Participation in Competition must be restricted to fit Horses and Athletes of proven competence. Horses must be allowed suitable rest period between training and competitions; additional rest periods should be allowed following travelling.

#### b) Health status

No Horse deemed unfit to compete may compete or continue to compete, veterinary advice must be sought whenever there is any doubt.

#### c) Doping and Medication

Any action or intent of doping and illicit use of medication constitutes a serious welfare issue and will not be tolerated. After any veterinary treatment, sufficient time must be allowed for full recovery before Competition.

d) Surgical procedures

Any surgical procedures that threaten a competing Horse's welfare or the safety of other Horses and/or Athletes must not be allowed.

e) Pregnant/recently foaled mares

Mares must not compete after their fourth month of pregnancy or with foal at foot.

f) Misuse of aids

Abuse of a Horse using natural riding aids or artificial aids (e.g. whips, spurs, etc.) will not be tolerated.

#### 3. Events must not prejudice Horse welfare:

#### a) Competition areas

Horses must be trained and compete on suitable and safe surfaces. All obstacles and competition conditions must be designed with the safety of the Horse in mind.

#### b) Ground surfaces

All ground surfaces on which Horses walk, train or compete must be designed and maintained to reduce factors that could lead to injury.

#### c) Extreme weather

Competitions must not take place in extreme weather conditions that may compromise welfare or safety of the Horse. Provision must be made for cooling conditions and equipment for Horses after competing.

#### d) Stabling at Events

Stables must be safe, hygienic, comfortable, well ventilated and of sufficient size for the type and disposition of the Horse. Washing-down areas and water must always be available.

#### 4. Humane treatment of horses:

#### a) Veterinary treatment

Veterinary expertise must always be available at an Event. If a Horse is injured or exhausted during a Competition, the Athlete must stop competing and a veterinary evaluation must be performed.

#### b) Referral centres

Wherever necessary, Horses should be collected by ambulance and transported to the nearest relevant treatment centre for further assessment and therapy. Injured Horses must be given full supportive treatment before being transported.

#### c) Competition injuries

The incidence of injuries sustained in Competition should be monitored. Ground surface conditions, frequency of Competitions and any other risk factors should be examined carefully to indicate ways to minimise injuries.

#### d) Euthanasia

If injuries are sufficiently severe, a Horse may need to be euthanased on humane grounds by a veterinarian as soon as possible, with the sole aim of minimising suffering.

#### e) Retirement

Horses must be treated sympathetically and humanely when they retire from Competition.

### 5. Education:

The FEI urges all those involved in equestrian sport to attain the highest possible levels of education in areas of expertise relevant to the care and management of the Competition Horse.

This Code of Conduct for the Welfare of the Horse may be modified from time to time and the views of all are welcomed. Particular attention will be paid to new research findings and the FEI encourages further funding and support for welfare studies.

### CHAPTER I INTRODUCTION

# Article 1000 General Principles

- All International Events must be organised according to the FEI Statutes, the FEI General Regulations (GRs), the Veterinary Regulations (VRs) and the relevant Sport Rules, which include the supervision and maintenance of the health and welfare of all Horses taking part.
- 2. The VRs deal specifically with the health and welfare of the Horses competing and the avoidance of any threat to fair play arising from the interaction between the human and equine Athletes.
- 3. The FEI Veterinary Committee is responsible for these VRs and for supporting their implementation, as well as for providing advice and support for any Horse welfare related matter.
- 4. In accordance with the FEI Statutes, modifications to these VRs can be made following a proposal from the Veterinary Committee or a National Federation (NF) through the Veterinary Committee, so long as they are approved by the General Assembly, except as otherwise stated.
- National Federations are encouraged to follow these VRs for their national events in order to ensure greater consistency and a common understanding between the national and international level.
- If a National Veterinary Authority refuses to admit Horses to an Event from an eligible country, the Organising Committee (OC) of the Event and/or the NF involved must refer the case directly to the FEI.

In such circumstances, the FEI Secretary General will seek the advice of the Veterinary Committee and if insufficient grounds for refusal are found the Secretary General may cancel the Event, or in the case of Championships transfer them to another country.

 Failure by OCs or Officials to comply strictly with these VRs will not provide a defence to any person liable under these VRs, the GRs, the EADCMRs, the Sport Rules, or any other applicable FEI regulation, except where it can be proven that such failure directly caused the rule violation.

# CHAPTER II REQUIREMENTS FOR FEI EVENTS

# HORSES' ELIGIBILITY TO COMPETE

### Article 1001 Equine Identification

- 1. PRs must comply with the requirements for national and FEI Passports, Recognition Cards and registration for FEI Events as described in Articles 113 and 137 of the General Regulations.
- 2. Passports must be filled in according to the instructions "How to fill in a Passport" contained in the Passports.
- 3. The FEI Passport or Recognition Card must be revalidated every 4 years.
- 4. A duplicate Passport must be issued when any of the sections is full.
- 5. Horses registered for the first time with the FEI, after 1 January 2013, must be identifiable with a microchip compatible with ISO 11784 and ISO 11785.
- 6. Microchip information must be entered into the Passport and the FEI Database. Should a Horse have more than one functioning microchip, additional microchip information must be entered in the Passport Description page.
- Should a Horse be implanted with a new microchip, or changes needed to be made relating to microchip information, the Microchip Changes form must be filled in and sent to the Horse's administering NF.

### Article 1002 Vaccinations and Infectious Diseases

- 1. All laboratory tests performed for infectious disease testing, particularly those required by government legislation, must be recorded in the Passport.
- 2. All Horses entering the FEI Stables Area and/or participating in FEI Events must be vaccinated against equine influenza, according to Article 1003.
- 3. Horses entering the FEI Stables Area that are not competing must be FEI-registered Horses. They must comply with the equine influenza vaccination requirements as described in Article 1003, undergo an Examination on Arrival and comply with any additional health requirement.
- 4. Exceptions from the influenza vaccination requirements may be granted by the FEI Veterinary Department and where national legislation states otherwise which shall only be applicable to Horses competing in that nation.
- 5. All information concerning vaccinations must be written in the Horse's Passport in the roman alphabet.
- 6. Vaccinations administered against Equine Influenza and other equine infectious diseases must be entered in the Horse's Passport on the correct vaccination page.
- 7. The name and batch number of the vaccine and the date of administration must be recorded in the Passport.

- 8. Vaccination must be administered by a veterinarian who must sign and stamp the Passport against the relevant vaccination entry.
- 9. Errors in recording vaccination details must be corrected by marking a single line through the incorrect information and re-writing all the details for that vaccination on a new line. The person marking through the incorrect information must place their initials next to the deletion. The use of correction fluid is prohibited.
- 10. When a new or duplicate FEI Passport is issued, the Horse's veterinarian can use the following statement to summarise a long equine influenza history: "The vaccination history of this Horse is correct to date in accordance with the FEI Veterinary Regulations. Last vaccination on 00/00/00 date".
- 11. No vaccination shall be given within seven days of the Horse's arrival at the Event.
- 12. Vaccinations must not be given to Horses at FEI Event sites.

# Article 1003 Equine Influenza Vaccination Requirements

- 1. All proprietary Equine Influenza vaccines are accepted by the FEI, provided the route of administration complies with the manufacturer's instructions (i.e. intramuscular injection or intranasal).
- 2. An initial Primary Course of two vaccinations must be given; the second vaccination must be administered within 21-92 days of the first vaccination.
- 3. The first booster must be administered within 7 calendar months following the date of administration of the second vaccination of the Primary Course.
- 4. Booster vaccinations must be administered at a maximum of 12 month intervals and however Horses competing in Events must have received a booster within the previous 126 months + 21 days (and not within 7 days) provided it was not administered within 7 days of the Horse's before arrival at the Event.
- 5. Horses may compete 7 days after receiving the second vaccination of the primary course.
- 6. Horses that have received the Primary Course prior to 1 January 2005 are not required to fulfil the requirement for the first booster, providing there has not been an interval of more than 12 months between each of their subsequent annual booster vaccinations.

### Article 1004 Prohibited Methods

- 1. Horses are not permitted to compete in FEI Events:
  - a) with hyposensitive or hypersensitive areas of the body;
  - b) with a tracheotomy/tracheostomy (i.e. an existing surgical opening through the skin into the trachea);
  - c) following gene doping (i.e. the non-therapeutic use of cells, genes, genetic elements or the modulation of gene expression having the capacity to improve performance);
  - d) following any form of genetic modification;
  - e) following blood doping, or similar methods (e.g. ozone haemotherapy),
  - f) with any object that punctures the skin with the exception of wound closure materials;

**Commented [CTM1]:** An Emergency Board resolution applicable from 1 October 2022 until 1 April 2023

- g) wearing contact lenses unless permission has been granted from the FEI Veterinary Department;
- h) if the hair on their limbs has been clipped and/or shaven at any point during the Period of the Event. Permission must be granted from the VC/VD by the PTV for clipping and/or shaving required for veterinary purposes, prior to any investigation or treatment taking place. Horses' limbs may be clipped up to 3 days before the Period of the EventHorse Inspection using a blade that cuts the hairs no shorter than 2mm; and
- i) if the Horse's sensory hairs have been clipped and/or shaven or in any other way removed unless individual sensory hairs have been removed by a veterinarian to prevent pain or discomfort for the Horse. Areas of hair that must be clipped, shaven or removed to allow veterinary treatment are exempt from this rule. As of 1 July 2021, Sanction 39 in Annex VI will be applicable<u>ir and</u>
- +)j) following injection and/or oral administration of ethanol and/or- oxytocin. The FEI EADCMRs may also apply in the case of presence.
- 2. Horses are not permitted to compete if they have received any prohibited treatments in accordance with Article 1063.
- 3. Horses are not permitted to compete if they have received any prohibited supportive therapies in accordance with Article 1067.

# ORGANISING COMMITTEE'S RESPONSIBILITIES

### PART I: FACILITIES

# Article 1005 Provision of Facilities

- 1. The OC, in consultation with the VSM, is responsible for the provision of facilities, as described below.
- 2. The OC must make every effort to ensure that the facilities are free from Prohibited Substances and not vulnerable to contamination or facilitating the transmission of infectious diseases.
- 3. Failure of the OC to provide any of the required facilities shall not be a defence, on its own, to a violation arising under the EADCMRs.
- 3.—<u>The OC must keep records of stables cleaning and disinfection procedures and the disinfection products used.</u>

# Article 1006 Safeguarding Horse Passports

- The OC is responsible for the safe and orderly keeping of Passports during the Event, although for practical reasons the VC/ VD may retain Passports in its possession during the Event.
- During the Event, access to Passports must be limited to the following officials: VC/ VD, GJ, Testing Veterinarians, Measuring Veterinarians, Technical Delegates and Chief Steward.
- 3. The OC is responsible for returning Passports to PRs at the completion of the Event.

### Article 1007 Veterinary Services

- 1. The provision of veterinary services must be organised by the VSM, supported by the OC and supervised by the VC/VD.
- 2. The following veterinary services must be available for all Horses at all times during Events:
  - a) effective communication method(s) for all VDs and the team of PTVs including, a radio and mobile phones for backup;
  - b) an equine referral clinic with diagnostic imaging and surgical facilities must be prenotified and on standby to receive sick or injured Horses;
  - c) at least one TV must be appointed for every 200 horses at the venue;
  - c)d) \_\_\_\_\_at least one PTV available 24 hours a day;
  - d)e) \_\_\_\_\_at least one PTV adjacent to the Competition area during all competitions and prize giving ceremonies;

e)f) \_\_\_\_\_at least one PTV present during all training times;

- f)g) a qualified and experienced farrier;
- g)h) veterinary equipment (e.g. medication and euthanasia agents, intravenous fluids, consumables and limb stabilisation equipment);

- h)) Support personnel familiar with and rehearsed in the necessary emergency procedures must be available to assist the PTV (e.g. erecting screens around injured Horses and operating a low-loading transporter or Horse ambulance);
- i)) There must be a suitable designated Horse ambulance with a dedicated driver available immediately adjacent to the Field of Play at all times during Competition. If the Horse ambulance is a trailer then it must be attached to a towing vehicle
- (j)(x) arrangements must be made in advance with a veterinary pathology facility to carry out a post mortem examination in the event of a Horse fatality. Arrangements must also be made for the transport of a carcass from the Event site to the veterinary pathology facility.

### Article 1008 Stables

#### I. Stable Area Requirements

- Stables/ loose boxes must be provided within the Event venue and be at least 3m x 3m. An adequate number of minimum of 20% of the stables must be at least 4m x 3m to accommodate the larger Horses. The stables must be of high quality and wellconstructed to provide a safe environment for the Horse.
- 2. A minimum of two stables of at least 3x3m, labelled as 'Testing Boxes' must be provided in a safe and quiet area. They must be secured after having been appropriately cleaned. For Competitions in which there are fewer than 10 Horses entered, it is acceptable to provide only one Testing Box. They must contain clean bedding and it must be possible to observe the Horse being sampled from outside the Testing Box. If the Testing Boxes are constructed on grass, a rubber matting floor must be installed. Additional Testing Boxes may be requested by the Testing Veterinarian or FEI Veterinary Department.
- 3. A minimum of two clean stables of at least 3x3m, labelled as 'Treatment Boxes'. They must be provided in an easily accessible location and separated with a solid partition from individual stables or be located in a veterinary treatment centre. The number of Treatment Boxes must be determined by the VD and VSM, based on the number of entries and the Discipline in question. Additional Treatment Boxes may be requested by FEI Veterinary Department. Treatment Boxes must be easy to clean, available at all times when the stables are open and must not be used for EADCM sampling. OCs must have a minimum of two Treatment Boxes available free of charge but may charge a fee for access to more advances/equipped veterinary facilities e.g. stocks, radiography room.
- 4. Isolation Stables must be provided in a secure location<u>and must not be in the same stabling or same airspace as non-isolated Horses</u>. far from any other stables at the Event. The Isolation Stables must be separated by at least 50 metres away from any flow of horses at both indoor and outdoor Events. There must be a minimum of two Isolation stables provided and one additional Isolation Stable for every 100 Horses<u>- and all Events must have a plan for overflow Isolation Stables</u>. Isolation Stables must have a plan for overflow Isolation Stables. Isolation Stables must not be used for any other purposes<u>- and disposable boot covers</u>, disposable or washable coveralls, water, liquid soap, paper towels and hand sanitiser-must be available at the entry/exit.
- The stables area and all stables must be cleaned and disinfected and sealed before the arrival of Horses, ensuring they are free of contamination and disease vectors.
- 6. The stables area must adhere to biosecurity requirements as described in Article 1029 and, include the following facilities:

- a) adequate lighting, safe electrical points throughout the stables;
- b) adequate ventilation
- c) fresh, dust-free straw, wood shavings and/or paper bedding;
- d) good quality, clean water and feed;
- e) fire precautions and a stables evacuation plan;
- f) sufficient number of Horse wash down facilities, so that Horses do not wait more than 10 minutes to be washed; and
- g) space between stables for Horse/ Athlete equipment and to allow the safe movement of Horses around the stable areas.

### II. Enclosures (also known as 'yards')\*

- 7. Enclosures may be provided at FEI Events in exceptional circumstances.
- 8. Only one Horse should occupy each individual enclosure.
- 9. Each enclosure must adhere to the following requirements:
  - a) a minimum size of 3m x 3m;
  - b) solid and safe designed for the safety of the Horse;
  - c) adequate electrical points must be available in the vicinity of the enclosures;
  - d) adequate shade must be provided;
  - e) fire precautions and evacuation plans, including a safe area for evacuation in the incident of any such emergency;
  - f) adequate Horse wash down facilities, so that Horses do not wait more than 10 minutes to be washed;
  - g) adequate space between rows of enclosures/yards for Horses/Athlete equipment and to allow the safe movement of Horses around the enclosure areas; and
  - h) consideration is to be given to the safety of housing stallions in enclosures.

**\*Note:** The provisions of this Article 1008 II (Enclosures) shall only apply as "best practice" guidelines as of 1 January 2019. Compliance with these provisions will only become mandatory as of 1 January 2020.

#### III. Minimum Stabling Requirements for Horses during an Event

- 10. All Events must comply with the following stabling requirements except for Events held over 1 day in all Disciplines, <u>and CC11\*-S, CC12\*-S and CC13\*-S, CA11\*, CA12\* and</u> <u>CA13\* events (except for CA13\* World Cup Qualifiers)</u> and CE1\*and CE12\* Events
  - a) a designated stables area must be provided for Horses within the Event venue and must be demarcated as determined by the VC/ VD;
  - b) the designated stables area will be subject to 24 hour supervision by Stewards;
  - c) only authorised persons authorised, as described in Article 1009, are allowed within the stables area.

A derogation may be given by the FEI Veterinary Department to allow Horses competing in CCI4\*-S Events, to be stabled away from the Event venue. The Horse's location must be recorded in the FEI HorseApp to permit stewarding and EADCM testing at the location in which the Horse is stabled.

11. The following stabling arrangements for Horses must be implemented:

- a) unvaccinated Horses are not permitted into the FEI stables area;
- b) Horses that are not vaccinated against Equine Influenza, in accordance with Article 1003 (e.g. Horses competing in national classes that are running at the same Event venue) must be stabled separately and away from vaccinated Horses; and

- c) where possible, Horses from the same country must be stabled together and stable blocks should be assigned according to the Horses' region of origin (e.g. separate blocks of Horses from the EU, North America, Australia/ New Zealand etc.).
- 12. No Horse may be stabled overnight inside a truck or trailer. Only the Technical Delegate in consultation with the Chief Steward and the Veterinary Delegate may grant exceptions in extreme circumstances. Granted exceptions must be reported to the FEI Veterinary Department.

#### IV. Stable Security

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13. Stable security as described below is required at all Events, with the following exceptions:

Dressage: CDI1\*, CDI2\*, CDICh, CDI-P, CDI-J, CDI-Y, CDI-YH and CDI3\* outside Europe Driving: CAI 1\*, CAI 2\* and CAI3\* Endurance: CEI1\* and CEI2\* Eventing: CCI\*-Intro, CCI2\*-S, CCI2\*-L, CCI3\*-S, CCI3\*-L, and CCI4\*-S Para Equestrian Dressage: All CPEDI except Championships and Games Reining: CRI 1\*, CRI 2\*, CRI 3\*, CRI-J and CRI-Y Vaulting: CVI 1\*, CVI 2\*, CVI 3\* CVI-Ch and CVI-J

- 14. All Horses participating in an Event that requires stable security must be stabled within a completely restricted stables area, consisting of the following minimum requirements:
  - a) 24 hour security system;
  - b) a system to check the entry and exit of all Horses and the accreditation required by all personnel entering the stables; and
  - c) a system using a suitable "sign in" sheet to record the entry and exit of persons into the stables outside of stated working hours (e.g. overnight).
- 15. The restrictive perimeter around the stable area must prevent the entrance of unauthorised persons and the uncontrolled exit of Horses.
- 16. Whenever possible <u>T</u>the stables area must include only stables, Testing Boxes and Treatment Boxes; lorries, caravans, and other vehicles must not be permitted within the stables area.
- 17. A CCTV system may be deployed by the FEI at some Events.
- 18. Athletes and/or NFs are permitted to install and use their own camera monitoring systems within the Event stables area for the sole purpose of monitoring their horse(s), provided that they have submitted their request to the FEI for approval. Any such use of camera monitoring systems must comply with the FEI's protocol.
- 19. Failure of the OC to provide adequate stable security shall not be a defence to any violation brought under the EADCMRs.

### Article 1009 Access to Restricted Areas

1. Accreditation is provided by the OC for admission to all restricted areas, including all stables areas, training areas, paddocks, collecting rings and arenas during an Event.

Provision of accreditation to restricted areas must be specific, in accordance with the GRs (Article 132), limited and only provided to those persons directly involved in the

competition, including support personnel (grooms, PTVs, coaches etc.), NHVs, all appointed Veterinary Officials, Testing Technicians and essential FEI Headquarters staff.

- 2. Any person accepting accreditation to enter the stables area will by virtue of that acceptance agree to abide by all applicable FEI rules and regulations.
- Any other person requesting access to restricted areas may be authorised by the VC/ VD, OC or FEI Veterinary Department and must be under supervision when present within these areas.

### Article 1010 Examination on Arrival Area

- 1. OCs must organise facilities for the Examination on Arrival of all Horses at the venue.
- 2. The Examination on Arrival area must include:
  a) sufficient space for the minimal contact and safe movement of Horses throughout the area; and
  - b) a covered area for the collection of Horse passports.

### Article 1011 Horse Inspection Area

- 1. An area, separate from the Horse Inspection area, must be provided for Athletes to assess Horses at the trot (e.g. trot track/ surface).
- OCs, with the Technical Delegate and VSM, must provide a Horse Inspection area that includes:
  - a) a minimum of 30 metres of surface on which Horses will be inspected at walk and trot. Under exceptional circumstances, where the Horse Inspection is held indoors, the Horse Inspection surface may be shortened to no less than 25m subject to prior VC/ VD, GJ and TD (where present) approval;
  - b) the surface must be maintained as firm, level, clean and non-slippery for the entire period of the Horse Inspection to provide a consistent evaluation of the Horses' fitness to compete;
  - c) a barrier must be placed between the Horse Inspection area and the public;
  - d) the collecting area should be located at a safe distance from the inspection site and of sufficient size for the type of competition and the number of Horses presenting;
  - e) the Holding Box area must be a separate fenced off area, located close to the Horse Inspection area and where possible out of sight of the main Horse Inspection area; the Holding Box surface must be similar to the surface of the main inspection track;
  - effort must be made to ensure spectator, Athlete and Horse safety, ensuring a safe distance between all spectators and Horses; and
  - g) strict stewarding is required during the Horse Inspections, especially in the collecting area, to ensure the safety of all participants. The area must be stewarded so that Horses are ready for inspection at the scheduled time and quickly led away afterwards.

### Article 1012 EADCM Sampling Facilities

- 1. Arrangements must be made for sampling to be carried out according to Chapter VII and the EADCMRs.
- 2. Administrative facilities must be provided in a secure service area near to the Testing Boxes. This should include a refrigerator kept in a lockable room for the secure storage of samples.
- Other facilities required adjacent to the Testing Boxes must include access to a supply of clean running water, a table/work surface, stable cleaning equipment and a rubbish bin.

# Article 1013 Limb Sensitivity Examination Requirements

1. At Events where Limb Sensitivity Examinations will take place, as described in Article 1049, the OC must provide a GJ member to support the Examining Veterinarians and access to administrative facilities.

# Article 1014 Intentionally Omitted

### Article 1015 Other Facilities

- 1. Where possible, a quiet and suitably sized loading area should be provided, close to the stables area to facilitate the safe loading and unloading of Horses.
- 2. An office for the VC/ VD with access to secretarial facilities including a photocopier or similar.
- 3. Where possible, an Athlete's area should be provided away from the general public. Access to such area must be limited to those permitted to access the stable area only.

# PART II: BIOSECURITY

# Article 1016 Working with Veterinary Authorities

- 1. The relevant national veterinary authority must be consulted regarding the return of Horses to their countries of residence after the Event. The provision of health certificates during non-office hours may be required.
- 2. OCs and FEI Veterinarians are required to work closely in facilitating the requirements for the temporary importation of Horses to compete.

# Article 1017 Prevention of Disease Transmission

- OCs are responsible for ensuring that all biosecurity requirements, as set forth in these VRs, are strictly followed at all times.
- 1-2. For events taking place over 3 or more consecutive weeks where more than 400 horses are stabled overnight, an on-site pre-inspection of the Event's biosecurity measures is required. At least one pre-inspection will take place each year.
- 2-3. Horse transporters and stables should be cleaned and disinfected before they are used and between different Horses.
- 3.4. The use of common water troughs is not permitted, individual water and feed buckets should be used. An exception is granted for Endurance Events where use of a common water trough is accepted where the water is drawn with a clean bucket. Horses must not drink from the trough.
- 4.5. Disinfection mats and foot baths must be made available at the entry and exit points of the stables in the case of a suspicion or an actual infectious disease outbreak.
- <u>6.</u> Hand washing facilities and alcohol hand gels must be widely available to all persons handling Horses.
- 5-7. The OC must record the departure of each horse at the end of the Event, in the FEI HorseApp.

# Article 1018 Contingency Planning

- 1. All Events require a contingency plan of how stable blocks may be isolated, should a disease risk become apparent. The OC must work with the VSM to ensure that all required equipment is provided.
- 2. The Event's biosecurity contingency plan must include the methods of separating groups of Horses from the stables area should any Horse show clinical signs of infectious disease. A plan for the management of in-contact Horses which enables them to continue competing is required. <u>The Event's biosecurity contingency plan must be submitted to the Veterinary Delegate of that Event when the Event schedule is submitted to the FEI.</u>
- 3. OCs must maintain a record of where Horses have been stabled during the Event.
- 4. OCs must record contact details and determine communication methods for all PRs and veterinarians present at the Event

# PART III: STEWARDING

### Article 1019 Stewards' Role

- 1. Stewards support and safeguard the welfare of the Horses and Athletes. They must prevent any form of illegal practice that may jeopardise Horse welfare and/or disrupt fair play.
- VR violations witnessed must be immediately reported to the VD and/or GJ through the Chief Steward where possible.

3. For each Discipline, the Stewarding Manuals and their Annexes set forth the specific stewarding requirements applicable to Events in that Discipline.

### Article 1020 Stables Areas

- 1. At each entrance to the stables area, where stable security is required, the accreditation of persons entering and leaving must be checked.
- 2. Smoking is not permitted in the stables area except in designated smoking areas.
- 3. At least one Steward, must be present or in the vicinity of the stables area 24 hours a day throughout the Period of the Event.
- Stewards must regularly monitor the stables area, without establishing a predetermined pattern, to discourage rule violations of any nature, especially abuses of Horses.
- A night access list must be used to identify all persons entering the stables at night and their reasons for doing so. An adequate number of night Stewards must be provided to escort persons throughout the stables.
- 6. Grooms sleeping in lorries within the restricted access area must register their presence with a Steward and must be accompanied by a Steward if required to enter the stable area at night.
- 7. Grooms, or other persons, are not permitted to sleep within the stable area, unless by exceptional authorisation by the Chief Steward and VD.
- 8. Horses must not be schooled in the stable block.
- 9. Horses stabled at facilities away from the Event venue are subject to 24 hour stewarding as for those stabled on-site.

# Article 1021 Practice, Exercise, Warm –up and Grazing Areas

- 1. Practice arenas must be fully stewarded at all times when they are officially open and be subject to random controls when they are officially closed.
- 2. Horses competing in FEI Events must be given priority access to practice arenas over accompanying Horses.
- 3. Movement of Horses between the stables, grazing areas, practice arenas and main arena must be strictly controlled by Stewards.
- 4. When a grazing area is provided, it is subject to random control by Stewards.
- 5. Horses must only be grazed or walked in hand in the grazing area.

# Article 1022 Horse Inspections

1. Stewards must steward Horses, to ensure the safety and efficiency of the Horse Inspection. Stewards must accompany Horses to the Holding Box.

# Article 1023 Tack and Boot Checks

- 1. Stewards are responsible for ensuring tack and boot checks are conducted as described in Articles 1046 and 1047 and in accordance with the Code of Conduct for the Welfare of the Horse.
- 2. At least two Stewards perform boot checks.

# Article 1024 Veterinary Medication and Supportive Therapies

- 1. Stewards may request to see FEI Veterinarians' ID card and authorised Veterinary Form, as described in Articles 1061 and 1100, for any veterinarian administering treatments to a Horse
- Stewards may also request to see FEI Permitted Equine Therapists' ID card and verify the supportive therapy they are carrying out against their Permitted Equine Therapists' Registration Form for that Event.
- 3. Stewards may carry out checks to ensure that only Permitted Equine Therapists are carrying out Restricted Supportive Therapies.
- 4. Designated Treatment Boxes are subject to intermittent monitoring by Stewards.

# Article 1025 Intentionally omitted

# Article 1026 EADCM Sampling

- 1. Stewards may be appointed by Testing Veterinarians to:
  - a) notify the PR that the Horse is to be tested; and/or
  - b) chaperone the Horse to the Testing Box.

# CHAPTER III BIOSECURITY

### Article 1027 Prevention of Infectious Disease Transmission across Borders

- 1. All national veterinary authority requirements for the temporary importation of Horses for competition by the host national government and other national provisions relating to equine health must be strictly implemented, as required by law.
- 2. OCs intending to hold Events must notify their appropriate national veterinary authority and consult with them regarding the return of Horses to their countries of residence, as described in Article 1016.
- 3. PRs and their Support Personnel must comply with government animal health requirements for the temporary importation of Horses as described in Article 1099.
- 4.\_\_PRs have a responsibility to be aware of government requirements applicable to the Horses under their care, and to advise their Support Personnel to ensure compliance.
- 5. In case of increased risk for transmission of infectious disease such as EHV-1 or Equine Influenza, the FEI Veterinary Department may request extended biosecurity measures for horses travelling to or entering Pre-Export-Quarantine before travel, to FEI Events or Olympic- and Paralympic Games.
- 4.6. FEI may take necessary measures and inform the relevant third parties for the purpose of disease control

# Article 1028 Prevention of Infectious Disease Transmission

- 1. Good biosecurity practices must be implemented at all times for all FEI registered Horses, including at the premises of origin, at competition venues and during transport.
- 2. PRs must ensure that:
  - a) all FEI registered Horses intending to compete at FEI Events must be vaccinated against Equine Influenza in accordance with Article 1003 unless national legislation prevents the use of Equine Influenza vaccines; and
  - b) they obtain information regarding any additional vaccinations that may be required based on their geographical region and the equine infectious diseases risk in that area.
- 3. Hands must be washed and an alcohol hand gel applied or disposable gloves changed, before contact between different Horses or Horses of a different health status.
- Direct (nose-to-nose) contact must be minimised between Horses that are not regularly in contact.
- 5. The use of common water troughs is not permitted, individual water and feed buckets should be used. An exception is granted for Endurance Events where use of a common water trough is accepted where the water is drawn with a clean bucket. Horses must not drink from the trough.
- Halters, leads, rugs, tack, buckets, face grooming towels and other equipment must be restricted to one Horse only – a recognition system per item assigned to each Horse is recommended.

