



# FEI General Regulations

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## FEI GENERAL REGULATIONS

As from publication date of the present edition of the General Regulations, all other texts covering the same matter (previous editions, official minutes or Bulletins, any memoranda) issued prior to this date, are superseded.

## LIST OF ABBREVIATIONS

CA	Driving Event
CAS	Court of Arbitration for Sport
CC	Eventing Event
CCh	Children's Event
CD	Dressage Event
CE	Endurance Riding Event
CH	Concours Hippique - any Event which includes Competitions for more than one Discipline
CI	International Event
CIC	International One Day Event
CIM	Minor International Event
CIO	Official International Event
CN	National Event
CPE	Para-Equestrian Event
CR	Reining Event
CS	Jumping Event
CV	Vaulting Event
EADCM	Equine Anti-Doping and Controlled Medication Regulations
EU	European
FEI	Fédération Equestre Internationale
GRs	General Regulations
IF	International Federation
IOC	International Olympic Committee
IPC	International Paralympic Committee



J	Juniors (eg. CCI-J)
N.AM	North American
NF	National Federation
NOC	National Olympic Committee
OC	Organising Committee
M	World (eg. CH-M)
P	Pony Riders (eg. CDN-P)
V	Veteran Riders
VRs	Veterinary Regulations
Y	Young Riders (eg. CSIO-Y)
W	World Cup (eg. CDI-W, CSI-W)

# GENERAL REGULATIONS

## INTRODUCTION

### Article 100 - General Regulations and Sport Rules

1. The General Regulations (GRs) are established so that individual Athletes and teams of Athletes from different National Federations (NFs) may compete against each other under fair and equal conditions with the welfare of Horse as paramount. At multi-disciplinary FEI World and/or Continental Championships, the Organiser must provide similar benefits and conditions to all participating Horses and Athletes, for example in relation to transport, accommodation, meals etc.
2. The GRs and Sport Rules govern the conduct of all International Equestrian Events organised by NFs or by or on behalf of the Fédération Equestre Internationale (FEI).
3. The GRs are published under the authority of the General Assembly.
4. Sport Rules are published under the authority of the General Assembly whereas Sport Rules for Series, Olympic Regulations for Equestrian Events at Olympic Games, and Paralympic Regulations for Equestrian Events at Paralympic Games are published under the authority of the Board.
5. To be considered by the Board for proposal to the General Assembly as an added FEI Discipline and to be governed by these GRs, the activity in question must be practised by a minimum of thirty (30) NFs from at least four (4) geographical groups with a total participation of a minimum of ten thousand (10,000) Athletes. It must also involve a true collaboration between Athlete and Horse at an advanced standard of training, respect the classical equestrian ideas, exemplify the FEI standards of sportsmanship and fully respect the Horse's welfare.
6. FEI Statutes, GRs, and Sport Rules apply to International Events and/or Competitions as set forth in Chapter I.
7. Responsibilities of NFs:
  - 7.1. To ensure that the welfare of the Horse is paramount and that it is never subordinated to competitive or commercial influence.
  - 7.2. To ensure that all clubs and societies affiliated to NFs and all OCs abide by the GRs and Sport Rules when organising International Events or Competitions.
  - 7.3. To ensure the implementation by OCs, of the Statutes, GRs and Sport Rules, and to be responsible for ensuring the payment of prize money in instances in which an agreement has been entered into by and among the FEI, the NF and the OC. OCs shall take measures as may be necessary to cover their financial and legal liabilities, such as guaranteeing the prize money.
  - 7.4. To ensure that any Event is correctly managed by OCs, and to ensure the implementation of draft schedules approved by the FEI.



## **CHAPTER I - EVENTS AND COMPETITIONS**

### **Article 101 - National Events (CN)**

1. A National Event (CN) is limited to national Athletes who shall take part according to the regulations of their NF. Foreign Athletes may only take part, subject to the appropriate laws if applicable, as specified under paragraphs 2 and 3 below or under Article 119.
2. Individual foreign Athletes, other than "Athletes Living Outside their Country of Nationality" (Article 119.6), may take part in CNs provided they have obtained written permission from their own NF and from the NF of the OC concerned. Permission must state the exact period for which it has been granted and the home NF of individual foreign Athletes shall indicate their level in writing so that they are entered in Events of appropriate level.
- 3.
- 3.1 Not counting "Athletes Living Outside their Country of Nationality" (Article 119.6), a CN with more than 4 NFs and/or more than fifteen (15) foreign Athletes is allowed if it would be within the requirements of a CIM. If a CN under such conditions takes place, there will be no ranking or qualification points earned.
- 3.2 Any other CN with more than four (4) NFs and/or more than fifteen (15) foreign Athletes (not counting "Athletes Living Outside their Country of Nationality" as per Article 119.6) is not allowed. If a CN under such conditions takes place, there will be no ranking or qualification points earned. Furthermore, after consultation with the NF, the OC can be subject to further sanctions and eventually to a fine in an amount commensurate with the violation and taking into account the circumstances of the violation and possibly up to the total prize-money paid out at such Event, if any.
- 4 All other Events in which foreign Athletes may take part must be classified as CIs.

### **Article 102 - International Events (CI)**

1. An International Event (CI) must be organised under the FEI Statutes, GRs and Sport Rules, including any specific invitation rules that may be established by the relevant Technical Committee and may be open to Athletes of all NFs.
2. CIs are primarily for individual Athletes. However, Competitions for teams not representing a nation or country of not more than four (4) members may be organised, but they may never be described as "Nations' Cups".
3. All Athletes invited or nominated for an International Event must be entered by their NFs. All foreign Athletes selected by their NFs, in accordance with the relevant Sport Rules and as specified in the schedule, must be accepted by the OC. OCs shall not accept any other entries.
4. Schedules for CIs must state the total number of foreign Athletes and Horses which are invited.
5. Consideration should be given to inviting Athletes from regions other than the region where the CI is held.
6. CIs shall be classified according to the amount of prize money offered and/or to other criteria established by the relevant Technical Committee, and approved by the Board.
7. A NF shall not be allowed to host or to organise a CI outside of its territory.

### **Article 103 - Official International Events (CIO)**

1. An Official International Event (CIO) is an Event to which NFs are invited to send selected representative individuals and teams, and must be organised under the FEI Statutes, GRs and Sport Rules.
2. CIOs must include the official Competition for individuals and teams according to the Sport Rules of the relevant Discipline.
3. In Europe, each NF may only organise one CIO for Seniors in any Discipline in any calendar year, unless otherwise decided by the Board.
4. For other age groups and for Seniors outside Europe, the number of CIOs must be specifically approved by the Board on the recommendation of the relevant Technical Committee.
5. A NF shall not be allowed to host or to organise a CIO outside of its territory.

## Article 104 - FEI Championships

### 1. FEI WORLD CHAMPIONSHIPS:

- 1.1. FEI World Championships for Seniors are open to all NFs and may be organised as follows:
  - 1.1.1. For Jumping, Dressage and Eventing: in the even year between the Summer Olympic Games.
  - 1.1.2. For Endurance, Vaulting, Reining, and Para Equestrian Driving: in each even year.
  - 1.1.3. For Driving: in each year.
  - 1.1.4. For Para-Equestrian Dressage: in the even year between the Summer Olympic Games.
- 1.2. Unless the Sport Rules of the relevant Discipline provide otherwise, at least nine (9) NFs must have been entered in the Definite Entries of an FEI World Championship for Seniors for it to be considered a Championship.
- 1.3. FEI World Championships for Seniors in any Discipline may be organised in a different year than the above-mentioned schedule with the approval of the Board.
- 1.4. The General Assembly can also decide to organise in the same period of time and at the same venue the FEI World Championship for the equestrian Disciplines mentioned in the paragraph above: as FEI World Equestrian Games.

### 2. FEI CONTINENTAL CHAMPIONSHIPS:

- 2.1. For Seniors:
  - 2.1.1. FEI Continental Championships for Seniors in any Discipline are open to NFs within the approved limits of the appropriate continent and may be organised in the uneven years. Unless the Sport Rules of the relevant Discipline provide otherwise, at least six (6) national or regional teams must have been represented at the first Horse inspection.
  - 2.1.2. NFs of North and South America may each organise separate FEI Continental Championships. Central American NFs may participate either in the FEI North or in the FEI South American Championships, but not in both.
- 2.2. For other age categories:
  - 2.2.1. FEI Continental Championships for Youth, Junior, Pony and Children may be organised as specified in Appendix D.
  - 2.2.2. There should be a minimum representation of four (4) NFs in Europe. However, outside Europe, FEI Continental Championships may be held with any number of regional teams from at least two (2) NFs. These FEI Championships may be organised in conjunction with CIs under the conditions set forth in the Sport Rules.
  - 2.2.3. FEI Continental Championships for Young Riders, Juniors, Pony Riders and Children as well as for Endurance and Vaulting may be organised annually.
  - 2.2.4. North and South American NFs may each organise such FEI Championships every year. The Central American NFs and the Caribbean Islands may participate in the FEI North or in the FEI South American Championships, but not in both.
  - 2.2.5. The title and the related medals shall be awarded to participating individuals and teams from the relevant continent in order of merit.

### 3. REGIONAL CHAMPIONSHIPS:

- 3.1. Regional Championships (such as Mediterranean, Balkan, Danube, etc.) shall be allocated and managed by the FEI Regional Groups (or by the Continental Associations officially recognised by the FEI if the concerned Regional Groups agree).
- 3.2. Regional Championships may take place every year.
- 3.3. Regional Championships shall be run according to the FEI Rules and Regulations and to the specific rules of the relevant Regional Group (or the Continental Association) (the "Regional Championships Rules").
- 3.4. The Regional Championships Rules shall be approved by the Regional Group (or the Continental Association) and shall be subject to approval by the FEI. In the absence of Regional Championships Rules, the Regional Championships shall be run in accordance with the applicable FEI Rules and Regulations, as set out in the Schedule.
- 3.5. The Schedules of Regional Championships shall be approved by the FEI.
- 3.6. Regional Championships shall be included in the FEI Calendar with the following designation: "Regional Championships".
- 3.7. There shall be a transition period ending on 1 January 2018 during which the FEI shall cooperate with the Regional Groups (and/or the Continental Associations) regarding the takeover of the allocation and management of existing FEI Regional Championships according to the terms and conditions as approved by the Board.

#### **4. GENERAL PROVISIONS:**

- 4.1. The composition of teams and the number of individual Athletes authorised to take part in FEI championships are set forth in the relevant Sport Rules.
- 4.2. Under no circumstances may the OC limit the number of entries of eligible Athletes or teams for any FEI Championship. The Board may limit the number of entries if deemed necessary.

#### **Article 105 - FEI-Named Events, FEI-named Series and Series**

1. FEI-named Events are the FEI Championships, FEI World Cup™ Series, FEI Nations Cup Series and other Events, FEI-named Series or Competitions which have been established and/or approved by the Board.
2. FEI-named Events shall always contain the letters "FEI".
3. FEI-named Series and any other Series must be approved by the Board.
4. The number of Series per Discipline and per category should be limited in order to have a well-structured Calendar and to avoid Horse welfare issues.

#### **Article 106- Application for and Allocation of FEI-Named Events**

1. Allocations shall be decided by the Board on the advice of the FEI and the relevant Technical Committee in accordance with this Article.
2. The FEI will publish the bidding process timeline (including deadline for submitting applications, allocation timeline by the Board, etc.) for the relevant FEI Named-Events in due time.
3. When applying, the OC through its NF must submit to the FEI the "FEI Official Application Form" and must agree to comply with the FEI Rules and Regulations. Both the OC and the NF must sign the Host Agreement before the Board confirms the final allocation of the FEI-Named Event. Once proposed by the NF in the "FEI Official Application Form", the date of the Event cannot be changed without FEI approval.
4. No NFs shall be allocated more than one (1) FEI World or FEI Continental Championship for Seniors in the Olympic Disciplines in any one year, unless decided otherwise by the Board in the interests of equestrian sport.
5. No NFs shall be allocated two (2) consecutive FEI World or FEI Continental Championships in the same Discipline for the same Category of Athletes, unless there are no other suitable applications.
6. Applications for FEI Regional Championships must include a list of NFs eligible, and any other NFs invited to take part, together with the proposed programme and the standard of the Competitions.
7. If no or no suitable application to organise an FEI-Named Event has been received within the deadline, the bid may be re-opened by the FEI and an appropriate allocation may be made by the Board.
8. The Board shall be entitled to open the application of FEI Named-Events for multi-years.

#### **Article 107 - Competitions**

1. Separate Competitions for the following standard categories of Athletes may be included in the schedules for all Events unless stated otherwise in the Sport Rules:
  - 1.1. Seniors
  - 1.2. U25
  - 1.3. Young Riders
  - 1.4. Juniors
  - 1.5. Pony Riders
  - 1.6. Children
  - 1.7. Veterans
2. Sport Rules may include other separate Competitions.
3. In Competitions open to more than one (1) Category, a separate classification may be used to decide the winner of each Category.
4. In Competitions open to more than one (1) Category, there shall be only one (1) draw for the starting order.

## Article 108 - Titles of Competitions

1. At CIMs (see Appendix E), the title of Competitions may not include the name of any country or International region.  
At other CIs, the name of the respective nation or country may, with Approval of the relevant NF, be used for the title of one (1) Competition.  
At CIOs, the same provision applies to one (1) Competition in each Discipline.
2. The name "World" or names of continents, regions or other groups of nations or countries as title of Competitions, Cups or any other classifications may only be used with Approval of the Board.
3. The use of other names given to an Event or to a number of linked Events (FEI-named Series and/or Series) as defined in Appendix A taking place under the jurisdiction of one (1) or more than one (1) NF requires the Approval of the Board.

## Article 109 - Organisation of Events

1. The schedules for all CIs, CIOs, FEI World Cup™ Finals and FEI Championships must clearly state that the FEI Statutes, GRs, and Sport Rules apply.
2. International Competitions may never be held under national rules.
3. If a Competition under national rules is included during the course of CIs, the Sport Rules come into force as soon as the results of the Competition under national rules have been announced.
4. For applications for Events that form part of an FEI-named Series and/or Series the Sport Rules for the relevant FEI-named Series and/or Series apply.
5. With the Approval of the FEI Secretary General, a CIO for one (1) Discipline may include another Category of the same Discipline or a CI for Competitions in another Discipline or other Disciplines. For example a CSIO may include a CDI or a CAI. In such a case the schedule and the programme must clearly state the Category of each Competition.
6. OCs of International Events must inform the FEI and NFs whose teams or individuals have taken part, of the results and prize money paid to each placed Athlete and team, within two (2) days following the Event for all Disciplines managed by an online entry system and five (5) days for all other Disciplines), unless otherwise specified for qualification and ranking reasons as communicated by the FEI. Any result must be provided to the FEI in a format specified by the FEI in the approved schedule. In addition, upon FEI's request any live data feed must be provided to the FEI free of charge. Failure from OCs of International Events to provide the FEI with the appropriate result(s) and/or live data feed and/or prize money information by the aforesaid deadline and/or in the aforesaid format shall entail a warning for the first violation and thereafter a fine of CHF 1,000.- per violation.
7. Schedules, notices, instructions and announcements over the public address system at all International Events (except CIMs, see Appendix E) must be written or spoken in an official language in addition to the national language, except in cases where all concerned speak the same language.
8. Schedules, notices and instructions at all FEI World and FEI Continental Championships for Seniors must be written in an official language in addition to the national language, except where all concerned normally use an official language.
9. All announcements over the public address system at FEI World Championships and FEI World Cup™ Finals must be made in an official language.
10. OCs of International Events must provide adequate medical and veterinarian assistance at the Event as indicated below.
  - 10.1. Medical assistance:
    - (i) the on-site presence of personnel trained in emergency medical care; and
    - (ii) an appropriate medical emergency action plan for the evacuation, emergency treatment, and transport of injured Athletes.
 To this effect, OCs must refer to the guidelines issued by the FEI and published on the FEI's website [www.fei.org](http://www.fei.org).
  - 10.2. Veterinarian assistance: See the Veterinary Regulations.
  - 10.3. The Sport Rules may contain additional medical and veterinary requirements and compliance with such requirements is mandatory.
11. The FEI may require OCs of International Events to provide sufficient financial guarantees in order to host an FEI Event.

12. Subject to the unanimous agreement of the President of the Ground Jury, Technical Delegate and President of the Veterinary Committee in consultation with the Organiser, a Competition (either before or during) can be postponed and/or cancelled, not only due to force majeure, catastrophic occurrences, extreme weather conditions but also for non-compliance with FEI Rules and in specific situations where the welfare or safety of the Horses, Athletes, Officials or the public is compromised.
13. If allowed at the Event, all dogs must be leashed and affixed to a human or stationary object. Violation of this rule will incur a fine of CHF 100 per offence and, in case of a repeated offence at the Event, may lead to exclusion from the Venue.

## Article 110 - Schedules for Events

1. Following the Approval of the calendar each year, the FEI shall send through the relevant NFs a check list for the schedule to all OCs of CIMS, CIs, CIOs, FEI Championships and Games, as listed in the approved Official Calendar for the next year. The OCs must return the forms completed in an official language to their NF. The NF must then check and correct the Draft Schedule for any errors in relation to the FEI Statutes, GRs, and Sport Rules before they send them to the FEI.
2. Deadline for the approval of the Schedules:
  - 2.1. CIs:
    - (i) Draft Schedules must be received by the FEI at the latest ten (10) weeks prior to the Event.
    - (ii) Definite Schedules must reach the FEI at the latest four (4) weeks prior to the Event.
  - 2.2. CIMS:
    - (i) Draft Schedules for CIMS which are approved by the FEI must reach the FEI four (4) weeks prior to the Event.
    - (ii) Definite Schedules must reach the FEI two (2) weeks prior to the Event.
    - (iii) If the Schedule is approved by the NF the Final approved Schedule must reach the FEI two (2) weeks prior to the Event.
  - 2.3. The Schedules approved and published by the FEI shall be binding as if they were incorporated within the relevant Rules and/or Regulations. The FEI will not approve any Schedules when the closing dates for Entries have already passed.
  - 2.4. Any subsequent changes must be brought to the attention of, and approved by, the FEI. Changes must be notified to the NFs concerned by the quickest possible means.
3. In exceptional circumstances, together with the Approval of the Chefs d'Equipe, host NF delegate, if any, and the Ground Jury, the OC may change the schedule in order to clarify any matters arising from an omission or due to unforeseen circumstances. Any such changes must be notified to all Athletes and Officials as soon as possible and they must be reported to the FEI by the Foreign Judge.
4. All schedules must give the dates and location of the Event and the dates by which entries must be received in accordance with the following check list:
  - (i) The Disciplines in which Competitions will be held,
  - (ii) The programme of Competitions,
  - (iii) Whether the Competitions will be indoors or outdoors,
  - (iv) The type and dimensions of the schooling areas and the Competition arenas,
  - (v) The categories, nationalities and other relevant details of invited Athletes and Horses,
  - (vi) The stabling and accommodation available,
  - (vii) The value of the prizes and their distribution,
  - (viii) The national veterinary health requirements and the VRs,
  - (ix) Whether betting is allowed,
  - (x) Code of Conduct,
  - (xi) Disclaimer of responsibilities,
  - (xii) Any other relevant details.
5. The Schedule must also give the time and place of the commencement of the Horse inspection under the Veterinary Regulations.
6. Failure from OCs/NFs of International Events to provide the FEI with the Schedule(s) by the aforesaid deadlines shall result in a Warning for the first violation and thereafter a fine of CHF 1,000. - per violation.

## Article 111 - Events with Borrowed Horses

1. International Competitions may be organised with Horses provided by the host NF.
2. The relevant Sport Rules for each Discipline and Category or the schedule of the Event shall apply for Events with borrowed Horses where appropriate.
3. The Horses must wear their usual bit and bridle which must not be changed during the Event unless permission is given by or on behalf of the Owner.

4. Each Athlete will be given the opportunity to ride the Horse obtained in the draw in at least two (2) riding sessions of one (1) hour each according to the rules established by the OC.
- 4.1. If there is an apparent complete incompatibility between Horse and Athlete, the OC may substitute a reserve Horse at the discretion of the Ground Jury.
5. In the case of a borrowed Horse, the Person Responsible remains as defined in Article 118.

## Article 112 - Official Calendar

1. The Secretary General approves the official Calendar for the following year, unless the relevant Sport Rules provide other deadlines.
2. The dates for FEI Events shall be published as soon as possible after allocation.
3. The Secretary General shall have the authority to remove any Competition and/or Event from the Calendar if justified circumstances relating to a Competition or the Event are established.
4. Applications or modifications for CIs shall be sent [by the relevant NF](#) to the Secretary General as early as possible in order that they arrive at the latest by 1 October unless an earlier date is specified by the relevant Sport Rules or a different date is specified in writing by the Secretary General. Only one (1) date may be proposed for each Event. In the event a CI is part of a Series, the application should indicate this information. Applications for CIs that arrive after the deadline mentioned above are considered as Late Date Applications or modifications and may only be considered by the FEI at least twelve (12) weeks prior to the Event, unless there is a Force Majeure event.
5. Application and modifications for CIMs shall be sent to the Secretary General as early as possible, and no later than four (4) weeks prior to the commencement of the Event.
6. Prior to the Decision on the Calendar, the Secretary General may propose modifications to the dates requested by applicants in order to establish an improved Calendar.
7. For Late Date Application and/or modification for higher level Events as defined in the relevant Rules and received after the deadline, only a NF and/or OC of another higher level event that may be deemed to be affected by the acceptance of such Late Date Application and/or modification may be provided the opportunity to give relevant information to the Secretary General. After an evaluation of the information received (if any) and the Late Date Application or modification request (as the case may be), the Secretary General shall have the right to accept or reject the Late Date Application or modification. Any such Decision by the Secretary General shall be duly motivated.
8. Priority of FEI Championships and other high level Events may be regulated for each Discipline in the Sport Rules.
9. No NF or OC may organise any International Event which has not been published in the Official Calendar or approved by the Secretary General.

## **CHAPTER II - PARTICIPATION OF ATHLETES AND HORSES**

### **Article 113 - Registration and Eligibility of Athletes and Horses**

1. All Athletes and Horses must be registered with the FEI, and their own NF, or where appropriate with their host NF, before they can be entered and permitted to take part in an International Event, unless otherwise specified by the Sport Rules. (See also Article 119-Sport Nationality Status of Athletes and Chapter V Horses).
2. NFs are responsible for registering all Athletes and Horses and shall ensure that such Athletes have the appropriate corresponding sport nationality pursuant to Article 119. NFs are also responsible for unregistering any Athlete and/or Horse that are not competing anymore for any reason.
3. Any Athlete and/or any Horse not registered with the FEI and/or not fulfilling an eligibility requirement shall be automatically disqualified, unless compelling circumstances warrant otherwise.
4. An Athlete and/or Horse, even if registered with the FEI, is not eligible to participate in an International Event or National Event (and so may not be invited by an OC to such Event or entered by an NF in such Event) if that Athlete and/or Horse has participated, in the six (6) months prior to the first day of the International Event or National Event in question, in an Unsanctioned Event.
5. For purposes of Article 113.4, an 'Unsanctioned Event' is an event and/or a competition that is neither published in the official Calendar nor authorised by an NF and/or a National Event authorised or organised by a NF that is suspended by the FEI. Please also refer to the Appendix J for the rationale for the Unsanctioned Event Provisions.
6. The FEI may only apply Article 113.4, if the FEI has either (i) informed the Athletes and Owners, via the NF(s) with whom the Athlete/Horse is registered/administered by, of the competition(s) or event(s) that are considered as Unsanctioned Event(s) and/or (ii) published the list of such Unsanctioned Event(s) on FEI website so that Athletes, Chefs d'Equipe, Owners, or NFs are on notice, such notification/publication to occur no later than seven (7) days prior to the relevant competition(s) or event(s).
7. Where it is then alleged by the FEI that a person participated in an Unsanctioned Event, the FEI shall notify the relevant person(s) of the alleged participation in an Unsanctioned Event and shall give such person(s) an opportunity to be heard in either written or oral form.
8. Any Decision of the FEI Secretary General to apply Article 113.4 may be appealed to the FEI Tribunal in accordance with Article 162.5 of the FEI General Regulations and the Internal Regulations of the FEI Tribunal.
9. Instead of applying the sanctions provided for in Article 113.4 the FEI may issue:
  - (i) a "no fault" finding where:
    - (a) the Athlete and/or Horse participated in an event that would clearly have been sanctioned by the FEI or the NF but for administrative error by the organiser of the Unsanctioned Event; or
    - (b) exceptional circumstances exist; or
  - (ii) a "Warning" in circumstances where the Athlete or Owner was reasonably aware that the event was not sanctioned (and there is no suggestion of an administrative error by the organiser) but has demonstrated that the event otherwise adhered to the objectives of the FEI as stated in the Appendix J.

### **Article 114 - Horse Identification**

1. The OC shall give an identification number to each of the participating Horses.
2. The identification number must be worn during the whole Event at all times when the Horse is out of the Event stables.

### **Article 115 - Invitations**

1. The FEI may impose a specific online system to manage invitations, provided the Secretary General has duly informed the OCs and NFs of such requirement with sufficient prior written notice. Invitations for individual Athletes to take part in CIs must be sent to the NFs of the Athletes concerned. Invitations from OCs must be sent to the NFs of the Athletes concerned.

Invitations must include copies of the schedule. The percentage of Athletes personally invited by OCs shall be specified in the Sport Rules for the specific Disciplines. However, these invitations from OCs (foreign and/or home Athletes) must be under the same conditions as for other Athletes and must in no way be directly or indirectly in connection with a financial contribution. Pay Cards and appearance fees, even in the form of VIP tables and Event privileges, are strictly prohibited and will be sanctioned.

2. Invitations to take part in CIOs may only be sent from the host NF directly to other NFs and must stipulate the number of Athletes and Horses that may be included in the official team and the number of individual entries that can be accepted.
3. At CIOs the number of invited NFs may be limited according to the schedule of the Event as approved by the FEI and the Sport Rules of the relevant Discipline.
4. Unless the relevant Sport Rules provide otherwise, the announcement of the approved date and place of a Championship automatically counts as an invitation to all NFs eligible to participate. Intentions to participate must be sent to the host NF as soon as possible.
5. All Athletes taking part in CIs, FEI Championships and Games must be given the same allowances and conditions. If similar accommodation facilities are not available for all Athletes and their Horses, allocation shall be decided by a draw.

## Article 116 - Entries

1. The FEI may impose a specific online Entry System to be used by OCs and NFs to manage Athlete and Horse entries, provided the Secretary General has duly informed the OCs and NFs of such requirement with sufficient prior written notice. If the entries of Athletes and Horses are not made through such online Entry System as imposed by the FEI (if applicable), such entries shall not be accepted by the FEI. In addition, any Athlete and Horse competing in any Competition without having being entered through any online Entry System as imposed by the FEI will be disqualified by the FEI, unless compelling circumstances warrant otherwise. If an online Entry System is imposed by the FEI, the Secretary General shall establish and make available to OCs and NFs guidelines detailing all relevant information and processes.
2. Entries for FEI Championships and FEI World Equestrian Games™
  - 2.1 All Athletes invited or nominated must be entered by their NFs. All Athletes selected by their NFs, in accordance with the relevant Sport Rules and as specified in the Schedule, must be accepted by the OC. OCs may not accept any other entries.
  - 2.2 Entries shall be made following the compulsory two (2) phases outlined below:
    - (i) Nominated entries  
Must be made at least four (4) weeks before the Event and must include a list of the names of Athletes and Horses from which the definite entries and any substitutions will be chosen and state the number of Athletes and Horses which the NF intends to send. The number of nominated entries of Athletes and Horses must not exceed twice the number invited in the Schedule or prescribed by the Sport Rules. Once the nominated entries have been sent in, NFs may send fewer Athletes and/or Horses but never more than the number of nominated entries. NFs which are not represented after having made nominated entries and whose excuse is not acceptable to the OC shall be reported by the OC to the Secretary General for consideration of their excuse by the FEI Tribunal.
    - (ii) Definite entries  
Must be made at the latest four (4) days preceding the beginning of the Event. These represent the final selection of Athletes and Horses that will travel to the Event. The definite entries may not exceed the number listed and must be chosen from the list of names on the nominated entries. After the definite entries have been sent in, substitutions of Athletes and/or Horses may only be made with the express permission of the OC.
  - 2.3 Entries for Athletes and Horses must include their name, FEI registration number (FEI ID) and, where appropriate, qualifications.
3. Entries for all other International Events:
  - 3.1 Entries for each Discipline shall be regulated in the each relevant Sport Rules., except for any FEI Championship and for the FEI World Equestrian Games where this Article shall apply.



- 3.2. Definite entries must be made at the latest four (4) days preceding the beginning of the Event. These represent the final selection of Athletes and Horses that may participate in the Event. Substitutions of Athletes and/or Horses may only be made in accordance with the relevant Sport Rules.
4. Should an NF send more Athletes and/or Horses than shown in the nominated entry the OC is not obliged to accommodate them or to allow them to participate in that Event even if the Sport Rules and schedule would allow such participation.
5. At an Event, an Athlete may withdraw any or all his/her Horses from a competition, but he/she may not add a Horse not previously entered for that competition without the approval of the OC and the Ground Jury.
6. Where an NF has made a nominated entry of a team and finds that it will be unable to send a team, it must immediately inform the OC.
7. Teams or individual Athletes who have been definitely entered by their NFs at any Event and fail to take part without valid excuse must be reported by the Foreign Judge/Technical Delegate to the Secretary General for consideration by the FEI Tribunal. Competing at another Event staged at the same time does not constitute a valid excuse for failure to participate at an Event. Withdrawals after the date of definite entries or no-shows will result in the Person Responsible being liable to reimburse the OC for any financial loss incurred by the OC (i.e. stabling and accommodation if provided by the OC, as mentioned in the schedule) as a result of such late withdrawal or no-show.
8. Unless otherwise provided in the Sport Rules, an NF cannot make definite entries for the same Athlete/Horse combination to more than one (1) OC for the same time period on penalty of disqualification of such Athlete/Horse combination from the Event in which they eventually participate.

### Article 117 - Selection of Representative Teams and Individuals

1. NFs have the final responsibility for the selection of all Athletes and Horses to participate in any International Event or to represent their countries at CIOs, FEI Championships, FEI Regional, Olympic Games, and Paralympic Games provided that the Athletes and Horses are qualified in all respects under the conditions set forth for each Event and the Athlete has the sport nationality of the NF for whom they are competing in accordance with Article 119 (*Sport Nationality Status of Athletes*) below.
2. NFs are responsible for selecting and entering qualified Horses and Athletes. This includes the fitness and capability of the Horses and the Athletes to participate in the [Competitions/Events/Categories](#) for which they are entered
3. Only Horses and Athletes in possession of approved Certificates of Capability may be entered for FEI World Championships and Olympic Games, (Appendix C) in accordance with the applicable Sport Rules and Olympic Regulations.
4. Only Athletes properly licensed by NFs and having the sport nationality of the nominating NF may be accepted as entries for CIs.
5. Athletes whose NF has been suspended or expelled may not be accepted as entries for any International Event, or national Event outside the country of their NF, during the period of the Suspension or expulsion, unless otherwise approved by the Board.
6. No Athlete may take part "hors concours" in any International Competition unless otherwise specified by the Sport Rules of the relevant Discipline.
7. No Athlete may be accepted as an entry for any International Event under any other name than that appearing on his/her licence.

### Article 118 - Person Responsible

1. The Person Responsible for a Horse has legal responsibility for that Horse, including responsibility under the GRs and the VRs and unless otherwise stated is liable under the Legal System (Chapter VIII).
2. It is the obligation of the Person Responsible and of every other person subject to the Statutes, GRs and Sport Rules to know the Statutes, GRs and relevant Sport Rules, and lack of such knowledge does not relieve these persons from liabilities under the Statutes, GRs and Sport Rules.
3. The Person Responsible shall be the Athlete who rides, vaults or drives the Horse during an Event, but the Owner and other Support Personnel including but not limited to grooms and veterinarians may be regarded as additional Persons Responsible if they are present at the Event or have made a relevant Decision about the Horse. In vaulting, the lunger shall be an additional Person Responsible. [In Endurance, the Trainer shall be the additional Person Responsible.](#)
4. Athlete under 18 years of age (Minor Athlete):

- 4.1 If the Athlete is under 18 years of age when the entry is submitted, the NF or Chef d'Equipe must nominate a representative for the Athlete.
- 4.2 The representative may be a parent of the Athlete, the Chef d'Equipe, the team coach, the team veterinarian, the Owner or some other responsible adult and shall be communicated to the OC and the NF with the entry.
- 4.3 If the NF and Chef d'Equipe fail to nominate the representative, either parent of the Athlete may be considered as the representative.
- 4.4 The Minor Athlete shall remain the Person Responsible, unless compelling circumstances warrant otherwise.
- 4.5 The representative may represent the minor Person Responsible in all dealings with the OC, the NFs and the FEI Officials, including, but not limited to, witnessing the medication process.
- 4.6 When the representative is from another NF than the Person Responsible's, all communications shall take place through the Person Responsible's NF.
5. The Person Responsible, together with the Chef d'Equipe if present, is responsible for the condition, fitness and management and for the declaring or "scratching" of each and every Horse under his/her jurisdiction.
6. The Person Responsible is responsible for any act performed in the stables to any Horse under his/her jurisdiction, by himself or by any other person with access to the Horse, and is responsible while riding, driving or exercising any Horse under his/her jurisdiction. The Person Responsible is not relieved from such responsibility as a result of the lack or insufficiency of stable security.
7. If, for any reason, the Person Responsible is unable or is prevented from caring for the Horses under his/her jurisdiction he/she must immediately notify the Secretary of the OC and the Veterinary Commission.
8. In the context of betting, the Person Responsible and/or any Support Personnel must not, by any manner whatsoever, infringe the principle of fair play, show non-sporting conduct, or attempt to influence the result of a Competition in a manner contrary to sporting ethics. Any violations of the above will be subject to Chapter VIII of the General Regulations and Chapter X of the Statutes.

## Article 119- Sport Nationality Status of Athletes

1. General principles:
  - 1.1 The NF of a country where an Athlete has his/her nationality is his/her "own" NF. Proof of nationality is necessary for an Athlete to compete for a country.
  - 1.2 Except as provided in paragraphs 4, 5, 6.2, and 6.3, an Athlete may only compete, at International Events, for his/her "own NF", as defined in paragraph 1.1.
2. Sport nationality and sport nationality changes: Adult Athletes
  - 2.1 An Athlete who is a national of more than one (1) country must decide as of the age of (eighteen) 18 years which of his/her countries of nationality will be his/her own NF for international competition purposes. Thereafter, subject to paragraph 2.2.1, he/she can only represent that NF in international competition. Further changes to his/her sport nationality can only be accomplished through a sport nationality change process, subject to the conditions outlined in this paragraph 2.
    - 2.2 Sport nationality changes:
      - 2.2.1 Basic requirement: an Adult Athlete who is or who becomes the national of more than one (1) country and wishes to compete for his/her new/other country of nationality may apply for a sport nationality change to the FEI in the manner indicated in paragraph 2.2.5 below.
      - 2.2.2 The following additional requirements apply prior to participation in FEI Championships (except FEI Championships for Young Horses) and team competitions at CIOs for Seniors:
        - (i) Subject to the exceptions set forth in paragraph 2.2.3 below, the Athlete must have had legal residence in his/her new country prior to the first competition he/she wishes to compete in under his/her new sport nationality, for either: (a) a minimum of two (2) uninterrupted years; or (b) a total of five (5) years of non-consecutive periods.
        - (ii) The Athlete shall not have taken part in any of the competitions set forth in Article 2.2.2. above for his/her previous NF in the two (2) years prior to the first competition he/she wishes to compete in under his/her new sport nationality.
      - 2.2.3 The residency requirement set out in paragraph 2.2.2 (i) shall be mandatory, except where the Athlete can establish one of the following:
        - (i) the Athlete's entitlement to his/her new nationality was established by his/her birth in that country;
        - (ii) The Athlete's entitlement to his/her new nationality was acquired by virtue of descent or through other means related to family heritage-
        - (iii) the naturalized Athlete was a former national whose loss of nationality was neither voluntary or due to criminal acts; or

- (iv) the naturalized Athlete had to renounce his/her former nationality as a condition of acquiring a new one.
- 2.2.4 Where the naturalized Athlete can establish that he/she is legally married to a national of the new country of nationality, the residency requirement set out in paragraph 2.2.2 (i) shall be reduced to one (1) year of uninterrupted legal residence or three (3) years of non-consecutive periods of legal residence.
- 2.2.5 An application for an Athlete's change of sport nationality must be filed with the FEI Secretary General, through his/her new NF, stating the reason for the change and supported by the Athlete's passport or equivalent document for the new country that he/she wishes to represent. The FEI must inform the current NF that a request for change of sport nationality has been filed.
- 2.2.6 An Athlete shall only be entitled to compete for his/her new NF once the FEI has given its written approval of the change in sport nationality.
- 2.2.7 In special and exceptional circumstances, the FEI has the discretion to grant exceptions, with the agreement of the NFs concerned.
- 2.2.8 Participation in the Olympic and Paralympic Games is also subject to Olympic and Paralympic Regulations (Bye-law to Rule 42, Olympic Charter) and in particular to any waiting period imposed by these regulations. Similarly, participation in Regional and Continental Games is also subject to the regulations of the relevant governing body.
- 3. Sport nationality and sport nationality changes: Minor Athletes
  - 3.1 A Minor Athlete who is a citizen of more than one country can choose each calendar year which NF he/she wants to represent.
  - 3.2 A Minor Athlete may subsequently change his/her NF (only once per calendar year). Such change of NF is subject to the previous NF's, the new NF's and the FEI's approvals if the Minor Athlete has already participated in an international event for another NF since 1 January of that calendar year.
  - 3.3 As of the Minor Athlete's 18th birthday, paragraph 2 above applies. At such time, the Athlete will register with the chosen NF who will, in turn, register the Athlete with the FEI.
- 4. If an associate state, province or overseas department, a country or a colony acquires independence; if a country becomes incorporated within another country by reason of a change of border; or if a new NF is recognized by the FEI, an Athlete may continue to represent the country to which he/she belongs or belonged if that country continues to have an NOC. However, he/she may, if he/she prefers, choose to represent his/her new NF. This particular choice must receive the Approval of the FEI and may be made only once.
- 5.1. An Athlete Living outside his/her country of nationality who: (i) loses his/her nationality; (ii) becomes a refugee; or (iii) whose home country does not have an NF which is a member of the FEI, can compete internationally as if he were a national of the host country in all International Events including Championships, until such time as his/her home country has an NF which is a member of the FEI.
- 5.2 Athletes whose country of citizenship does not have an NF which is a member of the FEI, and whose situation does not qualify under paragraphs 4 and 5.1 above, may receive special authorisation from the FEI to compete in FEI Events under the FEI flag, in exceptional circumstances and on a case by case basis.
- 6. Athletes Living outside their country of nationality:
  - 6.1. An Adult Athlete Living outside his/her country of nationality can have his/her entries processed by his/her "host-NF" for participation in CNS, CIMs as well as CIs if he/she is given permission of his/her own NF and the FEI. The Athlete, while keeping his/her own NF, comes under the jurisdiction of the host NF for the above-mentioned Events and must take part according to the relevant Sport Rules. This administrative facility is not a change of sport nationality. The Athlete will in all circumstances keep his/her "own NF", and always compete under its flag.
  - 6.2 A Minor Athlete may compete for his/her country of residence in the following Events, and regardless of the country where the Event is organised: CIs for Children, Pony Riders, Junior Riders and Young Riders (which excludes CIOs and FEI Championships unless his/her own NF and host NF agree otherwise), and senior CIMs as defined in Appendix E, GRs. In such circumstances, the Athlete will be compelled to inform his/her "own NF" as well as the FEI.
  - 6.3 A Minor Athlete who has always competed for his/her country of residence and not his/her own NF, may apply to the FEI to establish his/her country of residence as his/her own NF once he/she turns eighteen (18), even though he/she is not a national of such country, only if: (i) he/she has never competed under the flag of his/her own NF; (ii) the country of residence, in the FEI's opinion, qualifies as a country where obtaining nationality is overly burdensome; (iii) the Minor Athlete has a valid identity card or residence permit for the country of residence, or other equivalent document; and (iii) the Minor Athlete has been a resident of the country of residence for at least the last five (5) consecutive years. The FEI shall have sole discretion to grant or deny an application presented under this provision, weighing the best interests of equestrian sport against the best interests of the Minor Athlete.

## Article 120 - Chefs D'Equipe

1. NFs must appoint a Chef d'Equipe if they have three (3) or more Athletes taking part in CIOs, FEI Championships or FEI Regional or Olympic Games or Paralympic Games. If only individual Athletes are entered, or no separate Chef d'Equipe is appointed, NFs must nominate one of the Athletes to act as Chef d'Equipe.
2. It is recommended that Chefs d'Equipe be appointed for CIs.
3. Chefs d'Equipe must attend all technical meetings organised for them in relation to the Event.
4. The Chef d'Equipe has responsibility for the following:
  - 4.1. The general management and fitness of the Horses entered for the Event and the observance of the VRs by Team Veterinarians and other official team members.
  - 4.2. The declaration and the scratching of Athletes and Horses entered for the Competitions at CIOs, FEI Championships, FEI Regional, Olympic Games and Paralympic Games, and at CIs if appointed.
  - 4.3. The general management, fitness and behaviour of Athletes, especially those under the age of 18. In the case of Athletes under the age of 18, the Chefs d'Equipe are responsible for the behaviour of both their teams and individuals for the entire duration of the Event and are obliged to stay with their teams and individuals.
  - 4.4. Notifying the OC which Athletes and Horses have been selected to start in team Competitions.
5. The Chef d'Equipe may change the Athletes and Horses within the selected team but he/she cannot add or substitute any member and/or Horse of the official team by an individual Athlete and/or Horse entered in that Event but not selected for the team, except in cases of accident or illness as per Article 121.3 (unless the requirements of a Certificate of Capability prevent this change, see FEI World Championships, Olympic Games).
6. Chefs d'Equipe are not allowed to perform any other official functions at an Event.
7. A Chef d'Equipe or his/her NF may appoint a deputy to attend meetings or perform other functions, but the deputy, unless otherwise qualified, shall not be entitled to any of the rights conferred on Chefs d'Equipe by Article 131.

## Article 121 - Declaration of Starters

1. Chefs d'Equipe must declare the entries for the official team Competition and the names of individual Athletes, by the time established by the OC in accordance with the Sport Rules.
2. If NFs enter more Athletes and Horses than the number allowed in the official team, the Chef d'Equipe must designate the Athletes and Horses selected for the official team at the latest following the first Horse inspection, unless otherwise specified in the relevant Sport Rules for each Discipline.
3. In case of accident or illness of a team member and/or Horse nominated for a team Competition, such individual Athlete and/or Horse entered in that Event may be substituted. This must be announced by the Chef d'Equipe at the latest one hour before the start of the relevant Competition unless otherwise specified in the relevant Sports Rules. The Athlete/Horse being withdrawn cannot then take part in any other Competition in that Event.
4. The official start list must include the following information: Name and nationality of Athlete, name, studbook (if available), gender, colour and year of birth of Horse, name of breeder of Horse (if available), name of owner of the Horse.

## Article 122 - Dress and Salute

1. In all Competitions that take place in an arena under the jurisdiction of a Ground Jury, each Athlete must salute the President of the Ground Jury as a matter of courtesy, unless the President gives other instructions. The OC in agreement with the President of the Ground Jury must instruct the Athletes to salute Heads of State when present, and may do so if there is a special guest occupying the official box.
2. The President or a member of the Jury shall acknowledge all salutes.
3. Athletes must be properly dressed when appearing before spectators.
4. Registration of Colours:
  - 4.1. Special colours or colouring of parts of the uniform to be worn at Competitions in accordance with the Sport Rules of the relevant Discipline are subject to approval by the relevant Technical Committee.
  - 4.2. Special colours or colouring of parts of the uniform may only be registered by NFs with the FEI in order to retain exclusivity of use in favour of the applicant on the following conditions:
    - (i) An application for registration will be accepted by the FEI at any time during the year. The accepted registration will be valid for five years inclusive of the year the application is

accepted. The date and time of receipt of the application will establish the order of priority of registration.

- (ii) Black, red, navy and green jackets with collars of the same colour cannot be registered.
  - (iii) Colours already widely used by NFs which are not registered will not be accepted for registration by a third party unless they have been out of use for one year.
  - (iv) Colours must be in accordance with the Sport Rules of the Discipline concerned.
  - (v) Colours registered for five years will be automatically re-registered unless such registration is cancelled in writing before 31st December of the fifth year of the prior registration.
  - (vi) Colours registered in accordance with these rules can only be worn by those persons authorised to do so by the NF in possession of the registration.
- 4.3. Disputes about colours are to be referred to the Secretary General whose Decision is final.

### **Article 123 - Olympic and Regional Games under the Patronage of the IOC, and Paralympic Games under the patronage of the IPC**

1. Only Athletes who satisfy the applicable conditions set forth in the GRs, the Olympic Regulations, the Olympic Charter, its Bylaws and the regulations of the relevant governing bodies may be entered for the Olympic and Regional Games under the patronage of the IOC (see Appendix B). Only Athletes who satisfy the conditions set forth in the GRs and applicable Paralympic Regulations may be entered for the Paralympic Games.
2. All Athletes, Officials, Persons Responsible, grooms, veterinarians, trainers, Chefs de Mission, Chefs d'Equipes, National Federation representatives, and/or any other member of the Athlete's support personnel or entourage participating in, or accredited to the Olympic, Paralympic, and/or Regional Games are subject, by virtue of such participation and/or accreditation, to any IOC, IPC, and/or applicable Regional Organisations' Rules.