- 7. Equipment should not be shared unless it is disinfected between Horses.
- 8. Horse transporters and stables should be cleaned and disinfected before they are used between different Horses.

# Article 1029 Biosecurity at FEI Events

- 1. The VD/VC must ensure the Examination on Arrival is carried out according to Article 1031.
- While Horses are present on the Event venue, their <u>rectal</u> temperature <u>must should</u> be taken at least <u>twiceonce</u> daily and recorded by the PR<u>using FEI HorseApp</u>. Any change in the health status of the Horse should be immediately reported to the VD.
- 3. OCs must provide Isolation Stables as described in Article 1008 and a contingency plan according to Article 1018 should an infectious disease outbreak occur.
- 4. Horses must be stabled in the isolation stables if they show any clinical signs of infectious disease, or have been in contact with other Horses that show clinical signs of infectious disease. These Horses must be refused permission to enter the Event stables and stabled in isolation stables pending recovery, or until alternative arrangements have been made.
- Horse(s) developing clinical signs of infectious disease must be immediately managed according to Article 1078.
- 5. Horses recently recovered from an illness, or suspected of illness during an Event, must be reported to the VC/VD. On the written recommendation of the VC/VD and in accordance with the GRs and VRs, the GJ will decide whether the Horse may compete or continue to compete at the Event.
- 6. The VC/VD must ensure that any Horse at an FEI Event with a rectal temperature >38.5°C or showing neurological signs is tested for EHV-1 and Equine Influenza. Samples must be taken using nasopharyngeal swabs and analysed at a laboratory listed by the FEI unless otherwise agreed by the FEI Veterinary Department. The samples must be tested by PCR analysis. The cost of the sampling material, sample transport and analysis for the index case is borne by the FEI Veterinary Department.
- 7. Horses that show clinical signs of neurological Equine Herpes Virus, or have been incontact with such Horses, will not be allowed access to FEI Events by the VD/VC and GJ. Any affected or in-contact Horse may not be entered in future FEI Events until they have fulfilled health requirements as determined by the FEI Veterinary Department.
- 8. The FEI Veterinary Department reserves the right to carry out testing for Equine Herpes Virus-1 at FEI Events.
- 7.9. The FEI Secretary General, in accordance with the provisions of the FEI General Regulations, shall have the authority to cancel an Event, including an Event that is in progress with immediate effect, in certain circumstances as described in the FEI General Regulations, Article 109.13.1.

8.10. No equine reproductive activity may take place at FEI Events.

Commented [CTM2]: Emergency Board resolution effective from 1 October 2022 until 1 April 2023

### CHAPTER IV VETERINARY CONTROL AT FEI EVENTS

### Article 1030 Veterinary Examination and Horse Inspections

- 1. All Veterinary Examinations and Horse Inspections at FEI Events must be carried out in accordance with these VRs and be adopted by each Discipline.
- 2. The VD must declare any potential conflict of interest with the GJ.
- 2-3. In the case of minor Athletes competing on stallions, a designated adult must be responsible for the supervision of the stallion during the Veterinary Examinations and Horse Inspections.

# Article 1031 Examination on Arrival

- 1. Examination on Arrival must be carried out at all FEI Events and take place in a designated Examination on Arrival Area as described by Article 1010.
- The FVD/PVC/VD or PTV/EVT appointed by the FVD/VD, must examine all Horses arriving at the Event venue and collect their Passports, before they are allowed to enter the stables.
- 3. During the Examination on Arrival, the veterinarian must:
  - a) verify the identity of each Horse from its Passport, using the diagram, description and, when present, the microchip number;
  - b) check that the Horse has been vaccinated against equine influenza in accordance with Article 1003;
  - <u>c)</u> verify whether all details relating to the Horses' identification, vaccination record and other health requirements are correctly recorded in the Passport;
  - e)d)ensure an FEI Equine Health Self-Certification form has been completed in the FEI HorseApp for each Horse entering the FEI Stables Area and that the Horse's rectal temperature has been recorded in the FEI HorseApp twice daily for 3 days prior to the Horse's arrival at the Event;
  - (d)e) \_\_\_\_\_ensure that the Horses do not have any clinical signs of infectious disease. <u>The Horse's rectal temperature must be taken and recorded in the FEI HorseApp.</u> Theis veterinarian may include a clinical examination to assess the heart, respiratory rate, <u>body temperature</u> and the checking of any other clinical parameters; and
  - f) palpate the limbs and/or body only when there is a concern of a suspected injury or illness sustained during travel  $\underline{\cdot} \underline{and}$
  - e)g) register the Horse's arrival at the Event using the FEI HorseApp.
- 4. An examination for lameness, such as a trot-up, is not part of this examination however an exception is granted for CCI short format competitions and CEIs as follows:
  - a) For **Endurance Events** (CEIs), the Examination on Arrival may be coupled with the First (Pre-Ride) Inspection, but (for biosecurity reasons) Horses may not enter the stables at the Event before the Examination on Arrival is completed.

At CEIOs and Championships, the Examination on Arrival and First (Pre-Ride) Inspection must occur separately and far enough in advance to allow for isolation of compromised Horses and their travelling partners until health questions or concerns are satisfied. The OC must provide adequate isolation facilities for those purposes.

- b) For Eventing Competitions (CCI short format) where there is no Horse Inspection, an assessment of the Horses' fitness to compete including a brief trot, will be made by the veterinarian during the Examination on Arrival.
- 5. Following any Veterinary Examination any Horse considered not fit to compete must be reported to the GJ before the first Horse Inspection. The VC/ VD must discuss the case with the GJ and the GJ, if necessary, can eliminate the Horse before the first Horse Inspection.
- 6. Horses that are not vaccinated against Equine Influenza according to Article 1003 or whose vaccination status cannot be confirmed (e.g. failure to produce a Passport) must be refused permission to enter the Event stables and stabled in the isolation stables.
- Horses that have any relevant clinical findings must be reported to the VC/ VD as soon as possible.
- 8. Any biosecurity concern must be immediately reported to the VC/ VD.
- 9. Horses must be stabled in the isolation stables if they show any clinical signs of infectious disease, or have been in contact with other Horses that show clinical signs of infectious disease. If a Horse arrives at an Event with a rectal temperature >38.5°C, all other Horses that travelled in the same transporter must be considered as incontacts. These Horses must be refused permission to enter the Event stables and stabled in isolation stables pending recovery, or until alternative arrangements have been made.

# Article 1032 Passport Control

- Passport Control must be carried out by the VC/ VD for all Horses, at the Examination on Arrival, or alternatively prior to the first Horse Inspection<u>where this is coupled with</u> the Examination on Arrival to verify that:
  - a) the Horse can be positively identified from its Passport or the FEI HorseApp;
  - b) the Equine Influenza vaccination status is correct;
  - c) the Passport is valid;
  - d) the FEI validation sticker is valid where required by Article 137 of the General Regulations;
  - e) all details relating to the Horses' identification, vaccination record and other health requirements have been correctly entered; and
  - f) when applicable, the microchip number is correct.
- 2. After having verified the Passports, the VC/VD must stamp and sign the Passports on the Identification Control page, using their official FEI stamp.

### Article 1033 Passport Irregularities

- Irregularities may be identified in the following section of the Horse's Passport:

   a) Diagram and description;
  - b) vaccination;

- c) incorrect or non-functioning microchip
- d) invalid Passport;
- e) invalid Recognition Card;
- f) full Medication Control pages;
- g) full vaccination pages; and
- h) full Identification Control pages.
- 2. The VC/VD must report Passport irregularities to the GJ as soon as possible. The GJ will determine whether the Horse may compete at the Event.
- 3. Passport irregularities will result in a sanction or warning being issued, as described in Annex VI.
- 4. The VC/VD must mark the sanctions and warnings, where applicable, in the relevant Passport sections, using a red pen. Each entry must be signed and stamped by the VC/VD and countersigned by the GJ.
- 5. PRs must acknowledge any irregularity by writing their name and countersigning next to the Passport entry, prior to receiving the Passport back and leaving the Event venue.
- 6. Vaccination irregularities must be marked on the vaccination pages of the Passport.
- Description, diagram, microchip and validation irregularities and sanctions for full Passport pages must be marked on the Identification Control page. Identification irregularities must be marked as "Identification information not sufficient for Identification".
- 8. Failure to produce a Passport or Recognition Card must be reported in the online Veterinary Report.
- 9. The PR has 30 days from the date that the irregularity has been entered in the passport to ensure that the necessary modifications are made or a new Passport is issued.
- 10. The VD must report all Passport irregularities to the FEI via the online Veterinary Report. Copies of the Passport Identification page, the page on which the sanction is written and any additional pages that support the issue of fine which must be sent by email/scan to the FEI Veterinary Department within 72 hours of the conclusion of the Event. Each copy of the passport pages must contain the Horse's name and FEI ID number.
- 11. If a microchip number is not correctly recorded in the Passport, the VD must send the FEI ID or Passport number and the microchip number to the FEI Veterinary Department.

### HORSE INSPECTIONS

### Article 1034 Horse Inspection Principles

- 1. Horse Inspections must be carried out at all FEI Events, except for CCI short format competitions where the first Horse Inspection is optional, to ensure all participating Horses are fit to compete.
- 2. Horse Inspections are carried out in the Horse Inspection Area that must comply with Article 1011.

- 3. In accordance with the Article 110 of the General Regulations, the Horse Inspections' time and place must be stated in the Event Schedule.
- 4. Horse Inspections may be open to public viewing, unless a specific Discipline rule or regulation provides otherwise.

# Article 1035 Horse Inspections' Timings

Horse Inspections' timings for the various Disciplines are as follows:

DISCIPLINE	FIRST HORSE INSPECTION	ADDITIONAL
i. Dressage and Para- Equestrian Dressage	Within 24 hours of the start of the first competition	<b>Second Horse Inspection:</b> takes place at Championships and Games, within 24 hours of the start of the last competition
ii. Driving	Within 24 hours of	
	the start of first competition	In Harness Horse Inspection: within the 10 minute rest period prior to the start of section <u>A/Controlled Warm-Up of B in</u> -the marathon. In Harness Examination:-at the finish of Section B of Marathon between 10-15 minutes after the Athlete has entered the Cool Down area. In Harness inspection before
		In Harness inspection before Cones: before the Cones (only when Cones follow Marathon). test where it does not take place on the same day as Dressage
iii. Endurance	For CEI1* and CEI2* Events: Within 24 hours before the start of the first loop For CEI3* Events and above, including Championships: no less than 12 hours before and no longer than 24hrs before the start of the first loop	Vet Gate Inspection: at the end of each phase Final Horse Inspection: at the end of the competition.
iv. Eventing (CCI-L)	Within 24 hours of the start of first competition	Veterinary examination: after Cross country Second Horse Inspection: takes place on the same day as

		the Jumping Test, before the
		start of the Jumping Test
v. Event (CCI-	5	is take place where the Jumping Test wever is the last test, on the same day nised, as the Jumping Test, before the within start of the Jumping Test.
vi. Jump	ing Within 24 h the start of competition	first before the start of the final
vii. <del>Reini</del> i	tg Within 24 h the start of competitior	first None
viii. Vault	ing Within 24 h the start of competitior official trair whichever i earliest	n or hing,

# Article 1036 Horse Inspection Panel

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1. Horse Inspections are placed under the responsibility of an Inspection panel which must comprise the following minimum number of members:

Discipline	Number of Panel Members	Inspection Panel
Dressage and Para- Equestrian Dressage	At least 2	<ul> <li>First and Second Inspections</li> <li>President of the GJ and/or Foreign Judge however other members of the GJ may be present</li> <li>VD or VC</li> </ul>
Driving	<del>3</del> 2	First Horse Inspection - President of the GJ - At least one other member of the GJVD or VC
	<del>2</del> 1	In Harness Inspection - One member of the GJ - One Treating Veterinarian
		In Harness Inspection Before Cones - One member of the GJ

	2	<u>- VD and/or PVC</u> - <u>One Steward</u>
Endurance	Dependent on number of entries 3	First Horse Inspection - GJ members - All members of the VC Final Inspection - GJ members - Members of the VC
Eventing	3-4	First and Second Horse Inspections - The entire GJ (2 or 3 members) - The VD or VC
Jumping	3	First and any Further Horse Inspections - President of the GJ and Foreign Judge - VD or VC
Reining	2	
Vaulting	2	<ul> <li>One member of the GJ, preferably the President</li> <li>VD or VC</li> </ul>

- 2. The Inspection Panel should meet with the Technical Delegate prior to the Horse Inspection to review the inspection protocol and procedures, including any specific arrangements that may be required.
- 3. The Inspection Panel must approve the surface provided by the OC on which Horse Inspections are to take place.

# Article 1037 Presentation of Horses

- 1. Horses are presented for Horse Inspections by the Athlete, driver, lunger or groom (the "Handler") who must be suitably dressed for handling Horses.
- For all Dressage and Eventing competitions and for Jumping FEI World Cup<sup>™</sup> Finals, Championships and Games, the PR must present their own Horse(s) at the Horse inspection, unless the PR has been excused from this requirement in advance by the President of the GJ.
- 3. Chefs d'Equipe, when present, must accompany their team Horses, in addition to their grooms and/or their Athletes.
- 4. Horses' competition number must be clearly displayed.

- 5. All Horses must be presented for the Horse Inspection in a controlled and safe manner. This normally requires a bridle unless Discipline regulations permit a halter. Any equipment used for presentation of the Horse must be in accordance with the FEI Code of Conduct for the Welfare of the Horse and its use is at the discretion of the GJ.
- Handlers may carry a whip of up to 120cm only, if necessary, in the disciplines of Jumping, Eventing, Dressage, Reining, Vaulting and Para-Dressage.
- 7. Handlers may not carry a whip in the disciplines of Driving and Endurance.
- 8. No Horse may be presented with its identity concealed in any manner by application of paint or dye for example.
- 9. Horses are not permitted to wear bandages or blankets/rugs or equivalent.
- 10. A maximum of six next reserve Horses may be presented at the second Horse Inspection for Dressage at Championships and Games.

### Article 1038 Horse Inspection Procedure

- 1. Any conflict of interest must be declared to the President and members of the GJ
- 2. The VD or a designated assistant will identify the Horse from its passport and microchip where applicable.
- Handlers must stand the Horse in front of the Inspection Panel facing the end of the inspection track. The VD must walk around the Horse and make a brief visual inspection. Any other examinations (e.g. palpation or limb flexion) may not be performed.

Handlers must lead the Horse from the Horse's left hand side on a loose rein whilst the VD watches the Horse's gait from the centre of the inspection track. Handlers must:

- a) walk the Horse for a short distance;
- b) trot the Horse to the end of the track;
- c) walk the Horse and turn in a clockwise direction at the end of the inspection track;
- d) trot the Horse back to the starting point.
- 4. The Inspection Panel will assess the Horses' fitness to compete, and decide whether Horses are:
  - a) accepted;

and

- b) not accepted (if Horses are unfit to compete); or
- referred to the Holding Box (Horse's fitness to compete is questionable).

Horses that are not accepted at the Horse Inspection, or withdrawn following a Holding Box examination are not permitted to compete in national classes at the same Event site.

- 5. The specific requirements and level of fitness required to pass Horse Inspections may vary between and within Disciplines.
- 6. The Inspection Panel's decision must be based on the opinion of the VD and the Inspection Panel has the authority to not accept a Horse. In the event of a split decision, the President of the GJ has the casting vote.

- 7. The GJ must explain the reason for not accepting Horses to the PRs. This must be carried out by the President of the Ground Jury in Eventing competitions.
- 8. The Inspection Panel may decide not to accept Horses that cannot be presented within the time period of the Horse Inspection.
- 9. No Appeal may be lodged against any decision made by the Inspection Panel.
- 10. Announcements of the Horse Inspection's progress must be made, this includes the Athletes' and Horses' names, their Competition numbers, country they are representing and whether the Horses have been accepted, not accepted or referred to the Holding Box.

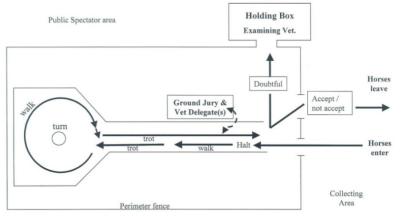


Figure 1: Illustration of the Horse Inspection procedure

# Article 1039 Holding Box Examination

- 1. One Holding Box Veterinarian is responsible for examining all Horses referred to the Holding Box.
- 2. The Holding Box Veterinarian is a member of the VC where applicable, otherwise they are appointed by the VD in conjunction with the VSM.
- 3. A Steward must attend the Holding Box Examination.
- 4. The Handler, PR, the Horse's groom, Chef d'Equipe and PTV associated with the Horse will be permitted to enter the Holding Box. The PTV, if present, may consult with the Holding Box Veterinarian.
- 5. The Holding Box Veterinarian must perform a clinical examination of the Horses which may include:
  - a) palpation of any area considered injured or painful;
  - b) evaluation of pain in the feet using hoof testers;
  - c) passive flexion of the distal limb joints to assess the range of motion of the joint(s); and
  - d) walking and trotting the Horse in a straight line or a circle.
- Active flexion tests (flexion of the joints followed by trotting away) must not be carried out during the Holding Box examination.

- 7. The Holding Box Veterinarian must provide clear clinical information to the Inspection Panel but must not provide their opinion on the Horses' fitness to compete.
- 8. Following examination by the Holding Box Veterinarian Horses must be re-inspected. Re-inspection must take place either immediately after the last Horse or at a suitable time during the Horse Inspection

### Article 1040 Re-Inspection

- Horses must be presented to the Inspection Panel and Re-Inspections must be carried out as described in Article 1038. In Disciplines where Morning Re-Inspections are permitted, the Horse may or may not be presented at the Re-Inspection if the Inspection Panel requests that the Horse undergoes a Morning Re-Inspection.
- 2. Re-inspections' results must be announced immediately.
- 3. Horses whose fitness to compete is still deemed questionable following Re-Inspection may be requested to undergo a Morning Re-Inspection. Morning Re-Inspection is not possible for Horses that were not accepted to compete.
- 4. Re-inspections are not permitted following the Second Horse Inspection that takes place before final Competitions.

# Article 1041 Morning Re-Inspection

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- In Dressage, Para-Equestrian Dressage, Jumping, Driving, Reining and Vaulting Events a Morning Re-inspections may be permitted on the morning of the first Competition.
- 2. Morning Re-inspections must be carried out as described in Article 1038 No reinspection is permitted following the Morning Re-inspection.
- 3. The Inspection Panel's decision is final and binding; no appeal can be lodged.
- 4. Horses that are accepted at Morning Re-inspections may undergo EADCM sampling

### Article 1042 Late First Inspections

- 1. The GJ and VC/ VD, may exceptionally agree to undertake Late First Inspections.
- 2. Late First Inspections are not permitted if Morning Re-inspections have already taken place, unless specific permission has been granted by the GJ. The GJ are obliged to provide their reasons for granting this permission to the FEI Veterinary Department.
- 3. At the discretion of the GJ, Re-inspection may not be possible if the Horse is not accepted at a Late First Inspection.

### DISCIPLINE SPECIFIC REQUIREMENTS AT HORSE INSPECTIONS

# Article 1043 Driving

- 1. **First Horse Inspection** One FEI Steward carrying a whip must be present. The Steward is the only person who may assist if a Horse refuses to trot. Handlers may not carry a whip whilst presenting the Horse. The use of a short whip for restraint of stallions and difficult Horses may be permitted by the Inspection Panel upon request.
- 2. In all cases of further inspection or re-inspection, the opinion of the VD must be made clear to the panel. Each Member of the GJ and the VD will be issued with a Voting Slip on which they must indicate with an X either Yes or No as to whether they consider the Horse fit to compete. These forms will be handed to the PGJ who will announce the majority decision as to whether the Horse may compete. If there is an even number of GJ Members and VD, then the PGJ, the Foreign Judge and either the VD or the PVC will be the sole voters. There is no Appeal against this decision. In the event of equality of votes within the Inspection panel, the PGJ will have the second and casting vote, and the decision will be announced immediately.

#### In-Harness Horse Inspection

- This must take place at all Events during, and at the site of, the 10 minute compulsory rest-prior to the start of section <u>A/Controlled Warm-Up</u> B-in Marathon. It must be performed carefully but rapidly so that the Athlete has time to care for his Horses before the start of Section B.
- The Horses fitness must be determined by clinical observation, which may include measuring the heart rate, respiratory rate and temperature. These observations must be recorded.
- 5. The GJ member must Eliminate the Athlete if his Horse is considered to be lame, injured or obviously exhausted and unfit to continue the Competition.

#### In-Harness Examination

3. The Examination must take place between 10 and 15 minutes after the Athlete has entered the Cool Down area at the finish of Section B of Marathon at all Events. The Horse must be presented by the Athlete. It must be performed under the supervision ofby a Treating Veterinarian in case the Horse needs immediate treatment. The Veterinarian has no authority to Disgualify the Horse. The Veterinarian must report their findings to the VD and PGJ as soon as possible after the last Athlete has completed the Marathon.

#### In-Harness Inspection before Cones

4. The In-Harness Inspection <u>must be carried out before the turnout starts the Cones test.</u> <u>It must be performed under the supervision of the VD and/or PVC and the Steward,</u> <u>reporting to the PGJ. The Inspection</u> is obligatory at all Events<u>when Cones does not</u> <u>take place on the same day as Dressage</u>. The Horse(s) must be presented by the Athlete on their own; Competing without presenting will result in an Elimination.

Horses will be inspected harnessed to their Carriages. Leg bandages over-reach boots and brushing boots are permitted, but must be removed, if required.

Only the GJ Member has the authority to Disqualify the Horse if considered to be unfit to continue the  $\ensuremath{\mathsf{Event}}$  .

#### **Morning Re-Inspection**

**Commented [FEI4]:** Updated to reflect the changes in the final version of the Driving Rules approved at the FEI General Assembly 2021.

5. The Horse must be presented by the same person as before.

6. In all cases of further inspection or re-inspection, each member of the CJ and the VD will be issued with a voting slip on which they must check either a Yes or No box to indicate whether they consider the Horse fit to compete. After consideration of the veterinary opinion from both VD(s) and Holding Box Veterinarian, these forms will be handed to the PGJ who will announce the majority decision as to whether the Horse may compete. When there are 2 lanes of Horses of a same class being inspected, the GJ of both lanes will come together along with the VD from each lane. If there is an even number of GJ members and VD, then the VD's vote from that particular lane will be counted twice. In the event of equality of votes within the Inspection panel, the PGJ will have the second and casting vote, and the decision will be announced immediately.

6.7. There is no Appeal against this decision.

7.8. It is compulsory to have a Holding Box Veterinarian available.

\*an amendment has been made to the text to align with the Driving Rules changes

# Article 1044 Eventing

- Cross Country Warm Up
- 1. A Treating Veterinarian will be located near to the start of the Cross Country to report any doubtful cases to the GJ.

#### Cross Country Finishing Area

2. A veterinary examination will take place by a TV after the Horse has finished the Cross Country, in agreement with the VD.

In addition to carrying out any immediate treatment required by an injured or exhausted Horse, this veterinarian will decide if each Horse:

- a) is fit to return immediately on foot to its own stable;
- b) should remain for further treatment before returning to its stable; or
- c) should be transported by vehicle either direct to its stable or to a veterinary hospital.

The TV has no authority to eliminate any Horse from the Competition, but must report any doubtful case of abuse of horse to the GJ and to the VD.

3. An Athlete who has retired, been eliminated or stopped during the Cross Country Test must ensure their Horse has been checked by the VD or an appointed TV before leaving the venue. Any Athlete who leaves the venue without presenting their Horse to this veterinary check will automatically be issued with an Eventing Recorded Warning via their National Federation.

#### Second Horse Inspection

4. This takes place before the Jumping Test. It is conducted by the same Inspection Panel and under the same conditions as the First Horse Inspection.

#### Option for Horse Inspection procedures at all CCI short format competitions

5. At a short competition the First Horse Inspection is optional, however if one is to be held the details must be published in the schedule of the competition.

In the event that there is no First Horse Inspection, an FEI Official Veterinarian must assess the Horse's fitness to compete, including a brief trot, within the Examination on Arrival pursuant to the Eventing rules. Horses deemed by the FEI Official Veterinarian to be unfit to compete must be reported to the GJ.

6. In the short format competition, the Second Horse Inspection will be compulsory, if the Jumping Test is the last test.

### Article 1045 Endurance

#### Veterinary Control at Endurance Events

- 1. The VC is responsible for making decisions in relation to, and advising the GJ on all matters concerning Horse health, safety and welfare.
- 2. At CEI 1\* and Regional Championships, the President of the Veterinary Commission will also be responsible for the duties normally undertaken by a Foreign Veterinary Delegate.
- 3. The FEI Veterinary Regulations apply to all International Endurance Rides.
- 4. Endurance Horses must undergo Mandatory out of competition periods as described in the Endurance Regulations Article 839.
- 5. The GJ will make decisions concerning Horse welfare based on the decisions and advice of the VC. Any decision of the VC or GJ taken on the direct advice of the VC is final and cannot be appealed. Where the GJ designates a Horse as 'Failed to Qualify' it must provide the reasons for doing so, which must be recorded in accordance with paragraphs 3.1 and 3.4(c) of Annex 5 of the Endurance Regulations.
- 6. If a Horse dies for any reason at the Event, the FVD must ensure that the procedures described in Article 1080 are carried out.
- 7. If a Horse that has competed in an Event dies for any reason that is related to its participation in that Event (including where a Horse suffers a Catastrophic Injury or Serious Injury requiring referral to a designated referral centre), the PR (as defined in the FEI General Regulations) and the Horse's administering NF must notify the FEI Veterinary Department as described in Article 1080, and also comply with the procedures set out in Article 840 of the Endurance Rules.
- 8. Only Combinations in which the Horse has passed all the Horse Inspections at all stages of the Competition are entitled to be included in the final classification.
- 9. After each FEI Endurance Event details of a Horse's completed distance to the nearest Vet Gate, grounds for non-classification (metabolic, irregular gait or both), treatments at the venue, referral for hospitalisation, Mandatory Out of Competition Period(s) and any other comments necessary to protect the Horse's future safety and welfare deemed necessary by the VC must be recorded on the Vet Card.
- 10. All the relevant information required at the Horse Inspections (see paragraph 9.3 of Annex 5 of the Endurance Rules) and any other details from other veterinary inspections/examinations or as otherwise required under Annex 5 of the Endurance Rules or the Veterinary Regulations must be recorded on the Vet Card for each Combination and must be available at all subsequent inspections and examinations. Athletes are entitled to see and copy the record referring to their Horses immediately after each Horse Inspection.
- 11. When the Horse enters the Vetting Area, it must be taken directly from the Vetting Area entrance to a designated Veterinarian in the vet lane (as instructed by the Stewards) showing constant forward motion. The inspection decorum in the Vetting

Area must be respected at all times (see paragraph 10 of Annex 5 of the Endurance Rules). Subject to any further restrictions set out in the Competition Schedule or specified by the Ground Jury, no more than two people may accompany a Horse in the Vetting Area. In addition, at Championships and CEIOs, the Team Veterinarian and/or Chef d'Equipe may access the Vetting Area during the Competition provided that they report their presence to the President of the Ground Jury. Any persons entering the Vetting Area must be properly identified in accordance with Article 813.3.

- 12. A Horse Inspection will include an assessment of the Horse's fitness to continue in the Competition based on its heart rate recovery, metabolic status, gait and general condition. Save where specified otherwise in the Endurance Rules, all of the assessments of a Horse in a Horse Inspection must be performed by the same Veterinarian.
- 13. Following the heart rate assessment, the Horse must immediately undergo all the other of the Horse Inspection (including trotting to check soundness) with the same Veterinarian that performed that performed the heart assessment. Further details concerning these assessments are set out in paragraph 5 of Annex 5 of the Endurance Rules.
- 14. To safeguard the welfare of the Horses and the safety of the Athletes, the President of the Ground Jury (in consultation with and based on advice from the President of the Veterinary Commission, the Foreign Veterinary Delegate, Ground Jury members and the Technical Delegate) may prior to or during an Event:
  - a) reduce the maximum heart rate parameters;
  - b) shorten the presentation times at Vet Gates; and/or
  - c) increase the Hold Times.

Modifications to the above parameters must be announced to all Athletes and/or Chefs d'Equipe before the start of the Loop concerned

Throughout the Competition, the President of the Ground Jury, the Veterinary Commission, the Foreign Veterinary Delegate, the Ground Jury members and the Technical Delegate must monitor the ride conditions (including any extreme weather conditions or other exceptional circumstances) and the number of Horses that Fail to Qualify at each inspection. It is their responsibility to make changes to the inspection parameters to protect the Horses.

If parameters are reduced/shortened as set out above, then references to higher maximum parameters under these Veterinary Rules will be replaced accordingly.

15. To ensure that Horses have adequate rest between travel and Competition, and to ensure adequate veterinary supervision before and after a ride, at all CEI 2\*, CEI 3\*, and Championships, subject to the discretion of the Veterinary Commission, all competing Horses must remain in the stable area at the Event under veterinary supervision (by the President of the Veterinary Commission, the Foreign Veterinary Delegate, a member of the Veterinary Commission, and/or a Treating Veterinarian) for (i) a minimum of eight hours before the First (Pre-Ride) Inspection for CEI3\* and CEI3\* (subject to published closing times for the stables), and (ii) a minimum of eight hours after the Horse finishes the Competition.

If a Horse has not completed all Phases of the Competition, the President of the Veterinary Commission, Foreign Veterinary Delegate and President of the Ground Jury may authorise the release of a Horse at an earlier time.

**Commented [FEI5]:** Article 1045.12 has been updated to reflect the final version of the Endurance Rules approved at the FEI General Assembly 2021.

**Commented [FEI6]:** 1045.15 has been updated to reflect the changes in the final version of the Endurance Rules approved at the FEI General Assembly 2021.

Horses participating at a Championship must be stabled at the venue overnight and must enter the stables at the latest 4 hours before the official closing time of the stables the night before the First Inspection.