### **Article 124 - Young Riders, Juniors, Pony Riders, Children and Veterans**

1. Athletes of the appropriate age may take part in FEI Competitions and FEI Championships of more than one (1) Category for Young Riders, Juniors, Children and Pony Riders, but in each Discipline only in one (1) of these FEI Championships in any one (1) calendar year.
2. Athletes may take part in FEI Senior Competitions and Championships from the beginning of the year they reach the age of (eighteen) 18 but they may only take part either in a Junior or a Young Rider or a FEI Championship for Seniors in the same Discipline in any calendar year. Further exceptions regarding age limits are set forth in the Sport Rules of the relevant Disciplines.
3. In exceptional cases, Technical Committees may with the approval of the Board vary any of the ages set out in the definitions for specific Events or regions.
4. Rules regarding Veteran Athletes are set forth in the Sport Rules of each Discipline.

## **CHAPTER III – CEREMONIES, PRIZES AND EXPENSES**

### **Article 125 - Ceremonies**

1. Opening, Prize-giving and Closing Ceremonies may follow local custom but they must include the procedure set forth in the following paragraphs.
2. The representative of the FEI, or if no representative is present, the President of the Ground Jury must be invited to participate in the Opening, Prize-giving and Closing Ceremonies of all FEI Championships and FEI World Cup™ Finals.
3. Unless the relevant Sports Rules provide otherwise, all Athletes invited to be present at a Prize-giving ceremony must remain mounted on the Horses on which they have competed, or on their carriages. If a podium is used for the ceremony (as for the Olympic Games), the prize winners may be asked to dismount. The Ground Jury should have the ability to approve a substitution in the interest of either safety or horse welfare.
4. The FEI representative, or the Foreign Judge, must present the FEI Medals and Trophies before any other prizes are presented by the OC or sponsors.
5. Opportunities should be taken at these ceremonies and during the course of the Event to mention that the Event is under the patronage of the FEI.
6. The Prize-giving Ceremony for FEI Championships must take place immediately after the end of the Competition.
7. OCs should avoid the necessity for Horses to stand for long periods before and during these ceremonies.

### **Article 126 - Prizes**

1. All money prizes are awarded to the Owners or lessees of Horses or Athletes. Cups or mementoes are awarded to the Athletes unless specifically allocated to the Owners of Horses.
2. All prize money constitutes a partial reimbursement of the costs of keeping a stable and training and travelling Expenses.

### **Article 127 - Allocation of Prizes**

1. In all Competitions the prizes must be distributed according to the provisions of this Article.
2. The value of the first prize for individuals or teams, either in cash or in kind easily convertible into cash, may never exceed one third (1/3) of the total value of the prize money and prizes convertible into cash, offered for the whole Competition unless otherwise specified in the Sport Rules for the relevant Discipline.
3. The minimum number of prizes offered for each Competition must be allocated on the basis of one (1) prize for every commenced four Athletes, with a minimum of five (5) prizes.
4. Prizes, as set forth above, must be offered for all qualifying Competitions for a general classification at that Event, or for a general classification after a Series of Events.
5. A single prize in cash, or in kind, may be allocated for a general classification at the conclusion of a Series of qualifying Competitions, or at the end of the Event for the accumulation of points, or at the conclusion of a Series of qualifying Events, provided always that prizes have been offered for each of the preliminary or qualifying Competitions or Events, according to this Article.

### **Article 128 - Distribution of Prizes**

1. The total amount of prize money shown for each Competition in the schedule must be distributed.
2. Prize money must be distributed to the Chefs d'Equipe or to the winning Owners, lessees or Athletes within ten (10) days after the last Competition of the Event provided they have met all their financial and other obligations to the OC.
3. Prize money for a Competition may not be distributed while any Protests relating to that Competition are under consideration during an Event.

## **Article 129 - FEI Medals**

FEI Medals shall be awarded to participating individuals and teams in order of merit at FEI Championships according to the Sport Rules of the relevant Disciplines.

## **Article 130 - Challenge Cups**

If a challenge cup for an International Competition has to be won a specified number of times for outright possession, either by the same Athlete or by the same country, the general conditions of the Competition may not be changed before the expiration of five (5) years from the first Competition or until it has been won outright under the original conditions, whichever first occurs.

## **Article 131 - Expenses**

1. Expenses of Chefs d'Equipe, Team Veterinarians, Athletes, Grooms, Horses, or any other appropriate person shall be regulated in the relevant Sport Rules for each Discipline.
2. Expenses of Officials:
  - 2.1. The NFs and OCs shall meet the travelling, accommodation and meals Expenses of all Officials according to the GRs and Sport Rules.
  - 2.2. FEI appointed Officials whose Expenses are to be paid by the OC shall be appointed with the agreement of the OC.
3. In the event of a multi-disciplinary Championships, all FEI Officials within the same category shall receive the same per diems (exact amount to be decided by the FEI).

## **Article 132 - Badge of Honour**

1. Owners of Horses present at an Event, who are not Athletes, are entitled to a pass provided by the OC giving them access to the stands and the collecting ring and a special access pass for the stables. OCs must provide one (1) pass for a minimum of two (2) Owners per Horse; however, for stable access refer to the Veterinary Regulations.
2. Members and Honorary Members of the Board, members of the Standing Committees, holders of laissez-passer, and holders of FEI Badges of Honour are entitled to free admission and access to the stands (deemed appropriate by the OC and the FEI, but at a minimum access to the Athletes' stand) at all Events under the jurisdiction of the FEI, provided that the relevant OC is informed at least a week prior to the Event. The Secretary General shall provide certificates to all persons entitled to this privilege upon request.
3. Holders of the certificates must apply, as early as possible, and not later than one (1) month prior to the Event, to the particular OCs for the necessary tickets and passes. Certificates must be presented with the application and must be returned with the tickets or passes.

## **CHAPTER IV – COMMERCIAL AND SPONSORSHIP**

### **Article 133 - Commercial Rights**

1. The ownership of the FEI title and FEI logos; the titles, logos, and Competition formulae of all FEI-named Events are vested with the FEI.
- 1.1. The FEI owns all Official International Ranking Lists and is the beneficial owner of any and all intellectual property rights which may now or at any time in the future exist anywhere in the world in respect of any data or information resulting from the ranking of Athletes and Horses competing at the Events and Competitions held under its authority.
- 1.2. The FEI is the absolute and outright legal and beneficial owner of any and all intellectual property rights which may now or at any time in the future exist anywhere in the world in respect of any data or information relating to the Events. No one may collect or exploit any data or information relating to the Events and Competitions without the FEI's prior written authorisation. To the extent any such person or entity is deemed to own or control rights to such data or information by operation of applicable law, that person or entity hereby assigns to the FEI all such existing and (by present assignment of future rights) all such future rights (including intellectual property rights and other proprietary rights) in that data or information. NFs are allowed to use, free of charge, the data of the Events held in their territory and the data of their Athletes regardless of where they compete. In addition, OCs shall also be entitled to use, free of charge, the data of their own Event.
- 1.3. The FEI has the exclusive right to exploit the aforesaid properties through all media, including new media, Internet and TV in all its forms, as well as for sponsorship, betting, and marketing purposes according to the conditions set forth in paragraphs 2 and 3 below.
2. With regard to FEI-named Events, an Agreement shall be reached between the FEI and the relevant NF and OC, which defines their respective rights and duties related to the exploitation of all commercial properties and the possible sharing of the revenues therefrom.
- 2.1. The Agreement shall recognise previous commitments of the relevant NF and OC and resolve any possible conflicts.
- 2.2. Subject to the provisions in paragraph 3, the normal basis for the Agreement will be the license to the relevant NF and OC of the commercial properties for a fee established by the FEI for each Category of FEI Championship or Event and for possible profit sharing.
- 2.3. With regard to the FEI-named Series and Competitions organised as a part of other Events, the Agreement shall be reached between the FEI and the relevant NF and OC which shall respect previous commitments of the relevant NF and OC, unless otherwise agreed.
3. The terms of the Agreement must be finalized before the Board confirms the final allocation of the Event and/or the approval of the FEI-named Series or Competition. The exploitation of all commercial properties, betting and TV rights must be negotiated in such a way as to assure the feasibility of the Event, FEI-named Series or Competition concerned, taking into consideration the technical and sporting conditions and the promotion of equestrian sport.
4. If an FEI-named Event has a title sponsor, the sponsor's name may appear in conjunction with the relevant title.
5. FEI Championships, FEI World Cup™ Finals and FEI Nations Cup Series must use the official logos of the FEI on all posters and on the front page of all schedules and programmes.
6. CIOs must use the official logos of the FEI on all posters and on the front page of all schedules and programmes.

### **Article 134 - Sponsored Teams**

In order to take part in FEI Events, sponsored teams must be registered with the FEI in accordance with the relevant Sport Rules.

### **Article 135 - Advertising on Athletes and Horses and Promotion**

1. Athletes participating in an Event agree to be filmed, televised, photographed, identified, interviewed and otherwise recorded during the Event for the purposes of the media coverage of the Event (by means of all and any media throughout the world in perpetuity) and the promotion of the sport. Athletes therefore assign with full title guarantee in perpetuity to the FEI all rights of any nature in any such recordings. Athletes agree that their name and image and any photographs and moving images taken of them at an Event may be used by the FEI and/or OC for the purposes of publicising the Event and promoting the sport.
2. At all Events, except Regional and Olympic Games under the patronage of the IOC, Athletes may wear clothing and use equipment (including, but not limited to, riding equipment and





- vaulting back pads) and vehicles which identify the manufacturer, the Athlete's sponsor(s), the Athlete's team sponsor(s), the NF's sponsor(s), the Athlete's nation, and/or the Athlete himself, but only under the specific conditions set forth below:
- 2.1. Identification of a Non-Sponsor Manufacturer
    - 2.1.1. While present in the Competition area and during the prize-giving ceremonies names or logos identifying a non-sponsoring manufacturer of the clothing, equipment and/or vehicles (including but not limited to carriages) may appear only once per item of clothing, equipment and/or vehicle and solely on a surface area not exceeding:
      - (i) three square centimeters (3 cm<sup>2</sup>) for clothing and equipment except for the harnesses during the Driving Events;
      - (ii) fifty square centimeters (50 cm<sup>2</sup>) on each side of vehicles during the Driven Dressage and Obstacle-Cone Driving Phases of Driving Events;
      - (iii) the size of the strap on which the identification of the manufacturer appears, and no longer than ten centimeters (10 cm), only once on each harness during the Driving Events.
  - 2.2. Identification of Sponsors
    - 2.2.1. While present in the Competition area and during the prize-giving ceremonies the name and/or logo of the Athlete's sponsor(s), his/her team sponsor(s) and/or his/her NF's sponsor(s) may appear on a surface area not exceeding:
      - (i) four hundred square centimeters (400 cm<sup>2</sup>) on each side of vehicles during the Driven Dressage and Obstacle-Cone Driving Phases of Driving Events and Vaulting back pads;
      - (ii) two hundred square centimeters (200 cm<sup>2</sup>) on each side of saddle cloth;
      - (iii) eighty square centimeters (80 cm<sup>2</sup>) only once on jackets or top garments at the height of breast pockets during the Reining Events and Driven Dressage and Obstacle-Cone Driving Phases of Driving Events;
      - (iv) eighty square centimeters (80 cm<sup>2</sup>) on each of the two sides of jackets or top garment at the height of breast pockets for Jumping Events, Dressage Events and for Jumping and Dressage tests of Eventing;
      - (v) one hundred square centimeters (100 cm<sup>2</sup>) only once on Vaulting outfits;
      - (vi) eighty square centimeters (80 cm<sup>2</sup>) (maximum twenty centimeters -20 cm- long, maximum four centimeters -4 cm- wide) only once lengthwise on the left leg of the riding breeches during the Jumping Events and the Cross-Country and Jumping tests of Eventing. In any case, the surface area on the riding breeches should be dedicated to the visibility of the following only: the Athlete's name, identification of the Athlete's nationality, the name and/or logo of the Athlete's sponsor(s), his/her team sponsor(s) and/or his/her NF's sponsor(s);
      - (vii) sixteen square centimeters (16 cm<sup>2</sup>) on both sides of the shirt collar and of the hunting stock or centrally in the middle part of the collar on the ladies' blouses;
      - (viii) Either two hundred square centimeters (200 cm<sup>2</sup>) on one arm of jackets or top garment or one hundred square centimeters (100 cm<sup>2</sup>) on each arm of jackets or top garment for the Cross-Country tests of Eventing and Endurance Events;
      - (ix) one hundred twenty five square centimeters (125 cm<sup>2</sup>) (maximum twenty five centimeters - 25 cm- long, maximum five centimeters -5 cm- wide) vertically in the middle part of the hard hat for the Jumping Events and for the Jumping and Cross-Country tests of Eventing and for the Endurance Events;
      - (x) seventy five square centimeters (75 cm<sup>2</sup>) for the logo on ear hoods in Jumping Events, Dressage Events, Driving Events, Vaulting Events and Eventing Events.
      - 2.2.1.1 Unless stated otherwise in the Sport Rules, Athletes shall wear the official clothing of their NF throughout all stages of competition (team and individual) during the FEI Championships and/or CIOs.
      - 2.2.1.2 Should a NF not have an official clothing, the Athlete(s) of such NF shall be entitled to wear their own clothing, subject to the relevant Discipline Rules and requirements of this Article.
    - 2.2.2. The Marathon Phase of Driving Events is specifically excluded from this Article and instead is governed by the Sport Rules for Driving.
    - 2.2.3. The OC may display the name and/or logo of a Competition and/or Event sponsor(s) on members of the OC crew present in the competition area and on numbers present on front and back of the bibs worn by Athletes during the Cross-Country tests of Eventing and Endurance Events, as well as on stable rugs when they are in the Competition area and during the prize-giving ceremonies at all FEI Events. The size of name and/or logo on Athlete's number shall not exceed 100 cm<sup>2</sup>.
  - 2.3. Athlete's National identification
    - 2.3.1. While present in the Competition area and during the prize-giving ceremonies the name or logo of the Athlete's nation, its national symbol and/or its national flag, and/or the Athlete's NF logo or name may appear on a surface area not exceeding:
      - (i) four hundred square centimeters (400 cm<sup>2</sup>) on each side of a vehicle during the Driven Dressage and Obstacle-Cone Driving Phases of Driving Events and Vaulting back pads;
      - (ii) eighty square centimeters (80 cm<sup>2</sup>) only once on jackets or top garments, at the height of breast pockets during the Reining Events and Driven Dressage and Obstacle-Cone Driving Phases of Driving Events;



- (iii) a reasonable size on each of the two (2) sides of jackets or top garment, at the height of breast pockets and on the collar for Jumping Events, Dressage Events and for Jumping and Dressage tests of Eventing.
  - (iv) two hundred square centimeters (200 cm<sup>2</sup>) on each side of saddle cloth;
  - (v) one hundred square centimeters (100 cm<sup>2</sup>) only once on Vaulting outfits;
  - (vi) Either two hundred square centimeters (200 cm<sup>2</sup>) on one arm of jackets or top garment or one hundred square centimeters (100 cm<sup>2</sup>) on each arm of jackets or top garment for Events in all Disciplines;
  - (vii) Vertically in the middle part of the Protective Headgear for the Jumping Events;
  - (viii) Vertically in the middle part of the protective head gear of Dressage;
  - (ix) Vertically in the middle part of the protective head gear of Eventing and Endurance Events. In both cases, national colors may appear on the entire surface of such protective headgear;
  - (x) eighty square centimeters (80 cm<sup>2</sup>) (maximum twenty centimeters -20 cm- long, maximum four centimeters -4 cm- wide) only once lengthwise on the left leg of the riding breeches during the Jumping Events, and the Cross-Country and Jumping tests of Eventing and the Endurance Events;
  - (xi) seventy five square centimeters (75 cm<sup>2</sup>) for the logo on ear hoods in Jumping Events, Dressage Events, Driving Events, Vaulting Events and Eventing Events.  
In any case, the Athlete's national identification can be combined in the same surface area with the name and/or logo of the Athlete's sponsor(s), his/her team sponsor(s) and/or the NF's sponsor(s) as long as their presence and visibility are in compliance with the surface areas mentioned in paragraph. 2.2.1. and 2.3.1 above.
  - (xii) In addition, each Discipline may specify in its relevant Sport Rules that the name or logo of the Athlete's nation, its national symbol and/or its national flag, and/or the Athlete's NF logo or name may appear on another part of the clothing, equipment and/or vehicles.
- 2.4. Athlete's Name
- 2.4.1. While present in the Competition area and during the prize-giving ceremonies the Athlete's name may appear on a surface area not exceeding:
- (i) eighty square centimeters (80 cm<sup>2</sup>) (maximum twenty centimeters -20 cm- long, maximum four centimeters -4 cm- wide) only once lengthwise on the left leg of the riding breeches during the Endurance Events, the Jumping Events and the Jumping and Cross-Country tests of Eventing.
3. Unless otherwise specified in this Article, no advertisement or publicity is permitted on any Athlete, Official, Horse, vehicle, vaulting back pads or riding equipment while present in any Competition area or during the performance. However, Athletes inspecting the course may wear the logo of their sponsor, their team sponsor(s), and/or of their NF's sponsor(s) and/or their nationality within a frame not exceeding four hundred square centimeters (400 cm<sup>2</sup>) on the front and back of their top garments and within a frame not exceeding fifty square centimeters (50 cm<sup>2</sup>) on head gear.
  4. The FEI shall be entitled to require that an armband be worn by Athletes ranked #1 on an Official International Ranking List at Events.
  5. Failure to wear such armband where and when required after being notified to do so by an Official and/or the FEI, shall result in a Yellow Warning Card, being issued to the Athlete unless exceptional circumstances apply.
  6. Unless otherwise provided in the Sport Rules, advertising may appear on obstacles and on the sides of the arena provided any applicable broadcast, internet, or similar law or agreement permits such advertising. For example, specifications for sponsored obstacles/fences are covered in Sport Rules.
  7. Unless otherwise agreed in writing by the FEI, for the purpose of this Article, the Competition area shall include all areas where the Athlete is being judged or his/her Horse is undergoing a Horse Inspection. It shall not include collecting rings/practice arenas, the "start & finish box" in Eventing, the holds at the veterinary gates in an Endurance Event or the compulsory rests in a Driving Event.
  8. The Chief Steward is responsible for ensuring that the above provisions are respected by Athletes before entering the arena. Athletes not complying with the above will not be permitted to enter the arena during competition. An official clothing approved by a NF not complying with the requirements of this Article will not be authorised by the FEI.

## CHAPTER V - HORSES

### Article 136 - Age of Horses

Age restrictions on Horses taking part in FEI competitions shall be specified in the relevant Sport Rules.

### Article 137 - Horse Passports and Microchips

1. Every Horse entered for any Competition at CIMs (see Appendix E) in a country other than the country of the Horse's residency, and all Horses entered for other CIs, FEI Championships, Regional, Olympic Games and Paralympic Games, at all times, must have an official, valid FEI passport, or a national passport approved by the FEI and inserted inside an FEI Recognition Card. In the case of Horses permanently resident in a Member State of the European Union, all Horses must have an acceptable national EU approved passport in compliance with Commission Regulations, to which an FEI recognition card is applied. The exception to the latter being the possession of an FEI passport which has been continually revalidated without any interruption.
2. Notwithstanding the paragraph above, Horses taking part in CIMs (see Appendix E) and in CSI-P in their country of residence are not required to have a FEI Passport or FEI Recognition Card as mentioned in paragraph 1. All such Horses must be properly registered with the FEI and identifiable. All Horses permanently resident within a Member State of the European Union must have as a minimum a suitable national EU approved passport in compliance with Commission Regulation.  
As of 1 January 2017 the following requirements apply to Horses that are registered with the FEI for the first time: (i) to be identifiable at least by a National Passport approved by the FEI or a FEI Passport; and (ii) scans of the required pages of the National Passports approved by the FEI and/or FEI Documents must be uploaded by the NFs to the FEI Database prior to the Horse being definitely entered in the Event.
3. All FEI and/or national passports must include the full name, address and signature of the Owner as registered by the NF. The description of the Horse and the diagram must be accurately completed in order to be acceptable, and the FEI and/or national passport must include a record of all vaccinations and medication control tests. Whenever the name of a Horse with an FEI and/or national passport is changed, or any pertinent changes are made to an FEI and/or National Passport, the NF must notify the FEI.
4. NFs are responsible for issuing FEI passports and FEI Recognition Cards for all Horses required to have them and for ensuring that the FEI and/or national passports are correctly completed, as specified in the VRs, prior to being authenticated by the stamp of the NF and the signature of an Official of the NF. In all cases NFs must be content that the description of the Horse must be accurately completed to a suitable standard. NFs must provide the identification page to the FEI for registration. The Person Responsible for a Horse at an Event is responsible for the accuracy of the FEI and/or national passport and for presenting it at the passport control, except for Events with borrowed Horses (Article 111) where it is the responsibility of the host NF.
- 4.1 As of 1 January 2010 the FEI does not issue passports for horses which are statutory subject to the European Union identification requirements laid down in Commission Regulation. Art. 1010 and Annex XVII, VRs apply to EU Horses documentation procedures. The exception being the revalidation of an FEI passport that has been continuously revalidated without interruption.
5. A Horse may only have one FEI passport or one national passport with an FEI Recognition Card as per paragraph 1 of this Article and one permanent FEI number. If an NF is satisfied that an FEI and/or national passport has been lost or if any section of the FEI and/or national passport is full, it must issue an FEI and/or national passport clearly marked "duplicate" and bearing the same number as the original FEI passport. The issue of a duplicate FEI and/or national passport must be reported to the FEI (see VRs).
6. OCs must arrange for each Horse to be positively identified at the passport control during the examination on arrival, in accordance with the VRs. Any case of misleading or inaccurate information in an FEI and/or national passport, or if the Horse cannot be positively identified, must be reported to the President of the Ground Jury, who must report the case to the FEI, giving the number of the FEI and/or national passport with the FEI Recognition Card and the name of the Horse.
7. If any Horse arrives at a CI, FEI Championship, Regional Games or Olympic Games without an FEI and/or national passport with an FEI Recognition Card, or if its FEI or national passport is inaccurate, it must not be allowed to compete unless the Ground Jury) gives its

approval. Only in exceptional circumstances may a Horse without an FEI or national passport or without an FEI Recognition Card be allowed to compete.

8. All Horses registered for the first time with the FEI must be identifiable with a microchip, compatible with ISO 11784 and ISO 11785.

### **Article 138 - Names of Horses**

1. In principle, the first registered name of a Horse entered in the passport shall be the original name under which it was originally registered by its NF, and this name must remain permanently in the passport.
2. The first registered name may not be the name of a commercial company or product. If this name is considered to be the name of a commercial company or product, a new first registered name must be entered and remain permanently in the passport.
3. A commercial prefix or suffix may be added to the name mentioned in paragraphs 1 and 2 above, and must be entered in the passport. However, at Regional and Olympic Games under the patronage of the IOC, such prefix or suffix cannot appear in a programme or be used in any other way.
4. If a new first name shall be used and entered in the passport and/or the commercial prefix/suffix be changed, the Horse must carry the new name and/or the new prefix/suffix for the next twelve (12) months as follows: new name and/or new prefix/suffix ex previous name/prefix/ suffix (see VRs).

### **Article 139 - Owners and Lessees of Horses**

1. NFs must keep a register of the Owners and lessees of Horses with official passports. Changes of Owners and records of leasing agreements must be entered in the FEI and/or national passport and authenticated by the stamp and signature of an NF official.
2. The nationality of a Horse is that of its Owner or of the Lessee, where a lease is current, or of the country in which the company owning or leasing it is registered. A company may, however, own a Horse in partnership with an individual.
3. When one or more Horses belong to a partnership of Owners of different nationalities, the Owners must declare to the FEI, before making the first entry, the nationality under which the Horse or Horses will compete and that nationality must be entered in the FEI and/or national passport. The Horses must retain that nationality until the partnership is dissolved or the Horses are sold. Any consequent change of nationality must be reported to the FEI.
4. Horses entered for the Olympic Games must be the property of Owners of the same nationality as the Athlete by 15th January of the year of the Games (see Olympic Regulations).
5. Athletes may take part in all Events except Olympic Games with Horses belonging to Owners of a different nationality (see also Article 118 Person Responsible).

## CHAPTER VI – PROTECTION OF ATHLETES AND HORSES

### Article 140 - Protection of Athletes and Participating Support Personnel

~~1. — While riding on the show grounds the use of a properly fastened Protective Headgear will be mandatory. In the Competition arena venue and adjacent warm up areas, as well as riding from one to the other and from the stables for the purposes of competing, the Protective Headgear requirements are governed by the applicable Sport Rules. Failure to wear such Protective Headgear where and when required (either as per the requirement(s) of these General Regulations or as per the requirement(s) of the relevant Sport Rules) after being notified to do so by an Official, shall result in a Yellow Warning Card, being issued to the Athlete or Participating Support Personnel (as applicable) unless exceptional circumstances apply. The use of cameras on Athletes or Participating Support Personnel (as applicable) or equipment (such as on Protective Headgear, head covering or carriage) shall not be permitted, unless otherwise specifically agreed by the FEI. An Athlete's decision to wear a camera while competing shall always be voluntary and at their own risk.~~

~~2.1.~~

**Note:** The following changes to the above shall take effect as of **1 January 2021**:

While riding anywhere on the show grounds, and for Driving Athletes and Grooms in the Marathon phase, the use of a properly fastened Protective Headgear is mandatory. The applicable Sports Rules may provide for an exception to this rule by permitting Athletes to remove their Protective Headgear while accepting prizes, during the playing of the National Anthem and any other ceremonial protocol. Failure to wear such Protective Headgear where and when required shall result in a Yellow Warning Card, being issued to the Athlete or Participating Support Personnel (as applicable) unless exceptional circumstances apply. The use of cameras on Athletes or Participating Support Personnel (as applicable) or equipment (such as on Protective Headgear, head covering or carriage) shall not be permitted, unless otherwise specifically agreed by the FEI. An Athlete's decision to wear a camera while competing shall always be voluntary and at their own risk.

~~3.2.~~ The Ground Jury after consultation with the responsible medical officer (or if not available, with a relevant medical doctor and/or the Event medical service) may at any time exclude from further participation in a Competition or an entire Event any Athlete or Participating Support Personnel who is unfit to continue by reason of a serious or potentially serious injury, impairment, or health condition.

### Article 141 - Protection of Horses

In cases of illness or injury during an Event, the Ground Jury will decide, after consulting the Veterinary Delegate or Commission, whether the Horse may continue in that or subsequent Competitions.

### Article 142 - Abuse of Horses

1. No person may abuse a Horse during an Event or at any other time. "Abuse" means an action or omission which causes or is likely to cause pain or unnecessary discomfort to a Horse, including, but not limited to:
  - (i) To whip or beat a Horse excessively;
  - (ii) To subject a Horse to any kind of electric shock device;
  - (iii) To use spurs excessively or persistently;
  - (iv) To jab the Horse in the mouth with the bit or any other device;
  - (v) To compete using an exhausted, lame or injured Horse;
  - (vi) To "rap" a Horse.
  - (vii) To abnormally sensitise or desensitise any part of a Horse;
  - (viii) To leave a Horse without adequate food, drink or exercise;
  - (ix) To use any device or equipment which causes excessive pain to the Horse upon knocking down an obstacle.
2. Any person witnessing an Abuse must report it in the form of a Protest (Article 161) without delay. If an Abuse is witnessed during or in direct connection with an Event, it should be reported as a Protest (Article 161) to an Official. If the Abuse is witnessed at any other time it should be reported as a Protest (Article 161) to the Secretary General who, following a

review of the Protest, shall take a Decision as to whether or not to refer the matter to the FEI Tribunal.

## Article 143 - Medication Control and Anti-Doping

1. Medication Control and Anti-Doping provisions are stated in the Anti-Doping Rules for Human Athletes (ADRHA), in conjunction with the World Anti-Doping Code, and in the Equine Anti-Doping and Controlled Medication Regulations (EADCM Regulations).
2. The decision as to whether a Horse may compete in an Event when under treatment or medication with a Prohibited Substance is made by the President of the Ground Jury on the recommendation of the Veterinary Delegate or Commission according to the procedures set out in the VRs.

## CHAPTER VII – EVENT OFFICIALS

### Article 144 - ~~Judges~~Introduction

- ~~1. A Judge is a Member of a Ground Jury appointed to control a Competition or Event. The number and Category of Judges to be appointed as members of a Ground Jury for an International Competition or Event are set forth in the GRs, and the Sport Rules for each Discipline.~~
- ~~2. There shall be three (3) Categories of Judges: Official International Judges, International Judges and International Candidate Judges or as otherwise defined in the Sport Rules. The necessary qualifications for each Category are set forth in the Sport Rules for each Discipline.~~
- ~~3. NFs are required to send to the FEI the names, qualifications and nationality of persons who are recommended to exercise the functions and fulfil the duties required of International Judges and International Candidate Judges for the first time, according to the requirements set forth in the Sport Rules for each Discipline.~~
- ~~4. Judges in each Category may not fill appointments at a higher level than set forth in the GRs or the relevant Sport Rules.~~
  - ~~1. The FEI maintains a list of qualified Officials who fulfil the requirements of the Discipline or Veterinary Education System and have been endorsed by their NF and accepted by the FEI. The list of Officials includes, but is not limited to, Judges, Stewards, Course Designers, Technical Delegates, Veterinarians and Classifiers.~~
  - ~~2. The education and career pathways of Officials are laid down in the Discipline or Veterinary Education System. The qualifications and duties of an Official are specified in the Education Systems, job descriptions, Veterinary Regulations and Sport Rules of each Discipline.~~
  - ~~3. NFs can apply to the FEI for an Equivalency of their Education System. The highest national education can be made equivalent to the FEI entry level education.~~
  - ~~4. An Official is considered to be foreign if he/she is of a different nationality than that of the country in which the Event is taking place.~~
  - ~~5. This Chapter VII provides the generic rules on the roles, functions and appointment process of FEI Officials. More Discipline specific Rules are included in the relevant Sports Rules; in the event of any conflict the Sports Rules shall take precedence.~~

### Article 145 - ~~International Candidate~~ Judge

- ~~1. The FEI maintains a list of all qualified International Candidate Judges who have been recommended by their NFs and accepted by the FEI in cooperation with the relevant Technical Committee.~~
- ~~2. The qualifications and duties of an International Candidate Judge are specified in the Sport Rules of each Discipline.~~
  - ~~1. A Judge, as President of Ground Jury, reports to the FEI and has the responsibility to ensure the technical running of International Competitions or Events according to the conditions of the approved Schedule in accordance with the FEI Rules and Regulations. A Judge, as a Member of the Ground Jury, reports to the President of Ground Jury and shares the responsibility for the technical running of International Competitions or Events.~~
  - ~~2. NFs send to the FEI the names, qualifications and nationality of the persons who are endorsed to exercise the functions and fulfil the requirements set forth in the Education System for each Discipline.~~

3. Unless the Sport Rules provide otherwise, there shall be four (4) Levels of Judges. The necessary qualifications for each Level are set forth in the Education System for each Discipline.
4. Judges in each Level may not fill appointments at a higher level than set forth in the GRs or the relevant Sport Rules.

### **Article 146 - International Appointment of Judge**

1. ~~The FEI maintains a list of qualified International Judges appointed by the relevant Technical Committee after consultation with the relevant NFs.~~
2. ~~The qualifications and duties of an International Judge are specified in the Sport Rules for each Discipline.~~
3. ~~The Sport Rules of each Discipline may specify the qualifications required to be a member or the President of the Ground Jury at different types and categories of international Events.~~
4. ~~Unless the Sport Rules of the relevant Discipline otherwise provide, only an International Judge may be appointed as a member of the Ground Jury for a Championship or a FEI World Cup™ final or as the President for other International Events.~~
5. ~~An International Judge may be appointed as a member of the Ground Jury for a Championship and a FEI World Cup™ Final and as the President for all other International Events.~~
  1. The appointments are regulated by the relevant Sport Rules and GRs.
  2. Unless the Sport Rules of the relevant Discipline provide otherwise, the FEI appoints the President and members of the Ground Jury for FEI World Cup™ Finals, FEI Nations Cup™ Finals, FEI World and Continental Championships, Regional & Continental Games, Youth Olympic Games, Paralympic Games and Olympic Games.
  3. The Sport Rules of each Discipline specify the qualifications required to be a member or the President of the Ground Jury at different categories of International Events.
  4. Unless the Sport Rules of the relevant Discipline otherwise provide, Level 1 and Level 2 Judges may be appointed as members of the Ground Jury.
  5. Unless the Sport Rules of the relevant Discipline provide otherwise, Level 3 and Level 4 Judges may be appointed as President of the Ground Jury.

### **Article 147 - Official International Judge Steward**

1. ~~The FEI maintains a List of Official International Judges selected by the FEI from the List of International Judges on the recommendation of the relevant Technical Committee, based on technical merit and experience.~~
2. ~~The Sport Rules of each Discipline may with the approval of the FEI specify the Events at which the President of the Ground Jury must be an Official International Judge.~~
3. ~~Unless the Sport Rules of the relevant Discipline provide otherwise, only an Official International Judge, provided he/she can speak one (1) of the two (2) official languages fluently, may be appointed as President of the Ground Jury for FEI World Cup™ Finals, FEI Championships for Seniors, Regional and Olympic Games.~~
  1. A Chief Steward reports to the President of the Ground Jury and is responsible for the stewarding team, and is appointed to ensure a level playing field and Horse welfare at International Competitions or Events in accordance with the FEI Rules and Regulations. The FEI Steward helps stakeholders to prevent infractions and irregularities from taking place and intervenes when infractions or irregularities occur.
  2. NFs send to the FEI the names, qualifications and nationality of the persons who are endorsed to exercise the functions and fulfil the requirements set forth in the Education System for each Discipline.
  3. Unless the Sport Rules provide otherwise, there shall be four (4) Levels of Stewards. The necessary qualifications for each Level are set forth in the Education System for each Discipline.
  4. Stewards in each Level may not fill appointments at a higher level than set forth in the GRs or the relevant Sport Rules.
  5. The Chief Steward is required to send a report to the FEI at the conclusion of the Event (see Article 166.1).

### **Article 148 - Foreign Judge Appointment of Stewards**

1. ~~A Foreign Judge is required to be appointed for certain international Competitions and Events according to the GRs, and Sport Rules.~~
2. ~~The President or a member of a Ground Jury is considered to be foreign if he/she is of a different nationality than that of the country in which the Event is taking place. The FEI may~~

~~grant exceptions from this Article where it is deemed appropriate. Additional restrictions may apply as per the Sport Rules of the relevant Discipline.~~

- ~~3. A Foreign Judge must be selected from the appropriate Lists of Judges.~~
- ~~4. The Foreign Judge, in addition to judging the Competitions, may offer advice to the OC on the general running of the Event and has the authority to interpret the Statutes, GRs and Sport Rules and to ensure they are enforced. The Foreign Judge is required to send a report to the FEI on the Competitions and on the organisation of the Event as a whole.~~
- ~~5. If a Technical Delegate is not appointed, the Foreign Judge at an Event, apart from judging the Competitions, is required to check, observe and follow up all matters relating to the organisation and running of the Event unless restricted from doing so by these GRs or in the Sport Rules for the specific Discipline.~~
1. The appointments are regulated by the relevant Sport Rules.
2. Unless the Sport Rules of the relevant Discipline provide otherwise, the FEI appoints the Chief Steward for FEI World Cup™ Finals, FEI Nations Cup™ Finals, FEI World and Continental Championships, Regional & Continental Games, Youth Olympic Games, Paralympic Games and Olympic Games.
3. The Sport Rules of each Discipline specify the qualifications required to be a Chief Steward or a Steward at different categories of International Events.
4. Unless the Sport Rules of the relevant Discipline otherwise provide, Level 1 and Level 2 Stewards may be appointed as members of the Stewarding Team.
5. Unless the Sport Rules of the relevant Discipline otherwise provide, Level 3 and Level 4 Stewards may be appointed as Chief Steward.

## **Article 149 - Ground Juries — Appointment Course Designer**

- ~~1. The Ground Jury shall consist of a President and a number of Judges of the appropriate categories as set forth in the Sport Rules for each Discipline. The Ground Jury is responsible for the technical judging of all Competitions and for all other Decisions except where otherwise stated in the Statutes, GRs or relevant Sport Rules.~~
- ~~2. A Ground Jury is considered to be international if the President or one (1) of the Judges, is a Foreign Judge, unless more than one (1) Foreign Judge is required for a particular Event by the Sport Rules for that Discipline.~~
- ~~3. International Ground Juries are optional at CIMS (see Appendix E) but compulsory at other international Events.~~
- ~~4. In exceptional circumstances, the FEI may authorise CIs outside Europe to be organised without the presence of a Foreign Judge.~~
- ~~5. The President and members of Ground Juries at international Events shall be appointed by the relevant NF/OC, unless otherwise stated in this Article or in the relevant Sport Rules.~~
- ~~6. The Foreign Judge for CIs (W) and CIOs must be nominated by the FEI in cooperation with the Technical Committee for appointment by the relevant NF/OC.~~
- ~~7. The FEI shall, with the cooperation of Technical Committees, appoint the Presidents of Ground Juries for:~~
  - ~~7.1. FEI World Cup Finals and other FEI named Events or Competitions;~~
  - ~~7.2. FEI Continental and FEI Regional Championships of all Disciplines and categories, unless not scheduled in the relevant Sport Rules;~~
  - ~~7.3. Regional and Continental Games;~~
- ~~8. The FEI shall, in consultation with Technical Committees, appoint the Presidents and the members of the Ground Juries for:~~
  - ~~(i) FEI Continental Dressage Championships for Ponies, Juniors, Young Riders and Seniors;~~
  - ~~(ii) Dressage Competitions at Regional Games;~~
  - ~~(iii) FEI Continental Vaulting Championships;~~
  - ~~(iv) FEI World Championships for all Disciplines;~~
  - ~~(v) FEI World Cup™ Dressage Finals; and~~
  - ~~(vi) Olympic Games.~~
- ~~9. In the Event of any Official appointed by the FEI being unable to attend, the FEI in cooperation with the Chair of the relevant Technical Committee is responsible for selecting a substitute.~~
- ~~10. A Judge may not carry out any functions at the same Event that are primarily of a national character.~~
- ~~11. The duties of the Ground Jury are set out in Article 158.~~
1. A Course Designer reports to the Technical Delegate - if one is appointed - or to the President of the Ground Jury and is responsible for designing attractive and result oriented Competitions under the conditions of fair sport and welfare of Horses and Athletes in accordance with the FEI Rules and Regulations. An Assistant Course Designer, if one is appointed, assists the Course Designer at International Events by taking over specific activities for planning and setting up of the courses.
2. NFs send to the FEI the names, qualifications and nationality of the persons who are endorsed to exercise the functions and fulfil the requirements set forth in the Education System for each Discipline.



3. Unless the Sport Rules provide otherwise, there shall be four (4) Levels of Course Designers. The necessary qualifications for each Level are set forth in the Education System for each Discipline.
4. Course Designers in each Level may not fill appointments at a higher level than set forth in the GRs or the relevant Sport Rules.

## **Article 150 - International Appointment of Course Designers**

1. ~~The FEI maintains lists of Official International, International and International Candidate Course Designers appointed by the relevant Technical Committee after consultation with the relevant NFs.~~
2. ~~The qualifications necessary to be appointed an Official International, International and an International Candidate Course Designer are set forth in the relevant Sport Rules.~~
3. ~~NFs are required to send to the Secretary General the names and qualifications of persons of their nationality under the age of sixty (60) who are recommended to be accepted for the first time by the relevant Technical Committee as International Candidate Course Designers according to the requirements set forth in the relevant Sport Rules.~~
4. ~~The Course Designer is responsible to the Technical Delegate — if one is appointed — or to the President of the Ground Jury, for laying out and measuring the course and for building all obstacle.~~
5. ~~The Course Designer must report to the Technical Delegate — if one is appointed — or to the President of the Ground Jury, when he/she is satisfied that the course is ready in all respects.~~
6. ~~The Ground Jury may not order a Competition to start until either the Technical Delegate or the Course Designer has reported that the course is ready. Thereafter, the Ground Jury is solely responsible for the conduct of the Competition.~~
7. ~~The Sport Rules of each relevant Discipline may specify the qualifications required by Course Designers to be appointed for different types and categories of International Events and the bodies by which they are to be appointed.~~
8. ~~With the exception of Appointments under paragraph 9 below and unless the Sport Rules of the relevant Discipline provide otherwise, the Course Designer at International Events shall be appointed by the relevant NF/OC.~~
9. ~~The Course Designers for FEI World Cup™ Finals, FEI World Championships and Olympic Games shall be selected from the FEI list of Course Designers and appointed by the relevant NF/OC and the relevant Technical Committee.~~
  1. The appointments are regulated by the relevant Sport Rules.
  2. Unless the Sport Rules of the relevant Discipline and/or the FEI Regulations for Equestrian Events at the Olympic Games provide otherwise, the FEI appoints the Course Designer for FEI World Cup™ Finals, FEI Nations Cup™ Finals, FEI World and Continental Championships, Regional & Continental Games, Youth Olympic Games and Olympic Games.
  3. The Sport Rules of each Discipline specify the qualifications required to be a Course Designers at different categories of International Events.

## **Article 151 - Technical Delegates and Foreign Judge**

1. ~~The FEI maintains lists of Technical Delegates appointed by the relevant Technical Committee after consultation with the relevant NFs.~~
2. ~~The provisions pertaining to the Technical Delegates are specified in the Sport Rules of the relevant Disciplines:~~
  1. The Technical Delegate reports to the FEI, and is responsible for the inspection of the arenas, courses (if applicable), and results management, the facilities, and the organisation and running of International Competitions or Events, in accordance with the FEI Rules and Regulations. Once the President of the Ground Jury has assumed control, the Technical Delegate supervises the technical aspect of the Event and reports to the President of the Ground Jury.
  2. An Assistant Technical Delegate, if one is appointed, assists the Technical Delegate at International Events by taking over specific planning activities.
  3. NFs send to the FEI the names, qualifications and nationality of the persons who are endorsed to exercise the functions and fulfil the requirements set forth in the Education System for each Discipline.
  4. Unless the Sport Rules provide otherwise, there shall be up to four (4) Levels of Technical Delegates. The necessary qualifications for each Level are set forth in the Education System for each Discipline.
  5. Technical Delegates in each Level may not fill appointments at a higher level than set forth in the GRs or the relevant Sport Rules.
  6. The Technical Delegate is required to send a report to the FEI at the conclusion of the Event (See Article 166.1).