In the event of unforeseen and exceptional circumstances a Horse may be present up to one hour before the closing time of the stables subject to the specific approval of both the Technical Delegate and FVD.\*

- 16. The President of the Veterinary Commission or Foreign Veterinary Delegate are required to ensure that the designated Veterinary Services Manager has provided for appropriate aftercare and veterinary services as described in Article 1007. For Championships, triage and emergency treatment facilities must be provided on site, and arrangements must also be made with a designated referral centre to refer Horses for further assessment and treatment if necessary. Such arrangements must be clearly written in the Competition Schedule and approved by the Technical Delegate in collaboration with the President of the Veterinary Commission and Foreign Veterinary Delegate prior to the Examination on Arrival.
- 17. After the First (Pre-Ride) Inspection, the President of the Veterinary Commission and Foreign Veterinary Delegate in consultation with the Ground Jury may recommend changes in the treatment arrangements including the placement of members of the Veterinary Commission, or scheduling of their availability, for help in the treatment/aftercare area. Such arrangements must identify the Treating Veterinarian(s) and the treatment group must include a Veterinarian licensed to practice Veterinary medicine in that geographical location.
- 18. It is the responsibility of the President of the Veterinary Commission, President of the Treating Veterinary Commission, and Foreign Veterinary Delegate to review the treated Horses at the end of the Competition on a case by case basis, and to specify if those Horses must (i) have an additional Mandatory Out of Competition Period under Article 839.2.1 of the Endurance Rules, or (ii) receive authorised treatment, without requiring such additional Mandatory Out of Competition Period.

#### **Endurance Horse Inspections**

- 19. The First (Pre-Ride) Inspection will take place either on the day preceding or early on the day that the first Loop of the ride starts.
- 20. Following the Examination on Arrival, all Horse Inspections during the Competition will be performed by the Veterinary Commission and will follow the same format. If there are any changes to how the Horse Inspections will be conducted, that must be announced prior to the Competition by the Ground Jury or published in the Competition Schedule.
- 21. Unless specified otherwise, any decisions required to be taken by a panel of three Veterinarians will be based on a majority vote. Where the panel is required to determine whether or not a Horse should be designated as 'Failed to Qualify', each of the three Veterinarians must independently (without conferring with each other) write their decision on a confidential voting slip (marked pass or fail) and provide it directly to a member of the Ground Jury.
- 22. The Veterinarians' responsibilities to evaluate a Horse are the same for all Horse Inspections, i.e. the same standards apply to judging fitness to continue in the Competition with respect to heart rate, metabolic status, gait and general condition up to and including the Final Horse Inspection.

#### Heart rate assessment procedure:

- 23. All heart rate assessments must be undertaken by a member of the Veterinary Commission.
  - (a) Heart rate is the first parameter to be examined and recorded during the Horse Inspection. It is an essential criterion to accurately assess the Horse's recovery and fitness to continue. The recovery time of the Horse must also be made available to the Veterinarian conducting the Horse Inspection.
  - (b) The maximum heart rate parameters are set out in Article 816.6.1 of the Endurance Rules (which are subject to modification pursuant to Article 816.9 of the Endurance Rules). Horses with heart rates higher than maximum heart rate parameters will not be allowed to continue in the Competition and will be designated as 'Failed to Qualify – metabolic' (FTQ – ME). Any abnormal heart sounds must be recorded on the Vet Card.
  - (c) Every effort should be taken to accurately record the heart rate. If the examination of the heart rate is disrupted due to movement or excitement of the Horse rendering the examination impossible or inaccurate, the examination will be stopped and recommenced when the Horse is settled. If the Athlete, Crew Member, or other Person Responsible (as defined in the FEI General Regulations) deliberately disrupts the Horse Inspection, the Horse will fail the inspection.
  - (d) The heart rate of the Horse must be measured using either a stethoscope or an FEI-approved electronic heart rate monitor. When the Horse is presented for inspection, the stethoscope or the electronic heart rate monitor must be applied to the left side of the chest wall at approximately the level of the elbow. The examiner should locate the best position to measure the heart rate.
  - (e) Starting and timing the assessment:
    - (i) Where a stethoscope is used, a stop watch must be used to time the count. An assessment must be taken after 15 seconds and then (if necessary further to the provisions below) at 60 seconds. To evaluate the heart rate after 15 seconds under paragraph (f)(i) below, the number of heart beats counted in 15 seconds must be multiplied by four. The stop watch must be started when the heart beat is audible and then the count starts on the next heartbeat.
    - (ii) Where an electronic heart rate monitor is used, the monitor must provide an assessment at 15-second intervals. The assessment starts when the monitor makes contact with the Horse's chest and picks up the first heartbeat.
  - (f) Determining whether the Horse's heart rate complies with the maximum heart rate parameters:
    - (i) If the heart rate is 5 bpm or more below the maximum heart rate parameter after the first 15-second time interval, then the reading may be recorded and the inspection completed. However, the Veterinarian may elect to continue the assessment for 60 seconds in case of any concern about the accuracy of the assessment.

(For example, if the maximum heart rate is 64bpm, the assessment may be stopped after the first 15-second time interval where i) the electronic heart rate monitor shows that the Horse's heart rate is 59bpm or lower, or ii) the Veterinarian counts 14 heart beats or less using the stethoscope, because  $14 \times 4 = 56$ , whereas  $15 \times 4 = 60$ ).

(ii) If the heart rate is within 4bpm, equal to, or greater than the maximum heart rate parameter after the first 15-second time interval, the assessment must continue for the full 60 seconds.

(For example, if the maximum heart rate is 64bpm, the assessment must continue for the full 60 seconds if after the first 15-second time interval i) the Horse's heart rate is 60bpm or higher when using the electronic heart rate monitor, or ii) the Veterinarian counts 15 heart beats or more using a stethoscope).

- (iii) If after 60 seconds the Horse's heart rate is equal to or less than the maximum heart rate parameter, then the reading will be recorded and the inspection completed.
- (iv) If the heart rate is greater than the maximum heart parameter after the 60-second assessment:
  - (A) Except for the Final Horse Inspection (where only one presentation is permitted), if there is sufficient time remaining for a second presentation (see Article 816.6 of the Endurance Rules), the Horse may be presented a second time for a heart rate re-inspection. The same procedures under paragraphs (a)-(f) above will apply to the second presentation.
  - (B) If there is no time remaining for a heart rate reinspection or the Horse fails to meet the maximum heart rate parameters at the heart rate re-inspection or at the Final Horse Inspection (where only one presentation is permitted), then a confirmation procedure must be completed to confirm the failed assessment. For the confirmation procedure, the Horse must be presented to a second Veterinarian immediately after the failed assessment. If an electronic heart rate monitor was used for the failed assessment, then a second electronic heart rate monitor (or, if not available, a stethoscope) must be used. The Veterinarian will assess the Horse's heart rate for 15 seconds only. If the Horse is to be designated as 'Failed to Qualify', then the Horse's heart rate during the confirmation procedure must either be made available on a public electronic display or notified to a member of the Ground Jury. If the Horse's heart rate is greater than the maximum heart rate parameter during the confirmation procedure, the Horse will be designated as 'Failed to Qualify metabolic' (FTQ-ME).

#### (g) Cardiac Recovery Index (CRI):

As part of the metabolic assessment of the Horse, the Cardiac Recovery Index (CRI) of the Horse will also be measured (and recorded) at each Horse Inspection. Once the heart rate of the Horse has been recorded following the procedure above, the Veterinarian will assess the gait of the Horse through a trot-up in the vet lane. The Athlete/Crew Member must trot the Horse 80 m (40 m out and 40 m back). The Veterinarian will start a stop watch at the start of the trot, stop it at one minute, and then measure the Horse's heart rate for

up to 60 seconds using a stethoscope. The difference between the first and second heart rates is the CRI. While measuring the second heart rate, the Veterinarian will also consider whether there are any pathological signs (e.g. such as heart murmurs or rhythm abnormalities) that may indicate that the Horse is unfit to continue. The Veterinarian may not perform any manipulation prior to taking the second heart rate that is likely to cause the heart rate of the Horse to become artificially elevated (such as by examining the Horse's head).

#### Inspection Decorum

24. The Horse Inspection areas should (as far as practicable) be quiet and without distraction out of respect for the Athletes and Horses under the stresses of intense Competition and for the welfare of the Horses.

#### **Compulsory Re-Inspections**

25. The VC, in consultation with the GJ, may require that all Horses in the Competition (or all Horses meeting certain criteria, see e.g. Article 816.6.5 of the Endurance Rules) be presented for a compulsory re-inspection at specified Vet Gates. Compulsory re-inspections will take place in the last 15 minutes of a Combination's Hold Time at the relevant Vet Gate.

#### **Requested Re-Inspections**

26. Any member of Veterinary Commission may ask an Athlete to present their Horse for re-inspection at any Vet Gate if it has any concerns about the Horse. Vet-requested re-inspections will take place in the last 15 minutes of a Combination's Hold time at the relevant Vet Gate

#### Horse Inspections at each Vet Gate

27. Horse Inspections will take place in the Vetting Area at the Vet Gate after the end of each Loop.

#### Heart Rate Re-Inspection

- 28. Subject to Article 816.6.6 of the Endurance Rules, if the Horse's heart rate is higher than the maximum heart rate parameters, the Horse may be presented a second time for a heart rate re-inspection provided that it is still within the permitted presentation time. If a Horse does not pass the second presentation it will be designated as Failed to Qualify (subject to the confirmation procedure in paragraph 9.3(f)(iv) of Annex 5 of the Endurance Rules). A third presentation is not permitted.
- 29. At the first Vet Gate after the halfway point on the course or at the third Vet Gate (whichever comes first), and at each subsequent Vet Gate, Horses that present at the Vetting Area with a heart rate greater than 68 bpm at the first presentation must pass a heart rate re-inspection and a compulsory re-inspection before being permitted to start the next Loop.

#### Respiratory System

30. Abnormalities in rate or character of the breathing deemed by the VC to be of such a nature as to endanger the safety of the Horse will be cause for Failure to Qualify for the next phase.

#### **General Condition**

 Horses in a generally poor condition or with an abnormally high temperature will be designated as Failed to Qualify – metabolic (FTQ-ME).

#### Metabolic Status

32. The metabolic status will be assessed by the examination and recording of those parameters that indicate the Horse's fitness to continue in the Competition including mucous membranes characteristics, capillary refill time, hydration, intestinal activity (gut sounds), demeanour and CRI.

Failure to Qualify due to Metabolic Status, musculoskeletal injuries or other reasons will require a review by a panel of three Veterinarians.

#### Irregular Gaits

- 33. During any inspection during the course, a Horse with an irregular gait that is observable through evaluation by trotting the Horse on a loose lead in hand straight out and back, without prior flexion or deep palpation; which is observed to cause pain, or threaten the immediate ability of the Horse to continue safely the Competition, will be removed from Competition and will be designated as Failed to Qualify irregular gait (FTQ-GA). To assess irregular gait:
  - a) the Inspection must be carried out on a flat, firm surface;
  - b) if, after having once trotted the Horse, the observing veterinarian questions the horse's fitness to continue, the Horse will be re-trotted before a panel of three Veterinarians accompanied by a Ground Jury member;
  - c) any of the three veterinarians may call for a single additional trot up prior to voting if he so wishes, giving the Horse and Athlete the benefit of the doubt, and will communicate that request to the member of the GJ present who will request the horse be trotted again;
  - d) if following three attempts the gait cannot be evaluated due to poor presentation of the Horse or an inability of the Horse to trot the full distance, or the Horse is deemed not fit to continue due to irregular gait, the Horse will be designated as 'Failed to Qualify – irregular gait' (FTQ-GA);
  - e) any unusual feature about a Horse's gait must be noted on the Vet Card of the Horse, whether it is deemed grounds for a 'Failed to Qualify' designation or not. Where electronic systems are used, there must be provision for this.

#### Soreness, Laceration and Wounds

34. Any evidence of soreness, lacerations and/or wounds in the mouth, on the limbs and/or on the body (including girth and saddle sores) must be recorded on the Vet Card. If participation in or continuation of the Competition is likely to aggravate any such soreness, lacerations or wounds or in any way endangers (or risks endangering) the welfare of the Horse, the Horse will not be allowed to continue and will be designated 'Failed to Qualify – minor injury' (FTQ-MI).

#### Shoes and Feet

35. Horses may be ridden without shoes, but if shod they must be correctly shod and the shoes must be in a suitable condition for the Competition. Horses that are shod at the First (Pre-Ride) Inspection may cross the finish line without one or more shoes. However, if the Horse's feet or shoes hinder its ability to compete safely or appear to be causing the Horse pain, then the Horse will be designated as Failed to Qualify – irregular gait (FTQ-GA). Equi-boots and pads must be removed at the Horse Inspection if so requested by the Veterinary Commission.

#### Individual Veterinary Cards

36. For each Event, individual Vet Cards must be issued for each Horse before the First (Pre-Ride) Inspection and must be completed for all subsequent Horse Inspections. The Vet Cards may be in paper or electronic form, provided that only the FEI-issued paper/electronic forms are used, and (if the OC opts to use the electronic form) then the OC must specify this in the Competition Schedule.

- 37. Details of a Horse's completed distance to the nearest Vet Gate, reasons for nonclassification (metabolic, irregular gait or both), treatments at the venue, referral for hospitalisation, Mandatory Out of Competition Period(s) and any other comments necessary to protect the Horse's future safety and welfare deemed necessary by the Veterinary Commission must be recorded on the Vet Card.
- 38. All Vet Cards must be sent to the FEI Veterinary Department in electronic form within 72 hours of the end of the Event, but copies may also be retained by the OC (if paper cards are used during the Event, they must be scanned or photographed or the details must be manually entered into an electronic form, e.g. Word).
- 39. Only the Veterinary Commission and/or President of the Ground Jury may make entries in the official record.

#### Final Inspection

- 40. The purpose of the Final Horse Inspection is to determine whether the Horse is still fit to continue after a normal rest period for another full Loop.
- 41. At the Final Horse Inspection the assessments will be performed in the same manner, and applying the same criteria, as previous Horse Inspections during the course, save that there will be only one opportunity to qualify for presentation and the first trot-up of all Horses must be in front of a panel of three members of the Veterinary Commission accompanied by a member of the Ground Jury. A member of the panel may ask to see the Horse trot only one more time before voting takes place.

#### **Other Inspections**

- 42. The VC or GJ may select any Horses at random at any time to submit a Horse Inspection during the Competition.
- 43. All Horses removed (voluntarily or otherwise) from Competition must be presented for Horse Inspection either to the FEI Veterinary Commission or the FEI Treatment Veterinary Panel immediately after removal, unless the President of the Veterinary Commission, President of the Treating Veterinary Commission, and Foreign Veterinary Delegate permit the Horse's immediate transfer from the Field of Play to a preauthorised treatment centre and the Horse's veterinary records are updated accordingly. Failure to comply with this Article will result in the issue of an Endurance Yellow Warning Card to the Athlete and Trainer, Disqualification of the Combination, imposition of a 60-day Mandatory Out of Competition Period for the Horse, and the penalty points set out in Article 864 of the Endurance Regulations.
- 44. If blood is visible on a Horse during a Horse Inspection, the Horse must be examined by a panel of three Veterinarians. If the panel of Veterinarians determines (i) that the Horse has free-flowing blood from an injury or orifice, or (ii) that continuing in the Competition will in any way endanger (or risk endangering) the welfare of the Horse, the Horse must be designated as Failed to Qualify. The Horse may continue in the Competition only if the panel of Veterinarians determines that the blood is not free-flowing and that continuing in the Competition does not in any way endanger (or risk endangering) the welfare of the Horse, the Horse must be designated as Failed to Qualify. The Horse may continue in the Competition only if the panel of Veterinarians determines that the blood is not free-flowing and that continuing in the Competition does not in any way endanger (or risk endangering) the welfare of the Horse (for example, where the Horse suffers a minor scratch from a tree branch). The Veterinary Delegate must report any blood visible on the Horse (regardless of the panel's determination) in the Veterinary Report for the Event (see the FEI Veterinary Regulations), including the Horse's FEI registration number, a description of the injury, a photograph of the injury, the determination of the panel with reasons, and the names and FEI registration numbers of each of the three panel members that examined the Horse).

## **BANDAGES AND TACK**

# Article 1046 Bandages and Tack

- 1. In addition to these VRs, the prohibitions and requirements regarding specific types of tack are also regulated according to the various Sport Rules.
- 2. The FVD/VD may be required to advise on health and welfare matters concerning tack.
- 3. The following items of tack are prohibited for use at any time during the Period of the Event:
  - a) tongue ties
  - b) mouth guards which cover the teeth
- 4. Throat support devices such as Cornell collars are allowed for use during Events however a written statement from a veterinarian must be provided to certify that the Horse requires such a device for welfare reasons. The statement must be received by the FEI Veterinary Department at least 4 weeks before first use at an Event. A copy of the statement must be retained at all times for inspection during an Event.
- Tongue guards are only allowed for use during Events provided they are correctly used. <u>They are prohibited for use in Dressage Events.</u> Separate items must not be intertwined with the bit.

### Article 1047 Examination of Horses

- Horses' legs, boots, bandages and/or other tack may be examined by Stewards and/or OVs at any time during the Period of the Event.
- 2. The President of the GJ must be notified by the Chief Steward of any scheduled or unannounced Examination that is to take place.
- 3. The FVD/VD must be made aware by the Chief Steward that the Examination of boots is taking place and be available for consultation if required.
- 4. A member of the VC must attend the examination of horses of major Events (e.g. Championships, Games, World Cup Events).
- 5. The Examination should check for:
  - a) any abnormality or sensitivity of the Horse's legs;
  - b) any irregularity in the shape, size or weight of the boots or bandages;
  - c) any irregularity in other tack material;
  - d) the presence of foreign or prohibited materials or substances; and
  - e) the presence of blood on the Horse's legs, flanks or mouth.
- 6. The Examination involves:

a) examination of the Horses' legs, boots and other tack before the boots are applied. This Examination takes place prior to the Horses entering the warm up area where possible;

b) examining the Horses' legs after removing all boots, bandages and other items attached to the Horses' legs. This Examination takes place where the Horse exits the arena;

c) examination of the Horse's limbs and body;

- d) the weighing of boots using scales may be carried out;
- e) the possibility of other tack being subject to inspection; and
- f) the Steward contacting the Veterinary Delegate, who, in turn, must notify the Ground Jury, should the presence of blood be identified.
- 7. Horses and all examined items must be kept under strict surveillance until further examination by the FVD/ VD, should a problem be suspected.
- 8. The FVD/ VD must examine the Horse in cases of boot or leg concerns. The Examination should include palpation of the legs to assess for irritation, skin damage, or abnormal sensitivity. A thermographic examination may also be made.
- 9. In the case of positive findings where the Examination has taken place prior to Competition:
  - a) the Steward and/or GJ may allow Horses to compete if the irregularity relates to the shape, size or weight of boots, bandages or tack materials and is corrected.
  - b) the GJ may not allow Horses to compete. The Horse may be Eliminated or Disqualified from the Event if the irregularity relates to skin damage, abnormal sensitivity or the presence of foreign materials or substances.
- 10. If the Examination results in the finding of suspicious material, irritation, skin damage or abnormal sensitivity of the limbs:
  - a) the President of the GJ must be informed immediately; and
  - b) the FVD/ VD must verify the identification of the Horse against the outline diagram in the passport and record the name and FEI Passport number of the Horse and the PR.
- 11. Material that may be required for forensic screening (bandages, tape, applied substances, etc.) should be immediately packed into an FEI approved EADCM sampling kit and be sent to an FEI Approved Laboratory.
- 12. Photographs and/or a video recording must be made showing the limbs involved, and all written reported material must be signed by witness(es).

#### LIMB SENSITIVITY

## Article 1048 The Principle

- 1. The objectives of the Limb Sensitivity Examination (see Art. 1049) and Hyposensitivity Control System Examination (see Annex VIII) are to: (i) safeguard the welfare of the horse, according to the Code of Conduct for the Horse, and (ii) to ensure a level playing field for all Athletes.
- 2. Horses are not eligible to compete when a limb, or part of a limb, is hyposensitive or hypersensitive (both of which constitute "abnormal limb sensitivity"). Hypersensitive limbs have an excessive or abnormal reaction to palpation. Hyposensitive limbs include any alteration in sensitivity induced by a neurectomy or chemical desensitisation for as long as the alteration in sensitivity persists.
- All Horses are subject to Examination under the Protocol for abnormal limb sensitivity throughout the Period of an Event, including, but not limited to, between rounds and before the Jump Off. Horses may be examined once or on multiple occasions during the Period of an Event.

4. Horses may be selected for Examination under the Protocol randomly or they may be targeted. All Horses selected to be tested must submit promptly to the Examination or are subject to immediate Disqualification. There is no obligation to examine any specific number of Horses at an Event.

### Article 1049 The Limb Sensitivity Examination

- The Limb Sensitivity Examination will be carried out by two "Examining Veterinarians" appointed by the FEI Veterinary Department, using a clinical examination designed to identify abnormal limb sensitivity (the "Limb Sensitivity Examination".) The Limb Sensitivity Examination may be preceded or followed by a thermography exam. A more detailed summary of the purpose and process of the Limb Sensitivity Examination will be available to the PR or their representative upon request.
- 2. The Initial Limb Sensitivity Examination, which refers to the first Limb Sensitivity Examination on any Competition day carried out by the Examining Veterinarians, is recorded by video and, where applicable, the thermography images will be saved.
- 3. The Limb Sensitivity Examination will consist primarily of the palpation of the Horse's lower limbs in a consistent manner in order to ascertain whether any abnormal limb sensitivity exists. It may be necessary for the palpation to be repeated a reasonable number of times and, if so, the Examining Veterinarians will take care not to aggravate any sensitivity or injury.
- 4. Where appropriate, a thermography camera will be used to image all four lower limbs to ascertain and assess the temperature and thermal patterns of the limbs.
- 5. An examination for lameness, e.g. trotting the Horse, is not part of this examination.
- 6. Significant thermographic findings arising from the Initial Limb Sensitivity Examination are:
  - A temperature difference between collateral limbs exceeding two degrees Celsius, and/or
  - b) a localised, or generalised, region of high or low temperature in one or more limbs.
- 7. Significant clinical findings arising from the Initial Limb Sensitivity Examination are:
  - a) an abnormal reaction to palpation, or
  - b) other visible changes on the skin of the limb(s).
- 8. If following the Limb Sensitivity Examination, the two Examining Veterinarians agree that the Initial Limb Sensitivity Examination indicates that the horse has an abnormal limb sensitivity, the Examining Veterinarians will inform the PR or their representative, of the findings and offer the opportunity to withdraw the Horse from the Event without any further consequences under this Article. If the PR declines to withdraw the Horse, the Examining Veterinarians will inform the PR or their representative that the Horse will be subject to a Final Limb Sensitivity Examination to determine whether it may continue in the Competition at a time ordered by the GJ according to the procedures set forth below.
- 9. Once a Final Limb Sensitivity Examination has been initiated, the PR will: (i) not be permitted to compete, train and/or school the Horse unless and until the Final Limb Sensitivity Examination has been concluded confirming the absence of abnormal

sensitivity of the limbs; and (ii) no longer be permitted to withdraw the Horse and will be subject to the consequences of the outcome of the Final Limb Sensitivity Examination.

If there is any evidence that the abnormal sensitivity was artificially induced by any person, the Equestrian Community Integrity Unit must be notified and the FEI Legal Department may bring a case for Horse Abuse against the Person Responsible and/or member of their Support Personnel.

## Article 1050 Final Limb Sensitivity Examination

- 1. A Final Limb Sensitivity Examination will be carried out by the FVD/ VD in the presence of at least one member of the GJ. The Final Limb Sensitivity Examination will be video recorded.
- 2. The Examining Veterinarians will explain the findings of the Initial Examination to the other Officials present at the Final Limb Sensitivity Examination.
- The FVD/VD will then conduct an Examination of the Horse consisting of the palpation of the Horse's lower limbs in a consistent manner in order to ascertain whether any abnormal limb sensitivity exists.
- 4. The PR, and/or their representative, will have the right to be heard and the opportunity to present any information and/or evidence they wish.
- 5. Any Official present at the Final Limb Sensitivity Examination will have the opportunity to ask questions to the Examining Veterinarians and/or the PR and/or their representative. Refusal of the PR, or their representative, to answer questions will be communicated to the Equestrian Community Integrity Unit (ECIU) and dealt with accordingly.
- 6. In order to Disqualify a Horse under this Article, the Examining Veterinarians, the FVD/VD, and member of the GJ must unanimously agree that the Horse's limbs are abnormally sensitive and the Horse should be Disqualified.
- 7. An oral or written Decision will be issued within thirty (30) minutes of the conclusion of the Final Limb Sensitivity Examination. The GJ will record the exact time that the Final Limb Sensitivity Examination concluded.
  - a) If the Final Limb Sensitivity Examination confirms the result of the Initial Examination, unless exceptional circumstances indicate otherwise, the GJ will Disqualify the Horse from the Competition for abnormal limb sensitivity. The PR or their representative will be notified in writing.
  - b) If the Final Limb Sensitivity Examination demonstrates that the Horse's limbs are not abnormally sensitive, the Horse may continue in the Competition. The GJ will facilitate the re-entry of the Horse into the Competition if the Final Examination has caused the Athlete/Horse combination to miss the designated start time.
- 8. Horses may not be retroactively Disqualified from a Competition under this article unless the Examination is commenced within sixty (60) minutes of the time the Horse last competed. In such cases, the GJ may exercise its discretion to retroactively Disqualify the Horse from that Competition.

#### Article 1051 Disqualification further to Limb Sensitivity Results

- 1. The notification that the PR has been Disqualified ("Disqualification Form") will be provided to the PR or their representative and signed by the Examining Veterinarians, the FVD/VD, and one member of the GJ.
- 2. The Disqualification Form must be signed by the PR, or their representative, to acknowledge the Disqualification. If the PR or their representative, refuses to sign the Disqualification Form, the GJ or FVD/VD must note such refusal on the Disqualification Form. Refusing to sign will not invalidate the Disqualification or alter any of the consequences under this Article.
- 3. Copies of the Disqualification Form will be given to the PR, the President of the GJ and the FVD/ VD. The original form will be retained by the FEI Veterinary Department.

# Article 1052 Rights of the PR following Disqualification of a horse for abnormal limb sensitivity

- 1. If a Horse is Disqualified or withdrawn more than 12 hours prior to a different Competition at the same Event for which the Horse and Athlete combination are qualified, the PR, or their representative, may submit a written request to the GJ, within 30 minutes of being notified of the Disqualification or withdrawing the Horse, requesting that the Horse be re-examined with a view to allowing the Horse to compete again at the same Event if the limb sensitivity has returned to normal limits. Once this request is made, the PR may train and/or school the Horse under the supervision of an FEI Official who must stop the horse should they identify any Horse welfare issues.
- 2. The re-examination will be conducted by the FVD/ VD or Examining Veterinarians, in the presence of one GJ member and will take place prior to the Horse's next Competition, at a time determined by the GJ.
- 3. The re-examination will consist of an Examination, conducted by the FVD/VD or Examining Veterinarians. The re-examination may include thermography.
- 4. The re-examination will be recorded by video. If the re-examination indicates that the Horse's limbs are no longer abnormally sensitive, the Horse will be allowed to compete in the next Competition.
- 5. The opportunity to submit a request to have a Disqualified Horse re-examined can only be exercised once during an Event for any given Horse.

# Article 1053 General Points – Limb Sensitivity

- 1. There is no Appeal against the Decision to Disqualify a Horse for abnormal limb sensitivity.
- 2. If a Person Responsible is Disqualified or withdraws their Horse under this Protocol three (3) times in any twelve (12) month period, the Person Responsible will be automatically suspended for two (2) months unless exceptional circumstances dictate otherwise. For purposes of this provision, the three (3) times shall include three

Disqualifications or three (3) withdrawals or any combination of Disqualifications and withdrawals adding up to a total of three (3).

- 3. All data collected during the Limb Sensitivity Examinations is the sole and exclusive property of the FEI and shall remain confidential.
- 4. This Protocol is independent from other rules, processes, and procedures in these VRs. Nothing in this Article shall therefore be construed to limit the processes or consequences of other Articles in these VRs, including, but not limited to, Articles 1046 and 1047.
- 5. At the first Limb Sensitivity Examination or before, the Person Responsible may declare any pre-existing issues relevant to the limb sensitivity protocol.
- 6. Examining Veterinarians must report to the FEI Veterinary Department using the Limb Sensitivity reporting forms.

# DEPARTURE OF HORSES FROM THE EVENT VENUE

# Article 1054 Departure of Horses from the Event Venue

- 1. Horses may not leave the Event venue during the Period of the Event, unless exceptionally authorised by the VC/ VD.
- 2. Horses that are permitted to leave the Event venue in order to undergo veterinary examination at a referral facility are permitted to re-enter the venue, and may continue to compete in the Competition.
- 3. Horses under supervision for sampling or investigation under these VRs or EADCMRs, must not leave the Event venue until permitted to do so by the VC/ VD, even if the Period of the Event has expired.

# CHAPTER V VETERINARY MEDICATION

# Article 1055 FEI Medication Logbook

- 1. An FEI Medication Logbook must be kept for all FEI Horses to record any medication and supplements given during or outside of competition.
- 2. The FEI Medication Logbook must be fully filled in for each recorded substance.
- 3. In the prosecution of any EADCMR violation, the FEI Tribunal may request to see the FEI Medication Logbook; failure to produce it may result in an adverse inference being drawn against the PR.
- 4. The FEI Medication Logbook must not be kept in the Horse's Passport or be handed to the VD at Events.

## Article 1056 Same-Day Treatment

- Horses must not be treated by injection with any substance not listed on the EPSL prior to the Competition on the day in which they compete. An exception is granted for classes starting at 18.00hrs or later where Horses may be treated by injection until 10.00hrs on the day of competition.
- In the event of an emergency or on-going treatment, Horses may be treated with injectable Controlled Medication Substances or antibiotics on the day in which they compete. Prior authorisation must be obtained from the VD and GJ as described in Articles 1061 and 1062.
- 3. Same-day medication is subject to routine checks by the VC/VD, Stewards and other FEI Officials.
- Disciplinary actions will be taken against PTVs who administer unauthorised same-day medication. Sanctions will be issued according to Annex VI and/or issued in accordance with the EADCMRs.

# Article 1057 FEI Equine Prohibited Substances List

- 1. The FEI Equine Prohibited Substances List (EPSL) identifies Banned Substances and Controlled Medication Substances. Certain Prohibited Substances are listed as Specified Substances.
- 2. The EPSL is subject to annual review by the FEI List Group, a sub-committee of the Veterinary Committee. Any changes to the EPSL will be published 90 days before becoming effective.
- 3. Banned Substances are substances that have been deemed by the FEI List Group to have:
  - a) no legitimate use in the competition Horse; and/or
  - b) have a high potential for abuse.

The use of Banned Substances is strictly prohibited at all times.

- 4. Controlled Medication Substances are substances deemed by the FEI List Group to have therapeutic value and/or to be commonly used in equine medicine. However, Controlled Medication Substances have the potential to:
  - a) affect performance; and/ orb) be a welfare risk to the Horse.
- 5. Substances not included on the EPSL are not prohibited, provided they do not have a similar chemical structure or biological effect to a substance included on the EPSL.
- Homeopathic products, herbal remedies and other natural or alternative medicinal products may contain Prohibited Substances and given such risks their use should be carefully considered. However, should a PR decide to use them, the PR is strictly liable for any consequences.

# Article 1058 Elective Testing

- The PR or their representative may elect to have an FEI registered Horse's blood and/or urine tested for a maximum of four substances included on the FEI Elective Testing Substances List under the Elective Testing protocol.
- 2. For Elective Testing, an FEI Elective Testing Form must be submitted by a PTV, at the expense of the PR or NF to an FEI Approved Laboratory.
- 3. The FEI or the FEI Approved Laboratory do not accept any responsibility for the testing services offered pursuant to this Elective Testing.
- 4. In the case of a later EADCMR violation, the results of Elective Testing cannot be used as a defence to the charge alleged.

# Article 1059 Treatments before and during FEI Events

- Controlled Medication Substances administered shortly before FEI Events (e.g. during transport) may be retrospectively authorised on arrival at the Event, using a Veterinary Form A. Retrospective authorisation is at the discretion of the VC/VD and GJ and is not automatically granted.
- Controlled Medication Substances and non-oral medication and therapies not included on the EPSL that are administered during FEI Events must be authorised by the VC/VD and GJ where applicable before administration, using the appropriate Veterinary Form.
- 3. In emergency situations where the Horse's welfare is at risk, Controlled Medication Substances may be administered without the VC/VD's and GJ's prior authorisation. Following such administration, a Veterinary Form A must be presented to the VC/VD and GJ for their approval. Submission of a Veterinary Form A does not guarantee the Horse being considered fit to compete in the Event.
- 4. The VC/VD must verify prior to signing a Veterinary Form whether the requested treatment or previously administered treatment may affect:
  - a) the Horse's fitness to compete;
  - b) the fairness of the competition; and/or
  - c) the welfare of the Horse and/or Athlete.

- 5. Treatments must be performed within the designated Treatment Boxes, unless exceptionally authorised by the VC/VD (e.g. fluid therapy or in an emergency situation). Administration of non-prohibited substances orally or by nebulisation can be carried out in the Horse's stable. Any person in violation of this rule will be reported to the GJ and sanctioned according to Annex VI.
- 6. Treatments may only be administered by a PTV with the exception of non-prohibited substances administered orally or by nebulisation.
- 7. Authorised treatments may be subject to routine checks by the VC/VD or other FEI Officials. PTVs administering authorised treatments must be able to provide a copy of the signed Veterinary Form for that treatment and their FEI PTV ID card at all times. Any person other than PTVs found in possession of Controlled Medication Substances, syringes, needles or similar equipment within the Event site will be reported to the GJ and sanctioned according to Annex VI. Any Horse associated to a person in possession of such items, or for whom such person is responsible, may be subject to EADCM sampling. The costs of the respective sampling are to be borne by the person found in possession of syringes, needles or similar equipment.
- 8. The use of altrenogest (Regumate) is only allowed in mares.
- 9. The use of cyclosporine implants and cyclosporine ophthalmic preparations in horses are permitted.

# Article 1060 Veterinary Forms

- Veterinary Forms are used during FEI Events for authorising the administration of Controlled Medication Substances and non-oral medication and therapies not included on the EPSL.
- 2. Veterinary Forms are only valid for the Event in which they are filled in and signed.
- 3. Retrospectively submitted Veterinary Forms are not automatically accepted and does not guarantee that Horses will be considered fit to compete.
- 4. The originally filled in and signed Veterinary Forms must be copied and submitted with the FEI Veterinary Report by the VD/FVD and remain confidential at all times.
- 5. The administration of substances approved by the Veterinary Forms may be subject to routine checks by Stewards or other FEI Officials

## Article 1061 Emergency Treatments with Controlled Medication Substances Veterinary Form A (previously Veterinary Form 1)

- 1. The use of a Controlled Medication Substance may only be authorised for treatment during an Event in an emergency.
- 2. The Veterinary Form A is used to authorise emergency treatments with a Controlled Medication Substance before or during FEI Events.
- 3. The Veterinary Form A must be filled in and signed by the veterinarian treating the Horse before submission to the VC/VD for authorisation.

- 4. The VC/VD must consider the Veterinary Form A request on a case by case basis, making a clinical judgement on the condition of the Horse, the treatment and any possible effect on the Horse's welfare or performance; a second opinion must be sought if necessary.
- 5. If the VC/VD is content with the Veterinary Form A request, they must then discuss it with the President of the GJ. The GJ will decide if the request is approved, state whether the Horse is (i) fit or (ii) not fit to compete and countersign the Veterinary Form A.
- 6. The VC/VD must provide a signed copy of the Veterinary Form A to the PTV who requested the treatment.
- All Veterinary Forms A concerning treatment of Horses in an emergency situation that require retrospective authorisation must be signed by the VC/VD and GJ before the end of the Period of the Event.
- For Horses officially withdrawn from an Event, a Veterinary Form A must be submitted to the VC/VD to be signed, should the Horse remain at the Event venue. In such circumstances, the President of the GJ must be notified, but is not required to countersign the Veterinary Form A.
- 9. In the absence of a Veterinary Form A, any Controlled Medication Substances which are administered before or during an FEI Event may result in an Adverse Analytical Finding in accordance with the EADCMRs.

# Article 1062

# Treatments with Non-Oral Medication and Therapies not Included on the EPSL

# Veterinary Form B (previously Veterinary Form 3)

- 1. The Veterinary Form B is used to authorise treatments with non-oral medication and therapies not included on the EPSL (e.g. rehydration fluids and antibiotics) during FEI Events.
- 2. The Veterinary Form B must be filled in and signed by the PTV treating the Horse before submission to the VC/VD for authorisation.
- 3. The VC/VD must provide a signed copy of the Veterinary Form B to the PTV who requested the treatment.
- 4. A minimum of 10 litres of rehydration fluids may be requested for intravenous (IV) use. The VC/VD must assess the climatic conditions and/or the Horse's clinical condition prior to authorisation.
  - a) For Eventing, intravenous (IV) and naso-gastric fluids must not be administered within 12 hours before the start of the cross-country phase; and
  - b) for Endurance, intravenous (IV) and naso-gastric fluids must not be administered within 8 hours of the First Horse Inspection and not between the First Horse Inspection and the start of the first loop of the Competition, or between any phases of the competition.
- Such substances may not be used between rounds or classes in which the Horse is competing on the same day or during the veterinary check rest during an Endurance Event

- 6. A Veterinary Form B is not required for the treatment of Horses with the following substances:
  - a) joint support: aminoglycans (e.g. Adequan), pentosan polysulphate (e.g. b) injectable vitamins;

  - c) amino acids; and
  - d) injectable homeopathics.

## Article 1063 **Prohibited Treatments**

- 1. The use of Banned Substances is always strictly prohibited.
- 2. The intra-articular administration of any medication is prohibited during FEI Events.
- 3. The use of per rectum treatments is prohibited during FEI Events.
- 4. The use of oxygen therapy is prohibited during FEI Events.
- 5. Recent blistering and/or firing resulting in evidence of inflammation or hypersensitivity is prohibited.
- 6. Horses are not permitted to compete after having undergone prohibited methods as described in Article 1004.

# CHAPTER VI SUPPORTIVE THERAPIES

## Article 1064 Non-Restricted Supportive Therapies

- 1. Non-Restricted Supportive Therapies include:
  - a) static magnetic equipment (e.g. magnetic rugs, magnetic leg and neck wraps);
  - b) low frequency pulsed electromagnetic field (PEMF) machines (e.g. battery powered magnetic rugs and leg wraps, cytowave);
  - c) laser therapy using lasers of classes I to III;
  - d) massage and massage equipment (e.g. equissage);
  - e) cooling equipment;
  - f) light emitting diode (LED) therapy devices;
  - g) cooling and heating pads;
  - h) ionic boots;
  - i) kinesio-taping; and
  - j) vibration plates.
- 2. Non-Restricted Supportive Therapies may be carried out by PRs, additional PRs and/or Support Personnel. PRs, additional PRs and/or Support Personnel must only carry out Non-Restricted Supportive Therapies on Horses they are directly responsible for.
- 3. PRs, additional PRs and/or Support Personnel must seek specific approval from the VC/VD to carry out any therapies not listed above.
- 4. The carrying out of Non-Restricted Supportive Therapies and associated equipment are subject to routine checks by the VC/VD, Stewards and other FEI Officials.
- 5. The use of PEMF machines is only permitted if their electromagnetic field is below 0.1 Tesla (1000 Gauss).
- 6. Cooling with ice and water is not permitted if the temperature is below 0°C.
- 7. Cooling with machines and other cooling equipment is only permitted if the VC/VD can lock the devices so the temperature does not fall below 0°C.
- 8. It is not permitted to insert ice or cold water into the Horse's rectum.
- 9. Non-Restricted Supportive Therapies may be carried out in the Horse's stable.

#### Article 1065 Restricted Supportive Therapies

- 1. Restricted Supportive Therapies include:
  - a) electrical current devices (e.g. TENS machines, NMES and H-wave);
  - b) therapeutic ultrasound therapy\*;
  - c) vacuum therapy;
  - d) diathermy; and
  - e) physical therapies (e.g. physiotherapy, acupressure, trigger point massage, myofascial release, osteopathy, chiropractic and spinal manipulation).

\*Therapeutic ultrasound may be carried out by PRs, Additional PRs and/or Support Personnel provided they have obtained prior written permission of a PET or PTV with a suitable qualification.

- Restricted Supportive Therapies may only be carried out by a Permitted Equine Therapist or a PTV who has undergone specific training in the therapy they are carrying out. The Permitted Equine Therapist must have been approved by the FEI for the specific Restricted Supportive Therapy being implemented.
- 3. The carrying out of Restricted Supportive Therapies and associated equipment are subject to routine checks by the VC/VD, Stewards and other FEI Officials.
- 4. The VD may not approve the use of Restricted Therapies should the Horse's welfare be compromised.
- 5. Permitted Equine Therapists or PTVs must seek specific approval from the VC/VD to carry out any therapies not listed above.
- 6. Restricted Supportive Therapies can be carried out in the Horse's stable.

# Article 1066 Acupuncture

- 1. Acupuncture must only be carried out by a PTV who must supervise the Horse for the entire treatment.
- 2. Only solid needles are allowed to be used.
- 3. Dry needling may only be carried out by a PTV.

## Article 1067 Prohibited Supportive Therapies

- 1. The use of Class IV lasers is prohibited at FEI Events.
- 2. The use of electroacupuncture and moxibustion is prohibited at FEI Events.
- 3. Cryotherapy and shock wave therapy (i.e. extra-corporal shockwave, ESWT) are prohibited at FEI Events and in the 5 days prior to the Events.
- 4. Kinesio-taping is only permitted in the FEI stables area. Its use outside the stables area is prohibited.

# CHAPTER VII EQUINE ANTI-DOPING AND CONTROLLED MEDICATION

# Article 1068 Sampling

- 1. The EADCMP is administered by the FEI Veterinary Department who selects the FEI Events at which sampling will take place, appoints the Testing Veterinarians and decides the number of Horses to be tested.
- 2. Targeted sampling may take place at other FEI Events, when necessary.
- 3. Sampling may take place at any FEI Event.
- 4. Sampling is carried out by the appointed Testing Veterinarian, or VD in the absence of a Testing Veterinarian, who may be assisted by a Testing Technician(s). The Testing Veterinarian or VD is ultimately held responsible for sampling at FEI Events.
- Sampling must be carried out in the designated Testing Box, however the Testing Veterinarian or VD may approve to have Horses tested in their own stable in exceptional circumstances.
- 6. The PR is responsible for the Horse at all times.
- 7. Evading, refusing or failing to submit to sample collection <u>without compelling</u> <u>justification</u> is a violation of the EADCMRs Article 2.3.
- Photographs and films must not be taken during sample collection, unless authorised by the Veterinary Department.

# Article 1069 Selection of Horses

1. Three methods for the selection of Horses may be used:

#### a) Obligatory sampling:

During Olympic Games and World Equestrian Games, Horses must be sampled as follows:

- i. in all Final Competitions for Individuals, the first 3 placed Horses; and
- ii. in all Team Competitions, 1 Horse from each of the first 3 placed Teams.

#### b) Targeted sampling:

When a specific reason or circumstances warrants that a particular Horse be selected for sampling. The reason for sampling the Horse must be listed in the online Veterinary Report or Testing Veterinarians Report. Targeted sampling must take place in the event of a rider fatality.

#### c) Random sampling:

Horses may be randomly selected for sampling at any time, as agreed by the GJ, VC/VD and Testing Veterinarian in accordance with the FEI Testing Manual.

# Article 1070 Timing of Sampling

- 1. During In-Competition sampling, Horses may be selected for sampling at any time during the Period of an Event.
- 2. The timing for sampling is at the discretion of the Testing Veterinarian or VD.
- 3. Horses may be sampled several times during the Period of an Event.

#### Article 1071 Notification of Sampling

- 1. Once a Horse has been selected for sampling, the PR or a member of their Support Personnel should be notified.
- 2. Notification must be given no later than 30 minutes after the announcement of the Final Results of the Event and sampling may be carried out after the Period of the Event.
- 3. From the moment of notification, the Horse must be chaperoned by an FEI Official until it has been taken to the Testing Veterinarian or VD. The PR may cool down their Horse between notification and presenting their Horse to the Testing Veterinarian or VD.
- 4. Following notification, the PR or a member of their Support Personnel must accompany the Horse to the Testing Veterinarian or VD and witness the sampling procedure.
- 5. The Testing Veterinarian or VD may appoint an FEI Official for any or all of the following:
  - a) to notify the PR or a member of their Support Personnel that the Horse has been selected for sampling; and/or
  - b) to chaperone the Horse to the Testing Veterinarian or VD.
- 6. If a Horse selected for sampling has been substituted with another Horse prior to the prize-giving ceremony, the PR must alert the FEI Official notifying them that the Horse is not the Horse that had competed and assist in ensuring that the selected Horse is sampled.

#### Article 1072 Documentation for Sampling

- 1. The identity of the Horse must be positively established from its Passport during the sampling procedure.
- 2. The standard FEI EADCMP Sampling Form must be used and signed by the Testing Veterinarian or VD and the PR or a member of their Support Personnel.
- 3. In signing the sampling documents, the PR or a member of their Support Personnel either:

a) accepts the validity of the material used for sampling and has no objection to the sampling procedure; orb) dispute it, in which case they must state the reason for non-acceptance in writing.

4. At the end of the sampling procedure, the Testing Veterinarian or VD must fill in, sign and stamp the Medication Control page of the Horse's Passport.

#### Article 1073 Protocol for Blood and Urine Collection

- 1. Only FEI approved sampling kits must be used for sampling. Sufficient sampling kits must be available at the Event and provided by the Testing Veterinarian and VD.
- 2. Urine and blood samples will normally be collected from all Horses selected for sampling in accordance with the FEI Testing Manual.
- 3. The Testing Veterinarian or Veterinary Delegate may allow up to 30 minutes for the Horse to produce a urine sample from the moment the Horse enters the Testing Box or stable.
- 4. On the collection of a sufficient volume of urine, the urine shall be divided between 2 separate containers. 1 container shall be identified as the Urine Sample A and the other as Urine Sample B.
- 5. The PR may request that a PTV of their choice carries out the blood sampling for reasons of horse familiarity and safety. The request may be granted under the following conditions:
  - a) the PTV must be paid for by the PR;
  - b) sampling must be carried out immediately;
  - c) sampling must be performed using the equipment provided by the Testing Veterinarian or Veterinary Delegate and under their supervision; and
  - d) all samples and all sampling equipment must immediately remain in the custody of the Testing Veterinarian or Veterinary Delegate.
- 6. On the collection of blood samples, the tubes must be divided into 2 groups in accordance with the FEI Testing Manual. 1 group shall be identified as Blood Sample A and the other as Blood Sample B.
- 7. All samples shall be sealed according to the system utilised by the FEI approved sampling kit.

# Article 1074 Handling of Samples

- 1. The Testing Veterinarian or VD is responsible for ensuring that samples are dispatched to an FEI Approved Laboratory and that the laboratory is notified of their shipment.
- 2. All samples awaiting transport must be secured, stored appropriately and dispatched to the laboratory as soon as possible.

# Article 1075 FEI Approved Laboratories

- 1. The FEI approves laboratories in accordance with FEI Standard for Laboratories
- 2. FEI Approved Laboratories shall be used for the analysis of all samples taken at FEI Events.

- 3. At least every 4 years, the FEI will appoint a Central Laboratory that will be the primary FEI Laboratory contact and advise on technical laboratory matters.
- 4. FEI Approved Laboratories other than the Central Laboratory are referred to as Reference Laboratories.

# Article 1076 Costs of Analyses

- 1. The costs of the A Sample analyses are to be borne by the FEI.
- 2. The costs of the B Sample analysis, if requested by the PR and confirming the A Sample result, shall be borne by the PR.
- 3. If the B Sample analysis does not confirm the A Sample analysis result, the costs are borne by the FEI.

## CHAPTER VIII EMERGENCY PROCEDURES

## Article 1077 Injury and Disease Surveillance

- Horses participating in FEI Events are subject to injury and disease surveillance monitoring to ensure that the Horse's welfare remains paramount at all times and sound scientific reasoning is applied to the safety of all Horses participating at FEI Events.
- 2. Any Horse that sustains a significant injury or illness must be reported to the VD.
- 3. Any such injury or medical information must:
  - a) be treated as strictly confidential at all times;
  - b) never be used to influence the Decisions of the VC/ VD as to the Horse's fitness to compete; and
  - c) be submitted by the Injury Surveillance Designate, who would normally be the VD, to the FEI Veterinary Department, and may not be used for any other purpose.
- 4. All injuries occurring at an FEI Event must be recorded in the Online Veterinary Report.
- 5. All information concerning injuries and disease may be used by the FEI for risk assessment and will be kept strictly confidential.

## Article 1078 Infectious Disease

- 1. Horses suspected of developing any clinical signs of infectious disease must be reported immediately to the VC/ VD and FEI Veterinary Department.
- 2. Horse(s) developing clinical signs of infectious disease must immediately be sent to the isolation stables and strict biosecurity measures must be implemented. Any relevant diagnostic test(s) required to establish the cause of the disease, as recommended by the VSM or PTV and agreed with the VD must be carried out at the expense of the PR. Details of the test(s) carried out and result must be reported to the VD and FEI Veterinary Department.
- 3. In the event of identifying in-contact Horses at the Event venue, strict biosecurity measures must be implemented as required.
- 4. Confirmed disease situations must be immediately reported to the FEI Veterinary Department by the VC/ VD.

# Article 1079 Catastrophic Injuries

- 1. In the event of a Horse sustaining a catastrophic injury the emergency protocols organised by the VSM must be implemented immediately.
- Any Horse sustaining a catastrophic injury requiring it to leave the venue for further evaluation may undergo EADCM sampling (blood only), preferably before leaving the venue.

## Article 1080 Equine Fatalities

- Should a Horse be injured or suffer a disease that, in the opinion of the VD, it should to be humanely euthanased, the PR and/or Owner or their representative should, where possible, be notified. If the PR and/or Owner or their representative is not present, the VC/ VD will authorise euthanasia to prevent undue suffering to the Horse. The VD must however seek a second veterinary opinion where possible.
- 2. The method of euthanasia will be at the discretion of the PTV, although intravenous euthanasia is preferable.
- 3. In the event of a sudden death, the emergency protocols organised by the VSM and OC must be implemented immediately.
- 4. Substance(s) administered to the Horse before death or euthanasia must be retrospectively declared using the appropriate Veterinary Form.
- 5. The VD or Testing Veterinarian must carry out EADCM sampling and submit the samples for analysis. If the PR, or their representative, is not available to witness sampling procedure, an FEI Official must act as a witness and sign the EADCMP Sampling Form.
- The VC/ VD notify FEI Veterinary Department of an equine fatality within 12 hours of the fatality occurring.
- 7. Any fatal illness or injury sustained by a Horse during or after an FEI Event where such a fatality is related to the Horse's participation in an Event, must be reported by the PR to their NF within 72 hours of the death of the Horse. The NF must inform the FEI Veterinary Department using the FEI Equine Fatality Report Form, and register the Horse's death in the FEI Database within 72 hours of notification.

# Article 1081 Post Mortem Examinations

- The VD must ensure that a full gross post mortem examination is carried out on any Horse that is euthanased or dies as a result of injuries or disease that occurred during an FEI Event or after having been treated away from the Event site for those injuries/disease, regardless of the circumstances surrounding the Horse's death.
- 2. Where available, the post mortem examination must be carried out by a veterinary pathologist at a dedicated veterinary pathology centre where possible. Post mortem examinations may only be carried out at Event sites where national legislation restricts the transport of a deceased Horse.
- 3. The FEI will cover the cost of the gross post mortem examination and transport costs to the pathology centre, up to 650 Euros.
- 4. The pathologist carrying out the post mortem examination must complete the FEI Post Mortem Examination Report and return it to the FEI Veterinary Department as soon as possible.
- 5. All details relating to post mortems and the reports derived from such examinations must be treated in complete confidence with the submitting FEI Veterinarian requesting that such information is sent to the FEI Veterinary Department only. A

copy of the post mortem report is supplied to the Horse's Owner via their NF and to their  $\ensuremath{\mathsf{NHV}}$  .

# CHAPTER IX PONY MEASURING

# Article 1082 Regulatory Height

Ponies' regulatory height at the withers must not exceed:

 148cm without shoes (any measurement between 148.1cm and 148.9cm will be rounded down to 148.0cm); and
 149cm with shoes (any measurement between 149.1cm and 149.9cm will be rounded down to 149.0cm.

- 2. Driving Ponies in Pairs and Four-in-Hands may not be less than 108 cm without shoes, or 109 cm with shoes. Single Ponies must not be less than 120cms without shoes, 121cms with shoes
- 3. During the Transition Period (1 January 2020 31 December 2022), Ponies being measured at FEI Events, in accordance with the provisions of Annex IX, are given a height allowance; their height at the withers must not exceed 150.0cm without shoes or 151.0cm with shoes. Ponies must be presented for measuring in good training condition as they would compete and, if shod, in competition shoeing.

The measuring veterinarians will put a remark in the passport: with shoes /without shoes, on the identification page.

## Article 1083 Pony Measuring at FEI Measuring Sessions

- 1. Pony Measuring is administered by the FEI Veterinary Department which determines and maintains a calendar of FEI Measuring Sessions in conjunction with NFs, appoints the Measuring Veterinarians and provides FEI approved Measuring Equipment.
- 2. Pony Measuring Sessions are announced via a measuring calendar published on the FEI website.
- 3. Pony Measuring is carried out at a Measuring Station. The Measuring Station is selected by the NF in accordance with the FEI Regulations and requirements.
- 4. Pony Measuring is carried out using FEI approved Measuring Sticks that are calibrated and certified by the designated Weights and Measures approving body on an annual basis, or using any other FEI approved Measuring Equipment.
- 5. Pony Measuring is carried out by 2 Measuring Veterinarians, selected and appointed by the FEI. The names of the measuring veterinarians at each Measuring Session are kept confidential. A sanction will be imposed on any NF or person who reveals the Measuring Veterinarians names before the Measuring Session.
- 6. The Measuring Veterinarians are not allowed to measure in their own country, ponies belonging to owners with the same nationality as the Measuring Veterinarian and ponies registered in the same country as the Measuring Veterinarian.
- 7. Where possible, an FEI representative will attend the entire Pony Measuring Session. However, the non-attendance of an FEI representative for the entire Pony Measuring Session will not invalidate the measurements taken.
- 8. An FEI Testing Veterinarian may be appointed to take EADCM samples.

- 9. Horses attending Pony Measurements are subject to testing under the EADCM Regulations. For the purpose of the application of the EADCM Regulations to a Horse attending a Pony Measurement, a Horse shall be considered as being "In-Competition" from the time of its arrival at the Pony Measurement Station until its departure from the Pony Measurement Station following completion of the Pony Measurement process (including all related paperwork) and EADCMP testing (where applicable) (the "Pony Measurement Period"). In addition to the consequences foreseen for violation of the EAD Rules and/or the ECM Rules under the EADCM Regulations, a violation of the EAD Rules and/or ECM Rules in connection with a test taken at a Pony Measurement Pony Measurement Period.
- 10. A minimum of 3 Stewards must be appointed by the NF to facilitate the Pony Measuring procedure and ensure the safety of all participants. This includes and is not limited to: ensuring the efficient movement of Ponies into and out of the Measuring Area, assisting with identification, ensuring the Measuring Area does not become unnecessarily crowded and observing the Ponies in the lungeing / riding area.
- 11. NFs must ensure that any Ponies having Measured Out at an FEI measuring sessions are not entered in any FEI Pony Events.
- 12. NFs are responsible for providing a Measuring Station that meets the following requirements. The Measuring Station must have :
  - a) a place to walk the ponies in hand;
  - b) boxes available for ponies to urinate after the travel;
  - c) testing boxes for EADCMP testing, 1 box for every 15 ponies;
  - d) a hard surface trot up area;
  - e) a suitable area for lungeing and riding before the Measurement; and
  - f) a facility to take radiographs.
- 13. NFs must ensure that suitable conditions for Pony Measurement are provided. The Measuring Area must have:
  - a) a total area of at least 3m by 3m;
  - b) a measuring "pad" of at least 3m by 3m that is flat , even and level which must be certified by laser measurement within 12 months of the measuring session. The floor must be non-slippery (a concrete slab or other paved surface is most desirable; plywood, dirt, gravel or other uneven surfaces are not permitted);
  - c) freedom from unnecessary disturbances and distractions;
  - d) adequate lighting to facilitate the process;
  - e) ideally a separate entrance and exit to allow safe movement of Ponies into and out of the area;
  - f) must be sheltered; and
  - g) ideally wifi connection.
- 14. NFs must provide the Measuring Veterinarians with a measuring schedule containing, the name of the PRs or the representative, the name and nationality of the Pony owner, the name of the Pony, the country of registration and FEI ID/Passport number of all Ponies attending the Measuring Session.
- 15. NFs must provide at least 3 stewards that are present for the entire Measuring Session and a licensed veterinarian on site or on call (but able to be on site during the measuring session) in case radiographs must be taken.
- 16. Measurements from national Pony measuring systems in countries outside Europe may be approved should they meet criteria established by the FEI Veterinary Department. These Ponies must be re-measured in Europe should they wish to compete in FEI Pony Events held in FEI Groups I and II.

# Article 1084 Ponies' Presentation

- 1. Ponies should normally be presented by the PR, or their representative (GRs Article 118.3). Stewards must ensure that no more than 2 people accompany the Pony during measuring, this must be the PR and/or their representative and 1 other person.
- 2. The Pony must be in a good physical condition (e.g. appropriate length of hooves and not foot sore), as determined by the Measuring Veterinarians, and free of any substances included on the EPSL. In the event of the Pony not being in good physical condition or if any of the provisions listed in Article 1086 apply, the Measuring Veterinarians can refuse to measure the Pony. In principal all ponies will be trotted up before being measured.
- 3. An FEI Official Veterinarians appointed to the Measuring Session may take DNA samples of any or all ponies, such samples will not be used for purposes other than identification of the Pony.
- 4. Ponies must be presented in a controlled and safe manner; this normally requires a bridle or head collar. Ponies presented must stand for measuring on a loose rein. Any equipment used for Ponies' presentation must be in accordance with the FEI Code of Conduct for the Welfare of the Horse and is at the discretion of the Measuring Veterinarians.
- 5. Ponies must be allowed to hold their head and body in a natural position, with their cannon bones vertical and in a normal stance. They must be standing squarely, weight-bearing on all four limbs and be allowed to relax before measuring is attempted.
- 6. The maximum presentation time for the measurement is 10 minutes per Pony. In the event of a Pony failing to relax sufficiently to be measured, the PR may request a 20 minute presentation time at the next measurement subject to approval by the FEI Veterinary Department.
- 7. Ponies may be accompanied by a companion horse or Pony during measuring.

# Article 1085 Pony Measuring Procedure

- The NF must provide the Measuring Veterinarians with a measuring schedule containing the name of the owner(s) and the PR or representative; their country of registration; and the name and FEI ID/Passport number of all Ponies attending the Measuring Session.
- 2. The Measuring Veterinarians verify the Ponies' identification against their Passport and microchip number where possible.
- 3. The Measuring Veterinarians assess the appearance of the withers for abnormalities. If the Measuring Veterinarians have a doubt about the shape of the withers:
  - a) The pony will be measured;
  - b) A steward and/or a Measuring Veterinarian will accompany the pony in order to have its withers radiographed on site during the measuring session;
  - c) The Measuring Veterinarian will state "Refusal to measure" in the pony's passport;

- d) The radiographs will be sent to a panel of expert veterinarians appointed by the FEI;
- e) In case the panel concludes that the withers shape is normal and the pony had measured in during the measuring session, a measuring certificate will be stuck on top of the statement "Refusal to measure";
- f) In case the panel concludes that the withers shape is abnormal, the pony will be definitely changed to "horse" in the FEI database and not allowed to represent to a measuring session.
- 4. Each Measuring Veterinarian successively measures the Ponies at the highest point of the withers, that is immediately above the spinous process (usually) of the 5th thoracic vertebra, which should be identified by palpation if necessary before any measurements are taken. Should the two measurements differ, the lower height is used.
- Following measuring, Ponies are declared as either having:

   a) "Measured In" and permitted to compete; or
   b) "Measured Out".
- If a Pony measures in and is 8 years old or older it will receive an FEI Lifetime Measuring Certificate, be registered as Pony in the FEI database, and allowed to compete at FEI Pony Events
- 7. If a Pony measures in and is between 6 and 8 years it will receive an FEI Interim Measuring Certificate, which will last for 15 months. The Pony may be re-measured annually until the age of 8 years old. <u>If a Pony measures in without shoes and its height</u> does not exceed 140cm and it is between 6 and 8 years, it will receive an FEI Lifetime <u>Measuring Certificate</u>.
- 8. If a Pony measures out the NF is notified and it is blocked from entering in any FEI Pony Competition; and registered as a horse if required. It can be re-measured once the same year at another Measuring Session, and once a year in the two following consecutive years. In total it may be measured 4 times in 3 consecutive years.
- 9. The Measuring Veterinarians must notify the PR of their Pony having Measured Out.