7. ~~If a Technical Delegate is not appointed, the Foreign Judge at an Event, apart from judging the Competitions, is responsible for the inspection of the arenas, courses (if applicable), and results management to ensure that the technical facilities, requirements, the organisation and running of the event are in accordance with the FEI Rules and Regulations.~~
8. ~~The Foreign Judge has the authority to interpret the Statutes, GRs and Sport Rules and to ensure they are enforced.~~
9. ~~The Foreign Judge is required to send a report to the FEI at the conclusion of the Event (See Article 166.1)~~

## **Article 152 - Appointment of Technical Delegates and Foreign Judges**

1. ~~The appointment of a Technical Delegate for CIs and CIOs is not compulsory, unless stated otherwise in paragraph 3 below or in the relevant Sport Rules, but the Foreign Judge may act as a Technical Delegate if the OC invites him/her to do so.~~
2. ~~The Sport Rules of each Discipline may provide for the appointment of a Technical Delegate at different types and categories of Events.~~
3. ~~Unless the Sport Rules of the relevant Discipline provide otherwise, the FEI shall, with the consultation of the Technical Committee, appoint foreign Technical Delegates, selected from the appropriate FEI lists, for:~~
  - (i) ~~All FEI World Cup™ Finals;~~
  - (ii) ~~All FEI Championships;~~
  - (iii) ~~Each Discipline at Regional and Olympic Games.~~
1. ~~The appointments are regulated by the relevant Sport Rules.~~
2. ~~Unless the Sport Rules of the relevant Discipline provide otherwise, the FEI appoints the Technical Delegate for FEI World Cup™ Finals, FEI Nations Cup™ Finals, FEI World and Continental Championships, Regional & Continental Games, Youth Olympic Games, Paralympic Games and Olympic Games.~~
3. ~~The Sport Rules of each Discipline specify the qualifications required to be a Technical Delegate at different categories of International Events.~~
4. ~~A Foreign Judge is required to be appointed for certain International Competitions and Events according to the Sport Rules.~~

## **Article 153 - Veterinary Delegates Veterinarians**

1. ~~Veterinary Delegates are nominated by the NFs and must be appointed according to the VRs.~~
2. ~~The Veterinary Committee approves the Appointments, categorises the veterinarians according to their experience to officiate in the different Disciplines and maintains the list of Event Veterinarians.~~
3. ~~The NFs/OCs shall appoint the Foreign Veterinary Delegates for CIOs, Regional Games and Continental Games.~~
4. ~~The FEI shall, with the agreement of the Technical Committee, appoint the Foreign Veterinary Delegates for all FEI World Cup™ Finals, FEI Championships and Olympic Games.~~
  1. ~~A Veterinarian can have different functions and responsibilities according to the VRs.~~
  2. ~~NFs send to the FEI the names, qualifications and nationality of the persons who are endorsed to exercise the functions and fulfil the requirements set forth in the Education System for FEI Veterinarians.~~
  3. ~~There shall be four (4) Levels of Official Veterinarians. The necessary qualifications for each Level are set forth in the Education System for FEI Veterinarians.~~
  4. ~~Official Veterinarians in each Level may not fill appointments at a higher level than set forth in the VRs.~~
  5. ~~Official Veterinarians are required to send a report to the FEI on the Competitions and on the organisation of the Event as a whole as set forth in the VRs.~~

## **Article 154 - Stewards Appointment of Veterinarians**

1. ~~OCs of all International Events must appoint a Chief Steward and an appropriate number of Stewards under the authority of the Chief Steward, wearing distinctive identification such as badges, arm bands, bibs, etc, with complete freedom of access to all areas mentioned in paragraph 3 below.~~
2. ~~OCs are responsible for all administrative matters relating to the Appointment of the Chief Steward and the Stewards.~~
3. ~~During the whole Event in every part of the stables, in exercise and schooling areas, collecting rings and all other areas under control of the OC, the Chief Steward and the Stewards officiating with him/her at the Event shall:~~
  - (i) ~~assist the Athletes in conducting reasonable training;~~
  - (ii) ~~intervene in time in order to prevent any abuse of Horse by Athletes, grooms, owners or any other person;~~

- ~~(iii) intervene in order to prevent any contravention of the Statutes, GRs or Sport Rules or of the common principles of behaviour, fairness and accepted standards of sportsmanship;~~
- ~~(iv) be familiar and assist with doping and medication control procedures.~~
- ~~4. One Steward must be on duty at the collecting ring whenever it is in use.~~
- ~~5. Any irregularities must be reported immediately by the Chief Steward to the President of the Ground Jury.~~
- ~~6. The Chief Steward is required to send a report to the Secretary General on the stewarding of the Event as a whole and on any incident which occurs during that Event. A copy of the report must be given to the Technical Delegate, to the NF and to the OC of the Event.~~
- ~~7. The Chief Steward must be a person experienced in equestrian sports, particularly in the Discipline of the Event where he/she is appointed to officiate. He/She must speak at least one (1) official language of the FEI. The name of the appointed Chief Steward must be published in the schedule and in the programme of the Event.~~
- ~~8. The FEI maintains a list of all qualified FEI Stewards who have been recommended by their NFs and accepted by the relevant Technical Committee. The Chief Stewards must be selected from the appropriate list of FEI Stewards.~~
- ~~9. The qualifications for an FEI Steward are specified in the Sport Rules of each Discipline or in the FEI Stewards Manual.~~
- ~~10. The NFs must appoint a Steward General in order to maintain communication with the FEI on stewarding matters. The FEI maintains a list of all Stewards General.~~
- ~~11. The Steward General must:~~
  - ~~(i) attend an FEI seminar for Steward Generals;~~
  - ~~(ii) direct and control the stewarding of all International Events organised in his/her country.~~
- ~~12. Stewards are Officials.~~
  - ~~1. The appointments are regulated by the VRs.~~
  - ~~2. The FEI shall appoint the Foreign Veterinary Delegates for FEI World Cup™ Finals, FEI Nations Cup™ Finals, FEI World and Continental Championships, Regional & Continental Games, Youth Olympic Games, Paralympic Games and Olympic Games.~~

## Article 155 - Status and Liability of Officials

- ~~1. The qualification and requirements to be satisfied to act as an FEI Official at the respective levels are set out in the relevant Sports Rules and related education systems.~~
- ~~2-1. All Officials acting at or in relation to an International Event are acting on behalf of the FEI and therefore are not liable financially or otherwise for any acts, omissions or Decisions undertaken in good faith in connection with their duties.~~
- ~~3-2. An Official is administered by the NF of his/her country of citizenship or residency. If the Official is to be administered by the country of residency, the NF of his/her country of citizenship must approve. In the event the Official is also a registered Athlete, he/she can only be administered by the NF where he/she is registered as an Athlete.~~
- ~~4-3. An Official can only be administered by one NF. An Official holding multiple citizenships must chose, with concerned NFs and FEI approval, which of his/her NFs of citizenship will be his/her administering NF.~~
- ~~43.1 Any change of administering NF is subject to prior approval by the FEI and the concerned NFs.~~
- ~~43.2 In special situations, determination of the administering NF will be made by the FEI with the agreement of the Official and the concerned NFs.~~
- ~~5-4. All FEI Officials acknowledge and confirm that they will provide their contact details to the FEI and that such details may be shared with relevant third-parties, FEI stakeholders and organisations, such as OCs of FEI Events or National Federations.~~
- ~~6-5. In the context of betting, Officials must not, by any manner whatsoever, infringe the principle of fair play, show non-sporting conduct, or attempt to influence the result of a Competition in a manner contrary to sporting ethics. Any violations of the above will be subject to Chapter VII and VIII of the General Regulations and Chapter X of the Statutes.~~
- ~~7-6. An Official is not eligible to participate in an International Event or National Event (and so may not be invited or nominated to participate in such event) if he/she has participated, in the six (6) months prior to the first day of the International Event or National Event in question, in an Unsanctioned Event.~~
- ~~8-7. For purposes of Article 155.76, an 'Unsanctioned Event' is an event and/or a competition that is neither published in the official Calendar nor authorised by an NF and/or a National Event authorised or organised by a NF that is suspended by the FEI. Please also refer to the Appendix J for the rationale for the Unsanctioned Event Provisions.~~
- ~~9-8. The FEI may only apply Article 155.76, if the FEI has either (i) informed the Officials and their respective NFs of the competition(s) or event(s) that are considered as Unsanctioned Event(s) and/or (ii) published on FEI website the list of such Unsanctioned Event(s) so that~~

Officials and their respective NFs are on notice such notification/publication to occur no later than seven (7) days prior to the relevant competition(s) or event(s).

~~10.9.~~ Where it is then alleged by the FEI that an Official has participated in an Unsanctioned Event the FEI shall notify the relevant Official of the alleged participation in an Unsanctioned Event and shall give such Official an opportunity to be heard in either written or oral form.

~~11.10.~~ Any Decision of the FEI Secretary General to apply Article 155.76 may be appealed to the FEI Tribunal in accordance with Article 162.5 of the FEI General Regulations and the Internal Regulations of the FEI Tribunal.

~~12.11.~~ Instead of applying the sanctions provided for in Article 155.76 the FEI may issue:

(i) a "no fault" finding where:

(a) the Official participated in an event that would clearly have been sanctioned by the FEI or the NF but for administrative error by the organiser of the Unsanctioned Event; or

(b) exceptional circumstances exist ; or

(ii) a "Warning" in circumstances where the Official was reasonably aware that the event was not sanctioned (and there is no suggestion of an administrative error by the organiser) but has demonstrated that the event otherwise adhered to the objectives of the FEI as stated in the Appendix J.

~~132.~~ Officials are bound by all FEI Rules and Regulations while officiating and/or representing the FEI, and in particular by the FEI Officials' Code of Conduct, the FEI Code of Ethics and Conflict of Interest Policy and the FEI Code of Conduct for the Welfare of the Horse.

## **CHAPTER VIII – THE LEGAL SYSTEM**

### **Article 156 - Introduction**

#### **Overview**

156.1 The Legal System provides for the following:

- (a) The legal powers and responsibilities of Officials and bodies appointed under the Statutes, GRs and Sport Rules;
- (b) A scale of Sanctions;
- (c) A procedure for lodging Protests against the actions or behaviour of individuals or bodies under the jurisdiction of the FEI;
- (d) A process of Appeal to a higher body against Decisions taken or Sanctions imposed by any person or body acting under the Statutes, GRs or Sport Rules.

#### **Scope**

156.2 All Athletes, Owners, Support Personnel, accredited persons at Events and any other person taking part in or benefiting from FEI activities accept this Legal System and its dispute resolution mechanisms (which include an Appeal to the Court of Arbitration for Sport as final and binding arbitration) as a condition precedent to participation in FEI activities and/or to receiving any benefit from FEI activities.

### **Article 157 – Statute of Limitations**

157.1 There is a statute of limitation on prosecution by the FEI, which is time-barred after:

- (a) one (1) year for offences committed on the field of play or in its immediate vicinity;
- (b) five (5) years for all other offences;
- (c) ten (10) years for doping offences;
- (d) Match-fixing, bribery and corruption and offences falling under the FEI Safeguarding Policy against Harassment and Abuse are not subject to a statute of limitations.

The statute of limitation for violation of the ADRHA and the EADCM Rules are set out in the respective rules.

### **Article 158 - Ground Jury – Duties under the Legal System**

158.1 The Ground Jury deals with all Protests within the meaning of Article 161 provided that they relate to matters occurring during or in direct connection with an Event and that they are presented within the Period of the Event.

158.2 The Ground Jury shall be responsible for signing off the final result(s) of the relevant Competitions, unless specified otherwise in the Discipline Rules.

158.3 The Ground Jury must be available throughout the Period of the Event.

158.4 The Ground Jury may impose the following sanctions:

- (a) A Warning;
- (b) A Yellow Warning Card;
- (c) A fine of a maximum of CHF 2,000;
- (d) Elimination and/or Disqualification of an Athlete(s) and/or Horse(s) from a Competition(s) and/or from an Event.

### **Article 159 - FEI Tribunal**

159.1 The competence of the FEI Tribunal is defined in the Statutes (See Article 38).

159.2 The FEI Tribunal may impose the following sanctions, or, where appropriate, delegate the ability to do so to the FEI Secretary General and/or the FEI Legal Department:

- (a) A Warning;
- (b) A fine, taking into account the FEI Guidelines for Fines and Contributions towards Legal Costs;
- (c) Disqualification of Athlete(s) and/or Horse(s) from Competitions or from Events;
- (d) Suspension of a body for any period;
- (e) Suspension of individuals and Horses for any period up to Suspension for life;

- (f) A Provisional Suspension or such other interim relief or conservatory measures as it sees fit pending its final determination of the matter.

- 159.3 Appeals to the FEI Tribunal must be accompanied by a deposit to the FEI of the equivalent of CHF 500.
- 159.4 Decisions of the FEI Tribunal may also impose costs on unsuccessful parties taking into account the FEI Guidelines for Fines and Contributions towards Legal Costs.
- 159.5 Any person who has not paid any costs or fines as imposed by the FEI Tribunal or FEI Headquarters, and/or amounts due to the FEI (such as the costs of a judicial procedure before the FEI Tribunal and/or the CAS, B sample analysis fees, etc.) or has not complied with a requirement to repay/return prize money/prizes to an OC within thirty (30) days of receiving a demand for payment/repayment/return will be automatically suspended until the relevant amount is paid/repaid/returned in full.

## Article 160 - Court of Arbitration for Sport (CAS)

- 160.1 The CAS has the power to impose the same scale of sanctions as the FEI Tribunal.
- 160.2 The CAS may impose more severe sanctions than those imposed in the first instance, provided they are within the limits of the penalty jurisdiction of the body from which the Appeal to the CAS is brought.

## Article 161 - Protests

### **General Principles**

- 161.1 Protests may be lodged against any person or body involved in any capacity in an International Event or otherwise subject to the jurisdiction of the FEI including for failure to observe the Statutes, GRs or Sport Rules or violation of the common principles of behaviour, fairness, or accepted standards of sportsmanship, whether occurring during or in connection with an International Event or, in the case of Abuse of Horse, at any other time.

### **Decisions not subject to Protest**

- 161.2 There is no Protest against:
- (a) Decisions of the Ground Jury arising from the field of play, which are final and binding, such as, but not limited to:
- (i) where the Decision is based on a factual observation of performance during a Competition or the awarding of marks for performance;
  - (ii) whether an obstacle was knocked down; whether a Horse was disobedient; whether a Horse refused at an obstacle or knocked it down while jumping;
  - (iii) whether an Athlete or Horse has fallen;
  - (iv) whether a Horse circled in a combination or refused or ran out;
  - (v) the time taken for the round;
  - (vi) whether an obstacle was jumped within the time; and/or
  - (vii) whether, the particular track followed by an Athlete caused him/her to incur a penalty under the applicable Sport Rules.
- (b) The Elimination or Disqualification of a Horse for veterinary reasons, including non-acceptance of a Horse at a Horse Inspection unless otherwise specified;
- (c) The Elimination or Disqualification of an Athlete for medical reasons/fitness to compete;
- (d) The imposition of a Warning without additional sanctions or of a Yellow Warning Card, an Eventing Recorded Warning or an Incorrect Behaviour Card.

### **Protest Deadlines and Status of Decisions**

- 161.3 In order for a Protest to be validly filed, the following deadlines must be complied with regardless of whether the person filing the Protest is on-site at the relevant Event or not:

<b>Protest Concerning:</b>	<b>Applicable Deadline (i.e. no later than)</b>
The eligibility of an Athlete or Horse for a specific Competition or Event	Thirty (30) minutes before the start of the relevant Competition
The condition of the Arena	Thirty (30) minutes before the start of the relevant Competition



An obstacle, or the plan or length of the course for a Jumping Competition or the obstacle Phase of a Driving Competition	Fifteen (15) minutes before the start of the Competition
The cross-country obstacles or courses in Eventing or marathon course or obstacles in Driving, or the course in Endurance	18:00 (local time) on the day before the relevant Competition
Irregularities or incidents during a Competition	Thirty (30) minutes after the announcement of the results of the relevant Competition
The results of a Competition	Thirty (30) minutes after the announcement of the results of the relevant Competition
A Challenge to the procedures followed in the application or implementation of any FEI Rule.	Thirty (30) minutes after the notification of the application or implementation of such rule

#### **Authority to File Protests**

- 161.4 Protests may only be lodged by:
- the FEI,
  - Presidents or Secretary Generals of NFs,
  - Officials,
  - Chefs d'Equipe or, if there is no Chef d'Equipe, by a Person Responsible or a Team Veterinarian responsible for Horses taking part in the Event.
- 161.5 Protests concerning an abuse of Horse may be lodged by any person or body. See Article 142 (*Abuse of Horse*).

#### **Procedure for Filing a Protest**

- 161.6 All Protests must be in writing, signed by an authorised person within the meaning of Article 161.4 and be accompanied by any supporting evidence, including the names of witnesses (if any).
- 161.7 Protests to the Ground Jury shall be presented within the applicable time limit to the President of the Ground Jury or to any member of the Ground Jury if the President is not available together with the necessary deposit.

#### **Deposit**

- 161.7 A deposit of CHF 150 (or the local currency equivalent) must be paid on site in order for the Ground Jury to have jurisdiction to consider the Protest.
- 161.8 No deposit is required for Protests claiming Horse Abuse of any nature.
- 161.9 If the Protest is successful, the deposit will be refunded.
- 161.10 If the Protest is dismissed, the deposit will not be refunded.

#### **Protest Review by Ground Jury**

- 161.11 Before reaching a Decision on any Protest, the Ground Jury must:
- consider if it has jurisdiction or not (see Article 161.14 below)
  - examine the available evidence, whether written or oral;
  - hear all the parties concerned where appropriate under the rules (and provided they are available); and
  - take into account all relevant material, in every case trying to reach a fair and equitable Decision.
- 161.12 In Protests involving veterinary matters during Events the President of the Veterinary Commission or the Veterinary Delegate at an Event may provide advice to the Ground Jury.
- 161.13 The Ground Jury may request the advice and assistance of a representative of the FEI in order to ensure the correct Protest procedures, as set out in this Article 163, are complied with.

#### **Jurisdiction**

- 161.14 Where one party asserts that the Ground Jury does not have jurisdiction and/or the Ground Jury itself questions its jurisdiction, the Ground Jury must consider the arguments for and

against jurisdiction and render a Decision on jurisdiction prior to considering the merits of the Protest. If the Ground Jury decides that it does not have jurisdiction, it shall not proceed to consider or comment on the merits of the Protest.

#### ***Use of Video Evidence***

- 161.15 The use of all technical assistance available including, but not limited to, official video-recordings (an official video recording is considered to be a recording made by a broadcast network or a video company hired by the OC, except where specified otherwise in the relevant Sports Rules) is permitted to assist Officials in carrying out their responsibilities under FEI rules.
- 161.16 For a video recording to be accepted under FEI Rules for purposes of a Protest, it must be presented within the required time period as set out in Article 161.3 above.
- 161.17 If the Ground Jury relies on video evidence to alter the outcome of any Competition after the results have been communicated, such video recording must contain irrefutable evidence that the original ruling or Decision was incorrect. The use of video shall always be within the confines of the applicable rules and must never by its usage alter the Rules currently in effect.

#### ***Communication of Decision***

- 161.18 Where possible, Decisions must be notified in writing to the parties concerned.

#### ***Appeal***

- 161.19 Decisions of the Ground Jury arising from a Protest may be appealed to the FEI Tribunal according to the process set out in Article 162.3. Decisions covered by Article 161.2 are final and may not be appealed to the FEI Tribunal.

## **Article 162 - Appeals**

#### ***General Principles***

- 162.1 An Appeal may be lodged by any person or body with a legitimate interest against any Decision made by any person or body authorised under the Statutes, GRs or Sport Rules, provided it is admissible (see Article 162.2 below):
- (a) With the FEI Tribunal against Decisions of the Ground Jury or any other person or body.
  - (b) With the CAS against Decisions by the FEI Tribunal. The person or body lodging such Appeal shall inform the FEI Legal Department.

#### ***Admissibility of Appeals***

- 162.2 An Appeal is not admissible:
- (a) Against Decisions by the Ground Jury in cases covered by Article 161.2;
  - (b) Against Decisions made by the FEI Tribunal on Appeals arising from Decisions made by the Ground Jury.

#### ***Process for Filing an Appeal against a Ground Jury Decision***

- 162.3 Appeals to the FEI Tribunal against a decision of the Ground Jury arising from a Protest must be in writing, signed and accompanied by supporting evidence in writing or by the presence of one or more witnesses and must reach the FEI Tribunal no later than fourteen (14) days after the end of the Event.
- 162.4 A deposit to the FEI of the equivalent of CHF 500 must be paid in order for the Appeal to be admissible.

#### ***Process for Filing an Appeal against other FEI Decisions***

- 162.5 Appeals to the FEI Tribunal against other FEI Decisions (i.e. other than an Appeal against a Decision arising from a Protest) must be dispatched to the FEI Tribunal ([fei.tribunal@fei.org](mailto:fei.tribunal@fei.org)) and signed by the appellant or his/her authorised agent and accompanied by supporting evidence in writing or by the presence of one or more witnesses at a designated hearing and must reach the FEI Tribunal within twenty one (21) days of the date on which the notification of the earlier Decision was sent.
- 162.6 A deposit to the FEI of the equivalent of CHF 500 must be paid in order for the Appeal to be admissible.



**Process for Filing an Appeal to CAS**

- 162.7 Appeals to the CAS together with supporting documents must be dispatched to the CAS Secretariat pursuant to the Procedural Rules of the CAS Code of Sports-related Arbitration so as to reach the CAS within twenty-one (21) days of the date on which the notification of the FEI Tribunal Decision was sent to the National Federation of the Person Responsible.
- 162.8 Cross appeals and other subsequent appeals by any respondent named in cases brought to CAS under the FEI Rules and Regulations are specifically permitted. Any party with a right to appeal to CAS must file a cross appeal or subsequent appeal at the latest with its answer.

**Article 163 - Disciplinary Proceedings**

- 163.1 The FEI may, in its sole discretion, open a disciplinary case against a person or persons for any alleged breach of the FEI Rules and Regulations and/or any of the offences listed in Article 164.12 (*Offences*) below.
- 163.2 As a general principle, all disciplinary cases involving non – Minor offences will be initiated by the FEI as a “Claim” before the FEI Tribunal in accordance with the Internal Regulations of the FEI Tribunal.
- 163.3 An offence shall be considered “Minor” if the FEI, having carried out an assessment of the facts and relevant evidence, deems that any of the following sanctions, or any combination of those sanctions, would be appropriate given the circumstances:
- (a) Formal Warning;
  - (b) Fine not exceeding CHF2,000;
  - (c) Provisional Suspension;
  - (d) Suspension not exceeding 3 (three) months;
  - (e) Demotion of Official (following consultation with the FEI Director of Officials and the relevant FEI discipline director),
  - (f) Removal of an Official from a specific Event (following consultation with the FEI Director of Officials and the relevant FEI discipline director), (collectively, the “**Minor Sanctions**”).

**Administrative Disciplinary Procedure**

- 163.4 Minor offences shall be prosecuted according to the procedure set out below (the “**Administrative Disciplinary Procedure**”)
- 163.5 Where a person is accused of committing a Minor offence, the FEI will notify him/her via a “Minor Offence Notice of Charge” which shall set out the following information
- (a) All relevant details of the alleged offence;
  - (b) The Minor Sanction(s) proposed by the FEI;
  - (c) Information on how to elect to have the case processed via the Administrative Disciplinary Procedure.
- 163.6 The accused person may either
- (a) admit the offence and accept the proposed Minor Sanction; or
  - (b) deny the offence and elect to have their case dealt with under the Administrative Disciplinary Procedure by confirming this in writing to the FEI Legal Department no later than 14 (fourteen) days after receiving the Minor Offence Notice of Charge. The FEI may extend this deadline.
- 163.7 If the accused person does not provide the above confirmation within the applicable deadline or otherwise informs the FEI that he/she does not want to have their case processed under the Administrative Disciplinary Procedure, the FEI will submit the case to the FEI Tribunal for adjudication in accordance with the Internal Regulations of the FEI Tribunal. Once the case has been submitted to the FEI Tribunal, the offence shall no longer be considered as “Minor”. If, following the disciplinary proceedings before the FEI Tribunal, the FEI Tribunal upholds the charge and finds that the accused person has committed the offence in question, the FEI Tribunal shall impose a higher Sanction/Sanctions than those provided for in the list of Minor Sanctions.

- 163.8 Where a person elects to have their case dealt with under the Administrative Disciplinary Procedure, he/she shall be granted a right to be heard, by way of a hearing (via video or teleconference) and/or by way of a written submission prior to the FEI issuing its Decision.
- 163.9 After the accused person has exercised his/her right to be heard (or where he/she has waived such right), he/she will be notified in writing of the FEI's Decision. Decisions under the Administrative Disciplinary Procedure will be taken by FEI Headquarters, acting through the FEI Legal Department.
- 163.10 Decision issued under the Administrative Disciplinary Procedure may be appealed to the FEI Tribunal in accordance with the provisions of Article 165.2. In the related appeal proceedings, if the FEI's original Decision is upheld and the appeal is dismissed the FEI Tribunal shall impose a higher Sanction/Sanctions than those provided for in the list of Minor Sanctions.
- 163.11 Notwithstanding the foregoing, in urgent cases the FEI may impose an immediate Provisional Suspension via the Minor Offence Notice of Charge. The Provisional Suspension will remain in effect until such time as FEI Headquarters or the FEI Tribunal (as the case may be) issues its Decision or unless and until the Provisional Suspension is lifted in accordance with Article 163.12 below.
- 163.12 Where an immediate Provisional Suspension is imposed via the Minor Offence Notice of Charge, the suspended person may file an application to the FEI Tribunal to have the Provisional Suspension lifted.