The Measuring Veterinarians must mark, stamp and sign the Ponies' Passport, on the Identification Page, stating the date, Event and the words "*Measured Out*". A red pen must be used for that purpose.

- 10. The Measuring Veterinarians must inform the FEI Veterinary Department of any Ponies having Measured Out in the Pony Measuring Report.
- 11. The FEI Veterinary Department will notify the respective NF of any Ponies having Measured Out. It is the responsibility of the NF to ensure that Ponies having Measured Out are not entered in any future FEI Pony Events until they have obtained an FEI Height Certificate.
- 12. Any person obstructing or interfering with the Pony Measuring procedure, which may include but is not restricted to photography or videography, will be instructed to leave the Measuring Area.

## Article 1086 Refusal to Measure

- 1. The Measuring Veterinarians may refuse to measure a Pony in the event of any of the following:
  - a) the PR or other representative or additional handler interferes with or obstructs the Measuring Veterinarians' work (e.g. by influencing the natural stance and posture of the Pony);
  - b) the PR or other representative exerts unnecessary force or uses a method perceived to affect the Pony's measurement, impact its welfare or be injurious; or
  - c) the Pony is deemed not measurable (e.g. unrestful or trained to lower its natural height).
- 2. The Measuring Veterinarians must refuse to measure a Pony in the event of any of the following:
  - a) there is doubt or evidence that the Pony's withers, or any part in that anatomical region, may have been interfered with so as to artificially lower the Pony's height and there is no possibility to take radiographs on site during the measuring session; or
  - b) the Pony is sweating or breathing abnormally due to too intense exercise; or
  - c) the Pony has sore feet; or
  - d) the Pony is lame; or
  - e) the Pony is in poor condition; or
  - f) the Pony shows signs of being sedated, in such cases the pony should always be sampled for EADCM.
- 3. In the event of the Measuring Veterinarians refusing to measure a Pony, the NF is notified specifying the reason for refusal to measure and it is blocked from entering in any FEI Pony Competition; and registered as a horse if required. It can be re-measured once the same year at another Measuring Session, and once a year in the two following consecutive years. In total it may be measured 4 times in 3 consecutive years.
- 4. The FEI Measuring Veterinarians must mark and sign the Pony's Passport, on the Identification Page, stating the date, Event and the words "*Refusal to Measure*" and reason for the refusal. A red pen must be used for that purpose.
- 5. The Measuring Veterinarians must inform the FEI Veterinary Department of any Ponies they refused to measure in the Pony Measuring Report.

# Article 1087 Transition Period

- 1. The FEI will issue a FEI Lifetime Measuring Certificate to all Ponies that have been measured in at an FEI Pony Event in 2017 or 2018 or 2019 where the measuring was conducted by 2 FEI measuring Veterinarians (including those that have been Measured In at an Appeal Measurement during 2017, 2018 or 2019).
- 2. As of 1 January 2020, all newly registered FEI Ponies must be measured in at an FEI Measuring Session and be issued with an FEI Height Certificate in order to be allowed to take part in FEI Pony Competitions.
- 3. Ponies that have been registered with the FEI before 1 January 2020 and have not received a FEI Lifetime Measuring Certificate as per Article 1087.1 above may continue to participate in Pony Competitions under the rules set forth in Annex IX.
- 4. During the Transition Period the FEI will carry out measuring in competition according to the procedure set out in Annex IX and only at the following FEI Events:

- FEI European Pony Championships;
- FEI Jumping Nations Cup Youth (Ponies) Final; and
- FEI Jumping Ponies Trophy Final

(the "Specified Events")

If a Pony measures out after the second measurement at a Specified Event it must be re-measured at an Extraordinary Appeal Measurement (as per Article 1088) or at an FEI Measuring Session (as per Articles 1082 – 1086 (inclusive)) in order to be eligible to compete at future FEI Pony Events. Appeal measurements will no longer take place.

# Article 1088 Extraordinary Measuring Session

- 1. The PR or their representative may request, in writing, an Extraordinary Measuring Session.
- 2. The NF must apply to the FEI to host the Extraordinary Measuring Session.
- 3. In the event of an Extraordinary Measuring Session being cancelled or postponed by the PR or their representative, for any reason, a minimum fee of CHF 500 will be charged.
- 4. The Extraordinary Measuring Session is carried out in accordance with Articles 1082 to 1087.
- 5. A suitable Measuring Station must be provided by the NF as described in Article 1083.
- 6. The PR is responsible for all costs related to the Extraordinary Measuring Session. These include the transport, accommodation, meals and fees for two Measuring Veterinarians, an FEI representative, where applicable Stewards and testing Officials, the costs of sample analysis by an FEI Approved Laboratory if applicable, the use of a suitable measuring pad and all other associated costs.
- 7. As per Annex IX, during the Transition Period "Out-of-Competition Measurements" will be conducted at an Extraordinary Measurement Session (in accordance with the provisions of this Article 1088) or, at the discretion of the FEI, at a regular FEI Measurement Session (in accordance with Articles 1082 1086 (inclusive)). If a Pony measures out at a Specified Event during the Transition Period, the Pony must be remeasured either in accordance with the provisions of this Article 1088 or at a regular FEI Measurement Session (in accordance with Articles 1082 1086 (inclusive)).

## CHAPTER X NATIONAL FEDERATIONS' RESPONSIBILITIES

# Article 1089 Equine Passports and Identification

- 1. NFs must ensure that Horses competing in FEI Events comply with the Passport requirements stated in Article 137 of the General Regulations.
- 2. NFs must allow up to 6 weeks for the approval process of National Passports to be completed by the FEI.
- 3. NFs must ensure that Passport information, including microchip numbers and other identification details are up to date within the FEI Database.
- 4. NFs may request a change or correction to a Horse's registered microchip number by submitting a Microchip Changes Form to the FEI Veterinary Department.

# Article 1090 Biosecurity and International Horse Movements

- NFs must promote biosecurity awareness and good biosecurity practices in accordance with Chapter III and distribute any relevant, official communications to their stakeholders.
- NFs, in conjunction with their NHV, are responsible for understanding government policies and facilitating in the prevention of disease transmission associated with international Horse movements.
- 3. NFs, assisted by their NHV, must be familiar with government authority requirements for the hosting of international equestrian events in their country.
- 4. NFs must supply a calendar of FEI events to the appropriate national veterinary authority each year.

# Article 1091 Equine Anti-Doping and Controlled Medication Programme

- 1. NFs must ensure that an FEI Medication Logbook is available for every Horse on request.
- 2. NFs are responsible for implementing an equine anti-doping and controlled medication program in their country at national events which must be compatible with the EADCMRs, as well as an education program.
- 3. NFs must stock an adequate number of FEI approved sampling kits to comply with the requirements of the EADCMP.

## Article 1092 Equine Fatalities

1. NFs must inform the FEI of any fatality that occurs after an FEI Event and results from the Horse's participation in the Event. NFs must send an FEI Equine Fatality Report

Form to the FEI Veterinary Department and register the death in the FEI Database within 72 hours of being notified by the PR.

# Article 1093 Pony Measuring

 NFs must ensure that any Pony having Measured Out at an FEI Event, FEI Measuring Session or Out-of-Competition Measurement prior to 1 January 2020 or that measures out during the Transition Period is not entered in any FEI Pony Events until it has been Measured In either at (i) an FEI Measuring Session conducted in accordance with Articles 1082 – 1086 (inclusive); or (ii) an Extraordinary Measuring Session conducted in accordance with Article 1088.

# Article 1094 FEI Veterinarians

- 1. NFs must appoint one National Head Veterinarian with appropriate knowledge and experience to perform the duties described in Article 1110. NFs must provide administrative support to their NHV.
- 2. NFs, in conjunction with their NHV, must nominate veterinarians to be included on the list of FEI Veterinarians. The number of PTVs, OVs, EOVs and EVTs required in each country depends on the number and type of International Competitions organised by the NFs. All nominated veterinarians must satisfy the criteria for promotion and status maintenance listed in Article 1100. The FEI will accept the NF nominations provided that the veterinarians meet the requisite criteria, unless exceptional circumstances are present. Should the FEI oppose a particular nomination, a mutual resolution will be sought with the NF. The FEI Veterinary Department will maintain and update the list of FEI Veterinarians on an annual basis.
- 3. NFs must ensure that their country's list of FEI Veterinarians is routinely reviewed with their NHV and that their veterinarians are informed of their status maintenance requirements.
- 4. NFs may request the removal of any of their FEI Veterinarians in writing to the FEI Veterinary Department.
- NFs may request a change of Administering NF for an FEI Veterinarian residing and licenced to practice veterinary medicine in another country. The initial and future Administering NFs must inform the FEI Veterinary Department of their approval in writing.
- 6. NFs must ensure that any relevant communications received by the FEI is transmitted to their FEI Veterinarians.

# Article 1095 Appointment of FEI Veterinarians

1. NFs may appoint Team Veterinarians to take care of the health and welfare of their team's Horses at FEI Events.

# Article 1096 Testing Technicians

- NFs, in conjunction with their NHV, are required to nominate suitably qualified persons as Candidate Testing Technicians. The number of Testing Technicians required in each country depends on the number and type of International Competitions organised by the NFs. The FEI Veterinary Department will maintain and update the list of Testing Technicians on a continuous basis.
- 2. NFs must ensure that their country's list of Testing Technicians is routinely reviewed with their NHV.
- 3. NFs may request the removal of any of their Testing Technicians in writing to the FEI Veterinary Department.

# Article 1097 Permitted Equine Therapists

- NFs are responsible for processing the Permitted Equine Therapists' applications and register the approved applicants within the FEI Database. NFs must record the therapies for which Permitted Equine Therapists have been trained and issue their FEI Identification card.
- 2. NFs are responsible for updating the Permitted Equine Therapists' account with any additional therapies they may have received training for after their initial enrolment.
- 3. NFs must ensure that their list of Permitted Equine Therapists is routinely reviewed with their NHV.
- 4. NFs may request the removal of any of their Permitted Equine Therapists in writing to the FEI Veterinary Department.

## CHAPTER XI PERSONS REPONSIBLE'S RESPONSIBILITIES

#### Article 1098 Definition of PR

- 1. As set forth in Article 118 of the General Regulations and in the EADCMRs, the PR shall be the Athlete who rides, vaults or drives the Horse during an Event, but the Owner and other Support Personnel including but not limited to grooms and veterinarians may be regarded as additional Persons Responsible, if they are present at the Event or have made a relevant Decision about the Horse. In Vaulting, the lunger shall be an additional Person Responsible. In Endurance, the Trainer shall be an additional Person Responsible.
- 2. PRs are strictly liable and responsible for their Horse(s) at all times. PRs and their Horse(s) may be subject to inspection for compliance with all applicable FEI rules and regulations by FEI Officials at any time during an Event.

#### Article 1099 Responsibilities

- 1. PRs must ensure that they and their Horse(s) comply with all aspects of these VRs, and EADCMRs including but not limited to:
  - a) the FEI Code of Conduct for the Welfare of the Horse;
  - b) Horse Passports, including horse identification information, microchip details and FEI validation stickers, in accordance with the GRs, ensuring that their NFs are notified of all relevant changes or updates as required;
     c) biosecurity requirements;
  - e)d) completing an FEI Equine Health Self-Certification form in the FEI HorseApp for each horse entering the FEI Stables Area and recording the Horse's rectal temperature twice a day in the FEI HorseApp for the 3 days prior to the Horse's arrival at the Event.
  - government animal health requirements for the international movement of Horses for competition;
  - e)f) vaccination requirements;
  - f)g) ensuring that during the Period of the Event the Horse is stabled within the FEI Stables provided by the OC<u>or outside the venue</u>, as described by Article 1008.10;

h)\_Horse Inspections;

g)i) register the GPS location of their horse(s) via the FEI HorseApp in the event of the FEI declaring an equine infectious disease outbreak;

h)j) FEI Medication Logbook;

- (i)k) authorisation for veterinary treatment, medication or other supportive therapies received by the Horse during or shortly before an Event;
- j) all provisions related to the implementation of the EADCMRs and Elective Testing;
- k)m) limb sensitivity examination;
- h) pony measuring; and

m)o) the reporting of equine fatalities resulting from FEI Event participation.

2. PRs must report any suspected disease(s) during an Event to the VD.

- 3. PRs should seek information regarding any additional vaccinations that may be required based on their geographical region and the equine infectious diseases risk in that area. Any vaccinations administered must be recorded in the Horse Passport.
- 4. PRs have a responsibility to be aware of national requirements applicable to the Horses under their care, and to advise their Support Personnel to ensure compliance. PTVs must report any suspected disease situation to the VD.
- 5. PRs must also ensure that their grooms and other authorised persons with access to their Horse(s) are familiar with the security and stewarding procedures and the EADCMRs. PRs must acknowledge that all of their Support Personnel are subject to these VRs, the EADCMRs, and all other applicable rules by virtue of their presence at the Event.
- 6. If PRs are prevented by illness or any other cause from caring for their Horse(s), they must immediately notify the OC and the VC/VD.
- 7. PRs are responsible for the costs of B sample analysis should legal proceedings occur following a positive EADCMP result for a Horse.
- 8. PRs are responsible for the associated costs should a Horse be sampled under the Elective Testing programme.

### CHAPTER XII FEI VETERINARIANS

#### I. FEI VETERINARIANS

#### Article 1100 FEI Veterinarians

- 1. FEI Veterinarians include Permitted Treating Veterinarians (PTVs) and Official Veterinarians (OVs). Except for Course Veterinarians, all FEI Veterinarians must be listed by the FEI in either one of these categories.
- 2. FEI Veterinarians must be able to understand and speak English.
- 3. FEI Veterinarians must carry their FEI identification card at all times during Events and have it available for inspection.
- 4. All PTVs and OVs must make their presence known to the Veterinary Commission/ Veterinary Delegate when working or officiating at FEI Events.
- 5. FEI Veterinarians must sign and conduct themselves in accordance with the appropriate Codex or Code of Conduct.
- 6. FEI Veterinarians must comply with the promotion and status maintenance requirements set forth in the Education System for FEI Veterinarians.

### Article 1101 Permitted Treating Veterinarians

- 1. Permitted Treating Veterinarians may assume the following roles:
  - Veterinary Control Officer;
  - Treating Veterinarian (TV);
  - Team Veterinarian;
  - Athlete's Private Veterinarian (APV); and
  - Holding Box Veterinarian.
- 2. PTVs must have adequate professional indemnity insurance.
- 3. PTVs that are not appointed by the OC must register with the OC as veterinarians.

#### Article 1102 Official Veterinarians

- 1. Only OVs can act as FEI veterinary officials at FEI Events.
- 2. The following groups of veterinarians must be Official Veterinarians :
  - National Head Veterinarian (NHV);
  - Veterinary Services Manager (VSM);
  - Veterinary Delegate/Foreign Veterinary Delegate (VD/FVD);
  - Additional Veterinary Delegate (AVD);
  - Endurance Official Veterinarian (EOV);
  - Endurance Veterinary Treating Official (EVT);
  - Testing Veterinarian;

- Measuring Veterinarian; and
- Examining Veterinarian.
- 3. OVs may act in either the capacity of an OV or of a PTV, but never in both capacities during the same Event or Events taking place at the same venue at the same time.
- 4. OVs are not allowed to compete in any Event where they are officiating as an OV. This includes any national class that may be running at the same Event. VDs, AVDs, Testing Veterinarians, Measuring Veterinarians and Examining Veterinarians must not treat any Horses at the Event, including in national classes, unless in an absolute emergency.
- 5. EVTs must have adequate professional indemnity insurance.

## II. FEI VETERINARIANS' ROLES

## Article 1103 Veterinary Services Managers

- 1. VSMs are responsible for assisting the OC in:
  - a) organising the veterinary infrastructure, including ensuring that the appropriate equipment and measures are in place as described in Articles 1007 and 1010-1014;
  - b) ensuring the Event complies with all aspects of these VRs before the first Horse enters the venue; and
  - c) ensuring the veterinary workforce for the Event are appropriately qualified and trained.
- 2. VSMs must fulfil the requirements for the appropriate OV Level, as set forth in Annex X and the Education System for FEI Veterinarians.
- 3. VSMs are responsible for providing:
  - a) the Veterinary Services operations plan, which must include the general procedures for management of veterinary emergencies, including rehearsals before the Event begins. The VSM must review the operations plan with the VD well in advance of the Event; and
  - b) a microchip reader (transponder) compatible with the International Organisation for Standardisation (ISO) 11785 for use during the Event.
- 4. VSMs must ensure that:
  - a) the Examination on Arrival is carried out, where appointed to do so by the VD, according to Article 1031;
  - b) emergency protocols are immediately implemented when required; and
  - c) Horses showing clinical signs of infectious disease are immediately isolated. VSMs must recommend and carry out diagnostic testing with agreement of the VD.
- 5. VSMs must assist the FVD/ VD and other PTVs in their awareness of applicable relevant local and national legislations.
- 6. VSMs must:
  - a) be able to attend the venue within at least 1 hour, should they have to leave the Event site, under the condition that a TV remains at the Event site:
  - a)b)\_appoint, lead and maintain contact with the TV(s) and Course Veterinarians and ensure they work in accordance with the VRs;
  - b) appoint and liaise with Veterinary Control officers where necessary

e)d)\_ensure that a sufficient number of TVs are present near the Field of Play during all competitions and at least one on-duty TV is available 24 hours a day during the period of the Event; and

d)e) maintain contact with the VC/VD at all times and liaise closely with them.

- VSMs are not allowed to compete at any Event where they are working as the OC appointed VSM. This includes any national classes that may be running at the same Event.
- 8. VSMs may be permitted to act as a Treating Veterinarian at the same Event but must have adequate professional indemnity insurance.

#### Article 1104 Veterinary Control Officers

- 1. VSMs may appoint a Veterinary Control Officer(s) for Events that involve veterinary supervision over extensive areas (e.g. Cross Country Test in Eventing, Marathon in Driving, Endurance Rides).
- 2. Veterinary Control Officers must fulfil the requirements for the requirement for PTVs, as set forth in the Education System for FEI Veterinarians.
- 3. Veterinary Control Officers should liaise with the VSM and VD to ensure adequate veterinary emergency response during the competition.
- Veterinary Control Officers must be in contact with all veterinarians on the course and must transmit information regarding any injured or exhausted Horse to the Ground Jury and/or VD immediately.

## Article 1105 Treating Veterinarians

- 1. TVs work under the guidance of the VSM. They provide emergency care at Events and may be required to carry out the Examination on Arrival or act as a Holding Box Veterinarian.
- 2. TVs must fulfil the requirements for PTVs.
- 3. TVs must maintain contact with the VC/ VD at all times and liaise closely with them.
- 4. TVs must ensure that the relevant Veterinary Forms are completed, submitted and approved by the VC/ VD before administering treatments.
- 5. Treatments must be performed within the designated Treatment Boxes, unless exceptionally authorised by the VC/VD (e.g. fluid therapy or in an emergency situation).
- 6. TVs are responsible for the proper disposal of any treatment material they have used.
- 7. TVs are not allowed to compete at any Event where they are working as an OC appointed TV. This includes any national class that may be running at the same Event.

#### Article 1106 Team Veterinarians

- 1. Team Veterinarians are involved in the health and welfare of their team's Horses, including FEI vaccination requirements and good biosecurity practices, where requested and in accordance with these VRs.
- 2. Team Veterinarians must fulfil the requirements for PTVs.
- 3. Team Veterinarians must assist with the submission of valid and completed Horse Passports to the FVD/ VD.
- 4. Team Veterinarians are responsible for ensuring that for any treatments that are administered, the relevant Veterinary Forms are completed, submitted and approved by the VD/ VC.
- 5. Treatments must be performed within the designated Treatment Boxes, unless exceptionally authorised by the VC/VD (e.g. fluid therapy or in an emergency situation).
- 6. Team Veterinarians are responsible for the proper disposal of any treatment material they have used.
- 7. Team Veterinarians must, on arrival at FEI Events, complete the PTV/PET Registration Form for that Event.

#### Article 1107 Athlete's Private Veterinarians

- 1. Athlete's Private Veterinarians (APVs), at the individual Athlete's request, are private veterinarians attending to that Athlete's Horse during an Event.
- 2. APVs must fulfil the requirements for PTVs.
- 3. APVs must ensure that for any treatments administered, the relevant Veterinary Forms are completed, submitted and approved by the VC/ VD.
- 4. Treatments must be performed within the designated Treatment Boxes, unless exceptionally authorised by the VC/VD (e.g. fluid therapy or in an emergency situation).
- 5. APVs are responsible for the proper disposal of any treatment material they have used.
- 6. APVs must, on arrival at FEI Events, complete the PTV/PET Registration Form prior to entering the secured stable area.

### Article 1108 Veterinary Delegates

- VCs/VDs are responsible for ensuring that the Veterinary Regulations and any relevant Discipline regulations, are maintained during Events and must work in association with the GJ. VDs must be knowledgeable and experienced in the Discipline and the specific rules.
- 2. VDs must fulfil the requirements for the appropriate OV Level, as set forth in Annex X and the Education System for FEI Veterinarians.

3. VCs/ VDs must be satisfied that the OC and VSM, have provided all facilities, including Pony Measuring facilities where applicable, to an adequate standard before the arrival of the Horses and throughout the Event. The VD must also reviewed with the VSM their emergency plan for the venue incidents.

4. VDs must be:

- a) in attendance at the Event the day before the first Horse Inspection until the end of the Period of the Event; and
- b) available for consultation at any time before and during the Period of the Event.
- 5. At least one VD must be on site at Field of Play during the entire period of the competition. The VD's whereabouts must be known to the VSM and the VD must be contactable by the VSM at all times and be available to attend the venue within at least 1 hour if there is no competition on the venue.
- 6. VCs/ VDs must ensure they receive the names, contact information, FEI Veterinarian ID Card number and details of the Horses under each PTV's care. The FEI ID number for each veterinarian may be verified with the FEI Database in case of doubt. A meeting should be arranged with the PTVs, ideally before the start of the Event. Contact must be maintained by the VC/ VD with TVs and all PTVs throughout the Event.
- 7. Permitted Equine Therapists must notify the VC/ VD of their presence, any treatments to be performed before treating any Horse(s) during an Event and the name of the FEI PTV under whose supervision they are working. A list of Permitted Equine Therapists registering with the VC/ VD must be available for stewarding purposes.
- 8. VCs/ VDs are responsible for taking all necessary measures for the prevention and control of infectious transmissible diseases during Events, as described in Chapter III.
- VCs/ VDs must report any veterinary matter that is unacceptable, or of concern, to the GJ, with appropriate recommendations, and where relevant, the appropriate sanctions; these must then be reported in the Veterinary Report submitted at the conclusion of the Event.
- 10. Any veterinary treatment(s) or medication to be administered to a Horse at any time during the Event (in a FEI stewarded compound, even if prior to the start of the Event) must be in accordance with these VRs.
- 11. In the case of a severely injured Horse requiring euthanasia and to prevent undue suffering to the Horse, the PR and/or Owner or their designee should be contacted if possible to provide authorisation. If the PR or Owner is not available to give approval, the VC/ VD, after a second veterinary opinion has been provided where possible or in cases of doubt, may proceed in accordance with these VRs in the interest of the Horse.
- 12. VCs/ VDs must carry out the following duties in relation to EADCM sampling:
  - a) provide FEI approved sampling kits to Events where samples may need to be collected in the absence of a Testing Veterinarian
  - b) advise the President of the GJ on the selection of Horses for sampling in association with the Testing Veterinarian;
  - c) carry out sampling, where required, in the absence of a Testing Veterinarian and dispatch the samples to an FEI approved laboratory.
- 13. VDs may be requested by the FEI Veterinary Department to act as Measuring Veterinarians in exceptional circumstances.

#### Article 1109 Additional Veterinary Delegates

- 1. Additional Veterinary Delegates (AVDs) are Veterinary Delegates who assist the VD in performing the duties described in Article 1108.
- 2. AVDs must fulfil the requirements for the appropriate OV Level, as set forth in Annex X and the Education System for FEI Veterinarians.
- 3. AVDs work under the guidance and lead of the VD.

#### Article 1110 National Head Veterinarians

- 1. One NHV must be appointed by each NF.
- The FEI will maintain a current list of NHVs. The list shall be revised on the receipt of names from NFs and will be published on the FEI website after approval by the FEI Veterinary Committee.
- 3. There must be effective communication between the FEI and the National Head Veterinarians (NHV) on veterinary-related matters within their country.
- 4. Within their country NHVs must:
  - a) be aware of equine infectious diseases within their country affecting international movement of competition Horses and provide the FEI Veterinary Department with information on equine communicable disease outbreaks of significance to competing sports Horses in their own country;
  - b) liaise with their national veterinary authority and its officials and be aware of regulations governing the temporary importation and re-entry of Horses; be fully aware of and distribute any special measures that may apply to the international movement of FEI registered Horses that are issued by national governments or by the OIE;
  - c) maintain communication with regional and international horse transporters;
  - d) distribute information and educational material provided by the FEI to FEI Veterinarians, including FEI Veterinarian Courses, FEI Updates and any special communications received from their national governments, the OIE or other official bodies;
  - e) promote the improvement of veterinary-related standards at FEI Events;
  - f) work with the NF to ensure that the list of FEI Veterinarians is up to date and sufficient veterinarians are available to cover all FEI Events adequately;
  - g) provide mentors and suitable contacts to facilitate the promotion of veterinarians to FEI Veterinarians;
  - provide suggestions as required, for consultations undertaken by the FEI Veterinary Department, such as proposals for regulatory changes, prohibited substances and other veterinary matters; and
  - contribute as required, to national equine anti-doping and controlled medication control programs, including but not limited to increasing harmonisation between the FEI EADCMP and the country's national program.

### Article 1111 Holding Box Veterinarians

- 1. Holding Box Veterinarians must be members of a VC or AVD where present, otherwise the role can be carried out by a TV approved by the VD.
- 2. Holding Box Veterinarians must fulfil the requirements for PTVs.
- 3. Holding Box Veterinarians examine Horses that have been referred to the Holding Box in accordance with Article 1039. They must provide clear clinical information to the Inspection Panel however must not provide their opinion on the Horse's fitness to compete.

#### Article 1112 Endurance Veterinary Officials

- 1. Endurance Official Veterinarians (EOVs) act as Members or Presidents of the Veterinary Commission or Foreign Veterinary Delegates at Endurance Events.
- 2. EOVs must fulfil the requirements for the appropriate EOV Level, as set forth in Annex X and the Education System for FEI Veterinarians.
- 3. Endurance Veterinary Treating Officials (EVTs) are EOVs who act as Treating Veterinarians at Endurance Events.

The Treating Veterinarian/Treating Veterinary Commission is responsible for undertaking and ensuring the veterinary care and stabilization of horses eliminated from competition, and should liaise with the VSM to ensure that adequate supplies and equipment are available. The Treating Veterinarian/President of the Treating Veterinary Commission, along with the PVC and FVD, is responsible for identifying any horses to be designated as Serious Injury-ME, Serious Injury-Musculoskeletal, or Minor Injury.

- 4. EVTs must fulfil the requirements for the appropriate EVT Level as set forth in Annex X and the Education System for FEI Veterinarians
- 5. EVTs are responsible for the proper disposal of any treatment material they have used.

### Article 1113 Measuring Veterinarians

- 1. Measuring Veterinarians are appointed by the FEI Veterinary Department to verify the height of Ponies at International Pony Events.
- 2. Measuring Veterinarians must be experienced in measuring Horses and/or Ponies at the withers, using a measuring stick.
- 3. Measuring Veterinarians must verify the Pony Measurement area prior to measuring and provide guidance to the OC and VSM regarding suitable alternatives should they be unable to verify the Pony Measurement area

### Article 1114 Examining Veterinarians

- 1. Examining Veterinarians are appointed by the FEI Veterinary Department to carry out the Examination of Horses for abnormal limb sensitivity.
- 2. Examining Veterinarians must fulfil the requirements as determined by the Education System for FEI Veterinarians.

#### Article 1115 Testing Veterinarians

- 1. Testing Veterinarians must always work in close liaison with the VC/ VD and the GJ.
- 2. Testing Veterinarians are responsible for:
  - a) ensuring the facilities provided by the OC and VSM comply with the VRs and are suitable for sampling;
  - b) providing a suitable number of FEI approved sampling kits;
  - c) advising the President of the GJ on the selection of Horses for sampling in association with the VC/ VD;
  - d) carrying out sampling of Horses, as described in Chapter VII;
  - e) dispatching the samples to an FEI laboratory for analysis.
- 3. Testing Veterinarians must not officiate as the Primary VD at an event at which they have been appointed as Testing Veterinarian.
- Testing Veterinarians must fulfil the requirements as determined by the Education System for FEI Veterinarians.

## II. OTHER VETERINARIANS

#### Article 1116 Course Veterinarians

- 1. Course Veterinarians are not FEI Veterinarians and do not have to be listed as a PTV.
- Course Veterinarians must hold a valid veterinary qualification in the country of their NF and have adequate professional indemnity insurance.
- 3. Course Veterinarians must be provided with accreditation for the Event where required.
- Course Veterinarians provide veterinary services on the venue outside the arena (e.g. during the Cross Country component of Eventing and for Driving).
- 5. Course Veterinarians work under the lead of the VSM. They must maintain contact with the VC/ VD and the Veterinary Control Officer, if one has been appointed, at all times during their duty.
- Course Veterinarians are not permitted to enter or treat Horses within the stables, or other restricted areas and must adhere to the other applicable regulations set forth in these VRs.
- 7. Course Veterinarians are responsible for the proper disposal of any treatment material they have used.

#### **III. APPOINTMENT OF FEI VETERINARIANS AT EVENTS**

#### Article 1117 Organising Committee Appointments

- 1. The OC of International Competitions (CIs) and National Competitions which include FEI Events must appoint:
  - a) the number of veterinary officials as set forth in Annex X
  - b) a suitable number of Treating Veterinarians (TVs) from the PTV or OV List.
- The OC must appoint veterinarians at least 10 weeks prior to the Event, unless the Discipline rules provide otherwise or prior approval has been granted by the FEI Veterinary Department.
- VDs must be selected from the OV list for the Discipline(s) for which they will officiate. VDs should be knowledgeable and experienced in the Discipline for which they are appointed.
- 4. VDs are to receive remuneration from the OC, agreed in advanced, the recommended minimum rate being 200 EUR (or equivalent local economic value) per day. Travel and accommodation costs will be reimbursed by the OC where applicable.
- AVDs may be appointed by the OC, following discussion with the VD at Events where high numbers of horses are participating. The FEI Veterinary Department reserves the right to request AVDs.
- 6. VDs and TVs must not carry out each other's roles at the same Event.
- 7. VSMs should be capable of carrying out the role of a TV and may act as TVs as required.
- 8. VSMs may appoint Veterinary Control Officer(s) where necessary.
- 9. The VSM in conjunction with the VD may appoint a TV as the Holding Box Veterinarian at Events where there is no Veterinary Commission or Additional Veterinary Delegate.

10. The OC and VSM may appoint Course Veterinarians where necessary.

## Article 1118 FEI Appointed Veterinarians

- 1. The FEI Veterinary Department appoints the following OVs to Events:
  - a) Testing Veterinarians in conjunction with their NHV;
  - b) Foreign Veterinary Delegates (FVDs):
    - i. at events comprising more than 400 competing Horses stabling on-site over <u>3 or more consecutive weeks;</u>
    - i-in accordance with the GRs and in consultation with the Veterinary Committee- as specified in Annex X;

b)c) Presidents and Members of the Veterinary Commission in consultation with the Endurance Department as specified in Annex X;

c)d) Presidents and Members of the Treating Veterinary Commission in consultation with the Endurance Department as specified in Annex X;

d)e) Examining Veterinarians; and

e)f) Measuring Veterinarians.

## Article 1119 NF Appointed Veterinarians

- 1. NFs appoint the President of the VC in agreement with the FEI.
- 2. NFs appoint Team Veterinarians to Events.

#### Article 1120 Appointments at Major Events

- 1. At Official International Events (CIOs), World Cup Finals with the exception of Vaulting where only 1 FVD is appointed, Championships and Games, including the Olympic and Paralympic Games, a Veterinary Commission (VC) must be formed of at least 3 OVs as set forth in Annex X FVDs are appointed by the FEI for CIOs.
- 2. Under special circumstances (e.g. World Equestrian Games), more than one VC may be appointed.
- 3. For Olympic and Paralympic Games and World Equestrian Games:
  - a) the President of the VC must be nominated by the FEI Veterinary Department and approved by the FEI Veterinary Committee;
  - b) 1 or more FVD(s) is/are appointed by the FEI Veterinary Department in accordance with the GRs;
  - c) the appointed President of the VC and FVD(s) must have been a member of a VC or a VD at least twice at an appropriate level; and
  - d) the VSM must be appointed by the OC in approval with the FEI Veterinary Committee at least 2 years prior to the Event (where schedules permit). For Continental Championships, the VSM must be appointed at least six months in advance. Should the FEI Veterinary Committee recommend that an advisor to the VSM is required, the advisor must be approved by the FEI Veterinary Committee and appointed at least 2 years prior to the Event or 6 months for Continental Championships.

### Article 1121 Rotation of FEI Veterinarians

- Primary VDs must not have been the VD at the same Event, including all levels of competition for more than 3 consecutive years without taking at least a 3 year break from the Event. The same event is defined as an Event organised by the same OC in the same place, in the same week of the year (+/-10days) regardless of the discipline and category, or where it is clearly the same named Event.
- 2. The VD is responsible for complying with rotation requirements as described in this article.

## IV. REPORTING OBLIGATIONS

## Article 1122 Veterinary Delegate Obligations

1. If an international Event is run concurrently with a Championship (or equivalent Event requiring the presence of a FVD), the FVD is required to report on the Championship and the VD must report on the CI.

- The VD or FVD must complete the Veterinary Report. It must be fully completed, written in English and accompanied by all supporting documents (e.g. Veterinary Forms, photocopies of Passport Irregularities). The Veterinary Report and all supporting documents must be sent to the FEI Veterinary Department within 72 hours of the conclusion of the Event.
- 3. In the event of the Veterinary Delegate carrying out sampling, the details of Horses tested must be included in the Online Veterinary Report and copies of the EADCMP Sampling Forms must be received by the Veterinary Department within 72 hours of the conclusion of the event
- Suspected or confirmed disease situations must be immediately reported to the FEI Veterinary Department by the VC/ VD
- 5. Sanctions may be applied, as described in Annex VI for non-compliance with reporting obligations.

#### Article 1123 Testing Veterinarian, Measuring Veterinarian and Examining Veterinarian Reporting Obligations

- Testing Veterinarians must complete the Testing Veterinarian Report. It must be fully completed, written in English and accompanied by all EADCMP Sampling Forms. The Testing Veterinarian Report and EADCMP Sampling Forms must be sent to the FEI Veterinary Department within 72 hours of the conclusion of the Event.
- 2. Measuring Veterinarians must submit fully completed Measuring Veterinarian reporting forms to the Veterinary Department within 72 hours of the conclusion of Measuring.
- 3. Examining Veterinarians must submit fully completed Examining Veterinarian reporting forms to the Veterinary Department within 72 hours of the conclusion of the Event
- 4. Sanctions may be applied, as described in Annex VII for non-compliance with reporting obligations.

#### CHAPTER XIII TESTING TECHNICIANS

## Article 1124 Testing Technicians

- 1. Testing Technicians are considered FEI Officials.
- 2. Testing Technicians may carry out:
  - a) notification to the PR that their Horse has been selected for sampling;
  - b) chaperoning Horses following their selection for sampling;
  - b) urine collection; and
  - c) the packing of samples into transport bags
- 3. Testing Technicians may assist Testing Veterinarians with:
  - a) blood sampling; and
  - b) the completion of FEI EADCMP Sampling Form.
- 4. Testing Technicians are not permitted to:
  - a) take blood samples regardless of their qualifications;
  - b) sign the FEI EADCMP Sampling Form;
  - c) compete in any Event where they are working as a Testing Technician. This includes any national class that may be running at the same Event; and
  - d) carry out any treating or other official role in any Event where they are working as a Testing Technician.
- 5. Testing Technicians must carry their FEI Identification Card at all times when officiating at an FEI Event.
- 6. Candidate Testing Technicians must work under constant direct supervision of Testing Veterinarians or qualified Testing Technicians at Events.

## Article 1125 Appointment and Remuneration

- 1. Testing Technicians are appointed to officiate at Events by a Testing Veterinarian or by the FEI Veterinary Department.
- 2. Testing Technicians may claim a daily fee and reimbursement of their expenses from the FEI Veterinary Department.

#### CHAPTER XIV PERMITTED EQUINE THERAPISTS

### Article 1126 Enrolment Procedure

- 1. Any trained professional wishing to become a Permitted Equine Therapist must:
  - a) apply to the FEI via their NF using the online Enrolment Form; and
  - b) accept the FEI Permitted Equine Therapists' Codex, see Annex V.
- 2. Following approval of their application by the NF, applicants are listed as a Permitted Equine Therapist and receive an FEI Identification Card.
- 3. Permitted Equine Therapists must have undertaken training in the country of their NF, for the therapy they wish to carry out, and have suitable insurance for their work.
- 4. The therapies for which Permitted Equine Therapists have been trained are recorded by the NF in the FEI Database. Permitted Equine Therapists are responsible for informing their NF of any additional therapies they may have received training for after their initial enrolment.
- 5. Permitted Equine Therapists may be considered as Support Personnel and may be regarded as additional Persons Responsible in accordance with the Article 118 of the General Regulations
- 6. Permitted Equine Therapists are not considered FEI Officials.

#### Article 1127 Permitted Equine Therapists' Role

- 1. Permitted Equine Therapists are responsible for carrying out Restricted Supportive Therapies at FEI Events. They are however only permitted to carry out the therapies listed on their FEI Database profile.
- 2. Permitted Equine Therapists must carry their FEI Identification card at all times and have it available for random checks by FEI Officials.
- 3. Permitted Equine Therapists must understand their role as potential Additional Person Responsible and/or Support Personnel.
- 4. Permitted Equine Therapists must:
  - a) register themselves with the VC/VD on arrival at the Event before entering the Stable area and provide the VC/VD with the Permitted Equine Therapists Registration Form;
  - b) ensure therapies are undertaken only in the area(s) agreed by the VC/VD; and
  - c) seek specific approval from the VC/VD to carry out any therapies not listed under Article 1065.
- 5. Permitted Equine Therapists are not allowed to compete in any Event where they are working as an OC appointed Permitted Equine Therapist. This includes any national class that may be running at the same Event.

- 6. Permitted Equine Therapists are not allowed to carry out any treating or other official role in any Event in which they are working as an OC appointed Permitted Equine Therapist.
- 7. Disciplinary actions will be taken against Permitted Equine Therapists who do not follow the Permitted Equine Therapists' Codex and/or FEI rules and regulations. Such disciplinary actions may consist of a warning, a temporary suspension and/or removal from the Permitted Equine Therapist list.

## ANNEX I LIST OF ABBREVIATIONS

APV	Athlete's Private Veterinarian
AVD	Additional Veterinary Delegate
CI	International Event
CIM	Minor International Event
CIO	Official International Event
EADCMP	Equine Anti-Doping and Controlled Medication Programme
EADCMR	Equine Anti-Doping and Controlled Medication Regulations
EOV	Endurance Official Veterinarians
EPSL	FEI Equine Prohibited Substances List
EVT	Endurance Veterinary Treating Official
EU	European Union
FVD	Foreign Veterinary Delegate
GR	General Regulations
GJ	Ground Jury
HHP	High Health High Performance
IV	Intravenous
NF	National Federation
NHV	National Head FEI Veterinarian
OC	Organising Committee
OIE	Office International des Épizooties (World Organisation for
	Animal Health)
OV	FEI Official Veterinarian
PR	Person Responsible
PTV	FEI Permitted Treating Veterinarian
TV	Treating Veterinarian (appointed by the Organising Committee)
VC	Veterinary Commission
VD	Veterinary Delegate
VRs	Veterinary Regulations
VSM	Veterinary Services Manager

#### ANNEX II PERMITTED TREATING VETERINARIAN CODEX

- 1. The Permitted Treating Veterinarian must be a licensed Veterinarian in the country of their National Federation.
- Permitted Treating Veterinarians must ensure that at all times the Horse's welfare and health are prioritised according to the FEI Code of Conduct for the Welfare of the Horse, the FEI Veterinary Regulations, the FEI General Regulations, the FEI Equine Anti-Doping and Controlled Medication Regulations and any other applicable rules or regulations.
- 3. Permitted Treating Veterinarians must make themselves known and present their FEI Veterinarian ID card, on arrival, to the Veterinary Commission/ Delegate when attending FEI Events. The Permitted Treating Veterinarian's contact information, including mobile telephone number, and a list of the Horses they are responsible for must be provided to the Veterinary Commission/ Delegate.
- 4. Permitted Treating Veterinarians must ensure they are familiar and understand to the best of their ability, both the FEI Veterinary and FEI Equine Anti-Doping and Controlled Medication Regulations, in addition to an awareness of the FEI General Regulations including any updates to the above-mentioned regulations; it is critical for all Permitted Treating Veterinarians to firmly commit themselves to Clean Sport.
- 5. Permitted Treating Veterinarians must continually be concerned about and use their best efforts to protect the safety of both the human and equine athlete.
- 6. Permitted Treating Veterinarians must accept and acknowledge their responsibility to act in compliance with all applicable local and national laws.
- 7. Disciplinary actions will be taken against Permitted Treating Veterinarians who do not follow the Codex and/or FEI Regulations. Such disciplinary actions may consist of a warning, a temporary suspension and/ or removal from the FEI Permitted Treating Veterinarian list as set forth in the FEI Veterinary Regulations.
- 8. FEI Permitted Treating Veterinarians are not considered FEI Officials or FEI Official Veterinarians.

#### ANNEX III OFFICIALS CODE OF CONDUCT

As an FEI Official I undertake to respect all FEI Rules and Regulations at all times, and in particular the FEI Code of Ethics and Conflict of Interest Policy and the FEI Code of Conduct for the Welfare of the Horse.

I am aware that I am a representative of the FEI while officiating at any FEI Event (hereinafter the "Event/s"). I am also conscious of my role as an authority and of the associated obligation to have adequate knowledge of the principles of equestrian sport and the relevant FEI Rules and Regulations, and to apply them at all times in a fair and consistent way.

I will fulfill all FEI requirements (including maintaining the necessary qualification) relevant to each Event to which I am appointed.

While I am officiating:

- I will act in an appropriate and respectful manner towards humans and animals, paying constant attention to their safety and I will carry out all duties in a professional manner.
- I will refrain from consuming alcohol and I will not use any substances and/or medications that would impair my judgement.
- I will not compete at the Event.
- I will be willing to answer the questions of stakeholders (including but not limited to Athletes, Owners and Trainers, Organisers, Grooms and my colleagues). I will take the time to politely and objectively explain my decisions where possible.
- I will be familiar with all the relevant FEI Rules and Regulations and will be well prepared for every Event.
- I will cooperate with the Organiser of the Event and with my colleagues.
- I will be punctual and dress appropriately.

I commit to avoid any actual or perceived conflict of interest. A conflict of interest is defined as any personal, professional or financial relationship, including relationships of family members that could influence or be perceived to influence objectivity when representing or conducting business or other dealings for or on behalf of the FEI.

I will maintain a neutral, independent and fair position towards Athletes, Owners, Trainers, Grooms, Organisers, other Officials and stakeholders. Financial and/or personal interests will never influence my officiating duties and I will spare no effort to avoid any such perception.

I will not engage in nationalistic judging.

Activities that lead or may lead to a conflict of interest when officiating at an FEI Event include but are not limited to:

- Acting as a Chef d'Equipe or being responsible /co-responsible for selecting teams and/or individuals or training Athletes within a NF present at the Event, if the teams and/or individuals participate in a competition falling within the level and age group of the authority of the Official.
- Being the Owner/part-Owner of a Horse taking part in a competition that I am
  officiating at.
- Being in a situation of financial dependence or gaining financial profit from participating Owners, Athletes, Trainers or Organisers (excluding any payment(s) permitted under the FEI Rules and Regulations, such as per diems). The same rule applies with regard to National Federations or other organisations involved in the Event, if the dependence exceeds a regular employment. Employees of participating National Federations cannot act as President of the Ground Jury, Chief Steward,

Veterinary Delegates, or Course Designer at Official International Events, International Championships and Games.

- Having a close personal relationship with an Athlete competing in a competition that I am officiating at.
- Having treated a Horse competing in a Competition that I am officiating at.

On becoming aware of a potential Conflict of Interest I undertake to promptly notify the FEI HQ (or where the conflict only arises and/or is identified on-site, the President of the Ground Jury) of any of the above or other possible conflicts of interest or circumstances that may be perceived as such.

Conflicts must be avoided whenever practicable. However, conflicts may be linked to experience and expertise that is necessary to qualify Officials. The specific balance between conflict and expertise is regulated by the General Regulations and the relevant Sport Rules.

In the course of my duties or when representing the FEI I will refrain from making any public statements, including to the media or in social media, that might cause harm to the FEI or to equestrian sport in general. This includes statements that might create a perception of bias.

I will not place bets on Athletes and Horses competing at FEI Events or otherwise receive any financial or other gain as a product of a particular result.

The breach of any obligation assumed under this Code of Conduct and/or any breach of the FEI Rules and Regulations may lead to any of the following sanctions as stipulated in the FEI Rules:

- Warning letter
- Obligation to attend an FEI course and/or to pass an exam
- Fine
- Suspension from officiating duties (provisional or for a stated period of time)
- Removal from the relevant and appropriate FEI lists of Officials.
- Any other sanctions as stated in the relevant FEI Rules or Regulations

#### ANNEX IV TESTING TECHNICIAN CODEX

- 1. Testing Technicians represent the FEI when carrying out their duties and must always carry their FEI Identification card.
- 2. Testing Technicians must only carry out duties described in Article 1124.
- 3. Testing Technicians must ensure that the Horses' welfare is maintained according to the FEI Code of Conduct and the FEI Veterinary Regulations at all times.
- 4. FEI Testing Technicians must pro-actively manage any actual or perceived conflict of interest when attending FEI Events in an official role. They must have a consistent, neutral, independent and fair position towards Athletes, owners, trainers, organisers and other officials and integrate well into the single team of officials. Financial and/or personal interest must never influence, or be perceived to influence, their judgment.

Activities which will lead to or may lead to a "conflict of interest" when officiating may include, but are not limited to:

- To be owner/part-owner of horses competing at the Event
- Having a close personal relationship with an Athlete or the event's Organising
   Committee
- Working privately with the horse or Athlete

In the interest of transparency, a Testing Technician has the responsibility to notify the Testing Veterinarian at the Event and the FEI Veterinary Department of any of the above or any other possible conflicts of interest, or situations that may be perceived as such. The FEI Veterinary Department shall then decide whether or not it is permissible for the Testing Technician to officiate at the Event.

#### 5. Testing Technicians must not compete in the Event at which they are officiating.

- 6. Testing Technicians must not work in any other treating or official capacity during the Event at which they are officiating. They must not officiate in any other Competition taking place at the same venue during the same period as that Event.
- 7. Testing Technicians must ensure that they are familiar with and understand both the FEI Veterinary and FEI Equine Anti-Doping and Controlled Medication Regulations. An awareness of the FEI General Regulations is also required. They must ensure that they are aware of any annual changes, regularly reviewing the Regulations and support a Clean Sport. The FEI reserves the right to communicate information pertaining to the Testing Technician role and the Testing Technician undertakes to act in accordance with any instructions/guidelines communicated by the FEI.
- 8. Testing Technicians must continually be aware of both human and equine athlete safety.
- 9. Testing Technicians must accept and acknowledge their responsibility to act in compliance with all applicable local and national laws.
- 10. During FEI Events, Testing Technicians must be punctual and correct in their behaviour and appearance.
- 11. The FEI and the FEI Veterinary Committee have the right to undertake disciplinary actions against Testing Technicians who do not follow the Codex and FEI rules, to the detriment of the sport. Such disciplinary actions may consist of a warning letter, a temporary suspension and/ or removal from the Testing Technician list as set forth in the FEI General Regulations, Article 156.

#### ANNEX V PERMITTED EQUINE THERAPISTS' CODEX

- Permitted Equine Therapists must ensure that at all times the Horse's welfare and health are prioritised according to the FEI Code of Conduct for the Welfare of the Horse, the FEI Veterinary Regulations, the FEI General Regulations, the FEI Equine Anti-Doping and Controlled Medication Regulations and any other applicable rules or regulations.
- 2. Permitted Equine Therapists must continually be aware of both human and equine safety.
- 3. Permitted Equine Therapists must act in compliance with all applicable local and national laws.
- 4. Permitted Equine Therapists must not work in any official capacity during the Event regardless of any FEI Official function they may hold.
- 5. Permitted Equine Therapists must not compete in the Event or any other competition taking place on the Event site whilst working as a Permitted Equine Therapist.

# ANNEX VI SANCTIONS IN CASE OF FEI VETERINARY REGULATION VIOLATIONS

The GJ is primarily responsible for issuing all sanctions as listed below. Notwithstanding the foregoing, where a violation occurs and either no sanction or an incorrect sanction has been imposed at the Event, then FEI Headquarters has the right to impose the appropriate sanction(s). In addition to the listed sanctions, other sanctions may also be imposed by the appropriate body in accordance with the GRs and/or any other Sport Rules.

Sanction Number	Related Article (VRs and GRs)	Violation	Sanction
Identificat	tion Irregul	arities	
1	1032	Failure to produce a passport or Recognition Card at an FEI event	Not allowed to compete unless the passport is produced CHF 200
2	1001, 1032- 1033	Microchip number not matching the Horse's Passport	Warning
3	1001, 1032- 1033	Microchip not functioning, or not locatable	Warning New microchip must be implanted within 3 months
4	1001, 1032- 1033	Failure to implant a new microchip within 3 calendar months following a warning for microchip not functioning or locatable	CHF 500 each time presented
5	1032- 1033	Unable to identify the Horse from its Passport	Horse not allowed to compete
6	1032- 1033	Significant missing information or corrections required on the Identification page of the Horse's Passport	Warning Passport must be updated within 90 days
7	1032- 1033	Failure to update Passport within 90 days of warning for missing information or corrections required on the Identification page of the Horse's Passport	CHF200 each time the Horse is presented.
Passport I	rregularitie	25	
8	GRs 137.2	No FEI Registration	Disqualification
9	1099, 1032- 1033	Failure to renew passport when Identification Control, Medication Control or vaccination pages full	Warning
10	1099, 1032- 1033	Failure to renew passport, within 30 days of previous warning, when Identification Control, Medication Control or vaccination pages full	CHF 200 and Not allowed to compete
11	1099, 1032- 1033	Failure to renew passport, following fine of CHF 200 when Identification	CHF 500 each time the horse is presented and

		Control Modication Control or	Not allowed to
		Control, Medication Control or vaccinations page full	Not allowed to compete
12	1099,	Failure to revalidate the FEI	Warning
12	1032-	Passport or Recognition Card	warning
	1032-	rassport or Recognition Caru	
13	1099,	Failure to revalidate FEI sticker	Horse not allowed to
15	1032-	within 30 days of warning	compete CHF 200
	1032	within 50 days of warning	compete ern 200
14	1099,	Failure to revalidate FEI sticker	Horse not allowed to
	1032-	following CHF200 fine	compete CHF 500
	1033	<b>3 1 1 1</b>	each time the Horse
			is presented
15	1001	Failure to notify the NF of any	CHF 200
		significant change to	
		Passport/Recognition	
		Card/Microchip within 30 days	
Vaccinat	ion Irregul	arities	
16	1003	No evidence of Equine Influenza	Horse not allowed to
10	1005	vaccination in passport	compete
		vaccination in passport	compete
17	1002	Vaccination record not up to date,	
		missing information required from	CHF 100
		the Horse's veterinarian	
18	1002,	Failure to update passport within	CHF500 each time
	1032-	30 days of warning for a	the Horse is
	1033	vaccination record not up to date	presented
19	1002-	Last vaccination against Equine	Horse not allowed to
	1003	Influenza given within 7 days of	compete
		the horse's arrival at the Event	
20	1003	Failure to give the first booster	Warning to restart
		against Equine Influenza within 7 months of the second vaccination	primary vaccinations
		of the primary course. Horses vaccinated before 2005 are exempt	
21	1003	Failure to complete Primary	Horse not allowed to
21	1005	vaccinations again, after the	compete
		warning for the First Booster being	compete
		incorrect.	
22	1003	Failure to give booster vaccinations	Horse not allowed to
		against Equine Influenza at less	compete. Primary
		than 12 month intervals	course to be re-
			started.
23	1003	Failure to give the last vaccination	
		against Equine Influenza within 6	CHF 200
		month +21 days of the Horse's	
24	1000	arrival at the Event (<1 week)	
24	1003	Failure to give the last vaccination	CUE 200
		against Equine Influenza within 6	CHF 300
		month +21 days of the Horse's arrival at the Event (<2 weeks)	
25	1003	Failure to give the last vaccination	
20	1003	against Equine Influenza within 6	CHF 400
		month +21 days of the Horse's	GITF 400
		arrival at the Event (<4 weeks)	
			L

26	1003	Failure to give the last vaccination against Equine Influenza within 6 month +21 days of the Horse's arrival at the Event (>4 weeks)	Horse not allowed to compete
Veterina	ry Medicatio	on and Supportive Therapy Irregula	rities
27	1060- 1062	Failure to submit a Veterinary Form B for supportive therapies and treatments	CHF 500
28	1057, 1061	Any Person administering a treatment with substances listed on the EPSL without prior authorisation from the VC/VD with the exception of emergencies as stated in Art. 1061.	Person administering the treatment fined CHF1000, the Horse is to be eliminated and the incident reported to the FEI Veterinary Department
29	1056	Administration of treatment to a Horse on the day of Competition before the Horse competes unless authorised by the VC/VD	Person administering treatment fined CHF500, the Horse is to be eliminated and the incident reported to FEI Veterinary Department
30	1059	Performance of treatments under Article 1059 in area other than Designated Treatment Box without the VC/VD's permission	CHF1000 and report to the FEI Veterinary Department
31	1059	Person unauthorised in possession of syringes, needles or similar equipment within the FEI event site	CHF 1000 and also Elimination from the Event if the Horse was being injected. The Horse must also be submitted for EADCM testing.
32	1084	Any Pony receiving Farriery outside of the Designated Shoeing Area and/ or without the necessary prior authorisation from the GJ and VD	Disqualification
33	1127	Failure to obtain Permitted Equine Therapist status for carrying out Restricted Therapies	CHF300
Other Irr	regularities		
34	1054	Moving Horses outside of venue without prior authorisation	Disqualification
35	GR. 137	Failure to upload scans of the Description and diagram page of the FEI Passport or approved national identification document or duplicate FEI Passport to the FEI Database	Suspension of the horse from Competition until the required scans are uploaded.
36	1106 & 1107	Failure of a Team Veterinarian, Athlete's Private Veterinarian or Permitted Equine Therapist to	Report to FEI Veterinary Department. Warning, removal

31       1004.1       Clipping and/or shaving the hairs on the Horse's limbs at an Event site without permission of the VD/VC       Disquilification of the VD/VC         38       1081       Refusal by the PR and/or trainer to submit the Horse for a post mortem examination       Suspension of 3 months and/or a fine of CHF2000. At the discretion of the FEI may submit the Horse for a post mortem examination         39       1004       Clipping, shaving and/or removing sensory hairs       Suspension of the FEI may submit the discretion of the FEI and following a review of the circumstances, the FEI may submit a disciplinary case to the FEI Tribunal who may impose heavier sanctions.         39       1004       Clipping, shaving and/or removing sensory hairs       Disqualification of the FEI may submit a disciplinary case to the FEI Tribunal who may impose heavier sanctions.         30       1004       Clipping, shaving and/or removing sensory hairs       Disqualification of the FEI may submit a disciplinary case to the FEI Abard pursuant to an Emergency Board Resolution on 21 June 2022. These changes take effect as of 4 July 2022.         40*       1029.3.8       Failure for the first time to: - present the FEI Equine Health Self-Certification form in. the FEI HorseApp at the Examination on Arrival, and/or - present the FEI HorseApp for 3 or more corondings in total whilsi present on the Event Vorue. for the second time cor more recordings in total whilsi present on the Event Vorue. for the second time cor more recordings in total whilsi presend the HorseApp at the Examination on Arrival, and/or - take the H				
41*     1029-2.8 1031.3     Failure for the Event site without permission of the VD/VC     Horse from the Event submit the Horse for a post mortem examination     Horse from the Event submit the Horse for a post months and/or a fine of CHF2000. At the discretion of the FEI and following a review of the circumstances, the FEI may submit ta disciplinary case to the FEI Tribunal who may impose heavier sanctions.       39     1004     Clipping, shaving and/or removing sensory hairs     Disqualification of the Horse from the Event Horse proved by the FEI Board pursuant to an Emergency Board Resolution on 21 June 2022. These changes take effect as of 4 July 2022.       40*     1029.3.8 1031.3     Eallure for the first time to; - present a 3 day temperature record at the Examination on Arrival in the FEI HorseApp and/or - present the FEI Equine Health Self-Certification form in the FEI HorseApp at the Examination on Arrival, and/or - aresent a 3 day temperature record at the Examination on Arrival, and/or - present a 3 day temperature record at the Examination on Arrival, and/or - present a 3 day temperature record at the Examination on Arrival, and/or - present a 3 day temperature record at the Examination on Arrival, and/or - present the FEI Equine Health Self-Certification Form in the FEI HorseApp at the Examination on Arrival, and/or - take the Horse's temperature and record it in the FEI HorseApp for 3 or more consecutive recordings or 4 or more recordings in total whilst present on the Event Venue, for the second time to; - take the Horse's temperature record is in the FEI HorseApp for 3 or more consecutive recordings in total whilst     HHEBOB				or PET list or suspension for up to a period of 1 year depending on the
41*     1029.2.8 1029.2.8 1021.3     Failure for the second time for ecord at the Examination on Arrival_and/or     months and/or a fine of CHF2000. At the discretion of the FEI and following a review of the circumstances, the FEI may submit a disciplinary case to the FEI Tribunal who may impose heavier sanctions.       39     1004     Clipping, shaving and/or removing sensory hairs     Disgualification of the Horse from the Event Horse changes take effect as of 4 July 2022.     Warning       40*     1029.3.8 1031.3     Failure for the first time to: - present a 3 day temperature record at the Examination on Arrival_and/or - present the FEI Equine Health Self-Certification form in the FEI HorseApp at the Examination on Arrival_and/or - present the FEI Equine Health Self-Certification Form in the FEI HorseApp at the Examination on Arrival_and/or - present the FEI Equine Health Self-Certification Form in the FEI HorseApp at the Examination on Arrival_and/or - present the FEI Equine Health Self-Certification Form in the FEI HorseApp at the Examination on Arrival_and/or - present the FEI Equine Health Self-Certification Form in the FEI HorseApp at the Examination on Arrival_and/or - present the FEI Equine Health Self-Certification Form in the FEI HorseApp at the Examination on Arrival_and/or - Take the Horse's temperature and record at the Examination on Arrival_and/or - Take the Horse's temperature and record at the Examination on Arrival_and/or - Take the Horse's temperature and record at the Examination on Arrival_and/or - Take the Horse's temperature and record at the Examination on Arrival_and/or - Take the Horse's temperature present on the Event Venue, for the second time     CHF300	37	1004.1	on the Horse's limbs at an Event site without permission of the	
4       sensory hairs       Horse from the Event         The text highlighted in vellow below indicates the changes to the EHV -1 sanctions         approved by the FEI Board pursuant to an Emergency Board Resolution on 21 June         2022. These changes take effect as of 4 July 2022.         40*       1029.3 &         1031.3       Failure for the first time to: - present a 3 day temperature record at the Examination on Arrival in the FEI HorseApp, and/or         - present       - present the FEI Equine Health         Self-Certification form in the FEI         Horse App at the EVent Venue for the first time         0       more consecutive recordings or 4         or more recordings in total whilst         present the FEI HorseApp and/or         - take the Horse's temperature and record it in the FEI HorseApp for 3         or more recordings in total whilst         present on the Event Venue for the first time         1031.3       - present a 3 day temperature         HorseApp at the FEI ApresApp and/or       -         - present a 3 day temperature       Warning         1031.3       - present a 3 day temperature         1031.3       - present the FEI Equine Health         Self-Certification Form in the FEI         HorseApp at the Examination on Arrival and/or         - present the FEI HorseApp for 3	38	1081	submit the Horse for a post	months and/or a fine of CHF2000. At the discretion of the FEI and following a review of the circumstances, the FEI may submit a disciplinary case to the FEI Tribunal who may impose heavier sanctions.
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	<u>41*</u>	<u>1031.3</u>	<ul> <li>present a 3 day temperature record at the Examination on Arrival in the FEI HorseApp and/or</li> <li>present the FEI Equine Health Self-Certification Form in the FEI HorseApp at the Examination on Arrival, and/or</li> <li>take the Horse's temperature and record it in the FEI HorseApp for 3 or more consecutive recordings or 4 or more recordings in total whilst present on the Event Venue. for the second time</li> </ul>	
	<u>42*</u>	<u>1029.2 &amp;</u> 1031.3	Failure for the third time to:	<u>СНГЗОО</u> <u>СНГ2ОО</u>

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		<ul> <li>present a 3 day temperature record at the Examination on Arrival in the FEI HorseApp and/or</li> <li>present the FEI Equine Health Self-Certification form in the FEI HorseApp at the Examination on Arrival. and/or</li> <li>take the Horse's temperature and record it in the FEI HorseApp for 3 or more consecutive recordings or 4 or more recordings in total whilst present on the Event Venue. For the third time</li> </ul>	The Horse may be allowed to compete but will be blocked in the FEL Database for 10 days following the Event. The Horse will be unblocked when its rectal temperature has been recorded in the FEL HorseApp for 10 days
<u>43*</u>	<u>1029.2 &amp;</u> <u>1031.3</u>	Failure for the fourth time to: - present a 3 day temperature record at the Examination on Arrival in the FEI HorseApp and/or - present the FEI Equine Health Self-Certification form in the FEI HorseApp at the Examination on Arrival, and/or - take the Horse's temperature and record it in the FEI HorseApp for 3 or more consecutive recordings or 4 or more recordings in total whilst present on the Event Venue for the fourth or more time	CHF400 CHF200 The Horse is not allowed to enter the Event venue. The Horse will be blocked in the FEL Database for 1 month and unblocked when its rectal temperature has been recorded in the FEL HorseApp for 10 days
<u>44*</u>	1029.2 & 1031.3	Failure for the fifth time to: - present a 3 day temperature record at the Examination on Arrival in the FEI HorseApp and/or - present the FEI Equine Health Self-Certification form in the FEI HorseApp at the Examination on Arrival, and/or - take the Horse's temperature and <del>/or</del> record it in the FEI HorseApp for 3 or more consecutive recordings or 4 or more recordings in total whilst present on the Event Venue during the Period of an Event for the first time	Warning message CHF300 The Horse may be allowed to compete but will be blocked in the FEI Database for 10 days following the Event.
<u>45*</u>	1029.2 & 1031.3	Failure for the sixth time to: - present a 3 day temperature record at the Examination on Arrival in the FEI HorseApp and/or - present the FEI Equine Health Self-Certification form in the FEI HorseApp at the Examination on Arrival, and/or - take the Horse's temperature and/ <del>or</del> record it in the FEI HorseApp for 3 or more consecutive recordings or 4 or more recordings in total whilst present on the Event	CHF200 CHF300 The Horse may be allowed to compete but will be blocked in the FEI Database for 10 days following the Event.