## Article 164 - Sanctions

### *Types of Sanctions*

- 164.1 The Sanction(s) imposed in any given case can consist of any of the Sanctions set out in Articles 164.2 – 164.10 below. The level of the Sanction shall be decided according to the guidelines mentioned in Article 164.13 below and to the circumstances of the case.
- 164.2 **Warning**  
An oral or written warning is appropriate in cases of minor violations committed unintentionally and without significant consequences. In the discipline of Eventing, a Recorded Warning may be issued for certain rule violations. If the same Person Responsible receives three Recorded Warning within a 2 year (twenty four month) period, the Person Responsible shall be automatically suspended for a period of two (2) months after official notification from, or on behalf of, the FEI Secretary General.
- 164.3 **Yellow Warning Card**
- (a) A Yellow Warning Card may be issued to a Person Responsible by the President of the Ground Jury, the Chief Steward or the Technical Delegate during the Period of the Event for the following offences:
    - Abuse of Horse
    - Incorrect Behaviour by the Person Responsible and/or Incorrect Behaviour by a member of their entourage. For the purpose of this article, the term "entourage" shall mean the Person Responsible's parent, spouse or partner, family member, coach, trainer, groom, crew or other person directly connected with the Person Responsible and includes the owner(s) of the Person Responsible's Horse.
    - Non-compliance with applicable Sport Rules
    - Non-compliance with Protective Headgear Rules
  - (b) The Yellow Warning Card may be delivered either by hand or by any other suitable means. If after reasonable efforts the Person Responsible cannot be notified during the Period of the Event that he/she has received a Yellow Warning Card, the Person Responsible must be notified in writing within fourteen (14) days of the Event.
  - (c) A Yellow Warning Card may be issued in addition to any other Sanction(s) that may be issued in accordance with these GRs and/or the relevant Sport Rules.
  - (d) If the same Person Responsible receives one (1) or more Yellow Warning Card(s) at the same or any other International Event within one year of the delivery of the first Yellow Warning Card, the Person Responsible shall be automatically suspended for a period of two (2) months after official notification from, or on behalf of, the FEI Secretary General.
- 164.4 **Incorrect Behaviour Card**

- (a) In the discipline of Endurance the President of the Ground Jury, the Chief Steward or the Technical Delegate may issue an Incorrect Behaviour Card to an Athlete or Trainer for Incorrect Behaviour. An Incorrect Behaviour Card will result in 100 penalty points, Disqualification, and a suspension of two-months.

#### 164.5 **Fine**

- (a) A fine is appropriate particularly in cases where the offender has acted negligently.
- (b) All fines imposed under the Legal System are due to the FEI. They must not be paid to the OC or any other body but must be paid to the FEI on receipt of a demand.
- (c) Where a fine is imposed on an individual the FEI may issue the corresponding invoice to either:  
 - that individual's National Federation and it shall be the responsibility of the National Federation to ensure the payment of the fine within the relevant deadline;  
 or  
 - to the individual directly.
- (d) Any person who has not paid a fine within thirty (30) days of receiving a demand for payment will be automatically suspended until the fine is paid in full. If Fines are not paid within ninety (90) days from the date of issue, interest will automatically be charged at the end of each month at the rate of 10% p.a. If fines are inadvertently paid to the OC or any other person such fines shall be remitted to the FEI.

#### 164.6 **Disqualification**

- (a) Disqualification is appropriate when it is specified in the Statutes, GRs or Sport Rules, or if the circumstances require an immediate action. Disqualification from the Competition or Event may be retroactive.
- (b) Disqualification from a Competition means that the Athlete and/or Horse(s) concerned - even should they change ownership are removed from the list of starters and the classification and includes the forfeiture of prize money won in that particular Competition.
- (c) Disqualification from an Event means that the Athlete and/or Horse(s) - even should they change ownership - may take no further part in that Event and it may include (in addition to what is mandated under paragraph 4.1.) the forfeiture of any prize money won in previous Competitions at that Event where provided for in the Statutes, GRs or Sport Rules.

#### 164.7 **Suspension**

- (a) During the period of a Suspension the person, Horse or body suspended may take no part in Competitions or Events as an Athlete, Horse or Official or in the organisation of, any Event under the jurisdiction of the FEI or any Event under the jurisdiction of an NF in accordance with the Statutes or in any FEI related activity (e.g. FEI courses, meetings, General Assembly etc.).
- (b) If so specified in the relevant Notification/Decision, the person may be barred temporarily or for a specific period of time from participating in or attending, in any capacity, including as a spectator, any Competition or Event that is authorised or organised by the FEI or any National Federation.
- (c) The Suspension may be provisional or final and may be imposed on such terms and subject to conditions as the FEI Tribunal, the FEI Headquarters or the FEI Secretary General, as the case may be, may impose. In certain cases a Provisional or Final Suspension may be automatic under the Statutes, GRs or Sport Rules.
- (d) As a general principle, a Suspension will start as of the date of notification of the Suspension. However, the body imposing or applying the Suspension may postpone the start date of the Suspension in order to ensure the effectiveness of the Suspension.

#### 164.8 **Removal of an Official from an Event**

#### 164.9 **Removal of an Official from the FEI List of Officials**

#### 164.10 **Demotion of an Official**

**Sanctions under the EADCM Regulations and the FEI Anti-Doping Rules for Human Athletes**

164.11 Notwithstanding anything to the contrary indicated in Articles 164.1 – 164.10 above, the sanctions provided for in the EADCM Regulations and the FEI Anti-Doping Rules for Human Athletes will be applicable in cases falling under such rules and Regulations.

**Offences**

164.12 In addition to breaches of specific provisions of the FEI Rules and Regulations, the following is a list of other offences that the FEI may sanction:

- (a) Incorrect Behaviour;
- (b) Abuse of Horse;
- (c) Acts defined as criminal by the national law and/or Swiss law ("Criminal Acts");
- (d) Fraud of any kind;
- (e) Violence;
- (f) Failure to cooperate with an investigation undertaken by, or on behalf of, the FEI;
- (g) Conduct that brings the FEI and/or equestrian sport into disrepute, i.e. conduct that causes the public opinion of the FEI and/or equestrian sport to be diminished.
- (h) Breach of the FEI Code of Ethics;
- (i) Breach of the FEI Code of Conduct on the Welfare of the Horse;
- (j) Breach of the FEI Code on the Manipulation of Competitions;
- (k) Breach of the FEI Officials Code of Conduct;
- (l) Breach of the FEI Safeguarding Policy against Harassment and Abuse.

**General Sanctioning Principles and Table of Sanctions**

164.13 In deciding on the appropriate sanctions to be imposed and whether to categorise the offence in question as "low-end", "mid-range", "top-end" or "max", the body imposing the Sanction shall consider the following factors, together with any other relevant factors:

- (a) Whether the action or omission resulted in an unfair advantage to the offender or an Athlete.
- (b) Whether the action or omission resulted in a material disadvantage to any other person or body involved.
- (c) Whether the action or omission involved the maltreatment of Horses.
- (d) Whether the action or omission affected the dignity or integrity of any person involved in the sport.
- (e) Whether the action or omission involved fraud, violence or abuse or similar criminal acts.
- (f) Whether the action or omission was deemed to be deliberate.

164.14 The following table sets out the sanctions that will apply for certain offences listed in Article 164.12 above. Where an offence is not listed in the table below, general sanctioning power will apply and sanctions may be imposed in accordance with this Article 164.

Offence	Low-End	Mid-Range	Top-End	Max
Incorrect Behaviour	2 months	Up to 6 months	Up to 1 year	2 years
	Up to CHF1,500	CHF1,500 – 3,000	CHF3,000 – 7,000	CHF10,000
Abuse of Horse	3 months	3 months – 2 years	2 – 5 years	Life
	CHF 1000 – 1,500	CHF2,000 – 3,000	CHF5,000 – 10,000	CHF15,000
Criminal Acts, Fraud, Violence	1 month	3 months – 2 years	2 – 5 years	Life
	CHF 1000 – 1,500	CHF2,000 – 3,000	CHF5,000 – 7,500	CHF10,000
Failure to cooperate with an investigation	1 month	Up to 6 months	Up to 9 months	1 year
	Up to CHF1,500	CHF1,500 – 3,000	CHF3,000 – 7,000	CHF10,000
Bringing the FEI and/or equestrian sport into disrepute	1 month	Up to 6 months	Up to 9 months	1 year
	Up to CHF1,500	CHF1,500 – 3,000	CHF3,000 – 7,000	CHF10,000



Breach of the FEI Code on the Manipulation of Competitions	Warning	3 months - 2 years	Up to 5 years	Life
	CHF 1000 – 1,500	CHF2,000 – 3,000	CHF5,000 – 10,000	CHF15,000
Breach of the FEI Safeguarding Policy against Harassment and Abuse	Warning	3 months - 2 years	2 years to 10 years	Life
	CHF 1000 – 1,500	CHF2,000 – 3,000	CHF5,000 – 10,000	CHF15,000

## Article 165 - Time of Implementation of Decisions

165.1 Decisions are effective from the date of oral or written notification to the affected party or parties, so long as such notification is possible under the circumstances. Otherwise, Decisions are effective as of the date specified by the body or person authorised to make the Decision.

## Article 166 - Reports, Recording Protests and Penalties

### *Officials' Reports*

166.1 Officials are required to send Reports to the relevant Sports Department, or Veterinary Department in the case of veterinary reports, at the conclusion of Events, in accordance with these GRs and the applicable Sport Rules. Matters giving rise to Protests must be included in such Reports.

166.2 Where an Official has failed to return the Report within the designated time and without a valid reason, the Official shall be sanctioned by the FEI, first with a Warning (with a copy to the Official's NF) and then by a Suspension until the outstanding Report(s) has been provided to the FEI or such other Sanction as the FEI deems appropriate in the circumstances.

### *Recording of Protests and Sanctions by Officials*

166.3 The Foreign Judge (Technical Delegate for Eventing) must include in his/her report to the FEI all Reports and Protests received by the Ground Jury as well as all Decisions awarded and sanctions imposed by the Ground Jury on these and other relevant matters.

### *Recording by FEI Headquarters*

166.4 FEI Headquarters shall be responsible for:

- (a) Recording delivery of Yellow Warning Cards and notifying any suspension thereof;
- (b) Recording proceedings of the FEI Tribunal and Decisions of the CAS;
- (c) Notifying the Decisions of these bodies, including the dates on which they become effective, to the parties concerned;
- (d) Publication of all Decisions which he/she believes warrant publication or which have to be published;
- (e) Processing reports from Event Officials.

## CHAPTER IX – GENERAL PROVISIONS

### Article 167 - Governing Law & Jurisdiction

167.1 These FEI General Regulations operate globally, regulating the conditions for participation in international-level events held around the world. As such, insofar as possible these FEI General Regulations are to be interpreted and applied not by reference to national or local laws, but rather as an independent and autonomous text, and in a manner that protects and advances the imperatives identified in the Preamble.

167.2 These FEI General Regulations and any dispute arising out of or in connection with them (including any dispute or claim relating to non-contractual obligations) shall be governed by and construed in accordance with Swiss law.

167.3 Any disputes or claims or other matters arising in relation to these FEI General Regulations (including any non-contractual disputes or claims) shall be resolved in

accordance with the dispute resolution mechanisms set out in the FEI Statutes or the FEI Rules and Regulations.

### **Article 168 - Personal Data**

- 168.1 All personal data submitted by Athletes, Trainers, teams, Chefs d'Equipe, Grooms, National Federations, Owners and/or other persons participating in Events under these FEI General Regulations will be processed in accordance with applicable data protection laws. All persons bound by these FEI General Regulations acknowledge and agree that personal data is processed by the FEI for the purposes of administering Events, enforcing these FEI General Regulations (and other applicable FEI Rules and Regulations), and maintaining the integrity of the sport.

### **Article 169 – Matters Not Otherwise Provided For**

- 169.1 Where a matter arises for which provision has not been made in these FEI General Regulations or in the other FEI Rules and Regulations, (a) the Ground Jury (if the matter occurs on-site during an Event), and (b) the FEI Headquarters (if the matter occurs outside an Event) will provide for the matter as they/it see(s) fit, in a manner that protects and advances the imperatives identified in Article 100.

### **Article 170 – Communications**

- 170.1 Any communications required to be made by the FEI (or any of its representatives) under these FEI General Regulations will be sent (by post, fax, email or otherwise at the FEI's discretion) to either:
- (a) the individual in question directly; or
  - (b) the National Federation to which the individual is affiliated in accordance with (in which case it will be the responsibility of the National Federation to ensure that the communication is passed to the relevant individual(s)).
- 170.2 A person will be deemed to have received a communication from the FEI under these FEI General Regulations:
- (a) if sent by fax or email, on the business day such fax or email is sent (or, if not sent on a business day, on the first business day after the day on which it is sent); and
  - (b) if sent by post, three business days after the day upon which the notification is posted to the person and/or National Federation. For these purposes, a 'business day' means a day on which the banks are open for business in the country/territory of the country in which the individual is residing/the National Federation is located.
- 170.3 Any communications required to be made to the FEI under these FEI General Regulations must, unless otherwise specified by the FEI, be made by email to:

Federation Equestre Internationale  
FAO Legal Director  
HM King Hussein I Building  
Chemin de la Joliette 8  
1006 Lausanne  
Switzerland  
Email: info@fei.org

### **Article 171 - Waiver of claims and limitation of liability**

- 171.1 Subject to Article 171.2, by registering with the FEI, submitting an entry and/or participating in any FEI Event, each person agrees to waive all claims of any kind, nature and description, for themselves and for their executors, administrators, heirs and personal representatives, including past, present and future claims, against each of the FEI (and its designees), any National Federations, OCs, FEI or FEI Event sponsors and any other FEI or FEI Event commercial partners, for any injuries, losses or damages sustained in travelling to and/or from any FEI Event venue and/or while participating in any FEI Event.



- 171.2 The FEI shall not be liable to any persons or entities for any loss incurred as a result of a delayed, cancelled or rescheduled Competition and/or Event.
- 171.3 Nothing in this Article excludes or limits the liability of the FEI (and its designees), any OC, FEI or FEI Event sponsors, or FEI or FEI Event commercial partners, (i) for death or personal injury caused by their (respective) negligence; (ii) for fraud; or (iii) to the extent that such exclusion or limitation is not permitted by applicable law.

### **Article 172 - Severability**

- 172.1 A ruling by a competent authority that any provision of the FEI Rules and Regulations is invalid or unenforceable shall not affect the legal enforceability of the relevant FEI Rule and Regulation as a whole. Instead, such provision shall be replaced by the FEI with a valid and enforceable replacement provision as close as possible in effect to the replaced provision.

### **Article 173 - Amendments**

- 173.1 These FEI General Regulations may be amended as specified in the FEI Statutes. Consequently, no person or entity acquires any accrued or vested rights or legitimate expectation under these FEI General Regulations in relation to future Events.

## APPENDIX A - Definitions

**Abuse of Horse:** An action or omission which causes or is likely to cause pain or unnecessary discomfort to a Horse, including, but not limited to, any of the following:

- To whip or beat a Horse excessively;
- To subject a Horse to any kind of electric shock device;
- To use spurs excessively or persistently;
- To jab the Horse in the mouth with the bit or any other device;
- To compete using an exhausted, lame or injured Horse;
- To "rap" a Horse.
- To abnormally sensitise or desensitise any part of a Horse;
- To leave a Horse without adequate food, drink or exercise;
- To use any device or equipment which causes excessive pain to the Horse upon knocking down an obstacle.

**Adult Athlete:** An Athlete aged eighteen (18) or older.

**Athlete:** Any person taking part in an FEI Event, including but not limited to, a rider, a lunger, a driver, or a vaulter.

**Athlete Living Outside His/Her Country of Nationality:** An Athlete who spends more than six (6) months of a year in one foreign country (the "host country").

**Caribbean Islands:** Anguilla, Antigua, Aruba, Bahamas, Barbados, British Virgin Islands, Cayman Islands, Cuba, Dominican Republic, Grenada, Guadeloupe, Haiti, Jamaica, Martinique, Montserrat, Netherlands Antilles, Puerto Rico, Saint Barthélemy, Saint Kitts-and-Nevis, Saint Lucia, Saint Martin, Saint Vincent-and-the-Grenadines, Trinidad-and-Tobago, Turks-and-Caicos Islands, United States Virgin Islands

**Category:** A defined group of Athletes for whom the Event or Competition is organised.

**Central America:** The seven states of Belize, Costa Rica, El Salvador, Guatemala, Honduras, Nicaragua, and Panama

**Championship (CH):** An Event for any Discipline and organised by NFs for Athletes from a well-defined geographical area. See Article 104.

**Children:** Category of Athletes who may take part in FEI "Children" Competitions. Inclusion of Athletes in this category takes place from the year they reach the age of twelve (12) until the end of the year they reach the age of fourteen (14).

**Competition:** Refers to each individual class in which Athletes are placed in an order of merit and for which prizes may be awarded.

**Concours Complet d'Équitation (CC):** Any Event where the Competitions are exclusively for the Discipline of Eventing.

**Concours d'Attelage (CA):** Any Event where the Competitions are exclusively for the Discipline of Driving.

**Concours d'Endurance (CE):** Any Event where the Competitions are exclusively for the Discipline of Endurance Riding.

**Concours de Dressage (CD):** Any Event where the Competitions are exclusively for the Discipline of Dressage.

**Concours de Reining (CR):** Any Event where the Competitions are exclusively for the Discipline of Reining.

**Concours de Saut d'Obstacles (CS):** Any Event where the Competitions are exclusively for the Discipline of Jumping.

**Concours de Voltige (CV):** Any Event where the Competitions are exclusively for the Discipline of Vaulting.

**Concours International Combiné (CIC):** Any Event where the Competitions are exclusively for International One Day Event.

**Concours International (CI):** An International Event of any Discipline. See Article 102.

**Concours International Mineur (CIM):** A Minor International Event. (See Appendix E).

**Concours International Officiel (CIO):** An Official International Event of any Discipline. See Article 103.

**Concours Hippique (CH):** Any Event which includes Competitions for more than one Discipline.

**Concours National (CN):** A National Event of any Discipline.

**Concours Para-Equestrian (CPE):** Any Event where the Competitions are exclusively for Equestrian Athletes with disabilities.

**Continent(s):** The FEI follows the same continental groupings of the IOC.

**Discipline:** Any Equestrian Discipline approved by the General Assembly, such as Dressage, Jumping, Eventing, Driving, Endurance, Vaulting, Reining, and Para-Equestrian.

**Disqualification:** Unless the Sport Rules provide otherwise, Disqualification means that an Athlete and/or the Horse(s), is/are disqualified from the Competition at issue or from any further Competition(s) of the Event. Disqualification may also be retroactive.

**Elimination:** Unless the Sport Rules provide otherwise, Elimination means that an Athlete and/or a Horse may not continue in the Competition at issue and/or in any further Competition(s) of the Event.



**Event:** A complete meeting, "Show", "Championship" or "Games". Events may be organised for one or more than one Discipline.

**FEI-named Series:** A Series as defined below and where the FEI owns the title(s), logo(s), Competition formulae and where the FEI has the exclusive right to exploit all media, including new media, Internet and TV in all its forms, as well as the sponsorship, betting, and marketing rights of such FEI-named Series according to the conditions set forth in article 133 of the GRs.

**FEI Recognition Card:** An accompanying document to a National Passport approved by the FEI and mandatory (unless there is an FEI Passport) for every Horse entered for any Competition at CNs or CIMs (see Appendix E) in a foreign country (see Article 139.2), and for all Horses entered for other CIs, CIOs, FEI Championships, Regional, Olympic Games and Paralympic Games, whether at home or in foreign countries

**FEI Rules and Regulations:** Any rule and regulation duly approved by an appropriate body of the FEI, including but not limited to Statutes, General Regulations, and Sport Rules.

**Force Majeure Event:** Any event or circumstance arising from or attributable to acts, events, omission or accidents which are not reasonably foreseeable by, and which are beyond the reasonable control of and which could not have been reasonably prevented by, a party.

**Horse:** Refers also to a Pony or other member of the genus Equus unless the context requires otherwise. A Horse shall be born from a mare.

**Incorrect Behaviour:** Any form of unsportsmanlike or improper conduct towards (a) Officials or any other party connected with the Event (other Athletes, journalist, volunteers, OC staff, FEI representatives, members of the public etc.) and/or (b) Doping Control Officers, Testing Veterinarian, Chaperones and/or any other person involved in the collection of a doping control sample. Examples of Incorrect Behaviour include, but are not limited to, (i) shouting aggressively or using offensive language (swearing); (ii) physical violence, (iii) making inappropriate gestures; (iv) issuing a threat; (v) failure or refusal to comply with the reasonable instructions of an Official, the FEI or the OC; (vi) damaging the property of another person; (vii) inciting or encouraging others to engage in Incorrect Behaviour; (viii) engaging in conduct that endangers others persons or Horses; or (ix) assisting, encouraging, aiding, abetting, conspiring, covering up a rule violation (or attempted rule violation) by another person.

**Judge:** Member of a Ground Jury appointed to control a Competition or Event, as described in art. 144.

**Junior:** Category of Athletes who may take part in FEI Competitions for Juniors from the year they reach the age of fourteen (14) until the end of the year they reach the age of eighteen (18).

**Lessee:** A person or entity who receives the use and possession of leased property (e.g. Horse) from a lessor.

**Official:** A person appointed by the FEI or by an Organising Committee and/or NF to perform a specifically defined officiating duty at an FEI Event.

**Organiser or Organising Committee (OC):** Any organisation, group, society, body, or person which is recognised by the applicable NF and held to be responsible for the management of any Event.

**Owner:** Person or entity having a property interest in whole or in part of one or more horses.

**Participating Support Personnel:** shall mean a groom who takes part in an FEI Competition, such as in Driving.

**Pay Card:** Any remuneration paid in exchange for an invitation to compete at an FEI Event.

**Period of an Event:** commences one hour before the beginning of the first Horse Inspection and terminates half an hour after the announcement of the final results in the relevant Discipline, unless the Sport Rules for the respective Discipline provide otherwise.

In the Olympic Games the period of an Event coincides with the Olympic Sojourn as established by the IOC.

In the Paralympic Games the period of an Event coincides with the Paralympic Sojourn as established by the IPC.

**Phase:** Refers to separate parts of a Competition at the same Event which are taken together to arrive at the final classification.

**Pony Rider:** in Jumping, Eventing and Dressage, category of Athletes who may take part in FEI Competitions for Pony Riders from the year in which they reach the age of twelve (12) until the end of the year they reach the age of sixteen (16).