		Venue <del>during the Period of an Event</del>	
		for the second time	
<u>46*</u>	1029.2 & 1031.3	Failure for the seventh or subsequent time to: - present a 3 day temperature record at the Examination on Arrival in the FEI HorseApp and/or - present the FEI Equine Health Self-Certification form in the FEI HorseApp at the Examination on Arrival, and/or - take the Horse's temperature	CHF300 CHF400 Horse is climinated by CJ and must leave the venue or be placed in isolation. The Horse may be allowed to compete but will be blocked in the FEL Database for
		<ul> <li>take the Horse's temperature and/<del>for</del> record it in the FEI HorseApp for 3 or more consecutive recordings or 4 or more recordings in total whilst present on the Event Venue during the Period of an Event for the third time</li> </ul>	the FEI Database for <u>1 month following the</u> <u>Event.</u> <u>The Athlete must be</u> <u>reported to FEI HQ</u> <u>for further possible</u> <u>disciplinary action.</u>
			10 days and unblocked when its rectal temperature has been recorded in the FEL HorseApp for 10 days
<u>47*</u>	1029.2	Failure to take the Horse's temperature and/or record it in the FEI HorseApp during the Period of an Event for the fourth (or subsequent) time	CHF400         Horse is eliminated         by CJ and must leave         the venue or be         placed in isolation.         The Horse will be         blocked in the FEI         Database for 1 month         and unblocked wher         its rectal temperature
			has been recorded in the FEL HorseApp for 10 days. The Athlete must be reported to FEL HQ for further possible disciplinary action.

\* The sanctions apply per Horse and will be issued by FEI Headquarters following an automated process. The fine (where applicable) will be issued to the PR. Sanctions are not appealable. If the GJ fails to eliminate a Horse where Elimination if the applicable sanction, the FEI Headquarters shall be entitled to retroactively Disqualify the relevant Horse.

For the purpose of establishing multiple violations of the requirements set out in Annex VI sanctions 40-467, the period to take into consideration shall be 12 months from the first offence. For the avoidance of doubt, multiple violations at an Event will have the consequences set out in the relevant sanction but, for the purpose of calculating multiple violations within a 12 month period, violations will be counted on a "Per Horse, Per Event" basis.

## ANNEX VII SANCTIONS APPLIED TO OFFICIAL VETERINARIANS IN CASE OF FEI VETERINARY REGULATION VIOLATIONS

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The FEI Headquarters is responsible for issuing all sanctions as listed below. In addition to the listed sanctions, other sanctions may also be imposed by the appropriate body in accordance with the GRs.

Sanction Number	Related Article (VRs and GRs)	Violation	Sanction
Reporting	Irregularit	ies	
40 <u>8</u>	1077, 1080	Incomplete reporting by VC/VD of fatal illness or injury	Warning, Removal from the FEI Veterinarians List or Suspension for up to a period of 1 year depending on the circumstances
41 <u>9</u>	1080	Failure to report a fatal illness or injury within the required timeframe	Warning, Remova from the FE Veterinarians List of Suspension for up t a period of 1 yea depending on th circumstances
<del>42</del> 50	1122, 1123	Failure to submit the Veterinary Report, Testing Report, Measuring Reporting Forms and Limb Sensitivity Reporting Forms and supporting documents within the specified time limits on three occasions, unless extenuating circumstances occur	Warning, Removal from the FEI Veterinarians List or Suspension for up to a period of 1 year depending on the circumstances
Official Ve	eterinarian:	Non-Compliance Issues	I.
<del>43</del> 51	1100	Failure to maintain PTV/OV status	Warning, Removal from the FEI Veterinarians List or Suspension for up to a period of 1 year depending on the circumstances
<u>4452</u>	1121	Failure to take the mandatory breaks from officiating at Events	Warning, Removal from the FEI Veterinarians List or Suspension for up to a period of 1 year depending on the circumstances
<del>45</del> 53	1081	Failure to submit an equine fatality for PM	Warning, Removal from the FEI Veterinarians List or

			a period of 1 year
			depending on the
			circumstances
<del>46</del> 54	1074	Failure to send EADCM samples to an FEI laboratory	Warning, Removal from the FEI
			Veterinarians List or
			Suspension for up to
			a period of 1 year
			depending on the
			circumstances

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## ANNEX VIII HYPOSENSITIVITY CONTROL SYSTEM EXAMINATION

#### I. Hyposensitivity Control System Examination

- All Endurance Horses are subject to Hyposensitivity Control System Examination (hereinafter in this Annex VIII the "Examination") under the below Protocol throughout the Period of an Event, including, but not limited to, (i) pre-ride; (ii) during the ride; and (iii) after the ride. Horses may be examined once or on multiple occasions during the Period of an Event.
- 2. If not all the Horses are examined, Horses may be selected randomly and/or targeted. The Ground Jury and/or the Veterinary Commission shall decide on the targeted Horse(s) and the reason for targeting must be recorded. All Horses selected to be tested must submit promptly to the Examination. A refusal by a PR or his/her representative to have his/her Horse examined will lead to Disqualification and a Yellow Warning Card. There is no obligation to examine any specific number of Horses at an Event.
- Any Examination will be carried out by the Hyposensitivity Control System teams (which shall consist of two FEI Examining Veterinarians and one Liaison judgeOfficial) and where possible in the presence of at least one member of the GJ.
- 4. If several Hyposensitivity Control System teams are officiating at the same Endurance Event, there must be a designated primary Examining Veterinarian who is responsible for liaising with the Ground Jury and Veterinary Commission.
- 5. The Examination will be carried out using a Hyposensitivity Control System Examination device, as approved and certified by the FEI. The Horse may be tested up to 3 times in each limb. If the Horse has an evasive reaction below 20 Newtons, it is considered to be negative. No reaction, or a reaction to 20 Newtons or above is considered as positive and the Horse has failed to pass the Hyposensitivity Control examination.
- 6. The identity of the Horse must be checked before the Examination (starting number, microchip and/or passport).
- 7. Pre-Ride Examination: The Examination will take place close to the Vet Gate, either immediately before or after the First Horse Inspection.
- 8. During the Ride Examination: The Examination will take place after the Vet Inspection when the Horse leaves the Vetting Area, before entering the Rest Area.
- 9. Post Ride Examination: The Examination will take place in a location to be agreed with the Ground Jury/Veterinary Commission, any time after the Horse has finished the Ride until the end of the Period of the Event. Any Horse can be examined, including any Horse that has failed to qualify/finish.
- 10. The Examination may be recorded by video but the use of video recording is not mandatory for the GJ to take a Decision.
- 11. A withdrawal of the Horse is not allowed once the Examination the PR or Support Personnel has been notified that the examination is to take place.

- 12. An examination for lameness, e.g. trotting the Horse, is not part of the Examination.
- 13. The PR, and/or their representative, will have the right to be heard and the opportunity to present any information and/or evidence they wish.
- 14. If a Horse fails the Examination, Fthe member of the GJ attending the Examination, upon the recommendation of the Hyposensitivity Control System team, shall be entitled to (i) Disqualify thea Horse for failing the Examination; (ii) impose a Mandatory Out of Competition Period of 4 weeks to the Horse applicable to CEIs and CENs, and (iii) require that the Horse be submitted to EADCMP sampling (urine and/or blood) (if EADCMP sampling is possible on site). Any Horse that fails the Examination may not compete in any National Event(s) or FEI Event(s) for a Mandatory Out of Competition Period of 28 days. This Mandatory Out of Competition Period is in addition to the standard Mandatory Out of Competition Period that applies pursuant to Article 839.1 of the FEI Endurance Rules.
- 15. An oral and/or written Decision will be issued within thirty (30) minutes of the conclusion of the Examination. The GJ member will record the exact time that the Examination concluded.

#### II. Disqualification and Other Consequences

- 16. The notification that the <u>PR-Horse</u> has been Disqualified, that the Horse will be subject to <u>thea\_additional</u> Mandatory Out of Competition Period of <u>4 weeks28 days</u> and, <u>where applicable</u>, that the Horse will be sampled ("<u>Disqualification Form Hyposensitivity</u> <u>Notification Form</u>") will be provided to the PR or their representative and signed by a representative of the Hyposensitivity Control System team, and one member of the GJ.
- 17. The <u>Disqualification Hyposensitivity Notification</u> Form must be signed by the PR, or their representative, to acknowledge the <u>Disqualification the consequences of failing the Examination</u>. If the PR or their representative, refuses to sign the <u>Disqualification Hyposensitivity Notification</u> Form, the GJ must note such refusal on the <u>Disqualification Hyposensitivity Notification</u> Form. Refusing to sign will not invalidate the Disqualification or alter any of the consequences under this Annex.
- 18. Copies of the Disqualification Hyposensitivity Notification Form will be given to the PR and the President of the GJ. The original form will be retained by the FEI Veterinary Department.
- 19. The FEI may open a separate disciplinary procedure against the Person Responsible and/or any other relevant person(s) depending on the facts and evidence.

#### III. Failure to Submit to a Hyposensitivity Control System Examination

- 20. <u>Refusal :</u> A refusal to have <u>his/hera</u> Horse examined will have the following consequences:
  - a. The Horse is Disqualified

- b. The Horse will be sampled (urine and/or blood)
- A Mandatory Out of Competition Period of <u>4 weeks28 days</u> will apply to the Horse (applicable at CEIs and CENs)
- d. The Person Responsible will receive a Yellow Warning Card

- 21. <u>Difficult Horse: If in the opinion of the Examining Veterinarians and Ground Jury member, at their sole discretion, In the event that</u> the Horse is "difficult" and cannot be examined, two attempts will be made to examine the Horse. If it is not possible to proceed with an Examination after such two attempts, the Horse shall be Eliminated and not allowed to compete at the Event at stake.
- 22. Withdrawal: It is not allowed to withdraw a Horse in order to avoid an Examination.

#### IV. General Points

- 23. There is no Appeal and no re-examination allowed if a Horse fails the Examination.
- 24. If a PR is Disqualified under this Examination three (3) times in any twelve (12) month period, the PR will be automatically suspended for two (2) months unless exceptional circumstances dictate otherwise.
- 25. If a Horse has a third (or subsequent) positive result in a Hyposensitivity Control Examination in a rolling twelve (12) month period, the Horse must :

(i) undergo a 180-day Mandatory Out of Competition Period (as defined in the FEI Endurance Rules); and

(ii) undergo a specific examination protocol as set out in Annex VIII.5 of the FEI Veterinary Regulations before being eligible to compete in any FEI Events or National Events.

- 26. All data collected during the Examination is the sole and exclusive property of the FEI and shall remain confidential except to the extent such information is included in the Decision.
- 27. This Examination is independent from other rules, processes, and procedures in these VRs. Nothing in this Annex shall therefore be construed to limit the processes or consequences of other Articles in these VRs, including, but not limited to, Articles 1046 and 1047.
- 28. At the first Examination or before, the PR may declare any pre-existing issues relevant to the Examination.
- 29. Examining Veterinarians must report to the FEI Veterinary Department using the Limb Sensitivity reporting forms.

## ANNEX IX PONY MEASURING REGULATIONS – TRANSITION PERIOD

FEI Pony Measurement will be conducted in the manner described in this Annex IX at the following FEI Events during the period from 1 January 2020 until 31 December 2022 (the "**Transition Period**"):

- FEI European Pony Championships,
- FEI Jumping Nations Cup Youth (Ponies) Final; and
- FEI Jumping Ponies Trophy Final
  - (collectively the "Specified Events").

As of 1 January 2020, any other Pony measurement, i.e. any FEI Pony Measurement that is not carried out at a Specified Event, must be carried out in accordance with the provisions of Articles 1082 to 1089 (inclusive).

Only registered ponies can compete at FEI Pony Competitions. For the avoidance of doubt, a pony whose status was "measured out" as of 1 Jan 2020 cannot compete again in an FEI Pony Competition unless and until it is measured in in accordance with the provisions of Articles 1082 to 1089 (inclusive).

Pony Measurement Process at the Specified Events during the Transition Period:

#### I: Pony Measuring Facilities

- The allocated time for Pony Measuring should be specified in the Schedule and the measuring must be carried out prior to the Horse Inspection. If there is no mention of Pony Measuring taking place in the Schedule, the FEI still reserves the right to carry out Pony Measuring at that Event.
- The OC must ensure that suitable conditions for Pony Measurement are provided. The Measuring Area must have:
  - a) a total area of at least 3m by 3m;
  - b) a measuring "pad" of at least 3m by 1.5m that is flat, even and level which must be checked using a spirit level or laser and the floor must be non-slippery (a concrete slab or other paved surface is most desirable; plywood, dirt, gravel or other uneven surfaces are not permitted);
  - c) freedom from unnecessary disturbances and distractions;
  - d) adequate lighting to facilitate the process;
  - e) ideally a separate entrance and exit to allow safe movement of Ponies into and out of the area;
  - f) must be sheltered; and
  - g) a Waiting Area for those Ponies awaiting Second Measurement.
- 3. A designated shoeing area must be available for any International Pony Competition.
- 4. The OC must provide the Measuring Veterinarians with the start list containing the name and FEI ID/Passport number of all Ponies entered in the Event.
- Additional administrative support may be required at larger Events to facilitate the procedure, this must be organised by the OC and VSM on the advice of the FEI Veterinary Department or Measuring Veterinarians.

#### II: Stewarding

- 1. Suitable Stewarding must be provided for Pony Measuring, as required in these VRs.
- 2. A minimum of three Stewards must be appointed to facilitate the Pony Measuring procedure and ensure the safety of all participants. This includes and is not limited to: ensuring the efficient movement of Ponies into and out of the Measuring Area, assisting with identification, ensuring the Measuring Area does not become unnecessarily crowded and observe the Ponies awaiting Second Measurement
- 3. Stewards must ensure that no more than two people present the Ponies.
- 4. Ponies awaiting Second Measurement must be observed by Stewards.

#### III: Regulatory Height

- 1. Ponies' regulatory height at the withers must not exceed 148.0cm without shoes.
- 2. Ponies being measured at FEI Events are given a height allowance; their height at the withers must not exceed 150.0cm without shoes or 151.0cm with shoes.
- 3. If a Pony measures in and is between 6 and 8 years it will receive an FEI Interim Measuring Certificate, which will last for 15 months. The Pony may be re-measured annually until the age of 8 years old.
- 4. If a Pony measures in and is 8 years old (or older) it will receive a FEI Lifetime Measuring Certificate.

#### IV: Pony Measuring at the Specified Events

- 1. Pony Measuring is administered by the FEI Veterinary Department who appoints the Measuring Veterinarians and provides FEI approved Measuring Sticks.
- Pony Measuring is carried out in the Measuring Area, usually before the Horse Inspection unless otherwise approved by the FEI Veterinary Department. Notwithstanding anything contrary regulated in the GRs, if Pony Measuring is carried out before the Horse Inspection, the Period of the Event shall commence when Pony Measuring starts.
- Pony Measuring is carried out using FEI approved Measuring Sticks that are calibrated and certified by the designated Weights and Measures approving body on an annual basis.
- 4. Pony Measuring is carried out by 2 Measuring Veterinarians, who may include the VD in exceptional circumstances.
- 5. A member of the GJ should attend the Pony Measuring procedure where possible.
- 6. A minimum of 3 Stewards must be appointed to facilitate the Pony Measuring procedure and ensure the safety of all participants. This includes and is not limited to: ensuring the efficient movement of Ponies into and out of the Measuring Area, assisting with identification, ensuring the Measuring Area does not become unnecessarily crowded and observe the Ponies awaiting Second Measurement.
- 7. Additional administrative support may be required at larger Events to facilitate the

procedure, this must be organised by the OC and VSM on the advice of the FEI Veterinary Department or Measuring Veterinarians.

#### V. Ponies' Presentation

- Ponies should normally be presented by the PR, or their representative (GRs Article 118.3); however exceptionally the GJ may allow a third person to present the Pony on request by the PR or representative. Stewards must ensure that no more than 2 people accompany the Pony, this must be the PR and/or their representative and 1 other person (which may include the Owner, Chef d'Equipe, Team Veterinarian or groom).
- 2. Ponies presenting for Pony Measuring must be free of any substances included on the EPSL.
- 3. Ponies must be presented in a controlled and safe manner; this normally requires a bridle or head collar. Ponies presented in bridles must stand for measuring on a loose rein. Any equipment used for Ponies' presentation must be in accordance with the FEI Code of Conduct for the Welfare of the Horse and is at the discretion of the GJ.
- 4. Ponies must be allowed to hold their head and body in a natural position, with their cannon bones vertical and in a normal stance. They must be standing squarely, weight-bearing on all four limbs and be allowed to relax before measuring is attempted.
- Ponies must be presented in the shoes in which they will compete. No change of shoes or visits to the farrier are normally permitted once Ponies have presented for Pony Measuring.

The replacement of shoes prior to the Competition may be exceptionally requested by the PR or their representative who must obtain prior written notification for Authorised Farriery, which must be signed by both the GJ and VD. Any such Authorised Farriery must take place in the Designated Shoeing Area. Failure to obtain prior written notification for Authorised Farriery or any farriery taking place outside the Designated Shoeing Area will result in the Disqualification of the Pony by the GJ.

Any shoe(s) changed after Pony Measuring may, at the discretion of the GJ, result in the re-measurement of the Pony. When the re-measurement differs from the Initial Measurement, the higher result is considered final.

6. For any International Pony Competition, all Pony farriery may only take place within a Designated Shoeing Area; failure to comply with this regulation will result in Disqualification from that Event.

#### VI: Pony Measuring Procedure

- 1. The OC must provide the Measuring Veterinarians with the start list containing the name and FEI ID/Passport number of all Ponies entered in the Event.
- 2. The Measuring Veterinarians verify the Ponies' identification against their Passport and microchip number where possible.
- 3. The Measuring Veterinarians assess the appearance of the withers for abnormalities.
- 4. Each Measuring Veterinarian successively measures the Ponies at the highest point of the withers, that is immediately above the spinous process (usually) of the 5th thoracic vertebra, which should be identified by palpation if necessary before any

measurements are taken. Should the two measurements differ, the lower height is used.

- 5. Following measuring, Ponies are declared as either having:
  - a) "Measured In" and permitted to compete; or
  - b) "Measured Out".
- 6. The Measuring Veterinarians must notify the PR or their representative of the Ponies having Measured Out using a Second Measurement Notification Form. These Ponies must be presented for Second Measurement within a maximum of 1 hour following the Initial Measurement.
- 7. The Measuring Veterinarians must keep a record of the Ponies having Measured Out and their respective height.
- 8. Ponies awaiting Second Measurement must be observed by Stewards. Farriery must not be carried out between the Initial Measurement and Second Measurement however gentle exercise is permitted.
- The Second Measurement is carried out by the same Measuring Veterinarians following the procedure described above. The Pony can be presented for the Second Measurement only once and no further measurements can be carried out at the Event.
- 10. The Measuring Veterinarians must notify the PR or their representative, the GJ and the VD of any Ponies having Measured Out after the Second Measurement.
- 11. The Measuring Veterinarians must mark, stamp and sign the Ponies' Passport, on the Identification Page, stating the date, Event and the words "Measured Out Pending an Appeal". A red pen must be used for that purpose. A copy of the Identification Pages must be sent to the FEI Veterinary Department within 72 hours of the conclusion of the Event.
- 12. Ponies having Measured Out after the Second Measurement are not permitted to compete in the Event and any future FEI Pony Events until an Appeal Measurement has been carried out and the Pony has Measured In.
- 13. The Measuring Veterinarians must inform the FEI Veterinary Department of any Ponies having Measured Out in the Pony Measuring Report.
- 14. The FEI Veterinary Department will inform the respective NF of any Ponies having Measured Out. It is the responsibility of the NF to ensure that Ponies having Measured Out are not entered in any future FEI Pony Events until an Appeal Measurement has been carried out and the Pony has Measured In.
- 15. Any person obstructing or interfering with the Pony Measuring procedure, which may include photography or videography, will be instructed to leave the Measuring Area.
- 16. In the event of wither abnormalities, the FEI Veterinary Department may issue a statement to confirm a Pony's eligibility for measuring. The full clinical history and evidence explaining the presence of any wounds, scars or damage in the region of the withers (including radiographs or other appropriate diagnostic images) must be presented to the FEI Veterinary Department for evaluation at least 6 weeks prior to the Event. The Pony will only be eligible for measuring if the statement from the FEI

Veterinary Department is presented to the Measuring Veterinarians before any measurement takes place.

## VII: Refusal to Measure

- 1. If a PR refuses to submit their Pony for the Initial Measurement or Second Measurement, the Pony will not be permitted to compete in the Event and any future FEI Pony Events until the Pony has Measured In.
- 2. In the event of a PR refusing to submit their Pony for the Initial Measurement or Second Measurement, the Measuring Veterinarians must inform the GJ who will mark and sign the Pony's Passport, on the Identification Page, stating the date, Event and the words "Refusal to present for Pony Measuring Pony ineligible for FEI Pony Events". A red pen must be used for that purpose. The Measuring Veterinarians, or GJ in their absence, must send a copy of the marked Identification Page to the FEI Veterinary Department within 72 hours of the conclusion of the Event.
- 3. The Measuring Veterinarians must inform the FEI Veterinary Department of any refusal to present for Pony Measuring in the Pony Measuring Report.
- 4. The Measuring Veterinarians may refuse to measure a Pony in the event of any of the following:
  - a) the PR or other representative or additional handler interferes with or obstructs the Measuring Veterinarians' work (e.g. by influencing the natural stance of the Pony);
  - b) the PR or other representative exerts unnecessary force or uses a method perceived to affect the Pony's measurement, impact its welfare or be injurious;
  - c) the Pony is deemed not measurable (e.g. unrestful or trained to lower its natural height); or
  - d) there is doubt or evidence that the Pony's withers, or any part in that anatomical region, may have been interfered with so as to artificially lower the Pony's height.
- 5. In the event of the Measuring Veterinarians refusing to measure a Pony, the GJ must inform the PR or their representative that the Pony is not allowed to compete in the Event.
- 6. In the event of the Measuring Veterinarians refusing to measure a Pony, the GJ must mark and sign the Pony's Passport, on the Identification Page, stating the date, Event and the words "Refusal to Measure" and reason for the refusal. A red pen must be used for that purpose. The Measuring Veterinarians must send a copy of the marked Identification Page to the FEI Veterinary Department within 72 hours of the conclusion of the Event.
- 7. The Measuring Veterinarians must inform the FEI Veterinary Department of any Ponies they refused to measure in the Pony Measuring Report.

# VIII: Out-of-Competition Measurement

- 1. Any Pony that
  - a) appears to exceed the regulatory height at FEI Events where no Pony Measuring is carried out; or
  - b) appears to show doubt or evidence that the Pony's withers, or any part in that anatomical region, may have been interfered with so as to artificially lower the Pony's height may be subject to an Out-of-Competition Measurement.
- 2. The GJ or VD request an Out-of-Competition Measurement, in writing, to the FEI

Veterinary Department within 72 hours of the conclusion of the Event.

- 3. The FEI shall have the right at its discretion to request an Out-Of-Competition Measurement. If confirmed by the FEI Veterinary Department, the Out-of-Competition Measurement must be carried out as soon as possible and within a maximum of 6 weeks following receipt of the written request. The Pony must be available at any time during this time period.
- 4. The FEI Veterinary Department will inform the PR or their representative and the NF of the Out-of-Competition Measurement appointment. The Pony is not permitted to compete in any FEI Events until the Out-of-Competition Measurement has been carried out.
- In the event of the Out-of-Competition Measurement appointment being cancelled or postponed by the PR or their representative, for any reason, a minimum fee of CHF 500 will be charged.
- The Out-of-Competition Measurement is carried out by 2 Measuring Veterinarians following the procedure described in Article 1088 (*Extraordinary Measuring Session*) or, at the discretion of the FEI, at a regular FEI Measurement Session in accordance with Articles 1082 – 1086 (inclusive).
- 7. The PR or their representative must be present during the entire Out-of-Competition Measurement procedure.
- 8. A suitable measuring pad must be provided by the NF as described in Article 1014. In the event of the measuring pad being unsatisfactory, the Measuring Veterinarians can refuse to measure the Pony.
- 9. The FEI will cover costs related to the Out-of-Competition Measurement. These include the transport, accommodation, meals and fees for 2 Measuring Veterinarians, the costs of sample analysis by an FEI Approved Laboratory if applicable, the use of a suitable measuring pad and all other associated costs. In the event of an unsatisfactory measuring pad being provided by the NF, all costs mentioned above will be charged to the NF. The PR must cover their own costs.
- 10. The Pony must be in a good physical condition (e.g. appropriate length of hooves and not foot sore), as determined by the Measuring Veterinarians, and free of all substances listed on the EPSL. In the event of the Pony not being in good physical condition or if any of the provisions listed in Article 1086 apply, the Measuring Veterinarians can refuse to measure the Pony.
- 11. The Pony's height at the withers must not exceed 148.0cm without shoes.
- 12. Should the two measurements differ, the lower height is considered definitive, final and binding; no further re-measurement will be permitted thereafter. Immediately after the Out-Of-Competition Measurement, the Pony may be sampled (blood only) in accordance with the EADCMP.
- 13. The Measuring Veterinarians must mark, stamp and sign the Pony's Passport, on the Identification Page, stating the date and place of the measurement and either the words:

a) "Out-of-Competition Measurement - Pony Measured In"; or

b) "Out-of-Competition Measurement - Pony Measured Out".

A red pen must be used for that purpose.

- 14. The Measuring Veterinarians must send a copy of the marked Identification Page to the FEI Veterinary Department along with the Out-of-Competition Measurement Report within 72 hours of the conclusion of the Out-of-Competition Measurement.
- 15. Ponies having Measured In at an Out-of-Competition Measurement are eligible to compete in future FEI Pony Events.
- 16. Ponies having Measured Out at an Out-of-Competition Measurement are not eligible to compete in future FEI Pony Events until an Appeal Measurement has been carried out and the Pony has Measured In.
- 17. The FEI Veterinary Department will inform the NF of the Out-of-Competition Measurement outcome.
- 18. No retrospective adjustment of the Event results is permitted following an Out-of-Competition Measurement.
- 19. NFs must ensure that any Ponies having Measured Out at an FEI Event or Out-of-Competition Measurement are not entered in any FEI Pony Events until an Appeal Measurement has been carried out and the Pony's status has been confirmed.
- 20. Where a Pony has Measured Out at an FEI Event, there is no appeal against that measurement. Instead, the Pony may be re-measured at an Extraordinary Measuring Session (as per Article 1088) or at a regular FEI Measurement Session (as per Articles 1082 1086 (inclusive).

Disciplines: Jumping, Dressage & Para-Dressage, Eventing, Driving & Para-Driving, Reining, Vaulting				
FEI Events	Veterinary Officials	Minimum Level	Number	Appointment
CIMs (See Appendix E of the FEI General Regulations)	Veterinary Delegate	Level 2 OV#	1	OC, subject to FEI approval
	Additional Veterinary Delegates	Level 2 OV#	(See Note 1)	OC, subject to FEI approval
	Veterinary Services Manager	Level 1 OV <sup>#</sup>	1	OC, subject to FEI approval
Cis Children's Classics Challenge Finals Regional Championships	Veterinary Delegate	Level 3 OV#	1 (See Note 2)	OC, subject to FEI approval
	Additional Veterinary Delegates	Level 2 OV#	(See Note 1)	OC, subject to FEI approval
	Veterinary Services Manager	Level 1 OV <sup>#</sup>	1	OC, subject to FEI approval
CIOs (See note 3)	Foreign Veterinary Delegate	Level 3 OV#	1	FEI Headquarters
	Additional Veterinary Delegates	Level 3 OV <sup>#</sup>	Minimum 2	OC, subject to FEI approval
	Veterinary Services Manager	Level 1 OV#	1	OC, subject to FEI approval
FEI World Cup <sup>™</sup> Finals <u>Senior</u> World Championships <u>Senior</u> Continental Championships <u>Senior</u> Continental Games <u>Senior</u> Regional Games	Foreign Veterinary Delegate	Level 4 OV <sup>#</sup>	1	FEI Headquarters
	Additional Veterinary Delegates	Level 3 OV#	Minimum 2	OC, subject to FEI approval
	Veterinary Services Manager	Level 1 OV <sup>#</sup>	1	OC, subject to FEI approval

# ANNEX X VETERINARY OFFICIALS REQUIRED FOR FEI EVENTS

(1) AVDs may be appointed at Events with high numbers of participating horses. A minimum of one VD must be appointed for every 400

horses. The FEI Veterinary Department reserves the right to request AVDs. (2) More than one VD may be appointed at Events where competitions of different disciplines take place on different Fields of Play simultaneously.

(3) Refer to discipline rules regarding Eventing Nations Cup requirements and Article 1120.1 for an exception to Vaulting.

<sup>#</sup>To be implemented on 1 <u>JulyJanuary</u> 2022

Disciplines: Jumping, Dressage & Para-Dressage, Eventing, Driving & Para-Driving, Reining, Vaulting				
FEI Events	Veterinary Officials	Minimum Level	Number	Appointment
World Equestrian Games	Foreign Veterinary Delegate	Level 4 OV#	1 per Veterinary Commission	FEI Headquarters
	President Veterinary Commission	Level 4 OV#	1 per Veterinary Commission	FEI Headquarters
	Members Veterinary Commission	Level 3 OV#	(See Note 4)	FEI Headquarters
	Veterinary Services Manager	Level 1 OV <sup>#</sup>	1	OC, subject to FEI approval
Olympic & Paralympic Games	Foreign Veterinary Delegate	Level 4 OV#	1	FEI Headquarters
	President Veterinary Commission	Level 4 OV <sup>#</sup>	1	FEI Headquarters
	Members Veterinary Commission	Level 3 OV#	Minimum 1	FEI Headquarters
	Veterinary Services Manager	Level 1 OV <sup>#</sup>	1	OC, subject to FEI approval

(4) The number of Members of the Veterinary Commissions is set for each edition of the World Equestrian Games.

Discipline: Endurance				
FEI Events	Veterinary Officials	Minimum Level	Number	Appointment
CEI1* Regional Championships (see notes 5,6 & 8)	President Veterinary Commission	Level 3 EOV	1	OC, subject to FEI approval
	Members Veterinary Commission	Level 2 EOV	Minimum 2 + 1 per 20 entries	OC, subject to FEI approval
	President Treating Veterinary Commission	Level 3 EVT	1	OC, subject to FEI approval
	Members Treating Veterinary Commission	PTV	1 per 50 entries	OC, subject to FEI approval
	Veterinary Services Manager	Level 2 EVT	1	OC, subject to FEI approval
CEI2* (see notes 5,6 & 8)	Foreign Veterinary Delegate	Level 3 EOV	1	OC, subject to FEI approval
	President Veterinary Commission	Level 3 EOV	1	OC, subject to FEI approval
	Members Veterinary Commission	Level 2 EOV	Minimum 1 + 1 per 20 entries	OC, subject to FEI approval
	President Treating Veterinary Commission	Level 3 EVT	1	OC, subject to FEI approval
	Members Treating Veterinary Commission	PTV	1 per 50 entries	OC, subject to FEI approval
	Veterinary Services Manager	Level 2 EVT	1	OC, subject to FEI approval

Discipline: Endurance				
FEI Event	Veterinary Officials	Minimum Level	Number	Appointment
CEI3* (see Notes 5, 6, 7 <u>&amp;</u> , 8 <del>&amp; 9</del> )	Foreign Veterinary Delegate	Level 3 EOV	1	FEI Headquarters (if more than 20 entries)
	President Veterinary Commission	Level 3 EOV	1	FEI Headquarters (if more than 20 entries)
	Members Veterinary Commission	Level 2 EOV	Minimum 1 + 1 per 20 entries	OC, subject to FEI approval
	President Treating Veterinary Commission	Level 3 EVT	1	FEI Headquarters (if more than 20 entries)
	Members Treating Veterinary Commission	PTV	1 per 30 entries	OC, subject to FEI approval
	Veterinary Services Manager	Level 2 EVT	1	OC, subject to FEI approval
Regional Games Continental Championships Championships for Seniors, Juniors, Young Riders or Young Horses (see notes 6 <del>, <u>&amp;</u> 7 <u>&amp; 10</u>)</del>	Foreign Veterinary Delegate	Level 4 EOV	1	FEI Headquarters
	President Veterinary Commission	Level 4 EOV	1	FEI Headquarters
	Members Veterinary Commission	Level 3 EOV	Minimum 3 + 1 per 15 entries	FEI Headquarters
	President Treating Veterinary Commission	Level 4 EVT	1	FEI Headquarters
	Members Treating Veterinary Commission	Level 3 EVT	Minimum1 + 1 per 20 entries	FEI Headquarters
	Veterinary Services Manager	Level 3 EVT	1	OC, subject to FEI approval

Discipline: Endurance				
FEI Events	Veterinary Officials	Minimum Level	Number	Appointment
World Equestrian Games World Championships (see notes 6 <del>, <u>&amp;</u> 7-<del>&amp; 10</del>)</del>	Foreign Veterinary Delegate	Level 4 EOV	1	FEI Headquarters
	President Veterinary Commission	Level 4 EOV	1	FEI Headquarters
	Members Veterinary Commission	Level 3 EOV	Minimum 3 + 1 per 15 entries	FEI Headquarters
	President Treating Veterinary Commission	Level 4 EVT	1	FEI Headquarters
	Members Treating Veterinary Commission	Level 3 EVT	Minimum 1 + 1 per 20 entries	FEI Headquarters
	Veterinary Services Manager	Level 3 EVT	1	OC, subject to FEI approval

(5) PTVs can be appointed as members of the Treating Veterinary Commission in regions/countries with an insufficient number of licensed EVTs, otherwise preference should be given to Level 2 EVTs.

(6) The President or a Member of the Treating Veterinary Commission can be appointed as the VSM.

(7) At least half of the Veterinary Commission (including the President and Foreign Veterinary Delegate) must be 'Foreign', in accordance with Article 848.4 of the Endurance Rules.

(8) The FEI reserves the right to appoint the President of the Veterinary Commission and Foreign Veterinary Delegate at all CEIOs (regardless of star level). Where a Foreign Veterinary Delegate is not required, a Primary Veterinary Delegate must be appointed to fulfil the role of the Foreign Veterinary Delegate

\_(9) At least half of the members of the Veterinary Commission must be Level 3 EOVs or higher

(10) At least half of the members of the Veterinary Commission must be Level 4 EOVs and half of the members of the Treating Veterinary Commission must be Level 4 EVTs

# ANNEX XI GLOSSARY

#### A Sample

At the time of testing, the urine and blood samples are split into two portions: an *A Sample*, which is tested first, and the *B Sample*, which may be tested if the *A Sample* requires confirmatory analysis or confirmatory analysis is requested.

# Adverse Analytical Finding

A report from an approved *FEI Laboratory* or other entity that, consistent with the *FEI Standard for Laboratories*, identifies in a horse's sample the presence of one or more *Prohibited Substances* or its Metabolites or Markers (including large quantities of endogenous substances) or evidence of the Use of a Prohibited Method.

# Athlete

Any person taking part in an FEI *Event*, including but not limited to a rider, a lunger, a driver, or a vaulter.

#### **Banned Substances**

Any substance so described in the *Equine Prohibited Substances List* including its Metabolites and Markers. *Banned Substances* have been deemed by the *Equine Prohibited Substance List Group* to have no common legitimate use in the competition *Horse* and/or have a high potential for abuse.

#### Prohibited Methods

Any method so described in the VRs and/or EADCMRs.

#### Biosecurity

*Biosecurity* refers to the methods and practices used to control and prevent the introduction and/or spread of infectious disease within the horse population. Rules regarding *Biosecurity* to protect competition *Horses* may can be found in the VRs.

#### Blistering

Application of an irritant to the Horse's skin resulting in inflammation.

#### **B** Sample

At the time of testing, the urine and blood samples are split into two portions: an *A Sample*, which is tested first, and the *B Sample*, which may be tested if the *A Sample* requires *confirmatory analysis* or *confirmatory analysis* is requested.

# Candidate Official Veterinarian

An FEI Veterinarian that is seeking promotion to become an Official Veterinarian.

#### **Candidate Testing Technician**

Any person who is undertaking the FEI online Testing Technician educational course to become and FEI Testing Technician.

# **Chaperoning of Horses**

The accompaniment of the Horse by a Steward or FEI Testing Technician between the point of notification to arrival at the Testing Boxes.

#### Competition

As defined in the GRs: Competition refers to each individual class in which *Athletes* are placed in an order of merit and for which prizes may be awarded. Compare with *Event*.

#### **Confirmatory analysis**

An analysis of a *B* Sample to confirm an *A* Sample Adverse Analytical Finding. Persons Responsible as well as the FEI can request a confirmatory analysis if an Adverse Analytical Finding results from the *A* Sample during testing.

## **Controlled Medication Substances**

Any substance, or its Metabolites or Markers, so described in the *Equine Prohibited Substances List*. Controlled Medication Substances are deemed by the *Equine Prohibited Substance List Group* to have therapeutic value and/or to be commonly used in equine medicine. However, Controlled Medication Substances have the potential to:

a) affect performance, and/ or

b) present a welfare risk to the Horse.

*Controlled Medication Substances* are generally prohibited in *Competition*, but may be exceptionally permitted when their use has been authorised by the appropriate *Veterinary Form.* 

# Decision (or "Decide")

An authoritative determination reached or pronounced after consideration of facts and/or law.

# Disqualification, Disqualify or Disqualified

*Disqualification* means that the *Athlete*, the *Horse*(s), and/or a combination of both is/are disqualified from the *Competition* at issue or from any further *Competition*(s) of the *Event*. *Disqualification* may also be retroactive.

#### **Designated Treatment Box**

The area or stables, in accordance with the VRs, where treatments must be undertaken. Any treatments given outside a Designated Treatment Box, as prescribed by the VRs (except for special exemption by the *Veterinary Delegate* or in a clinical emergency) will be subject to disciplinary action.

#### Discipline

Any equestrian discipline approved by the FEI General Assembly, such as Dressage, Jumping, Eventing, Driving, Endurance, Vaulting, Reining and Para-Equestrian.

#### Dry Needling

Dry needling is the insertion, followed by removal of a needle through skin and muscle. Needles can be removed immediately or eft in situ for a short period of time prior to removal.

#### Enclosures (yards)

An enclosure (also known as a yard) is an enclosed area in which a horse can move freely, similar to a small paddock or livestock 'pen'. Enclosures may or may not have a roof.

#### **EADCM Regulations**

The entire regulation system involving Doping Control and Medication Control incorporating both the EAD Rules and the ECM Rules.

#### EAD Rules

The FEI Equine Anti-Doping Rules.

# ECM Rules

The FEI Equine Controlled Medication Rules.

## **Elective Testing**

*Persons Responsible* or their representatives may, at the request of a *FEI Veterinarian*, elect to have a FEI registered *Horse* tested by an *FEI Approved Laboratory* for the presence of up to 4 *Controlled Medication Substances* in urine and blood. The application form for Elective Testing can be downloaded from the FEI website.

# Elimination

Unless otherwise specified in the applicable *FEI Rules and Regulations*, *Elimination* means that an *Athlete* and/or a *Horse* may not continue in the *Competition* at issue and/or in any further *Competition*(s) of the Event.

# Equestrian Community Integrity Unit (ECIU)

The *ECIU* is an investigative body of the FEI empowered by the FEI Statutes to gather evidence relating to any alleged violations of *FEI Rules and Regulations*; the *ECIU* is therefore a mechanism to protect integrity principles and monitors corruption, identifies offenders or conflicts of interest and safeguards horse welfare.

# Equine Anti-Doping and Controlled Medication Program (EADCMP)

The EADCMP foreseen under the FEI VRs and the EADCM Regulations.

# Equine Prohibited Substances List (the "List" or "EPSL")

The list identifying the Banned Substances/Controlled Medication Substances and Banned Methods/Controlled Medication Methods as published from time to time under the direction of the Secretary General.

Substances with the same biological or chemical effect as a Prohibited Substance are also included on the List as a legal matter, even if they are not specifically listed by name on the List. This is to prevent anyone using substances that are almost identical to a specifically listed Prohibited Substance in either their chemical composition or biological effect. The List is revised by a group of experts (List Group) who propose changes to the FEI Board once a year. All changes come into effect 90 days after publication. The List is available on the FEI website and as a smartphone app.

#### Equine Prohibited Substances List Group

A group of experts (a sub-committee of the Veterinary Committee) in the field of veterinary medicine, pharmacology, physiology, analytical chemists, laboratories and research who decide on the List of Prohibited Substances. The List is reviewed by the *Equine Prohibited Substances List Group* who proposes changes to the FEI Board once a year. All changes come into effect 90 days after publication.

#### Event

As defined in the GRs: A complete meeting, 'Show', 'Championship' or 'Games'. *Events* may be organised in one or more than one *Discipline*. Compare with *Competition*.

#### **Examination on Arrival**

The veterinary examination that takes place when Horses arrive at an Event, prior to entry into the Stables or show grounds; the purpose of this examination being to confirm the identification of the Horse and provide a biosecurity control.

# **FEI Approved Laboratory**

A laboratory approved by the FEI for the analysis of samples.

#### FEI Code of Conduct for the Welfare of the Horse

The FEI code giving guidance to appropriate care and welfare of the *Horse* in equestrian sport.

#### FEI Official Veterinarian

A Veterinarian appointed as Official at FEI Events.

#### FEI Medication Logbook

In accordance with the VRs, a FEI Medication Logbook must be kept for all FEI registered *Horses*, recording the date, substance, and dosage of any treatment with a substance listed on the *EPSL* whether it occurred during or outside of *Competition*. The record must also clearly identify the person administering the treatment. In the prosecution of any *EADCM Regulations* violation the *FEI Tribunal* may request to see the FEI Medication Logbook.

#### **FEI Recognition Card**

As defined in the GRs: An accompanying document to a National Passport approved by the FEI and mandatory (unless there is an FEI Passport) for every *Horse* entered for any *Competition* at CNs or CIMs in a foreign country, and for all *Horses* entered for other CIs, CIOs, FEI Championships, Regional, Olympic Games and Paralympic Games, whether at home or in foreign countries.

# FEI Rules and Regulations

As defined in the GRs: Any rule and/or regulation duly approved by an appropriate body of the FEI, including but not limited to Statutes, General Regulations, and Sport Rules.

#### FEI Standard for Laboratories

A standard that sets out the criteria for *FEI Approved Laboratories* applicable to the analyses, testing sample, custodial procedures and reports. The FEI Standard for Laboratories is foreseen by the EADCRMs in order to ensure an appropriate level of scientific and forensic integrity in the analytical process.

#### FEI Tribunal

The full membership of the hearing body authorised to make decisions on cases under *FEI Rules and Regulations*.

#### **FEI Veterinarian**

*Veterinarians* providing veterinary services or regulating veterinary matters at *International Events*; this includes *Permitted Treating Veterinarians* or FEI *Official Veterinarians*.

# **Final Inspection**

The Final Inspection occurs in some disciplines and is a *Horse Inspection* that takes place after the ridden part of the Competition is completed.

## **Fitness to Compete**

The fitness of a Horse to compete, or continue in a Competition requires the consideration of the Horse's individual fitness, their competence, health status and freedom from inappropriate doping or medication use.

# Foreign Veterinary Delegate

*Veterinary Delegates* appointed in accordance with the GRs and VRs, this *Official*, with the agreement of the Technical Committee. See also *Veterinary Delegate*. They must not be resident in the country in which they wish to officiate, unless an exemption is granted by the FEI Veterinary Department.

# **Ground Jury**

A body of *Officials* empowered under the GRs to deal with all *Protests* and *Reports* related to anything occurring during or in direct connection with an *Event* within the period of its jurisdiction – *the Period of the Event*.

#### **Holding Box**

A Holding Box area is a separate cordoned off area, ideally located close to the inspection area and where possible out of sight of the main inspection area. The Holding Box surface should be similar to the surface of the main inspection track. The Holding Box is the area

where the clinical examination will take place for those Horses where the fitness of the Horse to compete was found to be questionable during the Horse Inspection.

#### Horse

As defined in the GRs; refers also to a Pony or a member of the genus Equus unless the context requires otherwise. A *Horse* shall be born from a mare.

#### Horse Inspection

A procedure used to verify whether a *Horse* is fit to participate in an *Event* or *Competition* (i.e. 'fit to compete').

# Identity (of the Horse)

The means of checking the markings of a Horse and its microchip (if present) against the diagram and microchip number recorded in the passport, performed during the Examination on Arrival, Veterinary Examination or Horse Inspection.

# In-Competition/Period of the Event

The period commencing one (1) hour before the beginning of the First Horse Inspection and terminating half an hour after the announcement of the final results of the last Competition at the Event. This period may vary for the Olympic and Paralympic Games, as determined by the applicable rules.

# Initial Limb Sensitivity Examination

This is the first *Examination* for *Limb Sensitivity* that takes place on any Competition day, it is performed by the Examination Veterinarians.

#### **Initial Measurement**

This refers to the first measurement made by the Measuring Veterinarians during a *Pony* competition where measuring is taking place. All Ponies must *Measure In*, within the height allowed for a Pony, to be permitted to compete.

#### **Inspection Panel**

The group of Officials responsible for making decisions regarding whether Horses presenting may be accepted or not during the *Horse Inspection*.

#### Limb Sensitivity

Refers to the sensation perceived by Horses in their legs. Limb Sensitivity may be normal or abnormal, when the sensation is above normal limits it is called hypersensitive and when it is below normal limits it is termed hyposensitive. Abnormal Limb Sensitivity may be induced by trauma, surgery, accidents and normal physiological reactions.

#### Limb Sensitivity Examination

This refers to the assessment made by Examining Veterinarians to ensure that a Horse's leg sensitivity is not abnormal.

## Limb Sensitivity Final Examination

This is the last *Examination* for *Limb Sensitivity* that takes following the Examination Veterinarians determining that a Horse has an abnormal sensitivity; the *Final Examination* involved the GJ and VD.

#### Measuring

See Pony Measuring

#### Measuring Area

The area provided for the Measuring Veterinarians to perform *Pony Measurements* during FEI Events.

#### Measuring In

During a *Pony Measurement* at an *Event* a Pony will be accepted to compete only if the Pony measures within the height for a Pony, this is termed *Measuring In*.

#### Measuring Out

During a *Pony Measurement* at an *Event* a Pony will not be accepted to compete if the Pony does not measure within the height defined for a Pony, this is termed *Measuring Out*, and the Pony must then undergo the procedure set out in Annex IX if it is to compete again at FEI Pony Competitions.

### Measuring Stick

The measurement device used by Measuring Veterinarians used to determine the height of an equid with regard to their eligibility for Pony Competitions.

### Medication Control

All steps and processes from test distribution planning through to ultimate disposition of any appeal involving an Equine Controlled Medication Rule violation, including all steps and processes in between such as, Sample collection and handling, laboratory analysis, *Veterinary Forms*, Results Management, hearings and appeals.

# Morning Re-Inspection

For Horses where the decision to accept or not accept could not be determined during the first *Horse Inspection* this is an opportunity to present to the *Inspection Panel* the following day, before the *Competition* in certain disciplines.

# National Federation (NF)

As defined in the FEI Statues: The one national governing body from any country which is effectively in control of or is in a position to effectively control at least the Olympic Equestrian *Disciplines* and supported by its National Olympic Committee and approved by the General Assembly of the FEI.

# National Head FEI Veterinarian (NHV)

An FEI Official Veterinarian appointed by each National Federation in order to maintain effective communication with the FEI on veterinary matters and to coordinate other FEI Veterinarians of the same National Federation.

#### Official

As defined in the GRs: A person appointed by the FEI or an *Organising Committee* and /or *National Federation* to perform a specifically defined officiating duty at an FEI *Event*.

### **Official Veterinarian**

FEI Official Veterinarians are veterinary *Officials*; they are a group of *FEI Veterinarians* and include the following categories of veterinarians:

- National Head FEI Veterinarian (NHV) (formally Contact Veterinarian)
- Veterinary Delegate/ Foreign Veterinary Delegate (VD/ FVD)
- Assistant Veterinary Delegate (AVD)
- Endurance Veterinary Treating Officials (EVTs)
- Testing Veterinarian
- Veterinary Services Manager (VSM)
- Holding Box Veterinarian (previously Examining Veterinarians) except at an Event where there is only one VD, when the Holding Box Veterinarian might be a PTV
- Measuring Veterinarian
- Examining Veterinarian (Limb Sensitivity)

# **Organiser or Organising Committee**

As defined in the GRs any organisation, group, society, body or person which is recognised by the applicable *National Federation* and held to be responsible for the management of any *Event*.

#### **Out-Of-Competition Measurement**

Pony Measurements, as required and determined during a Pony Event, on the basis of a Pony being deemed not measurable by the Measuring Veterinarians, or at the request of the Ground Jury.

#### Period of an Event

As defined in the GRs. The *Period of an Event* commences one hour before the beginning of the First *Horse Inspection* and terminates half an hour after the announcement of the final results in the relevant *Discipline*, unless the Sport Rules for the respective *Discipline* provide otherwise.

In the Olympic Games and Paralympic Games, the period of an *Event* coincides with the Olympic Sojourn/the Paralympic Sojourn as established by the IOC.

For the Endurance *Discipline*, *Horses* which are participating in the Best Condition Award are deemed to be still entered in the *Competition* until after the Best Condition prize giving ceremony.

#### Permitted Treating Veterinarian (PTV)

PVs agree to abide by the FEI Regulations, are registered with the FEI and are allowed to treat *Horses* during an *Event*, within the provisions of the VRs. This group of *FEI Veterinarians* includes the following categories of veterinarians:

- Veterinary Control Officer
- Treating Veterinarian (TV)
- Team Veterinarian
- Athlete's Private Veterinarian (APV)
- Holding Box Veterinarian when the Event only has one VD

#### Person Responsible (PR)

As set forth in the GRs and the EADCMRs: the Person Responsible for the Horse is the Person who rides, drives or vaults the Horse during an Event, but the owner and other *Support Personnel*, including but not limited to grooms and veterinarians, may be regarded as additional Persons Responsible if they are present at the Event or have made a relevant Decision about the Horse. In vaulting, the lunger shall be considered an additional Person Responsible. In Endurance, the Trainer shall be an additional Person Responsible. For minors, specific details are stipulated in the GRs.

# Pony

A Pony is a small Horse whose height at the withers does not exceed 148.0cm without shoes.

#### **Pony Measurement Period**

Commences as of the time of the arrival of the Pony at the Pony Measurement Station until its departure from the Pony Measurement Station following completion of the Pony Measurement process (including all related paperwork) and EADCMP testing (where applicable)

# **Pony Measuring**

The process where Measuring Veterinarians assess the height of an equid, particularly a *Pony*, to determine their eligibility for FEI Pony Competitions.

#### Post-mortem report

In the case of euthanasia or an equine fatality during *an Event*, the report from the postmortem examination must be prepared and provided to the FEI Veterinary Department, including the cause of death and if relevant the method of euthanasia.

#### Primary Veterinary Delegate

The Veterinary Delegate responsible for completing the Veterinary Report for the Event.

## **Prohibited Substances**

Substances that are either not permitted for use in the competition *Horse*, either a) during competition (*Controlled Medication Substances*) or b) have no common legitimate use at any time (*Banned Substances*) Prohibited Substances fall into two categories, *Banned Substances* and *Controlled Medication Substances*.

#### Protest

A legal complaint or grievance as described in the GRs.

#### **Re-Inspection**

The Horse Inspection that occurs following an assessment of a Horse in the Holding Box.

#### Report

As defined in the GRs: A formal record of facts, incidents, *Decisions, Protests*, warnings, penalties, and/or any other relevant matters arising during or in connection with an *Event*.

#### Sample

Any biological or other material collected for the purposes of *Doping or Controlled Medication*.

#### Sanction

A *Sanction* may be imposed as a consequence of a rule violation, whereby a person receives a warning and/or a financial penalty.

#### Second Horse Inspection

This is a *Horse Inspection* that occurs in given disciplines, during the competition that is not prior to the first part or phase of the *Competition*.

#### Second Measurement

This refers to *Pony Measuring*, following an *Initial Measurement* where the Pony did not <u>Measure In</u> a Pony may present for measurement again within one hour of the *Initial Measurement*.

#### Sensory Hairs

Hard hairs located on the Horse's muzzle and around its eyes, also known as 'whiskers' that are used for sensation.

#### Strict Liability

Under the *EADCM Regulations* and the FEI Anti-Doping Rules for Human Athletes, the *Athlete* or *Person Responsible* is held accountable if a *Prohibited Substance* is present in their sample, or in a *Horse's* sample. Under this principle it is not necessary to demonstrate intent, fault, negligence or knowing Use in order to establish a rule violation.

# Support Personnel

Any coach, trainer, athlete, *Horse* owner, groom, steward, chef d'équipe, team staff, official, veterinarian, medical, or paramedical personnel assisting in any fashion a *Person Responsible* participating in or preparing for equine sports *Competition*. Veterinarians are included in the definition of *Support Personnel* with the understanding that they are professionals subject to professional standards and licenses. An allegation that a

veterinarian violated an *EADCM Regulation* will only be made where the factual circumstances surrounding the case indicate a likelihood that the veterinarian was involved in the violation.

# **Targeted Sampling**

A process for the selection of *Horses* where specific *Horses* or groups of *Horses* are selected on a non-random basis for Sampling at a specified time.

## **Testing or Test**

The parts of the Doping Control and Controlled Medication process involving test distribution planning, Sample collection, Sample handling, and Sample transport to the Laboratory.

# **Testing Box**

A designated stable where the collection of urine and blood samples takes place for *Equine Anti-Doping and Controlled Medication Control*.

#### Testing Technician

Testing Technicians are *Officials* appointed to assist Testing Veterinarians in connection with the collection of samples in accordance with Chapter 7.

#### Veterinarian

Any person who has received a formal veterinary qualification in accordance with national practice.

#### Veterinary Commission

A commission of three or four *Veterinary Delegates* appointed for an *Event* in accordance with these VRs. The *Veterinary Commission* is led by the President of the Veterinary Commission, and is the official adviser on all veterinary matters to the *Organising Committee* and the *Ground Jury* at *Events*.

#### Veterinary Committee

The Committee that advises the FEI on policy questions relating to veterinary matters.

#### Veterinary Delegate

An *FEI Veterinarian* who is an *Official Veterinarian* whose primary focus at the *Event* is to ensure that veterinary standards, in accordance with the VRs are maintained, and to ensure that the welfare of the *Horse* is protected. A *Veterinary Delegate* may not be involved in the treatment of *Horses*, which is the responsibility of *Permitted Treating Veterinarians*.

#### Veterinary Department

The Department at FEI HQ that delivers the veterinary policy and all services around veterinary matters.

# Veterinary Examination

A clinical examination carried out by a qualified veterinarian to establish the general health status of a *Horse* about to compete. Veterinary Examinations are also used to ensure that competition *Horses* are not ill or injured as a result of international movement.

## Veterinary Form A

The document that an *veterinarian* must complete and sign to obtain authorisation to compete with a *Horse* that was treated in an emergency close to competition with a *Controlled Medication Substance*, or that requires veterinary assistance or treatment in an emergency at an *Event* with a *Controlled Medication Substance*. For treatments prior to the *Competition*, upon arrival at the *Event*, Veterinary Form A must be submitted to the *Veterinary Delegate* present and a request made for permission to compete. The *Veterinary Delegate* will decide with the *Ground Jury* whether or not the *Horse* can compete. If

veterinary assistance or treatment is needed in an emergency at an Event, the FEI Veterinarian must request permission and approval from the Veterinary Delegate before administering any medication. A Veterinary Form A is completed and sent to the FEI.

Veterinary Form B Veterinary Form B must be authorised in writing by the Veterinary Commission/Veterinary Delegate before the administration of any medication not listed on the Equine Prohibited Substances List with the exception of injectable preparations of joint support, vitamins, amino acids and homeopathics.

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