**Protective Headgear:** Appropriate helmet or Headgear that is in compliance with the applicable international testing standards (please refer to the list of the applicable international testing standards published on the FEI website).

**Report:** a formal record of facts, incidents, Decisions, Protests, Warnings, penalties, and/or any other relevant matters arising during or in connection with an Event.

**Restricted Area:** means the grounds of the Event under the control of the OC.

**Round:** Two or more consecutive circuits of the same, or a similar, course as part of a single Competition.

**Sanction:** Any of the disciplinary sanctions listed in Article 164.

**Schedule:** An official form approved by the FEI outlining the relevant information of an Event, including but not limited to the dates and location of the Event, the dates by which entries must be received, the Disciplines in which Competitions will be held, the programme of Competitions, the categories, nationalities and other relevant details of invited Athletes and Horses, the stabling and



accommodation available, the value of the prizes and their distribution, and any other relevant details.

**Series:** A number of International Competitions held successively at different Events and the results of which may lead to a final classification or qualify Horses and/or Athletes for a final Event or Competition or prize. The Events do not need to be held in different countries.

**Sport Rules:** As defined in the Statutes, and shall include but not be limited to Rules for the Equestrian Disciplines, Veterinary Regulations, Equine Anti-Doping and Controlled Medication Control Regulations, Anti-Doping Rules for Human Athletes, Olympic Regulations, and Paralympic Regulations.

**Suspension:** Has the meaning set out in article 164.7 of the GRs.

**Unsanctioned Event:** An event and/or a competition that is neither published in the official Calendar nor authorised by a NF and/or a National Event authorised or organised by a NF that is suspended by the FEI.

**U25:** Athletes may compete in the U25 category from the beginning of the calendar year in which they reach the age of sixteen (16) until the end of the calendar year in which they reach the age of twenty five (25).

**Young Rider:** category of Athletes who may take part in FEI Competitions for Young Riders from the beginning of the year they reach the age of sixteen (16) until the end of the year they reach the age of twenty one (21).

**Warning:** Verbal and/or written reprimand advising a person or a body of potential future consequences which will occur if the admonishment is not followed.

Any other terms with a capitalised letter in the GRs are defined in the Statutes.

## **APPENDIX B - Olympic Charter: Rule 40 and by-law to Rule 40**

*(Extract from the Olympic Charter, version in force as from 9 October 2018)*

### **40 Participation in the Olympic Games**

To participate in the Olympic Games, a competitor, team official or other team personnel must respect and comply with the Olympic Charter and World Anti-Doping Code, including the conditions of participation established by the IOC, as well as with the rules of the relevant IF as approved by the IOC, and the competitor, team official or other team personnel must be entered by his NOC.

#### **By-Law To Rule 40**

1. Each IF establishes its sport's rules for participation in the Olympic Games, including qualification criteria, in accordance with the Olympic Charter. Such criteria must be submitted to the IOC Executive Board for approval.
2. The application of the qualification criteria lies with the IFs, their affiliated national federations and the NOCs in the fields of their respective responsibilities.
3. Except as permitted by the IOC Executive Board, no competitor, team official or other team personnel who participates in the Olympic Games may allow his person, name, picture or sports performances to be used for advertising purposes during the Olympic Games.
4. The entry or participation of a competitor in the Olympic Games shall not be conditional on any financial consideration.



## **APPENDIX C - Certificate of Capability**

**To be returned to the FEI accompanied by a "Record of Results" not later than:**

For FEI World Championships, the date corresponding to the nominated entries.

For Olympic Games, the date corresponding to the nominated entries for the Games (see FEI Olympic Regulations).

The undersigned, acting as President of the National Federation of \_\_\_\_\_ hereby declares that the combinations, Athletes and Horses mentioned below have the required experience and qualifications to take part in the Equestrian Events at the Olympic Games/FEI World Championships for which they have been entered, and that their performances comply entirely with the standards as set forth in the Sport Rules.

### **Qualified Combinations**

ATHLETES Surnames & first names	HORSES Names & Passport No.
(maximum of double the number of Athletes & Horses allowed to be sent to FEI Championships)	(age, colour, sex, breed, Stud book Registration number & names of sire & dam if known)

Date:

Name and Signature of the President or Secretary General of the NF (name in capital letters)

Seal of the NF

## APPENDIX D - Article 108 Championships Table

Discipline	Summer Olympic Year	Summer Olympic Year + 1	Summer Olympic Year + 2	Summer Olympic Year + 3
JUMPING	OLYMPIC Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES/FEI World Championships Individual and Team	CONTINENTAL Individual and Team
EVENTING	OLYMPIC Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES/FEI World Championships Individual and Team	CONTINENTAL Individual and Team
DRESSAGE	OLYMPIC Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES/FEI World Championships Individual and Team	CONTINENTAL Individual and Team
DRIVING	WORLD Four-in-Hand Individual and Team Singles: Individual and Team	WORLD Pairs Individual & Team WORLD Combined Ponies: Individual and Team CONTINENTAL Four-in-Hand	WORLD EQUESTRIAN GAMES/FEI World Championships Four-in-Hand Individual and Team WORLD Singles: Individual and Team	WORLD -Pairs Individual & Teams WORLD Combined Ponies: Individual and Team CONTINENTAL Four-in-Hand
ENDURANCE	WORLD Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES/FEI World Championships Individual and Team	CONTINENTAL Individual and Team



REINING	WORLD Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES/FEI World Championships  Individual and Team	CONTINENTAL Individual and Team
VAULTING	WORLD Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES/FEI World Championships  Individual and Team	CONTINENTAL Individual and Team
YOUNG RIDERS	CONTINENTAL (Jumping - Eventing - Dressage -Endurance - Reining - Driving) Individual and Team	CONTINENTAL (Jumping - Eventing - Dressage --Individual and Team  WORLD (Endurance - Reining) Individual and Team	CONTINENTAL (Jumping - Eventing - Dressage -Endurance - Reining - Driving) Individual and Tea	CONTINENTAL (Jumping - Eventing - Dressage --) Individual and Team  WORLD (Endurance - Reining) Individual and Team
JUNIORS	CONTINENTAL (Jumping - Eventing - Dressage -Endurance - Reining - Driving - Vaulting) Individual and Team	CONTINENTAL (Jumping - Eventing - Dressage --) Individual and Team  WORLD (Endurance-Vaulting - Reining) Individual and Team	CONTINENTAL (Jumping - Eventing - Dressage -Endurance - Reining - Driving - Vaulting) Individual and Team	CONTINENTAL (Jumping - Eventing - Dressage --) Individual and Team  WORLD (Endurance - Vaulting - Reining) Individual and Team
CHILDREN	CONTINENTAL Each year (Jumping and Dressage - Driving)	CONTINENTAL Each year (Jumping and Dressage)	CONTINENTAL Each year (Jumping and Dressage - Driving)	CONTINENTAL Each year (Jumping and Dressage)



	<p>WORLD FINAL</p> <p>Each year (Jumping -) Individual</p>	<p>WORLD FINAL</p> <p>Each year (Jumping -) Individual</p>	<p>WORLD FINAL</p> <p>Each year (Jumping -) Individual</p>	<p>WORLD FINAL</p> <p>Each year (Jumping -) Individual</p>
PONIES	<p>CONTINENTAL</p> <p>Each year (Jumping - Eventing - Dressage) Individual and Team</p>			
PARA-EQUESTRIAN	<p>PARALYMPIC GAMES: Olympic Year ; WORLD CHAMPIONSHIPS: Each even year for Para-Equestrian Driving; WORLD EQUESTRIAN GAMES/FEI World Championships: Summer Olympic Year +2 for Para-Equestrian Dressage; CONTINENTAL CHAMPIONSHIPS: Twice every 4 years, or at least once between each Paralympic Games</p>			

**APPENDIX E – CIMs**

DISCIPLINE:	LEVEL:
JUMPING	CSI1* & CSI2*, CSIYH1* & CSIYH2*
	CSI, Y, J, Ch, V, Am, U25 – Category A & B,
EVENTING	CCI1* & CCI2*-L or S, & CCI3*-L or S
	CCIP1-L or S & CCIP2-L or S, CCIJ1*-L or S, CCIY2*-L or S, CCIYH1*-L or S, CCIYH2*-L or S, CCIU252*-L or S
DRESSAGE	CDI1* & CDI2*
	CDI Y, J, Ch, P, YH, Am, U25
DRIVING	CAI1* & CAI2*
	CAI Y, J, Ch, YH
ENDURANCE	CEI1* & CEI2*
	CEIYJ1* & CEIYJ2*
VAULTING	CVI1* & CVI2*
	CVI J, Ch
REINING	CRI1* & CRI2*
	CRI Y, J, Ch
PARA-EQUESTRIAN	CPEDI1*, CPEDI2*, CPEAI1* & CPEAI2*



## **APPENDIX F - FEI CODE OF ETHICS**

To recognize the interests of all the constituencies of equestrianism including National Federations, Athletes, Owners, Organisers, Officials, sponsors, and the FEI itself to foster their harmonious collaboration and to formalise the collective commitment to the Olympic ideal.

The achievement of this goal and the image of Equestrian Sport are dependent on the respect of the following ethical principles that are compatible with maintenance of the values, spirit and ideals of Equestrian Sport and its part in the Olympic Movement.

Consequently, all participants in Equestrian Sport, including but not limited to Athletes (and their Support Personnel), Owners, Organisers, Officials, sponsors, and FEI volunteers and staff undertake to respect and be bound at all times by the present Code, and by the IOC Code of Ethics where applicable.

### **A. DIGNITY**

1. Safeguarding the dignity of the equine and human Athlete is a fundamental requirement of equestrianism.
2. There shall be no discrimination between the human Athletes on the basis of race, gender, ethnic origin, religion, philosophical or political opinion, marital status or other grounds.
3. All doping practices at all levels are strictly prohibited. The provisions against doping in the Equine Anti-Doping and Controlled Medication Regulations and the FEI Anti-Doping Rules for Human Athletes shall be scrupulously observed.
4. All forms of harassment of Athletes, be it physical, professional or sexual, and any physical or mental injuries to participants, are prohibited.
5. All forms of participation in, or support for betting on the Olympic Games, and all forms of promotion of betting related to the Olympic Game are prohibited.
6. Also, in the context of betting, participants in the Olympic Games and FEI Events must not, by any manner whatsoever, infringe the principle of fair play, show non-sporting conduct, or attempt to influence the result of a competition in a manner contrary to sporting ethics.
7. FEI constituents shall guarantee the Athletes conditions of safety, well-being and medical care favourable to their physical and mental equilibrium.

### **B. INTEGRITY**

1. FEI representatives shall not, directly or indirectly, solicit, accept or offer any form of personal remuneration or commission, nor any concealed benefit or service of any nature, connected with the organisation of FEI Events that is not part of the official negotiation process or set forth in the contract with the Organiser or Organising Committee.
2. Only gifts of nominal value, in accordance with prevailing local customs, may be given or accepted by FEI Board members, FEI volunteers, or FEI staff by or to any member of an Organising Committee bidding for an FEI Event, as a mark of respect or friendship. Any other gift must be passed on to the organisation of which the beneficiary is a member.
3. The hospitality shown by any Organising Committee bidding for an FEI Event to the volunteers and members of FEI staff as well as persons accompanying them, shall not exceed the standards prevailing in the host country.
4. Conflicts of interest, whether real or perceived, are to be avoided.
5. Even the appearance of misconduct or impropriety should be recognized as damaging to the FEI's reputation, and should therefore be avoided.
6. FEI constituents must not be involved with organisations, firms or persons whose activity or reputation is inconsistent with the principles set out in the present Code.

### **C. GOOD GOVERNANCE AND RESOURCES**

1. The basic universal principles of good governance of the FEI, in particular transparency, responsibility and accountability, must be respected by all FEI constituents.
  2. The resources available to FEI volunteers and staff may be used only for FEI purposes.
  3. The FEI's income and expenditures shall be recorded in its accounts, which must be maintained in accordance with generally accepted accounting principles. An independent auditor will check these accounts.
- 3-11.1** In cases where the FEI gives financial support to any National Federation, Organising Committee, or other person or entity:
- a) The use of these FEI resources must be clearly demonstrated in the accounts of the recipient;
  - b) The accounts of the recipient may be subjected to auditing by an expert designated by the FEI Executive Board upon reasonable notice to the recipient.
4. FEI constituents recognise the significant contribution that broadcasters, sponsors, partners and other supporters of equestrian events make to the development and prestige of FEI Events throughout the world. However, such support must be in a form consistent with the rules of sport and the principles defined in the FEI Rules and Regulations, the Olympic Charter and the present Code. They must not interfere in the running of the sport. The



organisation and staging of Equestrian competitions are the exclusive responsibility of the FEI and, where appropriate of the National Federations and/or Organisers recognised by the FEI.

#### **D. BIDDING FOR CHAMPIONSHIPS/EVENTS**

The documents and/or information published by the FEI linked to the selection of host Organisers of the FEI World Equestrian Games/FEI Championships and FEI World Cup Finals shall be respected. The cities wishing to organise these FEI events shall, refrain from approaching another party, or a third authority, with a view to obtaining any financial or political support inconsistent with the provisions of such documents and/or information and/or the present Code.

#### **E. RELATIONS WITH STATES**

1. National Federations and/or Organising Committees shall work to maintain harmonious relations with state authorities, in accordance with the principles of universality and political neutrality.
2. National Federations and/or Organising Committees are free to play a role in the public life of the states to which they belong. They may not, however, engage in any activity or follow any ideology inconsistent with the principles and rules defined in the Olympic Charter and set out in the present Code.
3. National Federations and/or Organising Committees shall endeavour to protect the environment on the occasion of any events they organise.

#### **F. CONFIDENTIALITY**

FEI constituents shall not disclose information entrusted to them in confidence. Disclosure of other information shall not be for personal gain or benefit, nor be undertaken maliciously to damage the reputation of any person or organisation.

#### **G. IMPLEMENTATION**

1. The FEI Headquarters shall see to it that the principles and rules of FEI Rules and Regulations, the Olympic Charter and the present Code are applied.
2. The FEI Headquarters shall inform the FEI President of any breach of the present Code, with a view to possible referral to an FEI Ethics Panel or the Equestrian Community Integrity Unit.
3. The FEI Executive Board may, if it wishes, set out the provisions for the implementation of the present Code in a set of Implementing Provisions.

The principles listed above form the FEI Code of Ethics which all FEI constituents must comply with as a condition of representing or participating in FEI activities in any form and under any circumstances.

## **APPENDIX G - FEI CODE ON THE PREVENTION OF THE MANIPULATION OF COMPETITIONS**

### PREAMBLE

- a. Acknowledging the danger to sports integrity from the manipulation of sports competitions, all sports organisations, in particular the International Olympic Committee, all International Federations, National Olympic Committees and their respective members at the Continental, Regional and National level and IOC recognised organisations (hereinafter "Sports Organisations"), through the Olympic Movement Code on the Prevention of the Manipulation of Competitions restate their commitment to safeguarding the integrity of sport, including the protection of clean athletes and competitions as stated in Olympic Agenda 2020;
- b. Due to the complex nature of this threat, Sports Organisations recognise that they cannot tackle this threat alone, and hence cooperation with public authorities, in particular law enforcement and sports betting entities, is crucial;
- c. The purpose of this Code is to provide the FEI and its members with harmonised regulations to protect all competitions from the risk of manipulation. This Code establishes regulations that are in compliance with the Council of Europe Convention on the Manipulation of Sports Competitions, in particular its Article 7. This does not prevent Sports Organisations from having more stringent regulations in place;
- d. Sports Organisations bound by the Olympic Charter and the IOC Code of Ethics declare their commitment to support the integrity of sport and fight against the manipulation of competitions by adhering to the standards set out in the Olympic Movement Code on the Prevention of the Manipulation of Competitions and by requiring their members to do likewise. Sports Organisations are committed to take all appropriate steps within their powers to incorporate this Code by reference, or to implement regulations consistent with or more stringent than this Code;
- e. Pursuant to Rule 1.4 of the Olympic Charter, all Sports Organisations bound by the Olympic Charter agree to respect this Code;
- f. These Sports Organisations are responsible for the implementation of the present Code within their own jurisdiction, including educational measures;
- g. Therefore the Olympic Movement Code on the Prevention of the Manipulation of Competitions is hereby incorporated by the FEI in its Rules and Regulations.

### ARTICLE 1

#### Definitions and Application

##### 1.1 Definitions

- 1.1.1. "Benefit" means the direct or indirect receipt or provision of money or the equivalent such as, but not limited to, bribes, gains, gifts and other advantages including, without limitation, winnings and/or potential winnings as a result of a wager; the foregoing shall not include official prize money, appearance fees or payments to be made under sponsorship or other contracts;
- 1.1.2. "Competition" has the meaning given in Appendix A of the General Regulations and for the avoidance of doubts it also includes "Events" (as defined in the General Regulations). For the purpose of this Code also means any sports Competition, tournament, match or event, organised in accordance with the rules of a Sports Organisation or its affiliated organisations, or, where appropriate, in accordance with the rules of any other competent sports organisation;
- 1.1.3. "Inside Information" means information relating to any Competition that a person possesses by virtue of his or her position in relation to a sport or Competition, excluding any information already published or common knowledge, easily accessible to interested members of the public or disclosed in accordance with the rules and regulations governing the relevant Competition;
- 1.1.4. "Participant" means any natural or legal person belonging to one of the following categories:
  - a. "Athlete" as defined in Appendix A of the GRs;
  - b. "Officials" as defined in Appendix A of the GRs;

- c. Person Responsible as defined in Article 118 of the GRs;
  - d. Support Personnel as defined in the FEI Equine Anti-Doping and Controlled Medication Regulations.
- 1.1.5. "Sports Betting, Bet or Betting" means any wager of a stake of monetary value in the expectation of a prize of monetary value, subject to a future and uncertain occurrence related to a sports Competition.
- 1.2 Application
- 1.2.1. This Code shall apply to all Participants who participate, assist in or prepare Athletes to participate in Competitions. Each Participant shall be bound by, and be required to comply with this Code by virtue of such participation, assistance or preparation.
- 1.2.2. It shall be the personal responsibility of every Participant to make himself or herself aware of this Code including, without limitation, what conduct constitutes a violation of this Code and to comply with those requirements. Participants should also be aware that conduct prohibited under this Code may also constitute a criminal offence and/or a breach of other applicable laws and regulations including other regulations of FEI or any other Sports Organisations. Participants must comply with all applicable laws and regulations at all times. The FEI will report any behaviour, which in the reasonable opinion of the FEI, amounts to potentially criminal behaviour to the appropriate legal authorities.

## Article 2 Violations

The following conduct as defined in this Article constitutes a violation of this Code:

### 2.1. Betting

Betting in relation either:

- a. to a competition in which the Participant is directly participating; or
- b. to the Participant's sport; or
- c. to any event of a multisport competition in which he/she is a Participant.

### 2.2. Manipulation of sports Competitions

An intentional arrangement, act or omission aimed at an improper alteration of the result or the course of a sports Competition in order to remove all or part of the unpredictable nature of the sports Competition with a view to obtaining an undue Benefit for oneself or for others.

### 2.3. Corrupt conduct

Providing, requesting, receiving, seeking, or accepting a Benefit related to the manipulation of a Competition or any other form of corruption.

### 2.4. Inside information

- 1. Using Inside Information for the purposes of Betting, any form of manipulation of sports Competitions or any other corrupt purposes whether by the Participant or via another person and/or entity.
- 2. Disclosing Inside Information to any person and/or entity, with or without Benefit, where the Participant knew or should have known that such disclosure might lead to the information being used for the purposes of Betting, any form of manipulation of Competitions or any other corrupt purposes.
- 3. Giving and/or receiving a Benefit for the provision of Inside Information regardless of whether any Inside Information is actually provided.

### 2.5. Failure to report

- 1. Failing to report to the FEI, at the first available opportunity, full details of any approaches or invitations received by the Participant to engage in conduct or incidents that could amount to a violation of this Code.
- 2. Failing to report to the FEI, at the first available opportunity, full details of any incident, fact or matter that comes to the attention of the Participant (or of which they ought to have been

reasonably aware) including approaches or invitations that have been received by another Participant to engage in conduct that could amount to a violation of this Code.

## 2.6 Failure to cooperate

1. Failing to cooperate with any investigation carried out by, or on behalf of, the FEI in relation to a possible breach of this Code, including, without limitation, failing to provide accurately, completely and without undue delay any information and/or documentation and/or access or assistance requested by the FEI as part of such investigation.
2. Obstructing or delaying any investigation that may be carried out by, or on behalf of, the FEI in relation to a possible violation of this Code, including without limitation concealing, tampering with or destroying any documentation or other information that may be relevant to the investigation.

## 2.7 Application of Articles 2.1 to 2.6

1. For the determination of whether a violation has been committed, the following are not relevant:
  - a. Whether or not the Participant is participating in the competition concerned;
  - b. The outcome of the competition on which the Bet was made or intended to be made;
  - c. Whether or not any Benefit or other consideration was actually given or received;
  - d. The nature or outcome of the Bet;
  - e. Whether or not the Participant's effort or performance in the competition concerned were (or could be expected to be) affected by the acts or omission in question;
  - f. Whether or not the result of the competition concerned was (or could be expected to be) affected by the acts or omission in question;
  - g. Whether or not the manipulation included a violation of a technical rule of the respective Sports Organisation;
  - h. Whether or not the Competition was attended by the competent national or international representative of the Sports Organisation.
2. Any form of aid, abetment or attempt by a Participant that could culminate in a violation of this Code shall be treated as if a violation had been committed, whether or not such an act in fact resulted in a violation and/or whether that violation was committed deliberately or negligently.

## Article 3 Disciplinary Procedure

### 3.1 Investigation

1. The Participant who is alleged to have committed a violation of this Code must be informed of the alleged violations that have been committed, details of the alleged acts and/or omissions, and the range of possible sanctions.
2. Notice to a Participant may be accomplished by delivery of the notice to the Member Federation concerned. The Member Federation shall be responsible for immediately communicating the notice to the Participant.
3. Upon request by the FEI, or a body acting on behalf of the FEI, the concerned Participant must provide any information which the FEI, or a body acting on behalf of the FEI, considers may be relevant to investigate the alleged violation, including records relating to the alleged violation (such as betting account numbers and information, itemised telephone bills, bank statements, internet service records, computers, hard drives and other electronic information storage devices), and/or a statement setting out the relevant facts and circumstances around the alleged violation.

### 3.2. Rights of the concerned person

In all procedures linked to violations of the present Code, the following rights must be respected:

1. The right to be informed of the charges; and
2. The right to a fair, timely and impartial hearing either by appearing personally in front of the competent Sports Organisation and/or submitting a defence in writing; and
3. The right to be accompanied and/or represented.

### 3.3. Burden and standard of proof

The FEI shall have the burden of establishing that a violation has been committed. The standard of proof in all matters under this Code shall be the balance of probabilities, a standard that implies that on the preponderance of the evidence it is more likely than not that a breach of this Code has occurred.

#### 3.4. Confidentiality

The principle of confidentiality must be strictly respected by the FEI during all the procedure; information should only be exchanged with entities on a need to know basis. Confidentiality must also be strictly respected by any person concerned by the procedure until there is public disclosure of the case.

#### 3.5. Anonymity of the person making a report

Anonymous reporting is facilitated through the Equestrian Community Integrity Unit (ECIU) (<https://inside.fei.org/fei/about-fei/integrity>).

#### 3.6. Jurisdiction & Appeal

1. The FEI Tribunal shall have jurisdiction to decide on alleged breaches of this Code in the first instance according to the process set out in Chapter VIII of the GRs (The Legal System).
2. An Appeal may be lodged against a Decision of the FEI Tribunal in accordance with Article 162 (Appeals) of the GRs.

### Article 4

#### Provisional Measures

- 4.1. The FEI Secretary General may impose provisional measures, including a provisional suspension, on the Participant where there is a particular risk to the reputation of the sport, while ensuring respect for Articles 3.1 to 3.4 of this Code. Where a provisional measure is imposed, a Participant shall be entitled to apply to the FEI Tribunal for relief against such provisional measures, including the lifting of a provisional suspension.
- 4.2. Where a provisional measure is imposed, this shall be taken into consideration in the determination of any sanction which may ultimately be imposed.

### Article 5

#### Sanctions

- 5.1. Where it is determined that a violation has been committed, the FEI Tribunal shall impose an appropriate sanction upon the Participant from the range of permissible sanctions set out in Article 164 (Sanctions) of the GRs and which may range from a minimum of a Warning to a maximum of life ban.
- 5.2. When determining the appropriate sanctions applicable, the FEI Tribunal shall take into consideration all aggravating and mitigating circumstances and shall detail the effect of such circumstances on the final sanction in the written decision.
- 5.3. Substantial assistance provided by a Participant that results in the discovery or establishment of an offence by another Participant may reduce any sanction applied under this Code.
- 5.4. Once the period of the Participant's ineligibility has expired, he or she will become automatically re-eligible to participate in Competitions provided that he or she has:
  - i. completed to FEI's satisfaction any official integrity education imposed on him or her as a sanction by the relevant body;
  - ii. has paid, in full, any fine imposed under this Code and/or any order of costs made against him or her by the relevant body; and
  - iii. has agreed to subject himself or herself to any reasonable and proportionate monitoring of his or her future activities in connection with equestrian sport as the FEI may reasonably consider necessary given the nature and scope of the violation that he or she has committed.

### Article 6

#### Mutual recognition



- 6.1. Subject to the right of appeal, any decision in compliance with this Code (or its equivalent) by a Sporting Organisations must be recognised and respected by the FEI.



## **APPENDIX H - FEI OFFICIALS' CODE OF CONDUCT**

As an FEI Official I undertake to respect all FEI Rules and Regulations at all times, and in particular the FEI Code of Ethics and Conflict of Interest Policy and the FEI Code of Conduct for the Welfare of the Horse.

I am aware that I am a representative of the FEI while officiating at any FEI Event (hereinafter the "Event/s"). I am also conscious of my role as an authority and of the associated obligation to have adequate knowledge of the principles of equestrian sport and the relevant FEI Rules and Regulations, and to apply them at all times in a fair and consistent way.

I will fulfill all FEI requirements (including maintaining the necessary qualification) relevant to each Event to which I am appointed.

While I am officiating:

- I will act in an appropriate and respectful manner towards humans and animals, paying constant attention to their safety and I will carry out all duties in a professional manner.
- I will refrain from consuming alcohol and I will not use any substances and/or medications that would impair my judgement.
- I will not compete at the Event.
- I will be willing to answer the questions of stakeholders (including but not limited to Athletes, Owners and Trainers, Organisers, Grooms and my colleagues). I will take the time to politely and objectively explain my decisions where possible.
- I will be familiar with all the relevant FEI Rules and Regulations and will be well prepared for every Event.
- I will cooperate with the Organiser of the Event and with my colleagues.
- I will be punctual and dress appropriately.

I commit to avoid any actual or perceived conflict of interest. A conflict of interest is defined as any personal, professional or financial relationship, including relationships of family members that could influence or be perceived to influence objectivity when representing or conducting business or other dealings for or on behalf of the FEI.

I will maintain a neutral, independent and fair position towards Athletes, Owners, Trainers, Grooms, Organisers, other Officials and stakeholders. Financial and/or personal interests will never influence my officiating duties and I will spare no effort to avoid any such perception. I agree not to make any financial contributions, donations in cash or in-kind or otherwise to an Organiser in exchange for being appointed as an Official to the Event. For the avoidance of any doubt, the direct payment of travel expenses and/or accommodation expenses by an FEI Official is acceptable.

I will not engage in nationalistic judging.

Activities that lead or may lead to a conflict of interest when officiating at an FEI Event include but are not limited to:

- Acting as a Chef d'Equipe or being responsible /co-responsible for selecting teams and/or individuals or training Athletes within a NF present at the Event, if the teams and/or individuals participate in a competition falling within the level and age group of the authority of the Official.
- Being the Owner/part-Owner of a Horse taking part in a competition that I am officiating at.
- Being in a situation of financial dependence or gaining financial profit from participating Owners, Athletes, Trainers or Organisers (excluding any payment(s) permitted under the FEI Rules and Regulations, such as per diems). The same rule applies with regard to National Federations or other organisations involved in the Event, if the dependence exceeds a regular employment. Employees of participating National Federations cannot act as President of the Ground Jury, Chief Steward, Veterinary Delegates, or Course Designer at Official International Events, International Championships and Games.





- Having a close personal relationship with an Athlete competing in a competition that I am officiating at.
- Having recently treated a Horse competing in a Competition that I am officiating at.

On becoming aware of a potential Conflict of Interest I undertake to promptly notify the FEI HQ (or where the conflict only arises and/or is identified on-site, the President of the Ground Jury) of any of the above or other possible conflicts of interest or circumstances that may be perceived as such.

Conflicts must be avoided whenever practicable. However, conflicts may be linked to experience and expertise that is necessary to qualify Officials. The specific balance between conflict and expertise is regulated by the General Regulations and the relevant Sport Rules.

In the course of my duties or when representing the FEI I will refrain from making any public statements, including to the media or in social media, that might cause harm to the FEI or to equestrian sport in general. This includes statements that might create a perception of bias.

I will not place bets on Athletes and Horses competing at FEI Events or otherwise receive any financial or other gain as a product of a particular result.

The breach of any obligation assumed under this Code of Conduct and/or any breach of the FEI Rules and Regulations may lead to any of the following sanctions as stipulated in the FEI Rules:

- Warning letter
- Obligation to attend an FEI course and/or to pass an exam
- Fine
- Suspension from officiating duties (provisional or for a stated period of time)
- Removal from the relevant and appropriate FEI lists of Officials
- Any other sanctions as stated in the relevant FEI Rules and Regulations.

## **APPENDIX I – FEI SAFEGUARDING POLICY AGAINST HARASSMENT AND ABUSE**

Consistent with the objectives and principles of the FEI, the welfare of the equestrian community, especially minors, is of paramount concern. When any member of equestrian community, such as Athlete, Support Personnel, Coach, Trainer, Groom, Official, volunteer or staff member - is subjected to or engages in abuse or misconduct, it undermines the mission of FEI and is inconsistent with the best interests of equestrian sport. All forms of harassment and abuse are prohibited and will not be tolerated by the FEI.

The FEI is committed to promoting a safe environment for its members, Athletes, Support Personnel, Coaches, Trainers, Grooms, Officials, volunteers and staff in all equestrian Disciplines. The FEI has developed and adopted this Policy to set forth the efforts it will undertake to promote a safe equestrian environment, both independently and in partnership with other necessary parties, including National Federations, parents (or legal guardians), Athletes, and the equestrian community.

### **ARTICLE 1 - Definitions and Application**

#### **1.1 Definitions**

##### **1.1.1 Harassment and Abuse**

Harassment and abuse can be expressed in five forms which may occur in combination or in isolation. These include i) psychological abuse, ii) physical abuse, iii) sexual harassment, iv) sexual abuse, and v) neglect.

These forms of abuse are defined as:

- (i) Psychological abuse** — means any unwelcome act including confinement, isolation, verbal assault, humiliation, intimidation, infantilisation, or any other treatment which may diminish the sense of identity, dignity, and self-worth.
- (ii) Physical abuse** — means any deliberate and unwelcome act – such as for example punching, beating, kicking, biting and burning – that causes physical trauma or injury. Such act can also consist of forced or inappropriate physical activity (e.g., age- or physique- inappropriate training loads; when injured or in pain), forced alcohol consumption, or forced doping practices.
- (iii) Sexual harassment** — any unwanted and unwelcome conduct of a sexual nature, whether verbal, non-verbal or physical. Sexual harassment can take the form of sexual abuse.
- (iv) Sexual abuse** — any conduct of a sexual nature, whether non-contact, contact or penetrative, where consent is coerced/manipulated or is not or cannot be given.
- (v) Neglect** — within the meaning of this Policy means the failure of a coach or another person with a duty of care towards the Covered Individual to provide a minimum level of care to the Covered Individual, which is causing harm, allowing harm to be caused, or creating an imminent danger of harm.

Harassment and abuse can be based on any grounds including race, religion, colour, creed, ethnic origin, physical attributes, gender, sexual orientation, age disability, socio-economic status and athletic ability. It can include a one-off incident or a series of incidents. It may be in-person or online.

Harassment and abuse often result from an abuse of authority, meaning the improper use of a position of influence, power or authority by an individual against another person.

**1.1.2. Covered Individual** means any person in one or more of the following categories at the time of the alleged misconduct:

- a. **Athlete** as defined in Appendix A of the GRs;
- b. **Accredited Persons** at an FEI Event and/or FEI Meeting
- c. **FEI Representatives**, such as FEI Board Members, Members of a Technical or Standing Committee or Sub-Committee thereof, other members of a body or group designated by any

of the former to act on behalf of or advise the FEI (including but not limited to working groups and task forces), and FEI employees;

- d. **Officials** as defined in Appendix A of the GRs;
- e. **Organiser** as defined in Appendix A of the GRs;
- f. **Person Responsible** as defined in Article 118 of the GRs;
- g. **Support Personnel** means any Coach, Trainer, Athlete, Horse Owner, Groom, Steward, Chef d'Equipe, team staff, Official, Veterinarian, medical, or paramedical personnel or any other person assisting in any fashion a Person Responsible participating in or preparing for an FEI Event.

## 1.2 Application

**1.2.1.** This Policy shall apply to all Covered Individuals.

**1.2.2.** It shall be the personal responsibility of every Covered Individual to make himself or herself aware of this Policy including, without limitation, what conduct constitutes a violation of this Policy and to comply with those requirements. Covered Individuals should also be aware that conduct prohibited under this Policy may also constitute a criminal offence and/or a breach of other applicable laws and regulations including other regulations of FEI or any other Sports Organisations. Covered Individuals must comply with all applicable laws and regulations at all times.

## ARTICLE 2 - Violations

The following conduct constitutes a violation of this Policy:

**2.1** Psychological Abuse;

**2.2** Physical Abuse;

**2.3** Sexual Harassment;

**2.4** Sexual Abuse;

**2.5** Neglect;

**2.6** Complicity, i.e. assisting, encouraging, aiding, abetting, conspiring, covering up or any other type of intentional complicity involving a violation of this Policy:

**2.7** Retaliation as defined in 3.1

**2.8** Failure to cooperate

(i) Failing to cooperate with any investigation carried out by, or on behalf of, the FEI in relation to a possible breach of this Policy, including, without limitation, failing to provide accurately, completely and without undue delay any information and/or documentation and/or access or assistance requested by the FEI as part of such investigation.

(ii) Obstructing or delaying any investigation that may be carried out by, or on behalf of, the FEI in relation to a possible violation of this Policy, including without limitation concealing, tampering with or destroying any documentation or other information that may be relevant to the investigation.

## ARTICLE 3 – Retaliation, Reporting, and Confidentiality

Covered Individuals should report to the FEI, at the first available opportunity, full details of any incident, fact or matter that comes to their attention or of which they are aware that could amount to a violation of this Policy.

The FEI will report any behaviour, which in the reasonable opinion of the FEI, amounts to potentially criminal behaviour to the appropriate legal authorities.

### 3.1 Retaliation

Retaliation is any adverse action taken by a Covered Individual against a person participating in any investigation or proceedings initiated by the FEI pursuant to this Policy. Retaliation by a Covered Individual against a person for making an allegation, supporting a reporting party, or providing information relevant to an allegation is a serious violation of this Policy.

### 3.2 Reporting Harassment and Abuse at any time, including at FEI Events

For reporting any Harassment or Abuse, the FEI and/or the ECIU will take a report in the way that is most comfortable for the person initiating the report including an anonymous, in-person, verbal, or written report. Regardless of the method of reporting, it is helpful to the FEI and/or the ECIU to get the following information: (1) the name of the complainant(s); (2) the type of misconduct alleged; (3) the name(s) of the alleged victim(s); and (4) the name(s) of the individual(s) alleged to have committed the misconduct.

Individuals may complete an Incident Report Form. Information on this form will include:

1. The name(s) of the complainant(s);
2. The type of misconduct alleged (including psychological abuse, physical abuse, sexual harassment, sexual abuse, and neglect);
3. The name(s) of the alleged victim(s);
4. The name(s) of the individual(s) alleged to have committed the misconduct;
5. The approximate date(s) and location(s) where the misconduct was committed;
6. The names of other individuals who might have information regarding the alleged misconduct; and
7. A summary statement of the reasons to believe that misconduct has occurred.

The FEI will withhold the complainant's name upon request, to the extent permitted by law. A copy of the FEI Incident Report Form can be found at [www.inside.fei.org](http://www.inside.fei.org).

### 3.3. Confidentiality

To the extent permitted by law, and as appropriate, the FEI and/or the ECIU will handle any report it receives confidentially and discretely and will not make public the names of the complainant(s), potential victim(s), or accused person(s); however, the FEI may disclose such names on a limited basis when conducting an investigation, or reporting to the ECIU, or the relevant bodies or when required to do so under applicable law.

### 3.4. Anonymous Reporting

The FEI recognises it can be difficult to report an allegation of misconduct and strives to remove as many barriers to reporting as possible. Anonymous reports may be made without the formality of completing an Incident Report Form:

1. by completing the FEI Incident Report Form without including their name;
2. by expressing concerns of misconduct to the FEI and/or the ECIU; or
3. by expressing concerns in writing or verbally to one of the following individuals on the Athlete Protection Team:
  - a. FEI Legal Director
  - b. FEI Deputy Legal Director
  - c. Legal Counsel

Please be aware that anonymous reporting may make it difficult to investigate or properly address allegations.

## ARTICLE 4 – FEI Investigation, Notification

Following the receipt of an allegation of a misconduct, the FEI may consider the circumstances in which it will notify other Athletes and/or the parents (or legal guardians) of Athletes with whom the accused individual may have had contact. At the FEI's discretion, and as appropriate or required by law, the FEI may notify relevant persons, i.e., competition managers, staff members, contractors, volunteers, parents (or legal guardians), and/or Athletes of any such allegation that (a) law enforcement authorities are actively investigating; or (b) that the FEI and/or the ECIU is investigating. Advising others of an allegation may lead to additional reports of harassment or abuse and other misconduct.

## **ARTICLE 5 – Opening a Disciplinary Procedure**

Following an investigation by the FEI and/or the ECIU pursuant to this Policy, the FEI shall evaluate all the evidence and shall decide whether or not to open a disciplinary procedure by referring the matter to the FEI Tribunal.

Any case referred to the FEI Tribunal pursuant to this Policy will be dealt with according to the procedures set out in the Internal Regulations of the FEI Tribunal, in particular, Section B (*Specific procedures in Claims proceedings before the FEI Tribunal*). Where appropriate, the FEI may wait until the outcome of any related criminal or civil proceedings is known before deciding whether or not to refer a case to the FEI Tribunal.

## **ARTICLE 6 – Jurisdiction & Appeal**

- 6.1.** The FEI Tribunal shall have jurisdiction to decide on alleged breaches of this Policy in the first instance according to the process set out in Chapter VIII of the GRs (The Legal System). The FEI Tribunal may, either on its own initiative or upon the application of one or all of the parties concerned, stay the proceedings before the FEI Tribunal pending the outcome of any related criminal or civil proceedings.
- 6.2** An Appeal may be lodged against a Decision of the FEI Tribunal in accordance with Article 162 (Appeals) of the GRs.

## **ARTICLE 7 – Provisional Measures**

- 7.1.** The FEI may impose provisional measures, including a provisional suspension, on the Covered Individual. Where a provisional measure is imposed, a Covered Individual shall be entitled to apply to the FEI Tribunal for relief against such provisional measures, including the lifting of a provisional suspension.
- 7.2.** Where a provisional measure is imposed, this shall be taken into consideration in the determination of any sanction which may ultimately be imposed.

## **ARTICLE 8 – Sanctions**

- 8.1.** Where it is determined that a violation has been committed, the FEI Tribunal shall impose an appropriate sanction upon the Covered Individual from the range of permissible sanctions set out in Article 164 (Sanctions) of the GRs, including a lifetime ban.
- 8.2.** When determining the appropriate sanctions applicable, the FEI Tribunal shall take into consideration all aggravating and mitigating circumstances and shall detail the effect of such circumstances on the final sanction in the written decision.
- 8.3** The FEI shall be entitled to prevent any person (regardless of whether they are a Covered Individual or not) convicted of a criminal offence which would constitute a violation of this Policy from participating in any meetings or activities surrounding any Competition or Event, including as a spectator.

## **ARTICLE 9 – Mutual Recognition**

- 9.1.** Subject to the right of appeal, any decision taken by the FEI pursuant to this Policy must be recognised and respected by all National Federations.
- 9.2** Where the FEI is informed that a Covered Individual has been:
  - (i) convicted of a criminal offence which would constitute a violation of this Policy;or
  - (ii) held by his/her National Federation or any other competent sports governing body to which he/she is subject, to have committed a violation which would constitute a violation under this Safeguarding Policy, the FEI shall recognise the applicable conviction/decision imposed.

Where appropriate, the FEI reserves the right to open a separate Disciplinary Procedure against the Covered Individual in relation to his/her FEI related activities.

## **ARTICLE 10 –Duty to inform**



National Federations shall promptly inform the FEI of any allegations (where possible) and/or sanction(s) imposed on any person under their jurisdiction relating to any harassment and/or abuse case(s).

The FEI shall promptly inform the National Federations of any allegations (where possible) and/or sanction(s) imposed on any person under their jurisdiction relating to any harassment and/or abuse case(s).

## **APPENDIX J – Rationale for the Unsanctioned Events Provisions**

1. The primary mission of the FEI, as the world governing body of equestrian sport, is 'to advance the orderly growth of equestrian sport worldwide by promoting, administering and regulating humane and sportsmanlike international competition in the traditional equestrian Disciplines'.
2. At the core of that mission are the following two fundamental principles:
  - 2.1 In each equestrian Discipline, the welfare of the Horse must be the paramount consideration at all times. It must never, in any circumstances, be subordinated to competitive or commercial considerations.
  - 2.2 Equestrian sport depends, for its credibility, on public acceptance derived from the integrity of its competitions. Behind this precept lies the premise that the best Athletes should win fairly and squarely, having competed under even and equitable conditions and under Rules that are themselves fair, realistic, and applied with scrupulous competence and even-handedness. No result can be meaningful or valid if it has not been achieved on a level playing-field.
3. The FEI can only protect and promote those two principles by putting in place detailed Regulations protecting Horse welfare and competition integrity (such as the FEI Code of Conduct for the Welfare of the Horse, the FEI Veterinary Regulations, and the FEI Equine Controlled Medication Rules) and the integrity of Competition (such as the FEI Anti-Doping Rules For Human Athletes and the FEI Equine Anti-Doping and Control Medications Regulations and the FEI Code On The Prevention Of The Manipulation Of Competitions) and by making acceptance of International Events into the official Calendar conditional upon the Organiser adopting all of those Regulations and making them binding on all participants in those Events. Only by these means can the FEI ensure the uniform application of the necessary Regulations in all International Events, and hold all Event Organisers and participants accountable under those Regulations for conducting themselves in a manner that protects the safety and integrity of the sport.
4. In recent years, equestrian sport has seen an increase in the number of events being promoted by private entrepreneurs who avoid regulation by and accountability to the FEI by organising their events outside of the official Calendar. Such Unsanctioned Events threaten to undermine the FEI's ability to achieve its mission in the following ways:
  - 4.1 The core purpose of the official Calendar is to ensure that undue demands are not placed on Horses or Athletes participating in International Events. Unsanctioned events circumvent (and so undermine) those protections.
  - 4.2 Unsanctioned Events are not subject to FEI Regulations, and their organisers and participants are not accountable to the FEI for compliance with such regulations. As a result, the FEI has no way of safeguarding the welfare of Horses and Athletes participating in such events, or of protecting the integrity of the events. The same may be true at the national level if a national event is conducted outside national rules and even in circumstances where the National Federation expressly objected.
  - 4.3 This presents a risk of great harm to the sport both directly (through physical harm to Horses and Athletes participating in Unsanctioned Events) and indirectly (by undermining public confidence in the ability of the FEI to protect the safety and integrity of the sport). The public is unlikely to appreciate fully the distinction between sanctioned and Unsanctioned Events, and so if problems occur in Unsanctioned Events the image of the entire sport will suffer, and public confidence in the ability of the FEI to maintain the integrity of the sport and to protect the welfare of its participants will be undermined, to the great detriment of the sport as a whole.
5. The FEI recognises the need to be proportionate in its regulation of the sport, and in particular to intervene no further than is necessary to protect the sporting imperatives identified above.

Therefore:

  - 5.1 The Unsanctioned Event provisions do not prevent Athletes or Officials participating in Unsanctioned Events, or Owners entering their Horses in Unsanctioned Events, if they so

choose. Instead, reflecting the principle that those who benefit from the collective efforts of the FEI and its stakeholders should not at the same time participate in activities that undermine those efforts, it prevents simultaneous participation in both sanctioned and Unsanctioned Events, by making any Athlete, Horse or Official that participates in an Unsanctioned Event ineligible to participate for a specified period in sanctioned events.

5.2 It limits the period of ineligibility to six months, in the hope that this will be sufficient to protect against the risks identified above. It also allows for a waiver of that period of ineligibility in exceptional circumstances. If this limited period of ineligibility proves to be insufficient, it will be reviewed and (if necessary) lengthened.

5.3 It is not triggered by participation in Unsanctioned Events that the National Federations authorise or have no express objection to, such as local events run outside the NF structure but with the knowledge and acceptance of the NF. Again, however, if this is abused, it may be necessary to revisit and tighten the regulation.



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